United States Bankruptcy Court for the Southern District of Texas	
Name of Debtor: EXCO Resources, Inc. Case Number: 18-30155	For Court Use Only Claim Number: 0000020176 File Date: 04/24/2018 08:08:23

Proof of Claim (Official Form 410)

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. With the exception of 503(b)(9), do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503. Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571. Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

04/16

Part 1:	dentify the Claim	
Name of the	s the current creditor? e current creditor (the person or entity to be paid for this claim):	
2. Has th	is claim been acquired from someone else? 🛛 🖓 No 🗌 Yes. From	n whom?
3. Where	e should notices and payments to the creditor be sent? Federal Rule o	f Bankruptcy Procedure (FRBP) 2002(g)
Where shou	Id notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)
Name	Jungjin Chang	Name
Address	1308 Stone Ridge Park Loop	Address
City	Richmond	City
State	VA ZIP Code 23228	City ZIP Code
	nternational):	Country (if International):
Phone:	jchang0005@gmail.com	Phone:
Email:	J	Email:
4. Does this	claim amend one already filed?	5. Do you know if anyone else has filed a proof of claim for this claim?
🗹 No		✓ No
□ Yes.		□ Yes.
Claim n	imber on court claims register (if known)	Who made the earlier filing?
Filed ON	MM / DD / YYYY	

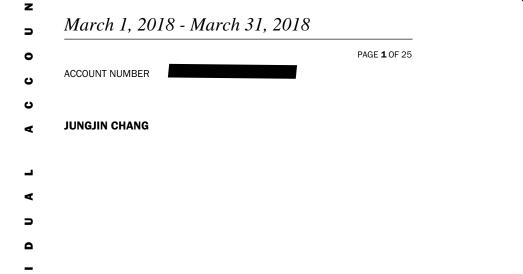
Part 2: Give Information About the	Claim as of the Date tl	he Case Was Filed				
6. Do you have any number you use to identify the debtor?	7. How much is the cla undetermined	aim?	8. What i	s the basis of the cla	im?	
 ✔ No Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: 	expenses, or o	ude interest or other nent itemizing interest, fees, other charges required by ule 3001(c)(2)(A).	Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card. Attach redacted copies of any documents supporting the claim required by Bankrup Rule 3001(c). Limit disclosing information that is entitled to privacy such as health care information.			
9. Is all or part of the claim secured?		10. Is this claim based on a	lease?	11. Is this claim su	ubject to a right of setoff?	
🗹 No		🗹 No		🗹 No		
☐ Yes. The claim is secured by a lien on p Nature of property:	property.	Yes. Amount necessary any default as of the date of		🗆 Yes. Identify th	ne property:	
□ Real estate. If the claim is secured by the residence, file a <i>Mortgage Proof of Claim Att</i> 410-A) with this <i>Proof of Claim</i> .		\$				
 Motor vehicle Other. Describe: 		 12. Is all or part of the clain under 11 U.S.C. § 507(a No 		priority	A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the	
Basis for perfection:		Yes. Check one:			amount entitled to priority. Amount entitled to priority	
Attach redacted copies of documents, if any, perfection of security interest (for example, a certificate of title, financing statement, or oth shows the lien has been filed or recorded.) Value of property: \$	mortgage, lien,	 Domestic support obligation Domestic support) under 11 U.S. Up to \$2,850* of deposition Up to \$2,850* or service: household use. 11 U.S.C. § 5 	C. § 507(a)(1 s toward pu s for person	L)(A) or (a)(1)(B). rchase, lease, or	\$ \$	
Amount of the claim that is secured: \$ Amount of the claim that is unsecured: \$ (The sum of the secured and unsecured amo	wints should match the	□ Wages, salaries, or comn earned within 180 days befo filed or the debtor's business 11 U.S.C. § 507(a)(4).	nissions (up re the banki	ruptcy petition is	\$	
Amount in line 7.) Amount necessary to cure any default as of the date of the petition: \$		 Taxes or penalties owed U.S.C. § 507(a)(8). Contributions to an employed 	0		\$	
Annual Interest Rate (when case was filed)	%	507(a)(5). Other. Specify subsectio () that applies. * Amounts are subject to ar or after the date of adjustme	djustment o		\$ 3 years after that for cases begun on	
 13. Does this claim qualify as an Administrative No □ Yes. Amount that qualifies as an Admin 	·	J.S.C. § 503(b)(9)?				

Part 3: Sign Below			
The person completing	Check the	appropriate box:	
this proof of claim must	🖌 I am t	he creditor.	
sign and date it. FRBP 9011(b).	🗌 I am t	the creditor's attorney or authorized agent.	
5011(5).	🗌 I am t	the trustee, or the debtor, or their authorized agent. Bankrup	tcy Rule 3004.
If you file this claim	🗌 I am a	a guarantor, surety, endorser, or other co-debtor. Bankruptcy	Rule 3005.
electronically, FRBP 5005(a)(2) authorizes courts to establish local		and that an authorized signature on this <i>Proof of Claim</i> serves or gave the debtor credit for any payments received toward t	as an acknowledgment that when calculating the amount of the claim, he debt.
rules specifying what a	I have exa	mined the information in this Proof of Claim and have a reaso	nable belief that the information is true and correct.
signature is.	l declare u	under penalty of perjury that the foregoing is true and correct.	
A person who files a	<i>.</i>	<i>a</i> ,	04/24/2018 08:08:23
fraudulent claim could be fined up to \$500,000,		r Chang	
imprisoned for up to 5	Signatur	e	Date
years, or both. 18 U.S.C.	Provide t	he name and contact information of the person completing	and signing this claim:
§§ 152, 157, and 3571.	Name	JUNGJIN CHANG	
	Name	1308 Stone Ridge Park Loop	
	Address		
	City	Richmond	
	State	VA Z	23228 Zip
		•	
	Country	(in international)	
	Phone		
	Email	jchang0005@gmail.com	

APEX CLEARING CORPORATION 350 NORTH ST. PAUL SUITE 1300 DALLAS, TX 75201



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ACCOUNT SUMMARY

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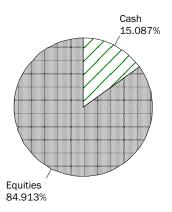
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Z	OPENING BALANCE	CLOSING BALANCE
Margin account	\$548.10	\$1,064.92
NET ACCOUNT BALANCE	548.10	1,064.92
Securities	6,429.28	5,993.78
TOTAL PRICED PORTFOLIO	6,429.28	5,993.78
Total Equity Holdings	\$6,977.38	\$7,058.70

► INCOME AND EXPENSE S	INCOME AND EXPENSE SUMMARY							
	THIS PERIOD	YEAR TO DATE						
Taxable dividends	\$2.60	\$3.03						
Taxable bond interest	\$11.91	\$37.63						

JUNGJIN CHANG 9211 CHUMLEY LANE RICHMOND VA 23294-5730

PORTFOLIO EQUITY ALLOCATION



ACCOUNT CARRIED BY: **APEX CLEARING CORPORATION** MEMBER FINRA, NYSE and SIPC

See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January: the interest is calculated from the rist day of the onthe first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in your account with us and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged to hale note.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style cotions are assigned at excitation. A more detailed description procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit <u>www.sipc.org</u>. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities. Similar to SIPC protection, this additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashiering services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority. Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your shokerage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns hould be directed to Apex by telephone at 214-7651009

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTAMITED ANNUAL INCOME

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS: Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

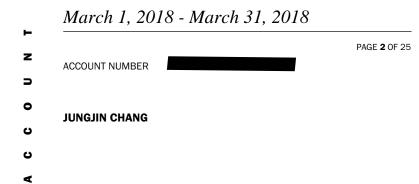
Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions setting during the current period or the period in which the shares were received. If later. Realized gains and losses may include transactions that exchanges are felected on a trade date basis for transactions setting during the current period or the period in which the shares were received. If later. Realized gains and losses may include transactions that exchanges are felected on a trade date basis for transactions setting during the current period or the period in which the shares were received. If later. Realized gains and losses may include transactions that exchanges are felected on this statement are treated as non-taxable. Unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ('OID') is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transactions and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCIDA NO NURFALIZED AND UNREALIZED AND UNREALIZED AND UNREALIZED AND UNERALIZED AND UNERALIZED AND UNERALIZED AND UNERALIZED AND UNERALIZED AND UNERALIZED AND UNES CONTROL TAX ACCOUNTING OF SUCCES., YOU SHOULD RELY ON YOUR OWN NY HAVE ADDITED FORM TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

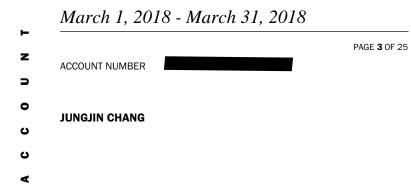
MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.





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	SYMBOL/	ACCOUNT			MARKET	LAST PERIOD'S		EST. ANNUAL	% OF TOTAL
DESCRIPTION	CUSIP	TYPE	QUANTITY	PRICE	VALUE	MARKET VALUE	% CHANGE	INCOME	PORTFOLIO
EQUITIES / OPTIONS									
CAMBER ENERGY INC COMMON STOCK	CEI	С	20	\$0.769	\$15.38		N/A		.218%
ADT INC COMMON STOCK Estimated Yield = 1.70%	ADT	М	37	7.93	293.41	211.20	39	5	4.157
CRONOS GROUP INC COM	CRON	Μ	50	6.73	336.50		N/A		4.767
COBALT INTERNATIONAL ENERGY INC COMMON SHARES	CIEIQ	Μ	40	0.042	1.68	18.60	-91		.024
CAMBER ENERGY INC COMMON STOCK	CEI	Μ	4	0.769	3.08		N/A		.044
CHESAPEAKE ENERGY CORP	СНК	Μ	130	3.02	392.60	366.60	7		5.562
DORIAN LPG LTD USD0.01	LPG	Μ	53	7.49	396.97	387.96	2		5.624
EVERI HOLDINGS INC COM	EVRI	Μ	10	6.57	65.70		N/A		.931
EXCO RESOURCES INC COMMON STOCK	XCOOQ	Μ	28	0.35	9.80	11.76	-17		.139
FIRST SOLAR INC	FSLR	Μ	13	70.98	922.74	817.05	13		13.072
GENERAL ELECTRIC COMPANY COM Estimated Yield = 3.70%	GE	Μ	10	13.48	134.80	84.66	59	5	1.910
JD.COM INC SPONSORED ADR REPSTG COM CL A	JD	Μ	11	40.49	445.39	141.45	215		6.310
NETFLIX COM INC	NFLX	М	2	295.35	590.70	291.38	103		8.368

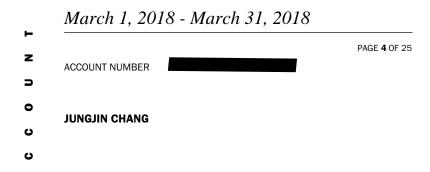


PORTFOLIO SUMMARY (CONTINUED)



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DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS (continued)									
PFIZER INC Estimated Yield = 3.94%	PFE	Μ	5	35.49	177.45	181.55	-2	7	2.514
SHOPIFY INC CL A	SHOP	Μ	6	124.59	747.54	829.26	-10		10.590
SQUARE INC CLASS A COM	SQ	Μ	12	49.20	590.40	552.60	7		8.364
SEANERGY MARITIME HOLDINGS CORP COM	SHIP	Μ	75	0.872	65.40	78.75	-17		.927
SNAP INC CLASS A COMMON STOCK	SNAP	Μ	20	15.87	317.40	346.40	-8		4.497
ZYNGA INC CLASS A	ZNGA	Μ	1	3.66	3.66	3.46	6		.052
CAMBER ENERGY INC COMMON STOCK	CEI	0	16	0.769	12.30		N/A		.174
SEANERGY MARITIME HOLDINGS CORP COM	SHIP	0	540	0.872	470.88	511.35	-8		6.671
Total Equities					\$5,993.78			\$17	84.913%
Total Cash (Net Portfolio Balance)					\$1,064.92				15.087%
TOTAL PRICED PORTFOLIO					\$7,058.70			\$17	

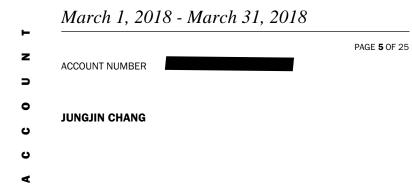


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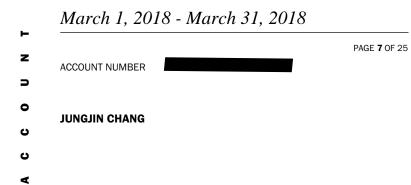
ACCOUNT ACTIVITY $\overline{}$ ACCOUNT -TRANSACTION DATE TYPE DESCRIPTION QUANTITY PRICE DEBIT CREDIT ◄ **BUY / SELL TRANSACTIONS** 03/01/18 GENERAL ELECTRIC COMPANY 3 \$43.98 BOUGHT Μ \$14.66 Þ COM UNSOLICITED CUSIP: 369604103 BOUGHT 03/02/18 Μ ADT INC 8 10.70 85.60 _ COMMON STOCK > UNSOLICITED PRODUCT DESCRIPTION UNDER _ SEPARATE COVER CUSIP: 000900103 ۵ BOUGHT 03/02/18 Μ **CRONOS GROUP INC** 50 8.08 404.00 COM Z UNSOLICITED CUSIP: 22717L101 _ BOUGHT GENERAL ELECTRIC COMPANY 03/07/18 Μ 1 14.499 14.50 COM UNSOLICITED CUSIP: 369604103 BOUGHT 03/08/18 Μ JD.COM INC 8 43.30 346.40 SPONSORED ADR REPSTG COM CL A UNSOLICITED CUSIP: 47215P106 10 BOUGHT 03/12/18 Μ EVERI HOLDINGS INC 7.85 78.50 COM UNSOLICITED CUSIP: 30034T103





TRANSACTION	DATE	ACCOUN TYPE	NT DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT	
	L TRANSACT							
BOUGHT	03/19/18	Μ	ADT INC COMMON STOCK UNSOLICITED PRODUCT DESCRIPTION UNDER SEPARATE COVER CUSIP: 00090Q103	9	9	81.00		
BOUGHT	03/29/18	М	NETFLIX COM INC UNSOLICITED CUSIP: 64110L106	1	302	302.00		
SOLD	03/29/18	М	NVIDIA CORP UNSOLICITED CUSIP: 67066G104	6	226.39		1,358.29	
Total Buy /	Sell Transact	ions				\$1,355.98	\$1,358.29	
DIVIDENDS	5 AND INTER	REST						
INTEREST	03/12/18	С	FULLYPAID LENDING REBATE DUE 12/31/2035 0.000 FEB REBATE Security Number: 8S87337				\$11.91	
DIVIDEND	03/01/18	Μ	PFIZER INC CASH DIV ON 5 SHS REC 02/02/18 PAY 03/01/18 CUSIP: 717081103		0.34		1.70	
DIVIDEND	03/16/18	Μ	NVIDIA CORP CASH DIV ON 6 SHS REC 02/23/18 PAY 03/16/18 CUSIP: 67066G104		0.15		0.90	
Total Divide	nds And Inter	est					\$14.51	

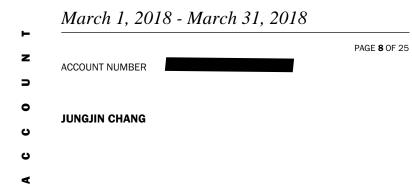
ACCOUNT NU	MBER		PAGE 6 OF 25	P	3200 Ash St, Palo Al support@robinhood.	com	
IUNGJIN CI	IANG				Securities offered th	rough Robinhood Fina	ncial, LLC. Member FINRA & SIPC
CCOUNT A	CTIVITY (CON	(INUED))				
TRANSACTION	DATE	ACCOU TYPE	NT DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
FUNDS PA	S AND INTEF						
ACH	03/06/18	М	ACH DEPOSIT SEN(20180306013151)				\$500.00
Total Fund	s Paid And Ree	ceived					\$500.00
SECURITI	ES RECEIVED	AND	DELIVERED				
JOURNAL	03/01/18	0	CAMBER ENERGY INC COM TO TYPE 7 FROM TYPE 1 FFS(9289498) CUSIP: 13200M102	2			
JOURNAL	03/01/18	0	SEANERGY MARITIME HOLDINGS CORP COM TO TYPE 7 FROM TYPE 1 FFS(9285851) CUSIP: Y73760137	3			
JOURNAL	03/02/18	С	CAMBER ENERGY INC COM TO TYPE 1 FROM TYPE 7 FFS(9350850) CUSIP: 13200M102	13			
JOURNAL	03/02/18	С	SEANERGY MARITIME HOLDINGS CORP COM TO TYPE 1 FROM TYPE 7	32			





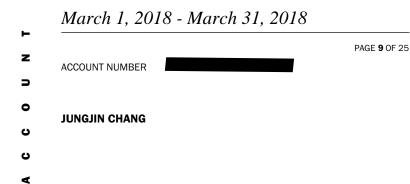
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TRANSACTION	DATE	ACCOU TYPE	NT DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
SECURITIE	S RECEIVED	AND	DELIVERED (continued)				
JOURNAL	03/05/18	С	CAMBER ENERGY INC COMMON STOCK TO TYPE 1 FROM TYPE 7 FFS(9394093) CUSIP: 13200M201	1			
JOURNAL	03/05/18	С	SEANERGY MARITIME HOLDINGS CORP COM TO TYPE 1 FROM TYPE 7 FFS(9424283) CUSIP: Y73760137	13			
OURNAL	03/05/18	0	CAMBER ENERGY INC COM TO TYPE 7 FROM TYPE 1 FFS(9427004) CUSIP: 13200M102	13			
URNAL	03/06/18	С	CAMBER ENERGY INC COM TO TYPE 1 FROM TYPE 7 FFS(9440196) CUSIP: 13200M102	26			
DURNAL	03/06/18	С	SEANERGY MARITIME HOLDINGS CORP COM TO TYPE 1 FROM TYPE 7 FFS(9481032) CUSIP: Y73760137	42			
IOURNAL	03/06/18	0	CAMBER ENERGY INC COM TO TYPE 7 FROM TYPE 1 FFS(9442980) CUSIP: 13200M102	13			





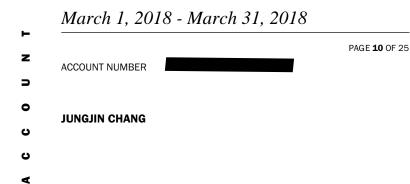
		ACCOU	NT					
TRANSACTION	DATE	TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT	
SECURITIES	RECEIVED	AND	DELIVERED (continued)					
IOURNAL	03/06/18	0	CAMBER ENERGY INC COMMON STOCK TO TYPE 7 FROM TYPE 1 FFS(9465387) CUSIP: 13200M201	26				
OURNAL	03/07/18	С	CAMBER ENERGY INC COMMON STOCK TO TYPE 1 FROM TYPE 7 FFS(9512212) CUSIP: 13200M201	1				
URNAL	03/07/18	С	CAMBER ENERGY INC COMMON STOCK TO TYPE 1 FROM TYPE 7 FFS(9534533) CUSIP: 13200M201	12				
JRNAL	03/07/18	С	SEANERGY MARITIME HOLDINGS CORP COM TO TYPE 1 FROM TYPE 7 FFS(9551340) CUSIP: Y73760137	20				
URNAL	03/07/18	0	CAMBER ENERGY INC COM TO TYPE 7 FROM TYPE 1 FFS(9514079) CUSIP: 13200M102	13				
URNAL	03/08/18	С	CAMBER ENERGY INC COMMON STOCK TO TYPE 1 FROM TYPE 7 FFS(9610360) CUSIP: 13200M201	3				





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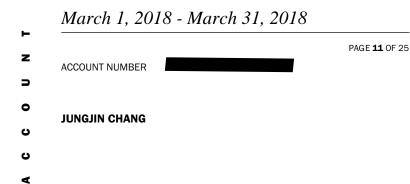
ACCOUNT TRANSACTION DATE TYPE DESCRIPTION QUANTITY PRICE DEBIT CREDIT ◄ SECURITIES RECEIVED AND DELIVERED (continued) 141 JOURNAL 03/08/18 0 SEANERGY MARITIME HOLDINGS Þ CORP COM TO TYPE 7 FROM TYPE 1 Δ FFS(9626718) CUSIP: Y73760137 _ JOURNAL 3 03/09/18 С CAMBER ENERGY INC > COMMON STOCK TO TYPE 1 FROM TYPE 7 FFS(9666888) CUSIP: 13200M201 ۵ JOURNAL 03/09/18 С SEANERGY MARITIME HOLDINGS 210 CORP COM Z TO TYPE 1 FROM TYPE 7 FFS(9696530) -CUSIP: Y73760137 JOURNAL 03/12/18 С SEANERGY MARITIME HOLDINGS 83 CORP COM TO TYPE 1 FROM TYPE 7 FFS(9768166) CUSIP: Y73760137 JOURNAL 03/12/18 0 CAMBER ENERGY INC 2 COMMON STOCK TO TYPE 7 FROM TYPE 1 FFS(9718793) CUSIP: 13200M201 2 JOURNAL 03/13/18 0 CAMBER ENERGY INC COMMON STOCK TO TYPE 7 FROM TYPE 1 FFS(9782672) CUSIP: 13200M201





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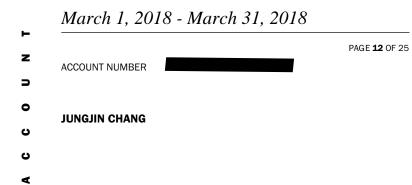
ACCOUNT TRANSACTION DATE TYPE DESCRIPTION QUANTITY PRICE DEBIT CREDIT ◄ SECURITIES RECEIVED AND DELIVERED (continued) 172 JOURNAL 03/13/18 0 SEANERGY MARITIME HOLDINGS Þ CORP COM TO TYPE 7 FROM TYPE 1 Δ FFS(9834373) CUSIP: Y73760137 _ JOURNAL 93 03/14/18 С SEANERGY MARITIME HOLDINGS > CORP COM TO TYPE 1 FROM TYPE 7 FFS(9892970) CUSIP: Y73760137 ۵ JOURNAL 03/14/18 0 CAMBER ENERGY INC 4 COMMON STOCK Z TO TYPE 7 FROM TYPE 1 FFS(9847382) -CUSIP: 13200M201 JOURNAL 03/15/18 С CAMBER ENERGY INC 2 COMMON STOCK TO TYPE 1 FROM TYPE 7 FFS(9929110) CUSIP: 13200M201 JOURNAL 03/15/18 0 SEANERGY MARITIME HOLDINGS 106 CORP COM TO TYPE 7 FROM TYPE 1 FFS(9944204) CUSIP: Y73760137 JOURNAL 03/16/18 0 CAMBER ENERGY INC 4 COMMON STOCK TO TYPE 7 FROM TYPE 1 FFS(9993718) CUSIP: 13200M201





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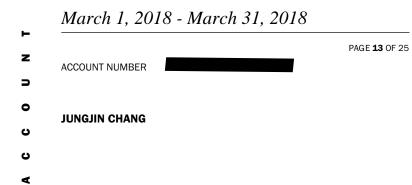
ACCOUNT TRANSACTION DATE TYPE DESCRIPTION QUANTITY PRICE DEBIT CREDIT ◄ SECURITIES RECEIVED AND DELIVERED (continued) 32 JOURNAL 03/16/18 0 SEANERGY MARITIME HOLDINGS Þ CORP COM TO TYPE 7 FROM TYPE 1 Δ FFS(10008305) CUSIP: Y73760137 _ JOURNAL 03/19/18 С CAMBER ENERGY INC 1 > COMMON STOCK TO TYPE 1 FROM TYPE 7 FFS(10061039) CUSIP: 13200M201 ۵ JOURNAL 03/19/18 0 SEANERGY MARITIME HOLDINGS 33 CORP COM Z TO TYPE 7 FROM TYPE 1 FFS(10077157) _ CUSIP: Y73760137 JOURNAL 03/20/18 С CAMBER ENERGY INC 3 COMMON STOCK TO TYPE 1 FROM TYPE 7 FFS(10137190) CUSIP: 13200M201 JOURNAL 03/20/18 С SEANERGY MARITIME HOLDINGS 46 CORP COM TO TYPE 1 FROM TYPE 7 FFS(10154833) CUSIP: Y73760137 12 JOURNAL 03/21/18 С SEANERGY MARITIME HOLDINGS CORP COM TO TYPE 1 FROM TYPE 7 FFS(10247589) CUSIP: Y73760137





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		ACCOL					
RANSACTION	DATE	TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
SECURITIES	S RECEIVED	AND	DELIVERED (continued)				
JOURNAL	03/21/18	0	CAMBER ENERGY INC COMMON STOCK TO TYPE 7 FROM TYPE 1 FFS(10261735) CUSIP: 13200M201	6			
JOURNAL	03/22/18	С	CAMBER ENERGY INC COMMON STOCK TO TYPE 1 FROM TYPE 7 FFS(10303225) CUSIP: 13200M201	1			
JOURNAL	03/22/18	0	SEANERGY MARITIME HOLDINGS CORP COM TO TYPE 7 FROM TYPE 1 FFS(10325828) CUSIP: Y73760137	30			
OURNAL	03/23/18	С	CAMBER ENERGY INC COMMON STOCK TO TYPE 1 FROM TYPE 7 FFS(10385928) CUSIP: 13200M201	11			
JOURNAL	03/23/18	0	SEANERGY MARITIME HOLDINGS CORP COM TO TYPE 7 FROM TYPE 1 FFS(10405356) CUSIP: Y73760137	6			
JOURNAL	03/26/18	0	CAMBER ENERGY INC COMMON STOCK TO TYPE 7 FROM TYPE 1 FFS(10476243) CUSIP: 13200M201	1			

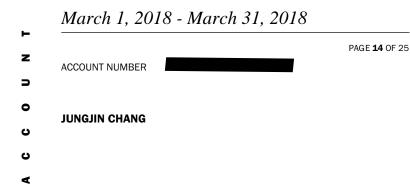




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► ACCOUNT ACTIVITY (CONTINUED)

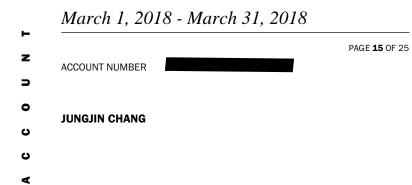
TRANSACTION	DATE	ACCOU TYPE	NT DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
SECURITIE	S RECEIVED	AND	DELIVERED (continued)				
JOURNAL	03/26/18	0	SEANERGY MARITIME HOLDINGS CORP COM TO TYPE 7 FROM TYPE 1 FFS(10494782) CUSIP: Y73760137	7			
JOURNAL	03/27/18	С	CAMBER ENERGY INC COMMON STOCK TO TYPE 1 FROM TYPE 7 FFS(10565608) CUSIP: 13200M201	1			
JOURNAL	03/27/18	0	SEANERGY MARITIME HOLDINGS CORP COM TO TYPE 7 FROM TYPE 1 FFS(10584586) CUSIP: Y73760137	44			
JOURNAL	03/28/18	С	CAMBER ENERGY INC COMMON STOCK TO TYPE 1 FROM TYPE 7 FFS(10652148) CUSIP: 13200M201	1			
JOURNAL	03/28/18	0	SEANERGY MARITIME HOLDINGS CORP COM TO TYPE 7 FROM TYPE 1 FFS(10671620) CUSIP: Y73760137	24			
JOURNAL	03/29/18	0	SEANERGY MARITIME HOLDINGS CORP COM TO TYPE 7 FROM TYPE 1 FFS(10756316) CUSIP: Y73760137	6			





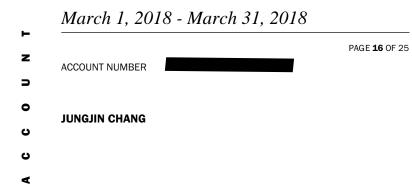
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ACCOUNT TRANSACTION DATE TYPE DESCRIPTION QUANTITY PRICE DEBIT CREDIT ◄ SECURITIES RECEIVED AND DELIVERED (continued) -2 JOURNAL 03/01/18 С CAMBER ENERGY INC Þ COM FROM TYPE 1 TO TYPE 7 Δ FFS(9289498) CUSIP: 13200M102 _ JOURNAL -3 03/01/18 С SEANERGY MARITIME HOLDINGS > CORP COM FROM TYPE 1 TO TYPE 7 FFS(9285851) CUSIP: Y73760137 ۵ JOURNAL 03/02/18 0 CAMBER ENERGY INC -13 COM Z FROM TYPE 7 TO TYPE 1 FFS(9350850) -CUSIP: 13200M102 JOURNAL 03/02/18 0 SEANERGY MARITIME HOLDINGS -32 CORP COM FROM TYPE 7 TO TYPE 1 FFS(9347470) CUSIP: Y73760137 JOURNAL 03/05/18 С CAMBER ENERGY INC -13 COM FROM TYPE 1 TO TYPE 7 FFS(9427004) CUSIP: 13200M102 JOURNAL 03/05/18 0 CAMBER ENERGY INC -1 COMMON STOCK FROM TYPE 7 TO TYPE 1 FFS(9394093) CUSIP: 13200M201



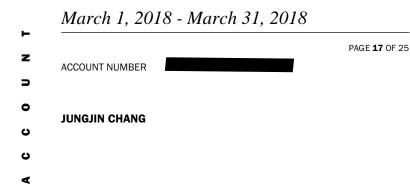


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TRANSACTION	DATE	TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT	
SECURITIE	S RECEIVED) AND	DELIVERED (continued)					
JOURNAL	03/05/18	0	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 7 TO TYPE 1 FFS(9424283) CUSIP: Y73760137	-13				
OURNAL	03/06/18	С	CAMBER ENERGY INC COM FROM TYPE 1 TO TYPE 7 FFS(9442980) CUSIP: 13200M102	-13				
DURNAL	03/06/18	С	CAMBER ENERGY INC COMMON STOCK FROM TYPE 1 TO TYPE 7 FFS(9465387) CUSIP: 13200M201	-26				
DURNAL	03/06/18	0	CAMBER ENERGY INC COM FROM TYPE 7 TO TYPE 1 FFS(9440196) CUSIP: 13200M102	-26				
OURNAL	03/06/18	0	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 7 TO TYPE 1 FFS(9481032) CUSIP: Y73760137	-42				
JOURNAL	03/07/18	С	CAMBER ENERGY INC COM FROM TYPE 1 TO TYPE 7 FFS(9514079) CUSIP: 13200M102	-13				





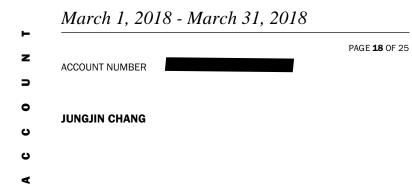
		ACCOU						
TRANSACTION	DATE	TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT	
SECURITIES	6 RECEIVED	AND	DELIVERED (continued)					
JOURNAL	03/07/18	0	CAMBER ENERGY INC COMMON STOCK FROM TYPE 7 TO TYPE 1 FFS(9512212) CUSIP: 13200M201	-1				
JOURNAL	03/07/18	0	CAMBER ENERGY INC COMMON STOCK FROM TYPE 7 TO TYPE 1 FFS(9534533) CUSIP: 13200M201	-12				
OURNAL	03/07/18	0	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 7 TO TYPE 1 FFS(9551340) CUSIP: Y73760137	-20				
URNAL	03/08/18	С	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 1 TO TYPE 7 FFS(9626718) CUSIP: Y73760137	-141				
DURNAL	03/08/18	0	CAMBER ENERGY INC COMMON STOCK FROM TYPE 7 TO TYPE 1 FFS(9610360) CUSIP: 13200M201	-3				
JOURNAL	03/09/18	0	CAMBER ENERGY INC COMMON STOCK FROM TYPE 7 TO TYPE 1 FFS(9666888) CUSIP: 13200M201	-3				





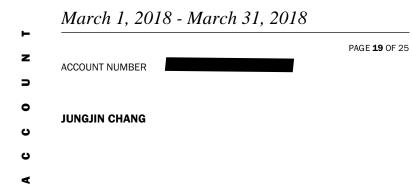
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ACCOUNT TRANSACTION DATE TYPE DESCRIPTION QUANTITY PRICE DEBIT CREDIT ◄ SECURITIES RECEIVED AND DELIVERED (continued) -210 JOURNAL 03/09/18 0 SEANERGY MARITIME HOLDINGS Þ CORP COM FROM TYPE 7 TO TYPE 1 Δ FFS(9696530) CUSIP: Y73760137 _ -2 JOURNAL 03/12/18 С CAMBER ENERGY INC > COMMON STOCK FROM TYPE 1 TO TYPE 7 FFS(9718793) CUSIP: 13200M201 ۵ JOURNAL 03/12/18 0 SEANERGY MARITIME HOLDINGS -83 CORP COM Z FROM TYPE 7 TO TYPE 1 FFS(9768166) -CUSIP: Y73760137 JOURNAL 03/13/18 С CAMBER ENERGY INC -2 COMMON STOCK FROM TYPE 1 TO TYPE 7 FFS(9782672) CUSIP: 13200M201 JOURNAL 03/13/18 С SEANERGY MARITIME HOLDINGS -172 CORP COM FROM TYPE 1 TO TYPE 7 FFS(9834373) CUSIP: Y73760137 JOURNAL 03/14/18 С CAMBER ENERGY INC -4 COMMON STOCK FROM TYPE 1 TO TYPE 7 FFS(9847382) CUSIP: 13200M201





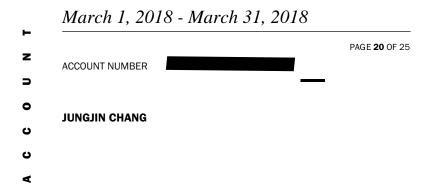
		ACCOU	NT					
TRANSACTION	DATE	TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT	
SECURITIES	6 RECEIVED	AND	DELIVERED (continued)					
JOURNAL	03/14/18	0	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 7 TO TYPE 1 FFS(9892970) CUSIP: Y73760137	-93				
OURNAL	03/15/18	С	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 1 TO TYPE 7 FFS(9944204) CUSIP: Y73760137	-106				
DURNAL	03/15/18	0	CAMBER ENERGY INC COMMON STOCK FROM TYPE 7 TO TYPE 1 FFS(9929110) CUSIP: 13200M201	-2				
RNAL	03/16/18	С	CAMBER ENERGY INC COMMON STOCK FROM TYPE 1 TO TYPE 7 FFS(9993718) CUSIP: 13200M201	-4				
URNAL	03/16/18	С	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 1 TO TYPE 7 FFS(10008305) CUSIP: Y73760137	-32				
OURNAL	03/19/18	С	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 1 TO TYPE 7 FFS(10077157) CUSIP: Y73760137	-33				





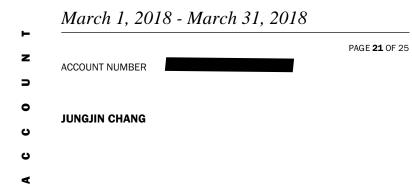
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		ACCOU						
TRANSACTION	DATE	TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT	
SECURITIES	RECEIVED	AND	DELIVERED (continued)					
JOURNAL	03/19/18	0	CAMBER ENERGY INC COMMON STOCK FROM TYPE 7 TO TYPE 1 FFS(10061039) CUSIP: 13200M201	-1				
JOURNAL	03/20/18	0	CAMBER ENERGY INC COMMON STOCK FROM TYPE 7 TO TYPE 1 FFS(10137190) CUSIP: 13200M201	-3				
OURNAL	03/20/18	0	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 7 TO TYPE 1 FFS(10154833) CUSIP: Y73760137	-46				
JRNAL	03/21/18	С	CAMBER ENERGY INC COMMON STOCK FROM TYPE 1 TO TYPE 7 FFS(10261735) CUSIP: 13200M201	-6				
DURNAL	03/21/18	0	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 7 TO TYPE 1 FFS(10247589) CUSIP: Y73760137	-12				
OURNAL	03/22/18	С	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 1 TO TYPE 7 FFS(10325828) CUSIP: Y73760137	-30				





		ACCOU	NT				
TRANSACTION	DATE	TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
SECURITIE	S RECEIVED	AND	DELIVERED (continued)				
JOURNAL	03/22/18	0	CAMBER ENERGY INC COMMON STOCK FROM TYPE 7 TO TYPE 1 FFS(10303225) CUSIP: 13200M201	-1			
JOURNAL	03/23/18	С	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 1 TO TYPE 7 FFS(10405356) CUSIP: Y73760137	-6			
JOURNAL	03/23/18	0	CAMBER ENERGY INC COMMON STOCK FROM TYPE 7 TO TYPE 1 FFS(10385928) CUSIP: 13200M201	-11			
OURNAL	03/26/18	С	CAMBER ENERGY INC COMMON STOCK FROM TYPE 1 TO TYPE 7 FFS(10476243) CUSIP: 13200M201	-1			
IOURNAL	03/26/18	С	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 1 TO TYPE 7 FFS(10494782) CUSIP: Y73760137	-7			
JOURNAL	03/27/18	С	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 1 TO TYPE 7 FFS(10584586) CUSIP: Y73760137	-44			





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		ACCOU					
TRANSACTION	DATE	TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
SECURITIE	S RECEIVED	AND	DELIVERED (continued)				
JOURNAL	03/27/18	0	CAMBER ENERGY INC COMMON STOCK FROM TYPE 7 TO TYPE 1 FFS(10565608) CUSIP: 13200M201	-1			
JOURNAL	03/28/18	С	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 1 TO TYPE 7 FFS(10671620) CUSIP: Y73760137	-24			
JOURNAL	03/28/18	0	CAMBER ENERGY INC COMMON STOCK FROM TYPE 7 TO TYPE 1 FFS(10652148) CUSIP: 13200M201	-1			
JOURNAL	03/29/18	С	SEANERGY MARITIME HOLDINGS CORP COM FROM TYPE 1 TO TYPE 7 FFS(10756316) CUSIP: Y73760137	-6			

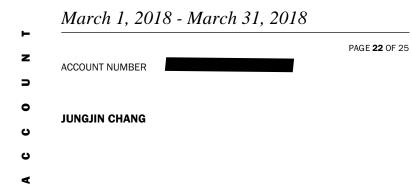
MISCELLANEOUS TRANSACTIONS

03/02/18 C

REV SPLIT

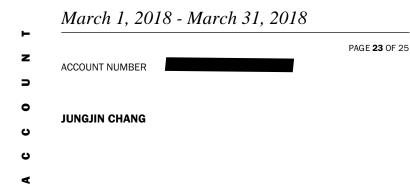
CAMBER ENERGY INC COMMON STOCK RESULT OF REVERSE SPLIT CUSIP: 13200M201

26





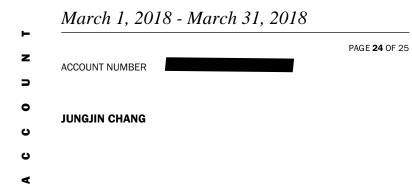
TRANSACTION	DATE	ACCOU TYPE	NT DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
MISCELLA	NEOUS TRAI	NSACT	IONS (continued)				
REV SPLIT	03/02/18	Μ	CAMBER ENERGY INC COMMON STOCK RESULT OF REVERSE SPLIT CUSIP: 13200M201	4			
REV SPLIT	03/02/18	0	CAMBER ENERGY INC COMMON STOCK RESULT OF REVERSE SPLIT CUSIP: 13200M201	11			
REV SPLIT	03/02/18	С	CAMBER ENERGY INC COM REVERSE SPLIT @ 1:25 INTO 13200M201 CUSIP: 13200M102	-641			
REV SPLIT	03/02/18	Μ	CAMBER ENERGY INC COM REVERSE SPLIT @ 1:25 INTO 13200M201 CUSIP: 13200M102	-100			
REV SPLIT	03/02/18	0	CAMBER ENERGY INC COM REVERSE SPLIT @ 1:25 INTO 13200M201 CUSIP: 13200M102	-259			
REV SPLIT	03/05/18	С	CAMBER ENERGY INC COMMON STOCK RESULT OF REVERSE SPLIT CUSIP: 13200M201	1			





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ACCOUNT TRANSACTION DATE TYPE DESCRIPTION QUANTITY PRICE DEBIT CREDIT ◄ **MISCELLANEOUS TRANSACTIONS** (continued) 0 CAMBER ENERGY INC 13 **REV SPLIT** 03/05/18 Þ COM **REVERSE SPLIT @ 1:25** Δ INTO 13200M201 CUSIP: 13200M102 _ **REV SPLIT** -13 03/05/18 С CAMBER ENERGY INC > COM **REVERSE SPLIT @ 1:25** INTO 13200M201 CUSIP: 13200M102 ۵ **REV SPLIT** 03/05/18 0 CAMBER ENERGY INC -1 COMMON STOCK Z SHORT POS/CHARGE FOR REV.SPLIT CUSIP: 13200M201 -**REV SPLIT** 13 03/06/18 С CAMBER ENERGY INC COM **REVERSE SPLIT @ 1:25** INTO 13200M201 CUSIP: 13200M102 **REV SPLIT** 03/06/18 0 CAMBER ENERGY INC 1 COMMON STOCK RESULT OF REVERSE SPLIT CUSIP: 13200M201 **REV SPLIT** 03/06/18 С CAMBER ENERGY INC -1 COMMON STOCK SHORT POS/CHARGE FOR REV.SPLIT CUSIP: 13200M201



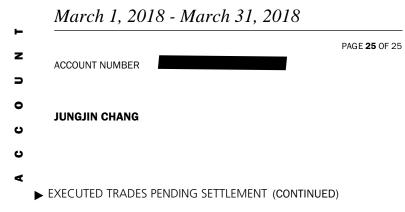


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		ACCOL	INT					
TRANSACTION	DATE	TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT	
MISCELLA	NEOUS TRAI	NSACT	IONS (continued)					
REV SPLIT	03/06/18	0	CAMBER ENERGY INC COM REVERSE SPLIT @ 1:25 INTO 13200M201 CUSIP: 13200M102	-13				
REV SPLIT	03/08/18	С	CAMBER ENERGY INC COMMON STOCK SHARE REVERSED DUE TO REVERSE SPLIT CUSIP: 13200M201	-1				
JOURNAL	03/13/18	С	TRANSFER BAL FROM CASH			11.91		
JOURNAL	03/13/18	М	TRANSFER BAL TO MARGIN				11.91	
REV SPLIT	03/23/18	Μ	TOP SHIPS INC COMMON STOCK REVERSE SPLIT @ 1:10 INTO Y8897Y800 CUSIP: Y8897Y701	-4				
Total Misce	llaneous Tran	sactio	ns			\$11.91	\$11.91	-

▶ EXECUTED TRADES PENDING SETTLEMENT - THESE TRANSACTIONS MAY NOT BE REFLECTED IN OTHER SUMMARIES

	TRADE	SETTLEMENT	ACCOUNT					
TRANSACTION	DATE	DATE	TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BOUGHT	03/28/18	04/02/18	М	BAOZUN INC AMERICAN DEPOSITARY SHARES UNSOLICITED CUSIP: 06684L103	4	44	\$176.00	





TRANSACTION	TRADE DATE	SETTLEMENT DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BOUGHT	03/28/18	04/02/18	Μ	NVIDIA CORP UNSOLICITED CUSIP: 67066G104	4	220	880.00	
Total Execu	ited Trades I	Pending Se	ttlement	:			\$1,056.00	

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- -

APEX CLEARING ONE DALLAS CENTER	Account No: Account Name: Taxpayer Identification Numl	JUNGJIN CHANG ber:***-**-4289	IN ACCOUNT WITH ROBINHOOD
350 N. ST PAUL, SUITE 1300 DALLAS, TX 75201	Account Executive No:	DPA	(650) 940-2700
	ORIGINAL:	02/06/2018	

CONSOLIDATED 2017 FORMS 1099 AND DETAILS

JUNGJIN CHANG 9211 CHUMLEY LANE RICHMOND, VA 23294 5730

YEAR-END MESSAGES

THIS DOCUMENT INCLUDES YOUR CONSOLIDATED FORM 1099 THAT WE ARE REQUIRED TO PROVIDE TO YOU AND THE INTERNAL REVENUE SERVICE. WE SUGGEST THAT YOU SEEK THE ADVICE OF A TAX PROFESSIONAL WHEN PREPARING YOUR RETURN.

PLEASE VERIFY YOUR PERSONAL INFORMATION FOR ACCURACY AND CONTACT YOUR BROKER TO CORRECT ANY DISCREPANCIES. IF YOU OWN MUTUAL FUNDS, REAL ESTATE TRUSTS (REITS), REMIC SECURITIES OR WHFIT'S INCOME DISTRIBUTIONS MAY BE RECLASSIFIED BY THE ISSUER AFTER THE ORIGINAL 1099 IS SENT. IF YOU HOLD THESE SECURITIES YOU MAY RECEIVE A CORRECTED FORM 1099. IN ADDITION TO THE 1099 INFORMATION, SUPPLEMENTAL DETAILS ARE PROVIDED TO HELP YOU PREPARE YOUR RETURN. ONLY INFORMATION REPORTED ON FORM 1099 IS SENT TO THE IRS.

Your Account did not receive the following Forms:

1099-DIV 1099-OID 1099-MISC

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APEX CLEARING ONE DALLAS CENTER	Account No: Account Name: Taxpayer Identification Num	JUNGJIN CHANG ber:***-**-4289	IN ACCOUNT WITH
350 N. ST PAUL, SUITE 1300 DALLAS, TX 75201	Account Executive No:	DPA	(650) 940-2700
	ORIGINAL:	02/06/2018	

RECIPIENT'S Name, Street Address, City, State, and Zip Code JUNGJIN CHANG 9211 CHUMLEY LANE RICHMOND, VA 23294 5730

Copy B for recipient

2nd TIN Notice

Payer's Federal Identification Number: 13-2967453 Payer's Name, Street, City, State, Zip Code: APEX CLEARING ONE DALLAS CENTER 350 N. ST PAUL, SUITE 1300 FATCA Filing Requirement DALLAS, TX 75201 Telephone Number: 214-765-1009

2017 CONSOLIDATED FORMS 1099

FORN IRS B	1 1099-INT ox INTEREST INCOME	OMB NO.1545-0112 Amount
1.	Interest income (not included in Box 3)	\$48.87
2.	Early withdrawal penalty	\$0.00
З.	Interest on U.S. Savings Bonds & Treasury obligations	\$0.00
4.	Federal income tax withheld	\$0.00
5.	Investment expenses	\$0.00
6.	Foreign tax paid	\$0.00
7.	Foreign country or U.S. possession	-
8.	Tax-exempt interest (includes Box 9)	\$0.00
9.	Specified private activity bond Interest	\$0.00
10.	Market discount	\$0.00
11.	Bond premium	\$0.00
12.	Bond premium on Treasury obligations	\$0.00
13.	Bond premium on tax-exempt bonds	\$0.00
14.	Tax-exempt and tax credit bond CUSIP no.	-

Form 1099-DIV (OMB NO. 1545-0110)

Recipient's taxpayer identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS. FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938. Account number. May show an account or other unique number the payer assigned to distinguish your account. Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required. Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose. Box 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions. Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Schedule D instructions (Form 1040). Box 2c, Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions. Box 2d, Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the instructions for Schedule D (Form 1040). Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550. Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld. Box 5. Shows your share of expenses of a nonpublicly offered regulated investment company. generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a. Box 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions. Box 7. This box should be left blank if a regulated investment company reported the foreign tax shown in box 6. Boxes 8 and 9. Shows cash and noncash liquidation distributions. Box 10. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See box 4. Box 11. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 10. See the Instructions for Form 6251. Boxes 12-14. State income tax withheld reporting boxes. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2017 General Instructions for Certain Information Returns.

Form 1099-INT (OMB NO. 1545-0112)

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your paver may report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you. Recipient's taxpayer identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpaver identification number (ITIN), adoption taxpaver identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS. FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938 Account number. May show an account or other unique number the payer assigned to distinguish your account. Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2017 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium. Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction. Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium. Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld. Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1. Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions. Box 7. Shows the country or U.S. possession to which the foreign tax was paid. Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4. See the instructions above for a tax-exempt covered security acquired at a premium. Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium. Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant vield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the instructions for Form 1040 or 1040A. Market discount on a tax-exempt security is includible in taxable income as interest income. Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amountization, the payer has reported a net amount of interest in box 3. If the amount in this box is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4). Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in this box is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii). Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). Boxes 15-17. State tax withheld reporting boxes. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse.

APEX CLEARING	
ONE DALLAS CENTER	
350 N. ST PAUL, SUITE 1300	
DALLAS, TX 75201	

Account No:JUNGJIN CHANGAccount Name:JUNGJIN CHANGTaxpayer Identification Number:***-**-4289Account Executive No:DPAORIGINAL:02/06/2018

IN ACCOUNT WITH

ROBINHOOD (650) 940-2700

FORM 1099-B TOTALS SUMMARY

REALIZED GAIN / LOSS SUMMARY

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

SHORT-TERM GAINS OR (LOSSES) - REPORT ON FORM 894	19, PART I PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)	\$46.98	\$46.80	\$0.00	\$0.00	\$0.18
Box A - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Short-Ter	rm \$46.98	\$46.80	\$0.00	\$0.00	\$0.18
LONG-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949	, PART II				
Box D (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box D - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Long-Ter	m \$0.00	\$0.00	\$0.00	\$0.00	\$0.00
UNKNOWN TERM - CODE (X) REPORT ON FORM 8949 PART	I OR PART II				
Box B or Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Unknown	1 Term \$0.00	\$0.00	\$0.00	\$0.00	\$0.00

APEX CLEARING ONE DALLAS CENTER 350 N. ST PAUL, SUITE 1300 DALLAS, TX 75201	Account No: Account Name: Taxpayer Identification Num	JUNGJIN CHANG ber:***-**-4289	IN ACCOUNT WITH ROBINHOOD
	Account Executive No:	DPA	(650) 940-2700
	ORIGINAL:	02/06/2018	

RECIPIENT'S Name, Street Address, City, State, and Zip Code JUNGJIN CHANG 9211 CHUMLEY LANE RICHMOND, VA 23294 5730

Copy B for recipient

2nd TIN Notice

Payer's Federal Identification Number: 13-2967453 Payer's Name, Street, City, State, Zip Code: APEX CLEARING ONE DALLAS CENTER 350 N. ST PAUL, SUITE 1300 FATCA Filing Requirement DALLAS, TX 75201 Telephone Number: 214-765-1009

2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: Taxpayers are ultimately responsible for the accuracy of their tax returns.

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949. Part I with Box A checked Box 6: Gross Proceeds Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

OMB NO. 1545-0715

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
***DORIAN LPG LTD USD0.01 CUSIP: Y2106R110	3.00000 2.00000		01/05/2017 01/05/2017	\$28.19 \$18.79	\$28.20 \$18.60	\$0.00 \$0.00	\$0.00 \$0.00	(\$0.01) \$0.19	
Subtotals	5.00000			\$46.98	\$46.80	\$0.00	\$0.00	\$0.18	
2 ITEMS - TOTAL				\$46.98	\$46.80	\$0.00	\$0.00	\$0.18	

FOOT NOTES

SHORT SALE - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

END OF 2017 FORM 1099-B

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

APEX CLEARING ONE DALLAS CENTER	Account No: Account Name: Taxpayer Identification Numl	JUNGJIN CHANG ber:***-**-4289	IN ACCOUNT WITH ROBINHOOD
350 N. ST PAUL, SUITE 1300 DALLAS, TX 75201	Account Executive No:	DPA	(650) 940-2700
	ORIGINAL:	02/06/2018	

END OF 2017 CONSOLIDATED FORMS 1099

Form 1099-B (OMB No. 1545-0715)

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949. Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale. **Box 1c**. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present. **Box 1d**. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D. **Box 1e**. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a compensatory option granted to the due amount related to the option that was reported to you on a Form W-2. If box 5 is

checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Box 11. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, the basis in box 1e has been reported to the IRS and one or more of the check boxes in box 2 must be checked. If box 3 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary check box in box 2 is checked, an adjustment may be required.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014. Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, indicates whether the amount in box 1d was adjusted for premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Box 12. If checked, proceeds are from a transaction involving collectibles.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525. Boxes 14-16. Shows state(s)/local income tax information.

Form 1099-B (OMB No. 1545-0715)

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11):

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2017.

Box 9. Shows any year end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2016.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2017. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts 12/31/2017 in 2018.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2017 Form 6781.

APEX CLEARING ONE DALLAS CENTER	Account No: Account Name: Taxpayer Identification Numb	JUNGJIN CHANG ber:***-**-4289	IN ACCOUNT WITH ROBINHOOD
350 N. ST PAUL, SUITE 1300 DALLAS, TX 75201	Account Executive No:	DPA	(650) 940-2700
	ORIGINAL:	02/06/2018	

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
7/10/2017 FULL	YPAID LENDING REBATE	8S87337 T	AXABLE INTEREST	\$1.47	-	· -	
8/07/2017 FULL	YPAID LENDING REBATE	8S87337 T	AXABLE INTEREST	\$10.80	-		
9/07/2017 FULL	YPAID LENDING REBATE	8S87337 T/	AXABLE INTEREST	\$10.76	-		
0/04/2017 FULLነ	YPAID LENDING REBATE	8S87337 T	AXABLE INTEREST	\$9.90	-		
1/07/2017 FULL	YPAID LENDING REBATE	8S87337 T	AXABLE INTEREST	\$6.04	-		
2/07/2017 FULLነ	YPAID LENDING REBATE	8S87337 T	AXABLE INTEREST	\$9.90	-		
Subto	otals			\$48.87			
Total	Interest Income Not Included in Bo	x 3 (Box 1)		\$48.87			

APEX CLEARING ONE DALLAS CENTER	Account No: Account Name: Taxpayer Identification Number	JUNGJIN CHANG ::***-**-4289	IN ACCOUNT WITH
350 N. ST PAUL, SUITE 1300 DALLAS, TX 75201	Account Executive No: ORIGINAL:	DPA 02/06/2018	(650) 940-2700
	ORIGINAL.	02/00/2018	

2017 INVESTMENT DETAILS

DETAILS OF 2017 INVESTMENT ACTIVITY

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
	•						
03/30/2017	CAMBER ENERGY INC COM	13200M102	PURCHASE	10.000	\$0.65	\$6.50	
04/03/2017	CAMBER ENERGY INC COM	13200M102	PURCHASE	80.000	\$0.59	\$47.18	
	CAMBER ENERGY INC COM	13200M102	PURCHASE	100.000	\$0.61	\$60.99	
05/11/2017	CAMBER ENERGY INC COM	13200M102	PURCHASE	500.000	\$0.27	\$134.95	
05/15/2017	CAMBER ENERGY INC COM	13200M102	PURCHASE	250.000	\$0.25	\$62.50	
06/26/2017	CAMBER ENERGY INC COM	13200M102	PURCHASE	60.000	\$0.27	\$16.40	
01/19/2017	CHESAPEAKE ENERGY CORP	165167107	PURCHASE	10.000	\$6.65	\$66.50	
01/23/2017	CHESAPEAKE ENERGY CORP	165167107	PURCHASE	10.000	\$6.78	\$67.80	
05/09/2017	CHESAPEAKE ENERGY CORP	165167107	PURCHASE	50.000	\$5.40	\$270.00	
07/17/2017	CHESAPEAKE ENERGY CORP	165167107	PURCHASE	20.000	\$4.93	\$98.60	
09/07/2017	CHESAPEAKE ENERGY CORP	165167107	PURCHASE	10.000	\$3.88	\$38.79	
	COBALT INTL ENERGY INC	19075F106	PURCHASE	100.000	\$0.53	\$52.52	
	COBALT INTL ENERGY INC	19075F106	PURCHASE	500.000	\$0.28	\$140.00	
	EXCO RESOURCES INC	269279402	PURCHASE	55.000	\$0.88	\$48.41	
	EXCO RESOURCES INC	269279402	PURCHASE	100.000	\$0.87	\$87.00	
	EXCO RESOURCES INC	269279402	PURCHASE	50.000	\$0.83	\$41.50	
01/23/2017	EXCO RESOURCES INC	269279402	PURCHASE	65.000	\$0.78	\$50.70	
01/25/2017	FIRST SOLAR INC	336433107	PURCHASE	2.000	\$32.77	\$65.54	
	FIRST SOLAR INC	336433107	PURCHASE	3.000	\$32.93	\$98.79	
	FIRST SOLAR INC	336433107	PURCHASE	3.000	\$32.00	\$96.00	
	FIRST SOLAR INC	336433107	PURCHASE	2.000	\$30.80	\$61.60	
	FIRST SOLAR INC	336433107	PURCHASE	3.000	\$27.40	\$82.20	
	GENERAL ELECTRIC COMPANY COM	369604103	PURCHASE	2.000	\$18.15	\$36.30	
	ORACLE CORPORATION	68389X105	PURCHASE	1.000	\$45.44	\$45.44	
	SHAKE SHACK INC CL A	819047101	PURCHASE	3.000	\$34.45	\$103.35	
	SHAKE SHACK INC CL A	819047101	PURCHASE	2.000	\$31.11	\$62.22	
	SNAP INC CLASS A COMMON STOCK	83304A106	PURCHASE	5.000	\$17.55	\$87.75	
	SNAP INC CLASS A COMMON STOCK	83304A106	PURCHASE	2.000	\$15.35	\$30.70	
	SNAP INC CLASS A COMMON STOCK	83304A106	PURCHASE	5.000	\$15.31	\$76.55	
	SNAP INC CLASS A COMMON STOCK	83304A106	PURCHASE	8.000	\$15.35	\$122.80	
	***DORIAN LPG LTD USD0.01	Y2106R110	SELL	5.000	\$9.40	\$46.98	
	***DORIAN LPG LTD USD0.01	Y2106R110	PURCHASE	50.000	\$7.80	\$390.00	
	***DORIAN LPG LTD USD0.01	Y2106R110	PURCHASE	3.000	\$7.69	\$23.07	
01/31/2017	***SEANERGY MARITIME HOLDINGS CORP COM	Y73760137	PURCHASE	70.000	\$1.18	\$82.25	

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APEX CLEARING ONE DALLAS CENTER	Account No: Account Name: Taxpayer Identification Number	JUNGJIN CHANG :***-**-4289	IN ACCOUNT WITH
350 N. ST PAUL, SUITE 1300 DALLAS, TX 75201	Account Executive No:	DPA	(650) 940-2700
	ORIGINAL:	02/06/2018	

2017 INVESTMENT DETAILS

DETAILS OF 2017 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
02/06/2017	***SEANERGY MARITIME HOLDINGS CORP COM	Y73760137	PURCHASE	70.000	\$1.20	\$84.00	
02/16/2017	***SEANERGY MARITIME HOLDINGS CORP COM	Y73760137	PURCHASE	30.000	\$1.05	\$31.50	
05/10/2017	***SEANERGY MARITIME HOLDINGS CORP COM	Y73760137	PURCHASE	200.000	\$0.65	\$130.00	
04/03/2017	***TOP SHIPS INC SHS NEW 2016	Y8897Y156	PURCHASE	50.000	\$1.09	\$54.50	
05/09/2017	***TOP SHIPS INC SHS NEW 2016	Y8897Y156	PURCHASE	1.000	\$0.21	\$0.21	
05/09/2017	***TOP SHIPS INC SHS NEW 2016	Y8897Y156	PURCHASE	1.000	\$0.21	\$0.21	
05/09/2017	***TOP SHIPS INC SHS NEW 2016	Y8897Y156	PURCHASE	1.000	\$0.21	\$0.21	
05/09/2017	***TOP SHIPS INC SHS NEW 2016	Y8897Y156	PURCHASE	16.000	\$0.21	\$3.36	
05/09/2017	***TOP SHIPS INC SHS NEW 2016	Y8897Y156	PURCHASE	81.000	\$0.21	\$17.01	
05/09/2017	***TOP SHIPS INC SHS NEW 2016	Y8897Y156	PURCHASE	100.000	\$0.21	\$21.00	
05/10/2017 05/24/2017	***TOP SHIPS INC SHS NEW 2016 ***TOP SHIPS INC COMMON STOCK	Y8897Y156 Y8897Y404	PURCHASE CASH IN LIEU	1,000.000	\$0.18	\$180.00 \$0.43	
07/25/2017	***TOP SHIPS INC COMMON STOCK	Y8897Y503	CASH IN LIEU		-	\$0.43 \$0.06	
08/17/2017	***TOP SHIPS INC COMMON STOCK	Y8897Y602	CASH IN LIEU			\$0.10	
08/17/2017	***TOP SHIPS INC COMMON STOCK	Y8897Y602	CASH IN LIEU		-	\$0.05	
08/17/2017	***TOP SHIPS INC COMMON STOCK	Y8897Y602	CASH IN LIEU		-	\$0.05	
08/21/2017	***TOP SHIPS INC COMMON STOCK	Y8897Y602	PURCHASE	4.000	\$1.25	\$5.00	
08/22/2017	***TOP SHIPS INC COMMON STOCK	Y8897Y602	PURCHASE	5.000	\$1.21	\$6.05	
10/23/2017	***TOP SHIPS INC COMMON STOCK	Y8897Y701	CASH IN LIEU	0.000	÷=.	\$0.28	

END OF 2017 DETAILS

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