

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

In re:

LEHMAN BROTHERS INC.,

Debtor.

Case No. 08-01420 (SCC) SIPA

**ORDER AUTHORIZING THE ABANDONMENT
OF CERTAIN SECURITIES**

Upon the motion dated February 13, 2015 (the “Motion”)¹ of James W. Giddens (the “Trustee”), as Trustee for the SIPA liquidation of Lehman Brothers Inc. (“LBI”), requesting entry of an order authorizing the abandonment of the Nontransferable Securities and any remaining Customer Name Securities that have not been requested by the registered holder, as more fully described in the Motion; and the Court having jurisdiction to consider the Motion and the relief requested therein in accordance with SIPA § 78eee(b)(4); and venue being proper before this Court pursuant to SIPA § 78eee(a)(3) and 15 U.S.C. § 78aa; and it appearing that the relief requested by the Motion is necessary and in the best interests of the estate, its customers, its creditors, and all parties in interest; and it appearing that due and proper notice of the Motion having been given to all parties who may have an interest in the Securities, and no other or further notice is necessary; and the Trustee having articulated good, sufficient, and sound business justifications for abandoning the Securities; and the Court having reviewed the Motion, and it appearing that no objections have been filed to the Motion; and the Court having determined that the legal and factual bases set forth in the Motion establish just cause for the relief granted herein, it is hereby

1. Capitalized terms not defined herein shall have the meaning ascribed to them in the Motion.

ORDERED that the Motion is GRANTED; and it is further

ORDERED that pursuant to section 554(a) of the Bankruptcy Code, made applicable to this proceeding by SIPA sections 78fff(b) and 78fff-1(a), all Securities on Schedule 1 and 2 are hereby effectively abandoned effective as of fourteen (14) business days following entry of this Order (the "Effective Date") and physical certificates in the possession of the Trustee may be destroyed; and it is further

ORDERED that, on the Effective Date, the Securities shall no longer constitute property of the LBI estate pursuant to section 541(a) of the Bankruptcy Code or property held by the Trustee as Bailee and the Trustee will have no further responsibility or obligations with respect to the Securities; and it is further

ORDERED that the Trustee is authorized and empowered to take such actions as may be necessary and appropriate to implement the terms of this Order; and it is further

ORDERED that this Court shall retain jurisdiction to hear and determine all matters arising from or related to this Order.

Dated: New York, New York
March 12, 2015

/S/ Shelley C. Chapman
HONORABLE SHELLEY C. CHAPMAN
UNITED STATES BANKRUPTCY JUDGE

SCHEDULE 1

NONTRANSFERABLE SECURITIES			
INTERNAL LEHMAN CUSIP	CUSIP	SECURITY DESCRIPTION	QUANTITY
XX9L02240	009151101	AIR POLLUTION SYSTEMS INC	2,000.0
016502999	016502999	ALL AMER FROZN YOGURT PARALLEL FD DTC CUSTOD	2.0
XXA037200	N/A	AMCORP PROPERTIES BHD RED CNV PREF SHS	91.0
027528108	N/A	AMERICAN MIDLAND CORP, OLD	200.0
XXA519282	032990137	AMEX CORP	100.0
XX9L27429	038213AD6	APPLIED MAGNETICS CORP, SUBORD CONV NOTE 2.0%	2,000,000.0
XX9L00071	041397100	ARLINGTON COMMONWEALTH CORP, TEX	700.0
049141104	049141104	ATLANTICA DEL GOLFO SUGAR CO	21,552.0
XX9065617	054602107	AXIOHM TRANSACTION SOLUTION RESTRICTED	93,298.0
09063Y209		BIOLOG INC COM NEW	190.0
09069B104	09069B104	BIRCH TELECOM	2,439.0
Y0632L102	ID1000037906	BK BIRA	313,020.0
XX9L16471	10599AAB9	BRANIFF INC, PRIVATE PLACEMENT 15% SENIOR RES	1,000,000.0
105990071	N/A	BRSCAN LIMITED FRACTIONAL UNITS	2.0
XX9L00191	115761108	BROWNE WINDOW MFG	5.0
XX9066765	118014109	BUCK-AN-HOUR AMER INC, RESTRICTED	156,250.0
XX9L02310	406072108	C M HALL LAMP	30.0
XX9L00908	13123Q107	CALLITE TUNGSTEN CORP	20.0
XX9L29638	N/A	CAPITAL GAMING INTL INC PROMISSORY NT RULE 144A	233,000.0
XX9L00928	143597102	CARNES CORP CL A	25.0
BEE191AA7	BEE191AA7	CAROLINA STEEL N-2000 FRAC CUSIP	2,010,000.0
148436108	148436108	CASTLE CAPITAL CORP, MERGED INTO SUBS. PENN D	3.0
XX9070426	N/A	CDB HOLDINGS FINANCE INC, RESTRICTED	1,000.0
G20ESC995	G20ESC995	CDC LIQUIDATING TRUST ESCROW CUSIP	25,559.0
XX9L02755	151325107	CENTAUR CHEMICAL CO	5,600.0
XX9057144	152424107	CENTRAL BANCSHARES INC, TEX RESTRICTED	3.0
XX9L00944	153853106	CENTRAL LANDMARK INC, CL A	1.0
16162WQK8	N/A	CHASE MORTGAGE FINANCE TRUST MTGPC/SERIES 2005-A2 3-A3-VAR RATE	775,000.0
XX9066790	164715104	CHERRY PETROLEUM CORP RESTRICTED	2.0
172435109	172435109	CINEMA 5 LTD	200.0

NONTRANSFERABLE SECURITIES			
INTERNAL LEHMAN CUSIP	CUSIP	SECURITY DESCRIPTION	QUANTITY
XX9L23360	N/A	CITADEL BROADCASTING CALL AGREEMENT	1.0
XX9L16469	174612101	CITIZENS FINANCE CORP, OF MUNCIE IND	2.0
XX9L00981	P28145103	CLARAVELLA CORP	688.0
XX9L01003	18450A104	CLEAN AIR INC	500.0
XX9758707	N/A	COMPANHIA DE DIAMANTES ANGOLA COM	1.0
XX9L02774	205507106	COMPUTER TIME SHARING CORP	40.0
XXC599016	207990110	CONNECTOR TECHNOLOGY CORP	2,000.0
XX9L02775	209111822	CONS EDISON CO NY-IDR	80.0
221737984	221737984	COTTON & WESTERN MINING	5.0
222581100	N/A	COUNTY SEAT STORES INC	991.0
XX9758950	N/A	CUBA NORTHERN RAILWAY 4% 1942 FROZEN BY LEGA	13,000.0
XX5136702	N/A	CUBA RR CO 1ST MTG 5% 1952	2,000.0
XX9046690	23246F102	CYBERLINX CORP, RESTRICTED	100.0
XX9066017	N/A	DARWIN NETWORKS INC, PFD CONV SER B RESTRICTE	1,363,636.0
238138994	N/A	DATATEL INTERNATIONAL RESTRICTED CONTRA CUSIP	60.0
XX9L03627	N/A	DELI SPOORWEG MAATSCHAPPI	1.0
XXD003460	N/A	DHANA SIAM FIN&SEC THB10	818.0
258486109	258486109	DOSKOCIL COMPANIES INC, OLD	200.0
XX9L03630	261201107	DOWNTOWNER MOTOR INNS	200.0
26822P105	26822P105	EA INDUSTRIES INC, OLD	100.0
XX9L24731	26824P103	EBS LITIGATION LLC RESTRICTED STOCK	227,758.0
XX9L24729	268246105	EBS PENSION L L C-UNIT MEMBERSHIP CL A LTD, P	10,216.0
XX9L43252	N/A	ECHARGE RESTRICTED COMMON STOCK	333,334.0
28264T108	28264T108	EL ALACRAN GOLD MINE CORP	1.0
290844109	290844109	EMCOR PETROLEUM INC	500.0
XX9L03846	292038106	EMPIRE PHARMACEUTICALS & LABORATORY SP	10.0
294992409	294992409	ENVIRONMENTAL SATELLITE CORP	50.0
CKD988997	N/A	EQUIMED INC, FRACTIONAL SHS	83,300.0
G3222A122	G3222A122	EUROCASTLE INVESTMENTS LTD HERTFORDSHIRE	25.0
XXF054504	N/A	FALCONBRIDGE DOMINICANA C. POR A	582.0
306990037	N/A	FALCONBRIDGE DOMINICANA RESTRICTED ISSUE ONL	4.0
311729AA5	311729AA5	FARRINGTON MFG CO CV S/D CPN	1,000.0

NONTRANSFERABLE SECURITIES			
INTERNAL LEHMAN CUSIP	CUSIP	SECURITY DESCRIPTION	QUANTITY
312904Z65	N/A	FEDERAL HOME LOAN MORTGAGE CORPORATION CMO/SERIES 1036 1036-H12-DEF IN	0.4
XXF001991	N/A	FINANCE ONE THB10 (ALIEN MARKET)	69,000.0
XXF001991	N/A	FINANCE ONE THB10 (ALIEN MARKET)	11,000.0
XX9L44662	N/A	FINANCIRER ST FLORNTIN FRF D2 PART/CTF	1.0
338901101	338901101	FLAIR RESOURCES LTD	3,369.0
XX9L03979	349807107	FORTY WALL STREET BLDG INC	27.0
36219FNV5	36219FNV5	G N M A PASS THRU POOL 248004X LEADER MTG	32.7
36203NY44	36203NY44	G N M A PASS THRU POOL 354531X	736.5
36204FEK6	36204FEK6	G N M A PASS THRU POOL 368338X	493.3
36206STM6	36206STM6	G N M A PASS THRU POOL 420056X CURTIS MORTGA	406.4
36206VVT1	36206VVT1	G N M A PASS THRU POOL 422826X	26.5
36241KLE6	N/A	G N M A PASS THRU POOL 782125X	0.3
XX9074967	N/A	GADZOOX NETWORKS RESTRICTED	6,912,500.0
366255107	366255107	GARNET RESOURCES CORP	40.0
Y26904113	N/A	GENERAL FINANCE & SECS CO LTD, (FOREIGN), T	10,000.0
370ESCBB0	370ESCBB0	GENERAL MOTORS CORP, SR UNSECD NT ESCROW CUSI	3,000,000.0
373654AH5	N/A	GEOTEK COMMUNICATION INC, CONV SR SUB NTE ACC	750,000.0
XX9060337	N/A	GLOBAL UNILABS CLINICAL TRIALS LIMITED RESTRICTED	429,787.0
383413101	383413101	GOSS HOLDINGS INC, CL B	70,460.0
XX9L02583	389905100	GREAT AMERICAN LUMBER CO INC, RESTRICTED	26,000.0
393505BR2	N/A	GREEN TREE FINL CORP 1993-4 MFD SR/SUB PASSTHRU CTF CL A1	0.4
395257991	US3952571087	GREENGOLD RAY ENERGIES INC	1,010.0
XX9066994	39985P101	GROWTH & INCOME INC RESTRICTED	5,000.0
P8632Y156	P8632Y156	GRUPO SIDEK SA DE CV-SER L ORD	160.0
P4985F175	P4985F175	GRUPO SITUR SA	1.0
XX9L41192	N/A	HAIGHTS CROSS COMMUNICATIONS COMMON RESTRICT	60,720.0
40636T203	40636T203	HALLWOOD REALTY PARTNERS LP DEPOSITARY UNITS	3.0
XX9067104	N/A	IAM.COM INC, PFD SER C -RESTRICTED	833,334.0
44969F996	44969F996	IMN EQUITIES CORP-RESTRICTED DIVIDEND SHARES	60.0
XX9L03456	455922104	INDUCTIVE COMPONENTS INC, N Y	1.0

NONTRANSFERABLE SECURITIES			
INTERNAL LEHMAN CUSIP	CUSIP	SECURITY DESCRIPTION	QUANTITY
XXH476782	45699AAH1	INDUSTRIA ELEC DE MEXICO AMERICAN SHARES	6.0
XXH542238	456992916	INSUL CUP CORP	100.0
XXH747525	M59878104	ISRAEL DISCOUNT BANK B REG IS 1	13.0
XX9L04236	477990055	JOHANNESBURG MFG LIQ	230.0
XX9L04243	47909P206	JOHNSON FOODS INC, PREF STK	10.0
490064102	490064102	KENSINGTON INDUSTRIES INC	446.0
496366105	496366105	KINGSLAKE ENERGY INC	690.0
51220MAE8	US51220MAE84	LAKEVIEW 2007-01	250,000.0
XXL013407	515484103	LANE INDUSTRIES INC-CONN	2.0
U5250MFM1	N/A	LBH FTSE XINHUA SUNS REG S SUNS ON FTSE XINHUA CHINA 25 100% MIN RDMT	3,420,000.0
50180W205	N/A	LBSBC NIM CO 2006-3 PREF SHS 144A 3C7	100.0
52518Q132	N/A	LEHMAN BROS INC CUST RCPTS PFD, VAR CUS RCPT 5	18.0
525ESC711	N/A	LEHMAN BROTHERS HLDGS INC, DEP SH REPSTG 1/10	93.0
525ESCB16	N/A	LEHMAN BROTHERS HLDGS INC, ESCROW CUSIP CONV	8,698.0
53184P101	53184P101	LIFE FINANCIAL CORP	18.0
XX9073441	560775207	MAJOR AUTOMOTIVE COMPANIES INC, (THE), NEW RES	1,800.0
XX9L05205	N/A	MAN SANG INTERNATIONAL LTD, HONG KONG DOLLAR	13,798.0
XX9L42012	N/A	MARLIN WATER TRUST CERT	32,500,000.0
58503R795	58503R795	MEDORO RESOURCES LTD, CONTRA CUSIP	11,312.0
XX9L07858	P95449107	MEXICO UNITED STS OF RCPT CPNS	48.0
XX9066104	N/A	MICROCAST INC PFD CONV SER B RESTRICTED	0.3
XX9L01223	597554104	MIDLAND MARKETING SVCS INC, CL A	200.0
XX9L01227	600007108	MILL MOTOR REPAIR INC, NC	10.0
XX9L29362	60038G104	MILLENIUM HEALTH COMMUNICATION RESTRICTED CO	25,000.0
628552101	628552101	MYNORCA INC	4,536.0
XX9L02939	637197997	NATIONAL PREFAB & EQUIPMENT COMPANY	625.0
XX9062217	N/A	NCP LITIGATION TRUST RESTRICTED	420,250.0
640652103	640652103	NEOTERIC GROUP INC	1.0
XX9L02861	657200101	NORTH AMERICAN URANIUM & OIL	50.0
674603204	674603204	OCCIDENTAL RES INC, COM NEW	500.0
XX9L01503	C68020103	OILCREST PETRO LTD	3,005.0

NONTRANSFERABLE SECURITIES			
INTERNAL LEHMAN CUSIP	CUSIP	SECURITY DESCRIPTION	QUANTITY
Y65411103	N/A	ORIENTAL PETROLEUM & MINERALS CORP-CL B U	2,926,548.0
Y0767J104	Y0767J104	P T BANK DAGANG NASIONAL INDONESIA (FOREI	46,000.0
Y7135F102	Y7135F102	P T PUTRA SURYA MULTIDANA (LOCAL), IN 500	25,000.0
694126103	694126103	PACIFIC COMMODITIES EXCHANGE INC	8.0
XX9067611	69833U101	PANDA ENERGY INTERNATIONAL INC, RESTRICTED	500.0
XXP251355	698990033	PANGAEA PETROLEUM LTD	10,000.0
XX9056424	N/A	PEDIANET INC, RESTRICTED	10,000.0
XXP337031	706028107	PELL PHARMACEUTICALS .10PV NEW	700.0
Q74147119	Q74147119	PELSART RESOURCES NL-ORD NEW (A \$0.20 PAR)	1,800.0
716713995	716713995	PETROLIND DRILLING RSTD	1.0
XXP019781	XXP019781	PHILEX MINING CORP	26,605.0
XXP492210	718990088	PHILODRILL CORP-CL B	851,500.0
XX5577457	N/A	PIEDMONT MGMT INC CONTINGENT INT NT	0.1
XX9L01546	739295103	POWER MATIC CORP	2,000.0
XXP001209	N/A	PROMET BERHAD MYR1	250.0
XX9L28898	750990160	RAIDTEC CORPORATION LIMITED RSTD STK	26,667.0
758ESC982	758ESC982	REFCO GROUP LTD, ESCROW	80,000.0
P8055K BK0	N/A	REPUBLIC OF ARGENTINA 11% TO 2/01 8% THERAFTER	0.5
XX9L02339	762990067	RHODESIAN SELECTION TRUST LTD, ADR	27.0
XX9L00190	524054103	ROBERT E LEE LIFE INSURANCE CO	10.0
776298101	776298101	RONDA TIFFANI INC	2,910.0
XX9L03023	N/A	SASKATCHEWAN GULF SECS TIDE WTR ROYALTY	50.0
804005106	804005106	SATELLITE METAL MINES LTD,COM	2.0
XXS030765	N/A	SCIB PUBLIC CO LTD, THB10	1.0
812021103	812021103	SEAHAWK OVERSEAS EXPLORATION CORP-CALIF	1,574.0
81213Y108	81213Y108	SEALIFE CORP	1.0
XX5L01419	N/A	SEARS INTERNATIONAL FIN N.V	6,000.0
XX9L01745	812604106	SEATTLE TOLEDO OIL CO INC	250.0
XX9066169	N/A	SHEARSON EQUIP MGMT CORP, RESTRICTED	100.0
XX9L07909	N/A	SHEARSON HAYDEN STONE FAR EAST INVESTMENT LT	49,000.0
XX9L07919	N/A	SHEARSON HAYDEN STONE LTD, ORD SHARES OF ONE	1.0
825196108	825196108	SHORELINE SAVINGS ASSN	216.0
826315103	826315103	SIERRA GOLD CORPORATION	800.0

NONTRANSFERABLE SECURITIES			
INTERNAL LEHMAN CUSIP	CUSIP	SECURITY DESCRIPTION	QUANTITY
XX9045078	78457P108	SMR NATURAL GAS PRODUCTION LLC RESTRICTED	866.0
XX5093597	N/A	SOUTHEAST BANKING CORP, STAMPED CERTIFICAT	6,810,000.0
866055106	866055106	SUMMIT ENERGY INC	100.0
XX9L02646	87505B102	TAMARAC GAS & OIL CO INC	500.0
XX5L01260	88599AAA7	THRIFT COURTS OF AMERICA INC	20,000.0
XXT432801	890514102	TOONA INC, CL A	25.0
893179101	893179101	TRANS CARIBBEAN AIRWAYS INC, A	100.0
XX9L07944	L93088109	TRASA A.G. CPN #4	15.0
XX9L44032	N/A	UNITED STATES MEXICO, A FRACTIONAL SHARES	60,000.0
XX9L02659	912990124	UNITED STATES TELEVISION MFG CORP	100.0
91731R105	91731R105	USATCO INC	1,700.0
XX9L08026	Y9336X106	VACATION PROPERTIES FIFI LTD	4,330.0
925326100	N/A	VERTIENTES CAMAGUEY SUGAR CO OF CUBA	552.0
918990243	918990243	VHX RESTRICTED	13,000.0
XXV540506	179394101	VICTOR V CLAD CO	600.0
XX9L02474	92599C917	VICTORIA ORIENTE INC	80.0
G94634105	VGG946341051	WATERLOO INVESTMENT HOLDINGS LTD,USD1	107.0
XXW291801	95709S102	WESTAR FINANCIAL INC	1,000.0
XX9L07927	P98674107	WHITES SA BEARER SHARES	500.0
975515982	N/A	WINSTAR COMMUNICATIONS RESTRICTED DIVIDEND	65.0
XX9L08095	983298100	WYOMING LA BARGE & DRY PINEY OIL CO	800.0

SCHEDULE 2

CUSTOMER NAME SECURITIES				
LEHMAN INTERNAL CUSIP	CUSIP	SECURITY DESCRIPTION	REGISTERED HOLDER	QUANTITY
449246206	N/A	ICG COMMUNICATIONS INC, NEW	3 COM CORP	50.0
44981C203	44981C203	IPL INC, MULTIPLE VOTING SHS	ABRAHAM L. SHAPIRO	3.0
XX9L07981	693990384	PACIFIC AIR LINES INC	ADOLPH BAUM	3.0
073043101	073043101	BAYOU OIL & GAS (OLD)	ALBERT HORNBLASS	10.0
002990430	002990430	AT&T LATIN AMERICA CORP, CLASS A RESTRICTED	ALEJANDRO OSVALDO ROSSI	35,360.0
692916109	N/A	PLM EQUIPMENT GROWTH & INCOME FUND VII-UNITS	ALFONSO CARRINGTON & MARY CARRINGTON JT; DOROTHY M MYERS; LEHMAN BROTHERS FBO WILLIAM MENENDEZ IRA; LEHMAN BROTHERS FBO STEPHEN SANDBERG IRA	1,972.0
XX9L00097	N/A	ARGENTINA EQUITY INVS I LTD, PRIVATE PLACE	ALICIA MACAGNO DE PAWLY AND MARIA CHRISTINA CASCIO	13,920.0
XX9L02488	958550105	WESTERN MANAGEMENT CORP	ALNAB & CO.	1,777.0
XX9L02921	618918106	MORTGAGE BOND & TRUST CO DEL	ALTEN & CO.	8.0
XX9066958	30231X105	EYECAST.COM INC, - RESTRICTED	ANDREW MALIK	42,890.0
XX9L12056	876041104	TAPO OIL CO	AUDRY W. SPADER	500.0
XX9N26699	N/A	COMPOSITOOLS INC, RESTRICTED	B. WAYNE BARRIER	10,000.0
XX9L29347	N/A	ACI ASSET MANAGEMENT- RSTD	BENNETT KEST & LISA KEST JT TEN	22.0
XX9061323	N/A	NUOASIS LAUGHLIN INC, RESTRICTED	BENNETT KEST & LISA KEST JT TEN	22.0
XX9061309	N/A	NUOASIS PROPERTIES INC, RESTRICTED	BENNETT KEST & LISA KEST JT TEN	22.0
XXD327177	N/A	DINELITE CORP	BETTY RANKIN HECHENBLEIKNER	727.0
888002102	888002102	TIPPERARY CORP, OLD	BRIAN THAYER MULLINS	10.0
XXW004365	981991102	WORLDCALL EXCHANGE INC, (DE)	BRUCE A MATON	20,000.0

CUSTOMER NAME SECURITIES				
LEHMAN INTERNAL CUSIP	CUSIP	SECURITY DESCRIPTION	REGISTERED HOLDER	QUANTITY
XX5L00082	N/A	WARREC CO CONV SUB PROM NOTES	C. DANIEL TYRCE	100,000.0
124991050	124991050	CBD FINANCE INC, COM RESTRICTED ISSUE ONLY	CBD MEDIA LLC	1,000.0
XX9L00118	052806106	AUTOMATED ACCOUNTING SERVICES INC, NJ	CHARLES J. KALINA III	100.0
XX9RBBPQ5	N/A	HIRU CORPORATION RESTRICTED	CHARLES M HARPER TIEE, CHARLES M HARPER FUNNEL TRUST	50,000.0
G80197109	N/A	SEMA PLC ORD GBP0.10 LONDON LISTED (FRM S	CHRISTOPH SENFTEN	11,813.0
XX9068153	878741503	TECHWAVE INC SER C PREF RESTRICTED	CHRISTOPHER B. HAMED	68,771.0
XX9062028	N/A	BUYITNOW INC, RESTRICTED	CLIFF KHOURI	2,000.0
373654AH5	N/A	GEOTEK COMMUNICATION INC, CONV SR SUB NTE ACC	COCKATOO & CO.	5,360,000.0
BFC990053	BFC990053	CENTRAL CORRIDORTHOMAS FRAC CUSIP	COLLIN & CO. TTEE FBO LORI DISCONT STONE IRA	50,000.0
XX9L44371	N/A	IBEAM BROADCASTING CP SER C PFD RESTRICTED S	CONNIE WILLIAMS	1,500.0
XX9L43437	N/A	FIELDCREST CANNON INC, RESTRICTED COMMON STOC	CORPORATE BOND TDY	1,830.0
XX9N33633	690990346	OXFORD COMMON STOCK	COVEMUSSEL & CO.	16.0
628990681	N/A	NCP LITIGATION TRUST CONTRA CUSIP	CUDD & CO	700.0
G9774K103	G9774K103	WORLD PETROLEUM LTD	DARRELL BATES	70.0
XX9066755	092490101	BLACKSTONE BK & TR CO RESTRICTED	DAVID IZEN	10,000.0
XX9L44220	N/A	APRIMO INC, SER A PREF RSTD STK	DIANE E. MILLS IRA F/B/O DIANE E. MILLS	12,000.0
XX9062358	N/A	TIANRONG INTERNET PRODUCTS & SERVICES INC, RE	DONALD R JOHNSON	7,675.0
XX9059579	N/A	TIANRONG MEDICAL GROUP INC, RESTRICTED	DONALD R JOHNSON	7,675.0
XX9N41194	N/A	UST PRIVATE EQUITY INVESTORS FUND INC	DONALDSON LUFKIN & JENRETTE SECURITIES CORP	50.0
XX9L02234	004928206	ACTIVE NOISE & VIBRATION TECH INC, SER A CONV	DUNCAN ANTHONY CHAPMAN	10,200.0
XX9L02472	889849105	USA PROMOTIONS INC	EARL MC KENNY	10,000.0

CUSTOMER NAME SECURITIES				
LEHMAN INTERNAL CUSIP	CUSIP	SECURITY DESCRIPTION	REGISTERED HOLDER	QUANTITY
G29539148	012495803	ELAN CORP PLC	ELY E. PILCHIK	10,627.0
XX9L03356	419461108	HAVERHILL CO-OPERATIVE BANK MA	EVELYN R. ROBERTA	14.0
03235F206	03235F206	AMUR PHARMACEUTICALS INC, PFD SER B	FIRST TRUST CORP. TTEE FBO ROBERT CANPI IRA	10,000.0
XX9907148	N/A	GOLD (ONE OUNCE)	FRANCES CICIDOMINA	5.0
XX9066538	N/A	U S INTERACTIVE INC, RESTRICTED	FRANK O GEHRY & BERTA A GEHRY JT TEN	3,826.0
XX9L02911	598493104	MIDWESTERN SECURITY TRUST CO	FREDERICK HYMAN	2,832.0
769756107	769756107	ROAN SELECTION TR LTD, ORD	GEORGE BIRNBAUM	520.0
XX9L01342	824918106	SHORELAND MINES LTD	GEORGE H. GUIST	100.0
18976WAK7	N/A	CMO HOLDINGS III LTD, TRUST SER 2006-21 CLASS	GERLACH & CO.	4,338,624.3
18976WAJ0	N/A	CMO HOLDINGS III LTD, TRUST SER 2006-21 CLASS	GERLACH & CO.	1,812,500.0
18976WAH4	N/A	CMO HOLDINGS III LTD, TRUST SER 2006-21 CLASS	GERLACH & CO.	307,500.0
XX9068916	N/A	AGERE SYSTEMS INC, CL A RESTRICTED	GERTURDE MORRIS	2.0
XX9L02648	887195105	TIME FINANCE CORP, OF	GREGG W. OR M. REED JOHNSON	50.0
957255102	957255102	WESTBROOK THOMPSON OVERRIDING ROYALTY UNITS	H HENTZ & CO.	10.0
240992230	23303P985	DBARN NET INTEREST MARGIN 2007 AHMIN	HARE & CO.	50.0
XX9L03364	443782206	HUDSON FOODS INC, CL B	HARRY P. GUDA	10.0
XX9L02962	737632109	POTLATCH OIL & REFINING CO	HB FRYBERGER JR.	446.0
XX9L00109	05075A106	AUDIOTEC SYSTEMS INC	HELEN H. DENISON	200.0
028912103	N/A	AMERICAN RESOURCES & DEVELOPMENT CO	HELEN N. MECH & BARBARA A HANNEY TEN COM	43.0
XXG432384	N/A	GOLD MEDAL STUDIOS INC	HENLEY COMPANY	650.0
XX9L03836	N/A	ELMERCADO INVESTMENTS CO	HESSE BAER	100.0
140990136	N/A	CAPITAL REGION MAGAZINE RESTRICTED	HOME & CITY SAVINGS BANK AS TRUSTEE FOR THE JOHN J. BRUNNER IRA	100.0

CUSTOMER NAME SECURITIES				
LEHMAN INTERNAL CUSIP	CUSIP	SECURITY DESCRIPTION	REGISTERED HOLDER	QUANTITY
XX9L07828	042321406	UNIT ARMSTRONG WORLD INDS	ISRAEL WEINGARTEN	500.0
XX5L00096	N/A	U S LEISURE INC, PRIVATE PLACEMENT	JACKSON NATIONAL LIFE INS. CO.	20,000,000.0
886895101	N/A	TILTIP INDUSTRIES INC	JEAN FRANCOIS MALLE	7.0
XX9067170	609445507	MONITREND INVESTMENT MGMT INC, PFD SER A -RES	JEFFERY A. TAMARA	15,776.0
XX9L02980	745287102	PUERTO RICO PETE EXP CORP	JEPHTA MARTHA HUGHES	100.0
XXR548553	256138108	ROCKY MOUNTAIN PREPAID LEGAL	JJ OLSON	200.0
XX9L01543	697848208	PAN AMERICAN LABS B	JOHN J. GORDON	2.0
XX9L02875	N/A	POLARIS OFFSHORE LTD	JOHN LIBBY	1,000.0
922396106	N/A	VECTOR MEDICAL TECHNO	JOHN M SPECA	10,000.0
XX9L03857	294545108	EQUITABLE NATIONAL BANK	JOHNSON LANE SMITH AND CO	262.0
XX9L00925	14074G106	CAR COMMCTNS CORP, INC	JOSEPH J. REBER	1,000.0
XXH196512	N/A	HEBILLA MINING CORP	JOSEPH M. TRASK	970.0
XX9035681	N/A	CEPHALON INC, RESTRICTED	JUDITH/JEFFREY BALDINO TR	30.0
XX9074965	N/A	TVG TECHNOLOGIES LTD, RSTD STK	JULES MARILUS	520,000.0
XX9057607	N/A	PLANETCLICK INC, RESTRICTED	KAREN ANNE LAIFER, TRUSTEE FOR ABRAHAM DAVID LAIFER	72,360.0
XX9063419	N/A	CLIQUE.COM SER B PREFERRED RESTRICTED	KELLY FAMILY TRUST	33,333.0
224071100	N/A	COYOTE SPORTS INC	LAMBROS LP	246,464.0
XX9067136	N/A	JUNIORNET CORP, PFD SER C - RESTRICTED	LAMBROS LP	681,483.0
XXL312405	526732102	LEPANTO CONSOLIDATED MINING CO-CL B BLOCK	LAWRENCE LAZARUS	3,000.0
913377107	913377107	UNIVERSAL AMERICAN CORP	LAWRENCE QUILTY	100.0
975515404	N/A	WINSTAR COMMUNICATIONS INC, 6% CUM CONV PFD S	LB AC PALLADIN OMNIBUS AC GLEN EAGLES FUND	1,304.0
975515404	N/A	WINSTAR COMMUNICATIONS INC, 6% CUM CONV PFD S	LB AC PALLADIN OMNIBUS AC GLEN EAGLES FUND	6.0

CUSTOMER NAME SECURITIES				
LEHMAN INTERNAL CUSIP	CUSIP	SECURITY DESCRIPTION	REGISTERED HOLDER	QUANTITY
69341H303	N/A	PLM EQUIPMENT GROWTH FUND VI UNIT LIMITED PA	LBI FBO JESSE C TAYLOR IRA; LBI FBO PAULINE TAYLOR; LBI FBO WILLIAM E. KING IRA; LBI FBO GEORGE B. AULEN IRA; LBI FBO BHADRASAIN VIKRAM IRA	3,113.0
69341H402	N/A	PLM EQUIPMENT GROWTH FUND V DEPOSITARY RCPT	LBI FBO VIKRAM BHADRASAI IRA; LBI FBO WILLIAM E. KING IRA; LBI FBO BONNIE L. STERLING IRA; LBI FBO GARY J BARNETT IRA; LBI FBO MARIO MERLINO IRA; LBI FBO ALAN RAVEN IRA;	18,336.0
692916109	N/A	PLM EQUIPMENT GROWTH & INCOME FUND VII-UNITS	LEHMAN BROTHER FBO VIKRAM BHADRASAIN IRA	292.0
4651376F9	4651376F9	ISRAEL STATE DLR CURRENT INCOME BD 7TH DE	LEHMAN BROTHERS INC. FBO ALEXANDRA MORGAN CIAROI	1,000.0
682069AA7	682069AA7	OMEGA-ALPHA INC, S/D-REG	LEWCO SECURITIES	19,000.0
XX9035129	N/A	MOORE GLOBAL INVESTMENT LTD, FUND	MANUEL FERNANDEZ DIEGO AND/OR FELISA ORTIZ HIDALGO	52.0
XX9112817	N/A	INTERMOUNTAIN VENTURES INC, RESTRICTED	MARK J. HEITBRINK	4,500.0
XX9N24351	N/A	PRIMARION INC, BASED ON MGMTS	MARK MCKECHNIE	25,000.0
471154997	N/A	JARVIS GROUP RESTRICTED ISSUE ONLY	MARK RICE	200.0
XX9065519	N/A	PERSHING RESOURCES CO INC, RESTRICTED	MARLOLM TRAYSER	800.0
XX9N20770	16899AAM9	US CORP, WALMART/LAS VEGAS	MARVIN M. REISS	1,874,000.0
XX9L01135	517691101	LAS VEGAS JOCKEY CLUB CL B	MAUDE BOWLBY	15.0
XX9066024	N/A	ESSEX LEASING CORP, RESTRICTED	MICHAEL L TARNAPOL	221.0
XX9L03033	817180102	SENECA SILVER MINES LTD	MILDRED BREMBS	1,000.0
XX9N40821	N/A	VS HOLDINGS INC	ML CBO XVIII (CAYMAN) LTD	198,850.0

CUSTOMER NAME SECURITIES				
LEHMAN INTERNAL CUSIP	CUSIP	SECURITY DESCRIPTION	REGISTERED HOLDER	QUANTITY
XX9L26284	N/A	ATG RICHLAND CORPORATION SERIES B PREF RESTR	NALUWAN CORP	50,000.0
XX9066088	N/A	LUBELL D INC, RESTRICTED	NORM SIEGEL	23,250.0
XX9066095	N/A	MAGNETIC PULSE INC, PFD SER C RESTRICTED	NORMAN F. SIEGL	22,549.0
XX9055630	N/A	DEBONAIR HOLDINGS CONV LN STK SER B RESTRICT	NORMAN SIEGAL C/O LB	5,463.0
XX9L23352	N/A	DEBONAIR HOLDINGS PLC RESTRICTED	NORMAN SIEGEL	6,776.0
XX9066809	N/A	DARWIN NETWORKS INC, RESTRICTED	OPM SERVICES, INC.	277,775.0
XX9L04228	468705108	JACKSON STANDARD CRP CLASS A	PATRICIA W. FITZPATRICK	80.0
XX9L04229	468705207	JACKSON STANDARD CRP CLASS B	PATRICIA W. FITZPATRICK	16.0
XX9L04063	N/A	GRAND UINTAH CORP	PAUL GOLLOMP	1,000.0
XX9L04909	462990607	IOWA SELECT FARMS INC CERTIFICATES OF L.P.	QUALITY PORK PARTNERS	780,261.0
G90097406	N/A	JURONG TECH IND CORP, ISIN SG90097406UL	RAFFLES NOMINEES	1.0
XX9053095	N/A	ASPEN TECHNOLOGY INC, RESTRICTED	RICHARD ADAMS	172.0
200661106	200661106	COMMERCE NATIONAL BANK	RIDGE CLEARING & OUTSOURCING SOLUTIONS INC.	4,400.0
746002203	746002203	PUNCH NETWORKS CORP, PFD SER B	ROBERT CAMPI	16,000.0
XX9759505	N/A	PREMIER HOTELS INC.	ROBERT FOAH	1.0
XX9L00049	008449100	AGENCY MANAGEMENT CORP	ROBERT J. MORRISON AND RUTH R. MORRISON	200.0
XX9059344	N/A	CASINO MGMT OF AMER RESTRICTED	ROBERT STONE	2.0
983079104	983079104	WYLY CORP, OLD	RONALD FISCHER	100.0
XXL312432	526732300	LEPANTO CONS MINING COMPANY CL B	ROSE GRIECO & MARTA RICKENBERG	42,260.0
373654AH5	N/A	GEOTEK COMMUNICATION INC, CONV SR SUB NTE ACC	SALKELD & CO.	8,270,000.0
482058203	N/A	JUPITER INDUSTRIES INC-TENN NON-DIVID NON CO	SARAH B. HRDY, TRUSTEE SARAH B. HRDY TRUST	1,301.0

CUSTOMER NAME SECURITIES				
LEHMAN INTERNAL CUSIP	CUSIP	SECURITY DESCRIPTION	REGISTERED HOLDER	QUANTITY
XX9L08093	983082108	WYLY COLLECTION PRIVATE PLACEMENT	SIGLER & CO.	16,080.0
576992101	576992101	MATE-MEDIA ACCESS TECHNOLOGIES LTD (AMDD & R	SMITHFIELD FIDUCIARY LLC	375,000.0
XX9083611	N/A	ARKADOS GROUP INC, RESTRICTED	STEVE MACK	109.0
XX9L29881	N/A	MOBILE TOWNES CORP, DEL RESTRICTED	SUSAN F. MILLER	200.0
XX9066209	N/A	WOLLENSAK INC, RESTRICTED	SUSAN F. MILLER	100.0
XX9L44267	N/A	ANDRO CORP, RESTRICTED	SUSAN P. MILLER	100.0
XX9L14136	N/A	AUTOMEDIX SCIENCES INC, RESTRICTED	TIMOTHY A SANBORN	625.0
62544K108	62544K108	MULTI-VENTURES INC	TJ WIDERE	100.0
XX9056122	N/A	ADELPHIA COMMUNICATIONS CORP, CL A RESTRICTED	TRIUMPH III INVESTORS, LP	960.0
728126103	US7281261039	PCA INDS INC,COM	TRUDY B. NEUHOF	900.0
XX9084302	N/A	TEJA TECHNOLOGIES INC, SER A1-S PREFERRED RES	UNGER-LUCHSINGER FAMILY TRUST	94,987.0
XX9084303	N/A	TEJA TECHNOLOGIES INC, SERIES B1 PREFERRED RE	UNGER-LUCHSINGER FAMILY TRUST	106,411.0
XX9043662	N/A	COMPOSITOOLS INC- RESTRICTED	WEISS, PECK, AND GREER TRUSTEE FOR IRA ACCOUNT OF PORTER B. JOHNSON	10,000.0
29078PAE0	29078PAE0	EMBARCADERO AIRCRAFT SECURITIZATION TRUSASTB	WEST HOLDINGS CORP	8,900,000.0
XXW311790	N/A	WINE CELLAR INC	WILLARD AUSTIN CARLE III	20,000.0
XX9111745	N/A	ALPHION CORP, SERIES C CONV PFD RESTRICTED	WILLIAM D. UNGER AND TERESA R. LUCHSINGER FAMILY TRUST DTD 12-19-90	49,724.0
549463107	N/A	LUCENT TECHNOLOGIES INC	WILLIAM WAYNE	3.0
373654AH5	N/A	GEOTEK COMMUNICATION INC, CONV SR SUB NTE ACC	WRAP TWO & CO.	3,285,000.0