

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE**

In re:

TRIBUNE MEDIA COMPANY, *et al.*,

Debtors.

Chapter 11

Case No. 08-13141 (BLS)

Re: Docket Nos. 3796, 11792

**OPINION**<sup>1</sup>

**I. INTRODUCTION**

Herman Melville’s *Moby Dick* is often held up as the greatest American novel. Grueling and esoteric, it follows a crew of sailors striking out on the seas, resisting illness and starvation to mete out a living in the dramatic backdrop of an untamed and hostile wilderness. At its center is the enigmatic Captain Ahab. Famously, Ahab leads his crew on an obsessive pursuit of an unnamed evil, embodied in a white whale, with the hope to ultimately “wreak [his] hate” upon the animal. Depending on the interpretation of the reader, Melville’s account of Ahab could be seen as an exultation or an indictment of an individual’s single-mindedness.

Mr. Robert Henke is not Captain Ahab. He was, however, the subject of an article that appeared in the Baltimore Sun newspaper<sup>2</sup> in 2007 entitled “A Modern-Day Ahab – In pursuit of geologic immortality, inventor Robert Henke has sacrificed

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<sup>1</sup> This Opinion constitutes the Court’s findings of fact and conclusions of law pursuant to Fed. R. Bankr. P. 7052. This Court has jurisdiction to decide this claim objection pursuant to 28 U.S.C. § 157 and § 1334. This is a core proceeding pursuant to 28 U.S.C. § 157(b)(2)(B).

<sup>2</sup> Referred to, sometimes, herein as the “Sun.”

everything: comfort, career, family.”<sup>3</sup> Believing the article had caused him harm, Mr. Henke filed a defamation lawsuit against the Baltimore Sun in Maryland state court seeking \$100 million in damages.<sup>4</sup>

Tribune Company and its affiliates, including the Baltimore Sun, (collectively, the “Debtors”) filed Chapter 11 petitions on December 8, 2008. Mr. Henke filed two claims against the Debtors based on the state court defamation complaint.<sup>5</sup> The Debtors objected to Mr. Henke’s claims.<sup>6</sup> Following a hearing before the Honorable Kevin J. Carey, the Court sustained the Debtors’ objection to Mr. Henke’s claims and the claims were disallowed.<sup>7</sup>

Mr. Henke appealed that decision to the United States District Court for the District of Delaware. On February 15, 2019, the District Court ruled that Mr. Henke, who represented himself *pro se*, did not receive adequate notice that his hearing before Judge Carey was an evidentiary hearing on the merits and “was not afforded a fair chance to submit evidence to support his claim.”<sup>8</sup> Therefore, the District Court

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<sup>3</sup> Henke Ex. 1.

<sup>4</sup> See Docket No. 3796, attaching Mr. Henke’s original proof of claim.

<sup>5</sup> On June 8, 2009, Mr. Henke filed proof of claim number 3697 against the Baltimore Sun in the amount of \$100 million. On April 19, 2012, Mr. Henke filed amended proof of claim number 7106, attaching an amended state court complaint dated April 15, 2012 (the “AC”).

<sup>6</sup> The Debtors filed an objection to claim number 3697. (Docket No. 3796). On June 11, 2012, after Mr. Henke filed the amended claim, the Debtors filed a Supplemental Objection to Claims of Robert Henke (Docket No. 11792). Mr. Henke filed responses to both objections. (Docket Nos. 3989, 11931). The Debtors filed a reply in support of the supplemental objection to claim, and Mr. Henke filed a sur-reply. (Docket Nos. 11956, 12238). The Debtors’ original objection, supplemental objection and reply shall be referred to herein as the “Claim Objection.”

<sup>7</sup> *In re Tribune Media Co.*, 552 B.R. 282 (Bankr. D. Del. 2016) *vacated and remanded Henke v. Tribune Media Co.*, Civ. A. No. 1:16-cv-00424-RGA slip op. (D. Del. Feb. 15, 2019).

<sup>8</sup> Docket No. 14505.

vacated the Bankruptcy Court order sustaining the Debtors' objection to Mr. Henke's claims and remanded the matter to the Bankruptcy Court.<sup>9</sup>

After remand, the Bankruptcy Court held a status conference on the Claim Objection.<sup>10</sup> On May 7, 2019, the Court approved a stipulated discovery schedule signed on May 2, 2019 by Mr. Henke and counsel for the Debtors.<sup>11</sup> The Scheduling Order provided that Mr. Henke could prepare and serve discovery requests (including requests for production of documents, requests for admissions, or interrogatories), and the Baltimore Sun would respond to those requests no later than 18 days after receipt.<sup>12</sup> The Scheduling Order also set June 20, 2019 as the date for the new evidentiary hearing on the Claim Objection.<sup>13</sup>

On May 14, 2019, Mr. Henke filed a document seeking, in part, to extend discovery deadlines.<sup>14</sup> On May 23, 2019, the Court held an initial status conference to discuss, among other things, Mr. Henke's request. At the status conference, Mr. Henke confirmed that he sent discovery requests to the Debtors and was awaiting answers.<sup>15</sup> The Court advised Mr. Henke that he should be prepared to submit "evidence in support of [his] claims . . . any documents and any information and

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<sup>9</sup> Docket No. 14505. The Chapter 11 bankruptcy case of Tribune Media Company and its affiliates was originally assigned to Judge Kevin J. Carey. For administrative reasons regarding the announced retirement of Judge Carey, the case was reassigned to me on May 13, 2019. Docket No. 14525.

<sup>10</sup> See Docket Nos. 14510, 14517, and 14520.

<sup>11</sup> Docket No. 14523 (the "Scheduling Order").

<sup>12</sup> Docket No. 14523, Ex. A.

<sup>13</sup> *Id.*

<sup>14</sup> Docket No. 14526. Mr. Henke's filing contained two motions: a motion to recuse Judge Carey and a "motion regarding conduct of debtors' attorneys," which sought to extend the discovery deadlines. At the May 23, 2019 status conference, the Court noted that the motion to recuse was not granted, but that administrative reassignment of the Tribune bankruptcy case occurred prior to Mr. Henke's filing of the motion. Docket No. 14535, Tr. 5/23/2019 at 4:16 – 5:4. Accordingly, Mr. Henke's motion to recuse was denied as moot. *Id.*

<sup>15</sup> Tr. 5/23/2019 at 10:19 – 12:3; 13:16 – 15:3.

materials” at the June 20, 2019 evidentiary hearing.<sup>16</sup> The Court further advised Mr. Henke that he should arrange for any witnesses who may have relevant testimony to appear at the hearing.<sup>17</sup> The Court directed the parties to confer regarding documents to be submitted at the evidentiary hearing and the filing of any pretrial statements so that neither side would be surprised.<sup>18</sup> The Court set a further status conference for June 17, 2019 (after Mr. Henke should have received responses to the discovery requests, but before the evidentiary hearing), to determine whether there was a basis for adjourning the June 20, 2019 hearing date.<sup>19</sup>

The Court held two further status conferences on the Claim Objection to ensure that any pre-trial discovery disputes were resolved and to ensure that the parties had adequate time to prepare to present evidence at the hearing.<sup>20</sup> The Court agreed to move the evidentiary hearing to from June 20, 2019 to July 2, 2019. On June 27, 2019, Mr. Henke sent a document entitled “Evidence for evidentiary hearing of July 2, 2019”<sup>21</sup> to the Debtors. The Debtors filed an Agenda with the Court prior to the evidentiary hearing which included a copy of the Henke Evidence Document.<sup>22</sup>

On July 2, 2019, the Court held an evidentiary hearing on the Claim Objection. The Debtors called one witness: the author of the article, Mr. Gadi Dechter, who testified credibly and at length. Mr. Henke declined to cross-examine Mr. Dechter

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<sup>16</sup> *Id.* at 22:2 – 22:11.

<sup>17</sup> *Id.*

<sup>18</sup> *Id.* at 23:5 – 25:17.

<sup>19</sup> Tr. 5/23/2019 at 15:25 – 18:12.

<sup>20</sup> One pretrial status conference was held on June 17, 2019 (Docket Nos. 14546, 14548) and one was held on June 27, 2019 (Docket No. 14554).

<sup>21</sup> Hereinafter, the “Henke Evidence Document.”

<sup>22</sup> Docket No. 14563, attachment (p).

and he did not call any witnesses of his own.<sup>23</sup> Mr. Henke did, however, submit boxes of documents into evidence.<sup>24</sup> On August 5, 2019, the parties gave their closing arguments on the Claim Objection via teleconference.<sup>25</sup> This matter is now ripe for disposition.

For the reasons that follow, the Court will sustain the Reorganized Debtors' Claim Objection and disallow Mr. Henke's claims.

## **II. FACTUAL BACKGROUND**

Mr. Henke and his wife, through their small firm called Dynamic In Situ Geotechnical Testing, spent years developing a new earthquake engineering geotechnical (soil) testing technology (the "Technology"), and bringing the Technology into practice.<sup>26</sup> The Technology was described as:

intended solely to advance the ability to engineer critical constructed facilities (for instance, highway bridges, hospitals, schools, and power plants) to resist earthquakes. The test provides, for soil deposits that may support such facilities, information on soil deformation characteristics that can greatly affect how facilities behave during earthquakes. Such information is needed in earthquake engineering to predict, for example, the motions of constructed facilities.<sup>27</sup>

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<sup>23</sup> Mr. Henke repeatedly has alleged that he "no longer [has] the resources to draw on witnesses, to be able to depose them or anything like that, whereas, I did for the 2012 hearing." Tr. 6/27/2019 at 11:12 – 11:15. Unfortunately, the Court has no remedy for Mr. Henke's alleged lack of financial resources. The Court notes, however, that Mr. Henke declined to call himself or his wife, who was also present at the evidentiary hearing, as witnesses.

<sup>24</sup> The Court notes that Mr. Henke's evidentiary submissions include original newspaper clippings from the Baltimore Sun dating back to 1990, as well as handwritten personal diaries and journals, workpapers, books and other materials (the "Henke Evidentiary Materials"). Mr. Henke gave the Court original copies of the Henke Evidentiary Materials but did not provide copies to the Debtors. In addition, Mr. Henke gave the Court separate typed documents that contain excerpts from his diaries and a summary of the newspaper articles. The Debtors objected to these submissions solely on relevance grounds. The Court overrules the Debtors' objection and relies on Mr. Henke's summaries.

<sup>25</sup> Docket Nos. 14578, 14579.

<sup>26</sup> Henke Evidence Document, ¶ 2.

<sup>27</sup> Henke Ex. 2, attachment labeled "Document #1," entitled "Summary of Selected Experiences of Dynamic In Situ Geotechnical Testing with Emphasis on Academic Issues" dated August 2006, revised November 2006 (the "Nov. 2006 Summary") at 2.

Mr. Henke and his wife, however, faced numerous hurdles in completing their research and development of the Technology. In 2006, Mr. Henke was pressing various sources for an investigation of “three decades of official and professional misconduct” that prevented the advancement of the Technology and drove Mr. Henke, his wife and their firm to a state of ruin.<sup>28</sup> Mr. Henke alleged that the misconduct included “the possibilities of the manipulation of grant competitions, theft from proposals, retaliation and a breakdown in safeguards.”<sup>29</sup>

Mr. Henke alleges that the campaign to thwart the advancement of the Technology began with his time on the faculty at Johns Hopkins University (the “University”) between 1985 and 1989.<sup>30</sup> He alleges that the University became hostile and retaliated against him for his actions as an academic “whistleblower” when he charged students with plagiarism and was unwilling to engage in grade inflation.<sup>31</sup>

After leaving his position at the University, Mr. Henke devoted his full efforts to his firm and the Technology. Mr. Henke avers that he, his wife and the firm made “remarkable progress” with the Technology, paid for through government and private support, as well as their own significant personal investment.<sup>32</sup> Such progress included designing and constructing three costly prototype systems, laboratory

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<sup>28</sup> Henke Evidence Document, ¶4.

<sup>29</sup> Henke Ex. 2, attachment Letter dated November 30, 2006 to Mr. Raymond A. Mason, p. 1.

<sup>30</sup> Henke Evidence Document, ¶ 5.

<sup>31</sup> *Id.* at ¶¶ 4, 5, 38a – 38h, Henke Ex. 8 (marked confidential).

<sup>32</sup> Nov. 2006 Summary, at 3-4.

testing, field tests, and publishing their work in a series of articles in a leading international journal on earthquake matters.<sup>33</sup>

However, as Mr. Henke submitted funding proposals to governmental agencies, including the National Science Foundation (the “NSF”), he grew concerned that the process was being “manipulated to insure the failures of our proposals.”<sup>34</sup> He alleges that his proposals were “being treated improperly,” for example, being placed in inappropriate competitions or receiving comments by reviewers that were “technically absurd.”<sup>35</sup> Suspecting bias, Mr. and Ms. Henke sued the NSF and the National Institute of Standards and Technology (“NIST”) in the early 1990’s for refusing to reveal the names of the peer reviewers who examined their proposals.<sup>36</sup> Ultimately, the lawsuits were unsuccessful.<sup>37</sup>

Mr. Henke also became concerned about the possibility of theft of ideas from his proposals and he requested that the Office of the NSF’s Inspector General investigate the matter.<sup>38</sup> The investigation took place over 2½ years, but the investigator wrote in a letter dated April 1, 1996 that there was “insufficient substance to support further inquiry.”<sup>39</sup> Mr. Henke questioned the results of the

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<sup>33</sup> *Id.*

<sup>34</sup> Nov. 2006 Summary, at 4 – 6.

<sup>35</sup> *Id.* at 5.

<sup>36</sup> Henke Ex. 5.

<sup>37</sup> Henke Ex. 1, ¶ 66. (“Ultimately a federal judge in Washington ruled against the Henkes in their suit against the NSF. In May 1994, the same judge ruled in their favor on the NIST case, ordering the agency to open its files, but that judgment was overturned on appeal.”)

<sup>38</sup> Nov. 2006 Summary, at 7

<sup>39</sup> *Id.*

investigation, and a second investigator was assigned to review the inquiry. Around August 23, 2000, the second inquiry ended without satisfaction to Mr. Henke.<sup>40</sup>

Mr. Henke also found it suspicious when his long-standing relationship with the Federal Highway Administration dissolved in May 2001 under “highly irregular circumstances.”<sup>41</sup> Similar questions arose when his firm’s “good faith collaborative arrangement” with a Japanese research institute fell apart.<sup>42</sup>

In 2006, Mr. Henke wrote letters seeking investigations into the perceived irregularities he faced in obtaining government funding for his research. He wrote to a United States senator who, at the time, was the “ranking member of the committee charged with oversight of the Offices of the Inspectors General,” and to the Chair of the University’s Board of Trustees.<sup>43</sup>

On November 30, 2006, Mr. Henke also sent a letter to the Baltimore Sun enclosing detailed information to support his concerns.<sup>44</sup> Not only did he believe the Sun could “shed light on the *campaign*,”<sup>45</sup> he also believed the Sun’s “possibly pivotal complicity in it.”<sup>46</sup> Mr. Henke explains:

Starting soon after the claimant left the *University*, *The Sun*, over roughly two decades (1990-2009), conspicuously published a large number of articles that suggest the possibility *The Sun* may have stalked him, the firm, and his family; interfered with progress on the *technology*; and protected possible architects of the *campaign*. . . . For example, the stalking articles publicized, in separate articles, a large

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<sup>40</sup> *Id.* at 7-8.

<sup>41</sup> Nov. 2006 Summary, at 9.

<sup>42</sup> *Id.*

<sup>43</sup> Henke Ex. 2 (attachments).

<sup>44</sup> Henke Ex. 2; Debtor Ex. 44.

<sup>45</sup> Henke Evidence Document, ¶ 12. Mr. Henke defines the “*campaign*” as “a retaliatory alliance of possible officials and professionals that targeted claimant and Ms. Henke in an academia-seated whistleblower matter.” *Id.* at Section II.

<sup>46</sup> *Id.* at ¶ 12.



number of claimant's students in a particular course of his; close friends and associates of his sons, and consultants and contractors of the *firm*. Among other things, the articles gave him the unsettling sense that a top-tier newspaper was hovering over him, his family and the *firm*; wanted him to know that; and wanted him to know that it could strike at any time it wished. Facts and reason suggest the *University* inspired the articles; the *University* is close to *The Sun* . . . and the initial articles coincided roughly with claimant's leaving the *University* and publicized students of his. Truly bizarre matter that had a profound and permanent effect on his state of mind: moved him to save roughly two decades of article clippings and afflicted him with an irreversible and outsized sense of paranoia.<sup>47</sup>

Mr. Henke thought contacting the Sun would allow him to obtain information regarding the past Sun articles, including who had suggested writing the articles.<sup>48</sup> An editor at the Sun brought Mr. Henke's letter to Gadi Dechter, a full-time reporter at the Sun whose duties included reporting on matters involving higher education.<sup>49</sup> Mr. Dechter looked into the matter because the letter included "accusations . . . that the Sun was involved in some kind of wrongdoing . . . or that articles published by the Sun previously about Mr. Henke were problematic . . . [a]nd that there was some connection to Johns Hopkins University."<sup>50</sup> Mr. Dechter talked to Mr. Henke and "found him to be an very interesting person who was articulate and had an extraordinary story to tell."<sup>51</sup> Mr. Dechter felt his area of study - - "earthquake science" - - was something people had not heard about before; "the stakes were high, the promise was great, . . . and the facts of his life were very dramatic."<sup>52</sup>

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<sup>47</sup> Henke Evidence Document, ¶ 12 (emphasis in original). *See also Id.* at ¶¶ 18a – 18g; Henke Ex. 3.

<sup>48</sup> Henke Evidence Document, ¶ 6.

<sup>49</sup> Tr. 7/2/2019 at 35:23 – 36:17; 42:15 – 43:10 (Dechter).

<sup>50</sup> *Id.* at 43:23 – 44:13 (Dechter).

<sup>51</sup> *Id.* at 44:14-20 (Dechter).

<sup>52</sup> *Id.* at 45:17 – 46:12 (Dechter).

When the Sun expressed an interest in writing an article about Mr. Henke's experiences in developing the Technology, Mr. Henke agreed because he "felt a sincere article might well lead to the investigation he had long sought."<sup>53</sup>

The article was a substantial nine-month long effort.<sup>54</sup> Mr. Henke provided the Sun with additional documents and a set of slides about the history of the Technology.<sup>55</sup> Mr. Dechter interviewed Mr. Henke over the telephone and spent four days interviewing Mr. Henke and his family in person in North Carolina.<sup>56</sup> Mr. Dechter testified that he takes detailed notes during his interviews, as well as sometimes making an audio recording of the interview which may either be transcribed or used as backup.<sup>57</sup> Mr. Dechter also interviewed people at the University who were familiar with Mr. Henke's time there and the reasons that his contract was not renewed.<sup>58</sup> Mr. Dechter also interviewed previous co-workers or other people in the field who could discuss Mr. Henke's character or provide independent validation of the Technology's promise.<sup>59</sup>

On October 7, 2007, the Sun published the article in its Sunday edition (the "Article").<sup>60</sup> The Article begins by lauding the Technology (or sometimes, the "Device") and its potential. It states:

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<sup>53</sup> Henke Evidence Document, ¶ 6.

<sup>54</sup> *Id.* at ¶ 14.

<sup>55</sup> *Id.* at ¶ 14; Henke Ex. 14.

<sup>56</sup> *Id.* at ¶ 14.

<sup>57</sup> Tr. 7/2/2019 at 54:6 – 55:9 (Dechter); Debtor Ex. 1 – 6.

<sup>58</sup> Tr. 7/2/2019 at 70:5 – 71:17; 83:22 – 84:22 (Dechter).

<sup>59</sup> *Id.* at 78:5 – 81:7; 84:23 – 87:9; 87:20 – 88:6. (Dechter).

<sup>60</sup> Henke Ex. 1.

The product of more than \$2 million and 25 years of development, the device might just be the holy grail of earthquake engineering: a probe that can accurately predict the way various soils will react in a major quake. It could prevent building collapses and save lives. If it works. On that score, the geotechnical jury is still out . . . .<sup>61</sup>

The Article then remarks on American culture, which “valorizes the uncompromising dreamers” and celebrates individuals who are “single-minded in their pursuit of greatness . . . .”<sup>62</sup> It categorizes Mr. Henke as one of those dreamers and observes:

Robert Henke’s monastic life is a testament to that ideal, but he is also, as a former boss says, a “captain Ahab of our generation,” willing to wreck everything—including his family—chasing a dream that has become an obsession.<sup>63</sup>

The Article punctuates this description with details from Mr. Henke’s personal life, including his daily exercise routine, his parenting style, and his marriage. It states:

His seven-mile jogging route is not picturesque, but then, Henke runs for principle, not pleasure. “I’m not an exercise enthusiast,” he says. “It makes me feel sick.” But to abandon the morning routine at this point would carry too much symbolic significance for a man whose main accomplishment has been his refusal to quit.<sup>64</sup>

Concerned the boys would grow up shiftless, Henke forced them to wake early each day and submit to a grueling exercise regimen of running, sit-ups, push-ups and pull-ups.<sup>65</sup>

By Henke’s accounting, the probe has cost him \$1 million in personal investment and an aborted academic career that began promisingly at Hopkins in 1985 and ended five years later. In 2002, he lost his Lutherville home. His wife and research partner, Wanda, left him soon afterward.<sup>66</sup>

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<sup>61</sup> *Id.* at ¶¶ 4-5.

<sup>62</sup> *Id.* at ¶ 7.

<sup>63</sup> *Id.*

<sup>64</sup> *Id.* at ¶¶ 17-18.

<sup>65</sup> *Id.* at ¶ 72.

<sup>66</sup> Henke Ex. 1 at ¶ 9.

The Article repeatedly emphasizes the tension between Mr. Henke's devotion to his family and his desire to continue working on the Device. In its discussion of Mr. Henke's departure from the University and his choice to dedicate himself full-time to the Device, it says:

Just like Columbus at the point of no return, Henke chose to stay the course, despite the risk to his own crew, his family. "In many ways, I think I was irresponsible." But, he points out, "Columbus came out a winner."<sup>67</sup>

The Article also recounts Mr. Henke's adolescence, meeting Ms. Henke, and the impact of his work on his family. The Article notes that Mr. Henke had recently gone on a job interview and was open to finding employment so long as the opportunity "meet[s] his requirements."<sup>68</sup> It discusses the lesson Mr. Henke has learned from his experience:

Play the system. Do what you're told. Be average. . . . Be careful when you strive for high goals. . . . Diversify in life so if you do lose on one count, you haven't lost it all. . . . I wish I could come up with something more uplifting.<sup>69</sup>

On October 3, 2008, Mr. Henke filed a complaint in state court against the Sun seeking \$100 million in damages based on claims of defamation and misrepresentation.<sup>70</sup> The bankruptcy petitions filed by Tribune Company and the Sun (and other affiliates) on December 8, 2008 stayed Mr. Henke's lawsuit. Mr. Henke filed a proof of claim and, later, an amended proof of claim against the Debtors based on his state court lawsuit. The Debtors objected to Mr. Henke's claims. Judge

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<sup>67</sup> *Id.* at ¶ 55.

<sup>68</sup> *Id.* at ¶¶ 146-148.

<sup>69</sup> Henke Ex. 1, ¶¶ 127- 128.

<sup>70</sup> Docket No. 3797, Exhibit A.

Carey held a hearing on the Debtors' objection and in 2016 issued an Opinion and Order sustaining the Debtors' objection and disallowing Mr. Henke's claims.<sup>71</sup> Mr. Henke appealed that decision and the District Court vacated the Opinion and Order and remanded the matter to this Court for trial.<sup>72</sup>

### III. STANDARD

The Debtors object to Mr. Henke's claim under 11 U.S.C. § 502(b)(1), which provides that a court will disallow a claim to the extent it is unenforceable under applicable law. Mr. Henke, therefore, will be entitled to payment only if he has a valid claim under the laws of Maryland.<sup>73</sup>

The burden of proof for a claim filed in a bankruptcy proceeding "rests on different parties at different times."<sup>74</sup> Initially, the claim holder must establish the *prima facie* validity of the claim.<sup>75</sup> The claim objector must then produce evidence that, "if believed, would refute at least one of the allegations that is essential to the claim's legal sufficiency."<sup>76</sup> At that point, the burden shifts back to the claim holder to prove the validity of the claim by a preponderance of the evidence.<sup>77</sup> The ultimate burden of persuasion rests on the claimholder. Mr. Henke, as claim holder, met his

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<sup>71</sup> *In re Tribune Media Co.*, 552 B.R. 282 (Bankr. D. Del. 2016) *vacated and remanded Henke v. Tribune Media Co.*, Civ. A. No. 1:16-cv-00424-RGA slip op. (D. Del. Feb. 15, 2019).

<sup>72</sup> Docket No. 14505.

<sup>73</sup> *See In re Combustion Eng'g, Inc.*, 391 F.3d 190, 245 n. 66 (3d Cir.2004). ("To determine whether claims are enforceable for bankruptcy purposes, § 502 relies upon applicable non-bankruptcy law. . . . Ultimately, the effect of § 502 is to provide a bankruptcy trustee with the same rights and defenses to claims as held by the debtor prior to bankruptcy." (internal punctuation and citations omitted)).

<sup>74</sup> *In re Allegheny Int'l, Inc.*, 954 F.2d 167, 173 (3d Cir. 1992).

<sup>75</sup> *Id.* ("[T]he claimant must allege facts sufficient to support the claim. If the averments in his filed claim meet this standard of sufficiency, it is "*prima facie*" valid.").

<sup>76</sup> *Id.*

<sup>77</sup> *Id.* at 174.

initial burden when he filed his proof of claim. But the Debtors have adequately rebutted the validity of his claim in the Claim Objection.<sup>78</sup> The burden now shifts back to the claimant, and Mr. Henke must prove his defamation claim by a preponderance of the evidence.

#### **IV. THE PARTIES' POSITIONS**

Mr. Henke alleges claims of defamation and misrepresentation against the Sun. He argues the Article contains statements that are defamatory, falsehoods, or omit crucial facts. Mr. Henke also alleges that the Sun made numerous misrepresentations which induced him to agree to the Article. At trial, Mr. Henke also alleged that the Sun stalked him and published articles that contained messages meant to intimidate him and his family.

In reply, the Debtors argue that Mr. Henke has not fulfilled any of the elements for defamation, much less all of them. They also argue that the Sun did not make any misrepresentations to Mr. Henke and that other articles which Mr. Henke purported as showing stalking or intimidation of him or his family were simply coincidences.

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<sup>78</sup> Docket Nos. 3796, 11792, 11956, and 14556. “In practice, the objector must produce evidence which, if believed, would refute at least one of the allegations that is essential to the claim’s legal sufficiency.” *Allegheny*, 954 F.2d at 173-74.

V. ANALYSIS

A. Defamation

Mr. Henke separated his eleven defamation claims into four categories:

<b>Category</b>	<b>Count</b>	<b>Disputed Content</b>
I. Theme	1. Defamation through Theme	Mr. Henke posits that the overall tone and theme of the Article suggest he is reckless, eccentric, and obsessed
II. Material Concealments	2. Falsification of Tenure at the University	The Article omits Mr. Henke's plagiarism accusations
	3. Concealment of Possibility of Retaliation	The Article does not discuss the Henkes' belief that federal agencies were biased, and that the University had sabotaged their professional relationships
III. Personal Attacks	4. Falsification of Air Force Discharge	The Article states Henke withdrew from the air force through a self-initiated elimination
	5. Defamation Regarding Profanity	The Article contains a quote in which Henke's son—quoting his father—uses profanity <sup>79</sup>
	6. Falsification of Parenting	The Article states that Mr. Henke did not permit his sons to watch movies and TV and restricted the types of books they read; Article states he would let his family suffer for the Device

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<sup>79</sup> Mr. Henke does not dispute the accuracy of the quote as made by his son. Rather, he argues that his son misquoted him. Mr. Henke argues he would never use profanity.

IV. Falsification Regarding Technology	7. Falsification of Promise of Technology	The Article omits field test results and a report from 1986 that suggested the Device had promise; it also states that Henke's main accomplishment has been his refusal to quit
	8. Falsification of Sincerity of Undertaking	The Article states that following a major earthquake in 1989, the Henkes' believed the Device would be in high demand <sup>80</sup>
	9. Falsification of Professionalism	The Article states that soil testing devices are complex and are generally not suited to being developed in a residential basement
	10. Falsification of Japanese Collaboration	The Article omits details about the Henkes' collaboration with the Geo-Research Institute of Japan
	11. Falsification of Risk of Undertaking	The Article incorrectly implies that Mr. Henke proceeded with a substantially risky venture, thereby knowingly endangering his family.

Under Maryland law, Mr. Henke must prove four elements to establish a defamation claim: (1) the Sun made a defamatory statement to a third person, (2) the statement was false, (3) the Sun was legally at fault in making the statement, and (4) Mr. Henke thereby suffered harm.<sup>81</sup> The standard of fault that the Court must apply to a defamation claim varies depending on whether the plaintiff (here, Mr.

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<sup>80</sup> Mr. Henke does not dispute that there was a major earthquake that made the Device particularly relevant. He asserts that the Article implies that he wanted to profit off a disaster.

<sup>81</sup> *Norman v. Borison*, 418 Md. 630, 645 n.10, 17 A.3d 697, 705 (Md. 2011) (quoting *Offen v. Brenner*, 402 Md. 191, 198-99, 935 A.2d 719, 723-24 (Md. 2007)).



Henke) is a public figure or a private individual. If a plaintiff is a public figure, then he must meet a higher standard of fault and show that the defendant published the defamatory statement with “actual malice.”<sup>82</sup> Otherwise, the plaintiff only needs to show that the defendant negligently made the defamatory statements.

The Debtors argue that Mr. Henke should be considered a limited purpose public figure.<sup>83</sup> Courts have recognized this subset of public figures to include individuals who, “although they are not well known throughout the country or on every issue, are nonetheless sufficiently involved in one particular arena to qualify as public figures for that purpose.”<sup>84</sup> The Third Circuit relies on a two-part inquiry to determine whether someone is a limited purpose public figure: “(1) whether the alleged defamation involves a public controversy, and (2) the nature and extent of the plaintiff’s involvement in that controversy.”<sup>85</sup>

The Debtors claim that the Mr. Henke has “long sought to influence the resolution of the controversies discussed in the Article,” which controversies include “official and professional” efforts to thwart the development of his Technology and the broader problem of corruption in the federal grant reviewing process.<sup>86</sup> The Debtors assert that Mr. Henke became involved in these controversies voluntarily by filing lawsuits against agencies that denied his grant applications (which were reported in multiple press outlets at the time), and approaching the Sun, hoping that

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<sup>82</sup> *New York Times Co. v. Sullivan*, 376 U.S. 254, 279-80 (1964).

<sup>83</sup> D.I. 14556 (“Debtors’ Supp. Mem.”).

<sup>84</sup> *Schiavone Const. Co. v. Time, Inc.*, 847 F.2d 1069, 1077 (3d Cir. 1988).

<sup>85</sup> *McDowell v. Paiewonsky*, 769 F.2d 942, 948 (3d Cir. 1985) (citations omitted).

<sup>86</sup> Debtors’ Supp. Mem. at 10-11.

the newspaper would investigate the misconduct that, he claims, led to his professional difficulties.<sup>87</sup> The Debtors point out that, in addition to contacting the Sun, Mr. Henke contacted the Office of the National Science Foundation's Inspector General, the University, and selected politicians in hopes of starting an investigation.<sup>88</sup> The Debtors contend that affirmatively seeking media attention "is a quintessential indicator of public figure status"<sup>89</sup> and argue that he is a limited purpose public figure in connection with the controversies raised in the Article.

If Mr. Henke is a limited purpose public figure, then he must prove that the Article published false statements about him with "actual malice," that is, with knowledge that the statements are false or with reckless disregard of whether they are false or not.<sup>90</sup> If Mr. Henke is not a limited purpose public figure, he need only prove that the Sun acted negligently in publishing the false statements.<sup>91</sup> The Court declines to decide this issue. Even if the more lenient negligence standard is applied in this case, Mr. Henke has not proven his defamation claims.

1. *Defamatory Statements Made to a Third Person that are False*

A defamatory statement is one which "tends to expose a person to public scorn, hatred, contempt or ridicule, thereby discouraging others in the community from

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<sup>87</sup> *Id.* at 11-12.

<sup>88</sup> *Id.* at 12.

<sup>89</sup> *Id.* (citing *Wells v. Liddy*, 186 F.3d 505, 537 (4<sup>th</sup> Cir. 1999) (noting the distinction between one who simply responds to a press request for information and one who actively seeks out the press).

<sup>90</sup> *Schiavone*, 847 F.2d at 1076 (citing *New York Times Co. v. Sullivan*, 376 U.S. 254, 279-80, 84 S. Ct. 710, 726, 11 L.Ed.2d 686 (1964)). See also *Schiavone*, 847 F.2d at 1076-1079.

<sup>91</sup> *Watkins v. Washington Post*, Case No. PWG-17-818, 2018 WL 805394, \*4 n. 5 (D. Md. Feb. 9, 2018); *Jacron Sales Co., Inc. v. Sindorf*, 276 Md. 580, 596-97, 350 A.2d 688 (Md. 1976) *overruled on other grounds by Le Marc's Mgmt. Corp. v. Valentin*, 349 Md. 645, 651, 709 A.2d 1222, 1225 (Md. 1998).

having a good opinion of, or associating with, that person.”<sup>92</sup> “To determine whether a publication is defamatory, a question of law for the court, the publication must be read as a whole: ‘[W]ords have different meanings depending on the context in which they are used and a meaning not warranted by the whole publication should not be imputed.’”<sup>93</sup>

“[A] ‘false’ statement is one ‘that is not substantially correct.’”<sup>94</sup> “The plaintiff carries the burden to prove falsity.”<sup>95</sup>

a. *Theme*

The first category of Mr. Henke’s defamation claim is based on the Article’s theme. He argues that the “prominent theme of the article represents claimant as a delusional, unaccomplished eccentric who willingly destroyed his family in a reckless quest for scientific glory.”<sup>96</sup> Mr. Henke argues the Article’s tone and theme imply that he is unprofessional. He asserts the theme is reinforced through bold-face headings and various statements scattered throughout the Article, including:

In pursuit of geologic immortality, inventor Robert Henke has sacrificed everything: comfort, career, family.<sup>97</sup>

Obsession comes at a high cost.<sup>98</sup>

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<sup>92</sup> *Norman*, 418 Md. at 645 n.10.

<sup>93</sup> *Piscatelli v. Van Smith*, 424 Md. 294, 306, 35 A.3d 1140, 1147 (Md. 2012) (quoting *Chesapeake Publ’g Corp. v. Williams*, 339 Md. 285, 295, 661 A.2d 1169, 1174 (Md. 1995)).

<sup>94</sup> *Piscatelli*, 424 Md. at 306, 35 A.3d at 1147 (quoting *Batson v. Shiflett*, 325 Md. 684, 726, 602 A.2d 1191, 1213 (Md. 1992)).

<sup>95</sup> *Id.*

<sup>96</sup> Henke Evidence Document, ¶ 30.

<sup>97</sup> Henke Ex. 1, at 1.

<sup>98</sup> This statement appears as a heading in bold type on page 2 of the Article. Henke Evidence Document, ¶ 31.

[H]e is also, as a former boss says, a ‘Captain Ahab of his generation,’ willing to wreck everything—including his family—chasing a dream that has become an obsession.<sup>99</sup>

It was his boss at Exxon, Jack Templeton, who likens him to Herman Melville's Captain Ahab because of his messianic pursuit of his invention.<sup>100</sup>

Mr. Henke argues that these statements are clearly untrue. For example, he claims that he kept working on the Technology, not due to some obsession or quest for “geologic immortality,” but because he faced difficult circumstances after he left the University, and he and his wife already had invested heavily in the Technology, which they had been developing for six years.<sup>101</sup> He further argues that Mr. Dechter should not have placed much weight on Dr. Templeton's statements since he had not been in contact with Dr. Templeton since 1984.<sup>102</sup>

The Court finds that the Article does not portray Mr. Henke as unprofessional, reckless or deluded. But even assuming the Article could be read as such, the Article's theme is a protected opinion.<sup>103</sup> Maryland law recognizes the fair comment privilege, which provides that “a newspaper, like any member of the community may, without

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<sup>99</sup> Henke Ex. 1, ¶ 7.

<sup>100</sup> *Id.* ¶ 33. The Court notes some of these statements are quotes from Mr. Templeton, and therefore could not be attributed to the Sun. Mr. Dechter testified credibly that Mr. Templeton had in fact made those statements and Mr. Henke did not rebut his testimony. Mr. Dechter's notes from his conversation with Mr. Templeton also support the accuracy of the quotes. Debtors' Exhibit 19.

<sup>101</sup> Henke Evidence Document, ¶ 32.

<sup>102</sup> Henke Evidence Document, ¶ 35.

<sup>103</sup> “The principle that opinions based on disclosed facts are protected is well established.” *Agora, Inc. v. Axxess, Inc.*, 90 F.Supp.2d 697, 704 (D. Md. 2000) (citations omitted). The following example is commonly used to illustrate the line between actionable and protected statements of opinion: “[U]nlike the statement, ‘In my opinion Mayor Jones is a liar,’ the statement, ‘In my opinion Mayor Jones shows his abysmal ignorance by accepting the teachings of Marx and Lenin,’ would not be actionable.” *Agora*, 90 F.Supp.2d at 704 (quoting *Milkovich v. Lorain Journal Co.*, 497 U.S. 1, 20, 110 S.Ct. 2695, 111 L.Ed. 2d 1 (1990)). The distinction between opinion and fact is a matter of law. *Biospherics, Inc. v. Forbes, Inc.*, 989 F.Supp. 748, 751 n. 3 (D. Md. 1997) (citation omitted) *aff'd* 151 F.3d 180 (4<sup>th</sup> Cir. 1998).

liability, honestly express a fair and reasonable opinion or comment on matters of legitimate public interest.”<sup>104</sup> The fair comment privilege applies to public figures as well as to private figures.<sup>105</sup>

The fair comment doctrine protects an opinion only when “the facts on which it is based are truly stated or privileged or otherwise known . . . .”<sup>106</sup> For instance, if a newspaper publishes “[d]erogatory opinions based on false and defamatory or undisclosed facts,” then those statements would not be protected.<sup>107</sup> But, “[d]erogatory opinions based on non-defamatory facts, true facts, privileged facts, or facts assumed mutually by the opinion-maker and the recipient are privileged.”<sup>108</sup>

When evaluating a statement, the “primary emphasis [is placed] on verifiability of the statement” and a court should “examine the statement’s language and context to determine if it could interpreted as asserting a fact.”<sup>109</sup> Here, the context and content of the Article’s theme clearly reflect the author’s opinion. References to Captain Ahab, as well as descriptions of Mr. Henke’s efforts as “obsessive” or in pursuit of “geologic immorality” are subjective statements that are consistent with the “rhetorical hyperbole and imaginative expression” that “negate

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<sup>104</sup> *Piscatelli*, 424 Md. at 314, 35 A.3d at 1152 (quoting *A.S. Abell Co. v. Kirby*, 227 Md. 267, 272, 176 A.2d 340, 342 (Md. 1961)). In balancing the issue of whether the Article discusses a matter that is a legitimate public interest versus a disclosure of private facts about the plaintiff, I note that Mr. Henke approached the Sun about reporting on his circumstances and cooperated by providing the information underlying the Article, even though Mr. Henke’s intent was to start an investigation into roadblocks to his success with the Technology, rather than an article that chronicled his lack of success through the years.

<sup>105</sup> *Id.* at 314 n. 4 (citations omitted).

<sup>106</sup> *Id.* at 315 (quoting *Kirby*, 227 Md. at 279-80).

<sup>107</sup> *Id.* at 316 (citations omitted). “Though a statement of opinion is not immune from suit, a statement is not actionable unless it asserts a provably false fact or factual connotation.” *Agora*, 90 F.Supp.2d at 702 (citations omitted).

<sup>108</sup> *Piscatelli*, 424 Md. at 316 (citations omitted).

<sup>109</sup> *Biospherics, Inc. v. Forbes, Inc.*, 151 F.3d 180, 184 (4<sup>th</sup> Cir. 1998) (citations omitted).

the impression that the writing is stating fact.”<sup>110</sup> Such statements are not meant to be verifiable facts. Further, to support this opinion, the Article includes numerous facts and quotations drawn from Mr. Dechter’s interviews with Mr. Henke, his family and people who knew his work. There is no evidence that the underlying facts are false. Readers can draw their own conclusions (and form their own opinion) about those facts. Accordingly, the Court concludes that the evidence does not support a finding that the Article’s theme is defamatory or false.

b. *Material Concealments*

Mr. Henke’s defamation claims assert that the Article materially conceals “the possibility that he, Ms. Henke, and their firm and family had fallen victim to [a] *campaign* that targeted them with official and professional misconduct.”<sup>111</sup> Mr. Henke claims the Article omitted important details about the University’s retaliation against him for his accusations of plagiarism and grade inflation. He further argues that the Article conceals material facts suggesting that government grant competitions were corrupted to ensure the proposals submitted by Mr. and Ms. Henke would fail.<sup>112</sup>

For defamation purposes, a “false statement is one that is not substantially correct . . . [M]inor inaccuracies do not amount to falsity so long as the substance, the gist, the sting of the libelous charge be justified.”<sup>113</sup> Omitting material facts, however,

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<sup>110</sup> *Agora*, 90 F.Supp.2d at 703 (quoting *Biospherics*, 151 F.3d at 184).

<sup>111</sup> Henke Evidence Document, ¶ 36 (emphasis in original).

<sup>112</sup> Henke Evidence Document, ¶¶ 44-48.

<sup>113</sup> *Batson v. Shiflett*, 325 Md. 684, 726, 602 A.2d 1191, 1212 (Md. 1992) (quoting *Masson v. New Yorker Magazine, Inc.*, 501 U.S. 496, 517, 111 S.Ct. 2419, 115 L.Ed.2d 447 (1991) (internal quotation marks omitted)). “Put another way, the statement is not considered false unless it ‘would have a different

may lead to liability because that “can render an account just as false as an outright misstatement . . . [T]he literal truth of each individual statement is not a defense in such cases.”<sup>114</sup> But omitting facts favorable to the plaintiff does not automatically render a statement false:

[T]he First Amendment prohibits a rule that holds a media defendant liable for broadcasting truthful statements and actions because it failed to include additional facts which might have cast plaintiff in a more favorable or balanced light. Thus, as long as the matter published is substantially true, the defendant is constitutionally protected from liability [based on falsity], regardless of its decision to omit facts that may place the plaintiff under less harsh public scrutiny.<sup>115</sup>

Mr. Henke has not proven that the Article conceals material facts, thereby making its contents false. The Article does not omit facts related to Mr. Henke’s claims about the campaign against him, his wife, their Company and the Technology:

[F]ederal grant dollars that had flowed in regularly during his first three years at [the University] suddenly slowed to a trickle.

Convinced that grant-application rejections were linked to personal animus within his department, Henke began filing formal objections with the National Science Foundation—an impolitic move that would foreshadow later lawsuits against the federal hand that feeds.<sup>116</sup>

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effect on the mind of the reader from that which the pleaded truth would have produced.” *Masson*, 501 U.S. at 517 (citations omitted).

<sup>114</sup> *Brokers’ Choice of Am., Inc. v. NBC Universal, Inc.*, 861 F.3d 1081, 1108 n.28 (10th Cir. 2017), (quoting *Turner v. KTRK Television, Inc.*, 38 S.W.3d 103, 115 (Tex. 2000)).

<sup>115</sup> *Brokers’ Choice*, 861 F.3d at 1108 (internal citations and punctuation omitted).

<sup>116</sup> Henke Ex. 1, ¶¶ 46-47. Mr. Henke takes issue with statements in the Article suggesting that the grant funds slowed because he began filing formal objections with the National Science Foundation while he was still employed with the University. Henke Ex. 1, ¶¶ 46- 48. However, he points out that he did not file objections with the NSF while at the University and, further, that his suspicions about an unfair or “rigged” review process for his grant proposals did not arise until *after* he had left the University. Henke Evidence Document, ¶¶ 40-41. He asserts that these statements suggest that he rashly provoked the NSF while at the University. *Id.* Mr. Henke’s journal entry on October 5, 2007 mentioned his conversation with Mr. Dechter in which he stated that he had written to NSF in 1986 about changing a bureaucratic deadline for submissions, which Mr. Henke did not think was a “major issue.” Henke Ex. 7 at 56. Mr. Henke’s arguments about timing issues amount to minor inaccuracies

By 1994, Robert and Wanda were convinced that their difficulty in winning grants was not because they had bitten off more science than any two people could chew – but because the fruits of their labor were being poisoned by rivals.<sup>117</sup>

Mr. Henke originally approached the Sun to investigate the University and the Sun in hopes of uncovering direct evidence of the alleged campaign. Without such evidence, the Sun could not include as many details about the alleged campaign as Mr. Henke would have liked.

Mr. Henke also argues that the Article falsely represents the reasons he left the University by asserting that the Technology “cost him . . . an aborted academic career,”<sup>118</sup> that he lost the University job “for failing to publish a single research paper in five years,”<sup>119</sup> and that he “ignored the publish-or-perish warning.”<sup>120</sup> Mr. Henke claims the Article omits the important facts surrounding his departure from the University: that is, his “whistle-blower” allegations of plagiarism and grade inflation.<sup>121</sup> However, Mr. Dechter testified that Mr. Henke did not have proof to support his allegations.<sup>122</sup> The Article’s discussion about Mr. Henke ignoring the “publish-or-perish” warning is based on quotes from University officials interviewed by Mr. Dechter.<sup>123</sup>

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while the Article captures Mr. Henke’s theory that his proposals were targeted unfairly. The Court does not agree that such minor inaccuracies render the Article false.

<sup>117</sup> Henke Ex. 1, ¶ 64.

<sup>118</sup> Henke Ex. 1, ¶ 9.

<sup>119</sup> *Id.* at ¶ 24.

<sup>120</sup> *Id.* at ¶ 45. *See also* Henke Evidence Document, ¶¶ 38, 38a – 38j, 39.

<sup>121</sup> *Id.* *See also* Henke Ex. 8 (marked confidential).

<sup>122</sup> Tr. 7/2/2019 at 69:9 – 71:17 (Dechter).

<sup>123</sup> Henke Ex. 1, ¶¶ 43-45.



The Court concludes that Mr. Henke has not proven that the Article is false because it omits additional facts that might cast him in a more favorable light. The excluded details either are not well-documented or are not material enough to change the tenor or meaning of the Article. Although the Article did not include all facts requested by Mr. Henke, the omission does not run afoul of Maryland law.<sup>124</sup>

*c. Personal Attacks*

In the Amended Complaint, Mr. Henke alleges that the Article defames him through “direct personal attacks,” including, that the Sun (i) falsely represented his “discharge from the Air Force as less than honorable,”<sup>125</sup> (ii) falsely represented him as profane,<sup>126</sup> and (iii) falsely represented his parenting intentions and practices.<sup>127</sup> In support of his argument, Mr. Henke cites to the following paragraphs of the Article:

After finally graduating with a mechanical engineering degree from N.C. State, Henke signed up for an Air Force officer’s training course. Just two months later, Henke had arranged for a “self-initiated elimination” and was back in North Carolina.<sup>128</sup>

While the young Robert [Henke] had found social refuge in clowning and cartoons, he rarely permitted his sons to traffic in popular culture. No movies, no video games, no television save the occasional videotaped History Channel documentary. Even books were suspect.<sup>129</sup>

Michael, who maintains a deep love for his father, recalls having to read books in secret. “It was crazy,” he says. “It was unbelievable, especially from someone as intelligent as my father, who reads a lot.”<sup>130</sup>

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<sup>124</sup> Rather than a true disagreement over the substance of the facts, it appears that the heart of Mr. Henke’s dispute is that the Sun failed to publish an article that aired his grievances against the University and the Sun. The Sun had no legal duty to publish such an article, and Mr. Henke has no right to demand one. There is a disagreement on opinion, not distortion of the truth.

<sup>125</sup> AC ¶ 57

<sup>126</sup> AC ¶ 60

<sup>127</sup> AC ¶ 62

<sup>128</sup> Henke Ex. 1, ¶ 98; AC ¶ 57.

<sup>129</sup> Henke Ex. 1, ¶ 69; AC ¶ 64-66.

<sup>130</sup> Henke Ex. 1, ¶ 71; AC ¶ 69.

“When you grow up without religion, someone must supplant God,” Michael says. “And my father became that for me and ... I could not help but fear his wrath,” he says.<sup>131</sup>

“My father said, ‘F\_\_\_\_\_ furniture, I’ll put a whole bunch of boxes together and make a couch.’”<sup>132</sup>

Mr. Henke disputes the truth of these assertions and argues that the statements defamed him.

The Court disagrees that the Article’s descriptions of Mr. Henke’s parenting style are the sort of statements that would expose him to public contempt or ridicule. The Court does not doubt that Mr. Henke is a loving parent and does not find that the statements in the Article would discourage other people from associating or dealing with him. Many parents monitor and restrict their children’s media consumption. In the Amended Complaint, Mr. Henke includes additional facts to rebut the truth of the statements,<sup>133</sup> but as discussed above, omission of Mr. Henke’s preferred facts does not render the statements false. Further, the Court rejects Mr. Henke’s argument that the Article’s statements imply a “hidden meaning” or compare Mr. Henke’s actions to a “Nazi mindset” of book-burning.<sup>134</sup>

Moreover, neither the Article’s brief discussion of his “self-initiated elimination” from a military training program nor his son’s quote attributing swear words to Mr. Henke are statements that would cause Mr. Henke to lose standing in

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<sup>131</sup> Henke Ex. 1, ¶ 69; AC ¶ 69.

<sup>132</sup> Henke Ex. 1, ¶ 23; AC ¶ 60.

<sup>133</sup> In his Amended Complaint, he states (for example) that his children watched movies every week as a family (¶ 65), he read books with his sons (¶ 66), and his family engaged in many activities of popular culture, including trips to Disney World, youth sports, major sporting events, plays, museums and the beach (¶ 64).

<sup>134</sup> Henke Evidence Document, ¶ 68d.

the community or otherwise meet the standard for defamation. Mr. Henke has not proven that the Article's reporting on these matters is false or that Mr. Dechter misquoted his son. The Court does not conclude that the Article's statements on these issues are defamatory or false.

d. *Falsification Regarding Technology*

Mr. Henke also asserts that the article “falsely represent[s] the technology as unpromising and the undertaking as unproductive and so [falsely represents Henke] as delusional and unaccomplished.”<sup>135</sup> He also claims that the Article describes him as “unprofessional” and “greed-driven,”<sup>136</sup> and belittles the Technology as “basement development.”<sup>137</sup> He also notes that the Article omits positive information about the Technology, for example, optimistic reports about the Technology in 1986 by the National Institute of Standards and Technology,<sup>138</sup> and successful field tests with support from the Federal Highway Administration.<sup>139</sup>

The Court disagrees with Mr. Henke's assessment. The Article does not attack his qualifications and does not offer a conclusion on the Technology's value. Mr. Henke's Amended Complaint cites to words and phrases out of context of the overall Article. One could also easily state that the Article exalts Mr. Henke and the Device, comparing him to the celebrated mathematician John Nash<sup>140</sup> and commenting that the Device “ought to grab the world's attention.”<sup>141</sup> The overall gist of the Article is

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<sup>135</sup> AC ¶ 72

<sup>136</sup> AC ¶ 79

<sup>137</sup> AC ¶ 73.

<sup>138</sup> AC ¶ 74.

<sup>139</sup> AC ¶ 76.

<sup>140</sup> Henke Ex. 1, ¶13.

<sup>141</sup> *Id.* ¶ 2.

a story about Mr. Henke's relentless determination and willpower to complete his quest to develop a device that "could prevent building collapses and save lives. If it works."<sup>142</sup> The omission of Mr. Henke's preferred facts is not actionable unless the omission creates a falsity.<sup>143</sup> The Court does not agree that the Article falsifies the value, promise or sincerity of the Technology. The Court concludes that Mr. Henke has not proven that the Article is defamatory or false in its discussion of the Technology.

## 2. Negligence

As discussed above, for purposes of this Opinion, the Court considers Mr. Henke a private individual. Mr. Henke, therefore, must show by a preponderance of the evidence that the Sun (1) knew the Article's statements were false, (2) acted in reckless disregard to the truth, or (3) acted negligently in failing to ascertain the truth.<sup>144</sup>

The Court again observes that Mr. Henke has not shown that any of the statements in the Article are false. But even assuming, without deciding, that he had, Mr. Henke also failed to show that the Sun acted negligently. The Court heard testimony from Mr. Dechter and admitted into evidence the notes that he took during his interviews.<sup>145</sup> Mr. Dechter testified about the editorial process used for this

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<sup>142</sup> Henke Ex. 1, ¶¶ 4-5.

<sup>143</sup> *Brokers' Choice*, 861 F.3d at 1108. See n. 114-15, *supra*.

<sup>144</sup> *Jacron Sales*, 276 Md. at 597, 350 A.2d at 698 (1976).

<sup>145</sup> Tr. 7/2/2019 at 56:15 – 57:17 (Dechter). Mr. Dechter testified that sometimes he recorded his interviews with Mr. Henke, his family and others, but he also testified that the Sun had no official retention policy. Tr. 7/2/219 at 54:19 – 56:14. Usually, he kept recordings while he was working on a project. *Id.* Consistent with his usual practice, he had long ago disposed of the recordings of the interviews for the Article. *Id.* In the pre-trial conferences and his closing statement, Mr. Henke argued

Article, the purpose of which includes ensuring its accuracy before publication.<sup>146</sup> He also testified that, when possible, he investigated allegations and facts that he had collected in the interviews from other sources.<sup>147</sup> Mr. Dechter's written notes faithfully reflect the Article's contents and his testimony at trial was credible and sincere.<sup>148</sup>

In addition, Mr. Henke's journal entries largely support Mr. Dechter's testimony and verify that Mr. Dechter called him to check facts.<sup>149</sup> The record reflects that Mr. Dechter followed journalistic standards and confirmed the Article's contents before it went to print. Mr. Henke did not submit any evidence of negligence, and the record reflects that the Sun was diligent in verifying the contents of the Article before it went to print. The Court rejects Mr. Henke's claim that the Sun acted negligently.

### 3. Harm

Mr. Henke alleges that the Article has caused him and his family countless harms and has led to their financial ruin.<sup>150</sup> He seeks redress of \$100 million.<sup>151</sup> Under Maryland law, "[i]t is the general rule that one may recover only those damages that are affirmatively proved with reasonable certainty to have resulted as

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that this destruction of evidence proves that the Sun acted with actual malice. The Court disagrees and does not find that there was any deliberate spoliation of evidence.

<sup>146</sup> Tr. 7/2/2019 at 59:6 – 61:4 (Dechter).

<sup>147</sup> *Id.* at 70:5 – 72:11 (investigated University claims); 75:2 – 76:9 (investigated military service).

<sup>148</sup> Debtors' exhibits 1-8, 10-25, 27, 31-32, 34-36, 38-43.

<sup>149</sup> In Journal Entry dated July 19, 2007, for instance, Mr. Dechter had asked whether he had papers showing that he received an honorable discharge and Mr. Henke recorded in his journal that he didn't know if he had papers, but Mr. Henke asked Mr. Dechter to check records. Henke Ex. 7 at 53. In addition, in his journal entry on October 5, 2007, Mr. Henke recorded in his journal that Mr. Dechter called him to check facts. Henke Exhibit 7.

<sup>150</sup> AC ¶¶ 126 - 135.

<sup>151</sup> AC ¶ 136.

the natural, proximate and direct effect of the injury.”<sup>152</sup> Put simply, Mr. Henke needs to prove that the Article is the root of his injuries.

Mr. Henke has not shown that the Article caused the harms that he has suffered. According to his own account of the facts in the Amended Complaint, many injuries befell him and his family *before the Article was published*.<sup>153</sup> In the Amended Complaint, Mr. Henke claims that the Article exacerbated his problems, including:

- (1) “Harm to reputation” –Mr. Henke refers to a letter to editor stating “I was sad after reading the story of Robert Henke and his soil probe. . . .Here’s a gifted man whose brilliance is overshadowed by his selfishness. . . . It’s too bad that Mr. Henke’s legacy will be a shattered dysfunctional family.”<sup>154</sup>
- (2) “Denied Justice and Redress” –Mr. Henke asserts that the Article prevents him from drawing any “interest into investigating his circumstances, in hopes of restoring well-being to his family.”<sup>155</sup>
- (3) “Denied Professional Prospects” –Mr. Henke claims the Article deprived him of employment prospects including a job with the Nuclear Regulatory Commission, editing a journal manuscript or a future in academia.<sup>156</sup>
- (4) “Deteriorating Financial State” – Mr. Henke claims that depriving him of professional prospects contributed to his deteriorating financial state.<sup>157</sup>
- (5) “Compromised Social Standing” – Mr. Henke asserts that the Article irreversibly deprived him of social standing so that he could “never again live comfortably in the state of Maryland, where he had hoped to return.”<sup>158</sup>

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<sup>152</sup> *SG Homes Assoc., L.P. v. Marinucci*, 718 F.3d 327, 336 (4th Cir. 2013) (quoting *Empire Realty Co., Inc. v. Fleisher*, 269 Md. 278, 305 A.2d 144, 147 (1973)).

<sup>153</sup> AC ¶ 9. (“When he approached *The Sun* during 2006, plaintiff, the firm, and his family had already suffered much harm, he believes, as a direct or indirect result of the misconduct.”).

<sup>154</sup> AC ¶ 126.

<sup>155</sup> AC ¶ 128.

<sup>156</sup> AC ¶¶ 129 – 130.

<sup>157</sup> AC ¶ 131.

<sup>158</sup> AC ¶ 132.

- (6) “Distraction” – Mr. Henke claims that “[t]he [A]rticle and its aftermath have distracted plaintiff greatly from his family and other matters.” Self-representation in the bankruptcy court and circuit court litigation has been “particularly consuming.”<sup>159</sup>
- (7) “Emotional Distress” – Mr. Henke asserts that the Article caused him emotional distress and invaded his privacy.<sup>160</sup>
- (8) “Harm in Relation to Possible Interference with Search for Representation” – Mr. Henke claims that the Article prevents him from attracting representation for a lawsuit against the Sun.<sup>161</sup>

However, when Mr. Henke approached the Sun in 2006, he was seeking redress for similar harms that, at the time, he alleged were caused by the University.<sup>162</sup> Mr. Henke has not presented any evidence connecting his failure to get a job that he interviewed for in June 2007 with the Article’s later publication in October 2007.<sup>163</sup> For the reasons stated above, Mr. Henke has not proven that the Article was defamatory or false or published with negligence. A failure to prove these elements prevents any recovery. However, upon review of the evidence in this case, the Court

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<sup>159</sup> AC ¶ 133.

<sup>160</sup> AC ¶ 134.

<sup>161</sup> AC ¶ 135.

<sup>162</sup> Henke Ex. 2; Debtors’ Ex. 44. (Henke Letter to R. Mason, Chair of the University Board of Trustees dated November 30, 2006) (“The excesses of which I feel the University may be guilty have harmed my family and firm immeasurably. The family has been broken apart. We have lost our home, we have lost our security, we have no favorable prospects, our financial standing has been blackened, and our firm’s progress—which had been substantial—has been brought to a standstill. Even more disturbing, my son has experienced serious juvenile difficulties . . . . I do not believe that my family would have suffered any of these indignities were it not for what I believe were extraordinary malicious efforts on the part of the University to undermine, without respectable cause, our ability to succeed professionally.”)

<sup>163</sup> Journal Entry dated June 29, 2007, Henke Ex. 7 at 51; *see also* Journal Entry dated October 5, 2007 (Mr. Henke said he thought he could still be in the running for the position but admitted, “I’m worried because of IG’s reports.”) Henke Ex. 7 at 56.

also concludes that Mr. Henke's evidence does not prove that his condition - - or his family's condition - - materially worsened after the Article was published.

### **B. Misrepresentation and Stalking**

In addition to the defamation claims, Mr. Henke asserts five counts of misrepresentation.<sup>164</sup>

Count 12: Misrepresentation of intent	Mr. Henke alleges the Sun had said it wanted to write an article about the Device, not a human-interest piece
Count 13: Misrepresentation of need for proof	Mr. Henke alleges at the outset of the process, the Sun led Mr. Henke to believe that proof of his concerns was not important. When the Sun later asked for proof his allegations, he told Mr. Dechter that "if he had proof he wouldn't have needed <i>The Sun</i> ." <sup>165</sup>
Count 14: Silent misrepresentation regarding Air Force	Mr. Henke alleges that the Sun agreed to keep private the reason he left the Air Force
Count 15: Misrepresentation regarding treatment of younger son	Mr. Henke alleges the Sun had promised to not write about his son's legal issues
Count 16: Silent Misrepresentation of the Sun's neutrality	Mr. Henke alleges Mr. Dechter failed to disclose that he held a degree from and had taught at Johns Hopkins University

To succeed on a claim of fraudulent misrepresentation, Mr. Henke must show five elements: (1) a false representation was made, (2) its falsity was either known

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<sup>164</sup> AC ¶¶ 94 – 116.

<sup>165</sup> AC ¶ 105.



to the maker or was made with such reckless indifference to the truth as to be the equivalent to actual knowledge of falsity; (3) the representation was made for the purpose of defrauding the plaintiff, (4) the plaintiff not only relied on the representation but had a right to rely on it and would not have done the thing from which the injury arose had the misrepresentation not been made, and (5) the plaintiff actually suffered damages directly resulting from the misrepresentation.<sup>166</sup>

Mr. Henke has fallen short of his burden. First, Mr. Henke's journal entries show that he knew the Article was going to be a "human interest" story that focused on him and on "devising something new that is useful and the troubles you experience" in development.<sup>167</sup> There is no evidence that the Sun represented that it did not need proof of Mr. Henke's allegations. Moreover, the journal entries reflect that Mr. Dechter told Mr. Henke that writing about the Air Force discharge was a "key element of the story."<sup>168</sup> Mr. Henke's journal notes that, when talking about the possibility of attending his son's court date, Mr. Dechter assured Mr. Henke that he "wouldn't exploit [his son],"<sup>169</sup> but the journal also shows that he knew the Article would include reference to his son's problems.<sup>170</sup> As far as Mr. Dechter's failure to

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<sup>166</sup> *Charter Oak Fire Ins. Co. v. American Capital, Ltd.*, No. Civ.A. DKC 09-0100, 2011 WL 856374, \*11 (D. Md. Mar. 9, 2011) (quoting *Swinson v. Lords Landing Vill. Condo.*, 360 Md. 462, 476, 758 A.2d 1008 (Md. 2000)).

<sup>167</sup> Journal Entry February 10, 2007. Henke Ex. 7 at 36.

<sup>168</sup> Journal Entry May 4, 2007. Henke Ex. 7 at 48.

<sup>169</sup> Journal Entry April 20, 2007. Henke Ex. 7 at 37.

<sup>170</sup> Journal Entry October 5, 2007. Henke Ex. 7 at 57. Mr. Dechter also testified that the newspaper was sensitive about including information about juveniles in stories and that the Article followed those policies and procedures when writing about Mr. Henke's son. Tr. 7/2/2019 at 53:8 – 53:24.

mention his affiliation with the University, the Sun had no duty to disclose that information and it is neither relevant nor material.<sup>171</sup>

The Court concludes that Mr. Henke failed to prove that Mr. Dechter or the Sun made any fraudulent misrepresentations to Mr. Henke. And, as discussed above, Mr. Henke has not proven that he suffered any damages as a result of any alleged misrepresentation.

Mr. Henke does not allege a separate cause of action for stalking, but to the extent that it is relevant to the defamation or misrepresentation claims, the Court finds that the Sun did not stalk or attempt to intimidate him. To prove his point, Mr. Henke produced a stack of newspaper clippings from the Sun dating back to 1990 that, he alleges, each refer to a person, place, or thing that is related to him in one way or another. Many articles mention acquaintances of the Henke family. Others reference San Francisco, where the Henkes had gone on a trip. In one instance, the author cites to a Mr. Robert I. Henkin. To the extent these articles track Mr. Henke, the Court finds it is simply a coincidence. It is clear that the Sun did not try to intimidate Mr. Henke and there is no indication whatsoever that anyone stalked him.<sup>172</sup>

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<sup>171</sup> *Rhee v. Highland Dev. Corp.*, 182 Md. App. 516, 524, 958 A.2d 385, 389 (Md. App. 2008) (holding that the elements of fraudulent concealment under Maryland law are (1) a duty to disclose a material fact, (2) failure to disclose, (3) intent to defraud, (4) reliance, and (5) damages).

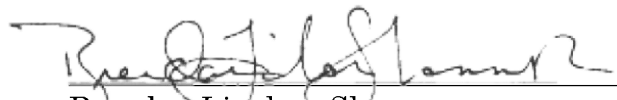
<sup>172</sup> At trial, Mr. Henke stated that he concluded that the articles were evidence of stalking long after they were published. If he was intimidated, it was only in retrospect.

**VI. CONCLUSION**

For the reasons stated above, the Court sustains the Debtors' objection to Mr. Henke's claims. The Debtors' counsel is requested to submit a proposed form of order consistent with the foregoing with fourteen days of the date hereof.<sup>173</sup>

Dated: March 3, 2020  
Wilmington, Delaware

FOR THE COURT:

  
Brendan Linehan Shannon  
United States Bankruptcy Judge

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<sup>173</sup> As discussed in n. 24, *supra.*, Mr. Henke's evidentiary submissions include the Henke Evidentiary Materials. The Court represented to Mr. Henke that the original Henke Evidentiary Materials would be returned to him following the issuance of this Opinion. The Court will either make these materials available to Mr. Henke to be picked up from the Bankruptcy Court or will direct the Debtors to pay to ship the Henke Evidentiary Materials back to him, at Mr. Henke's election. The parties should confer and include Mr. Henke's election as part of the Order to be provided to the Court by Debtors' counsel.

United States Bankruptcy Court  
District of DelawareIn re:  
Tribune Media Company, et al.  
DebtorCase No. 08-13141-BLS  
Chapter 11**CERTIFICATE OF NOTICE**

District/off: 0311-1

User: Sherry  
Form ID: pdfgenPage 1 of 28  
Total Noticed: 1

Date Rcvd: Mar 04, 2020

Notice by first class mail was sent to the following persons/entities by the Bankruptcy Noticing Center on Mar 06, 2020.

#+Robert Henke, 4104 Hearthside Drive, Apt. 101, Wilmington, NC 28412-8385

Notice by electronic transmission was sent to the following persons/entities by the Bankruptcy Noticing Center.  
NONE. TOTAL: 0

\*\*\*\*\* BYPASSED RECIPIENTS \*\*\*\*\*

NONE.

TOTAL: 0

Addresses marked '+' were corrected by inserting the ZIP or replacing an incorrect ZIP.  
USPS regulations require that automation-compatible mail display the correct ZIP.

Transmission times for electronic delivery are Eastern Time zone.

Addresses marked '#' were identified by the USPS National Change of Address system as requiring an update.  
While the notice was still deliverable, the notice recipient was advised to update its address with the court immediately.**I, Joseph Speetjens, declare under the penalty of perjury that I have sent the attached document to the above listed entities in the manner shown, and prepared the Certificate of Notice and that it is true and correct to the best of my information and belief.****Meeting of Creditor Notices only (Official Form 309): Pursuant to Fed. R. Bank. P. 2002(a)(1), a notice containing the complete Social Security Number (SSN) of the debtor(s) was furnished to all parties listed. This official court copy contains the redacted SSN as required by the bankruptcy rules and the Judiciary's privacy policies.**

Date: Mar 06, 2020

Signature: /s/Joseph Speetjens**CM/ECF NOTICE OF ELECTRONIC FILING**

The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system on March 4, 2020 at the address(es) listed below:

ANDREW GARY LIPKIN on behalf of Defendant	NEW YORK CITY DEFERRED COMPENSATION PLAN
alipkin@law.nyc.gov, andrewlipkin@msn.com	
ANDREW GARY LIPKIN on behalf of Defendant	NYC EMPLOYEES RETIREMENT SYSTEM alipkin@law.nyc.gov,
andrewlipkin@msn.com	
ANDREW GARY LIPKIN on behalf of Defendant	BOARD OF EDUCATION NEW YORK CITY RETIREMENT SYSTEMS
alipkin@law.nyc.gov, andrewlipkin@msn.com	
ANDREW GARY LIPKIN on behalf of Defendant	TEACHERS' RETIREMENT SYSTEM OF THE CITY OF NEW YORK
alipkin@law.nyc.gov, andrewlipkin@msn.com	
Aaron L. Hammer on behalf of Other Prof.	Mercer (US) Inc. ahammer@hmblaw.com,
ecfnofices@hmblaw.com;ndelman@hmblaw.com	
Aaron L. Hammer on behalf of Defendant	David P. Murphy ahammer@hmblaw.com,
ecfnofices@hmblaw.com;ndelman@hmblaw.com	
Adam Hiller on behalf of Interested Party	James Allen ahiller@adamhillerlaw.com
Adam Hiller on behalf of Interested Party	Gary Grant ahiller@adamhillerlaw.com
Adam Hiller on behalf of Interested Party	Charles Evans ahiller@adamhillerlaw.com
Adam Hiller on behalf of Interested Party	Pearl Evans ahiller@adamhillerlaw.com
Adam Hiller on behalf of Interested Party	The Retirement Claimants ahiller@adamhillerlaw.com
Adam Hiller on behalf of Interested Party	TM Retirees ahiller@adamhillerlaw.com
Adam Hiller on behalf of Interested Party	Sean Serrao ahiller@adamhillerlaw.com
Adam Hiller on behalf of Interested Party	Loretta Grant ahiller@adamhillerlaw.com
Adam Hiller on behalf of Creditor	Minimum Wage Class Claimants ahiller@adamhillerlaw.com
Adam Hiller on behalf of Interested Party	Bill McNair ahiller@adamhillerlaw.com
Adam G. Landis on behalf of Plaintiff	Official Committee of Unsecured Creditors of Tribune
Company, on behalf of Tribune Company, et al.	landis@lrclaw.com,
brown@lrclaw.com;Ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com	
Adam G. Landis on behalf of Attorney	Landis Rath & Cobb LLP landis@lrclaw.com,
brown@lrclaw.com;Ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com	
Adam G. Landis on behalf of Plaintiff	The Official Committee of Unsecured Creditors of the
Tribune Company landis@lrclaw.com,	
brown@lrclaw.com;Ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com	
Adam G. Landis on behalf of Interested Party	OFFICIAL COMMITTEE OF UNSECURED CREDITORS
landis@lrclaw.com, brown@lrclaw.com;Ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com	
Adam G. Landis on behalf of Plaintiff	The Official Committee of Unsecured Creditors of
Tribune Company, on behalf of Tribune Company, et al.	landis@lrclaw.com,
brown@lrclaw.com;Ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com	
Adam L Hirsch on behalf of Interested Party	SuttonBrook Capital Management LP
adam.hirsch@srz.com, adam.hirsch@srz.com	
Adam Scott Moskowitz on behalf of Creditor	ASM Capital IV, L.P. asmcapital@aol.com

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Adam Scott Moskowitz	on behalf of Creditor	ASM SIP, L.P. asmcapital@aol.com
Adam Scott Moskowitz	on behalf of Creditor	ASM Capital, L.P. asmcapital@aol.com
Adam Scott Moskowitz	on behalf of Creditor	ASM Capital III, L.P. asmcapital@aol.com
Adolph F. Fellmeth	on behalf of Creditor	Anton/Bauer fred.fellmeth@vitecgroup.com
Adolph F. Fellmeth	on behalf of Creditor	Camera Dynamics, Inc. fred.fellmeth@vitecgroup.com
Adolph F. Fellmeth	on behalf of Creditor	Nucomm, Inc. fred.fellmeth@vitecgroup.com
Adolph F. Fellmeth	on behalf of Creditor	RF Central LLC fred.fellmeth@vitecgroup.com
Alan J. Stone	on behalf of Defendant	Geode Capital Management, LLC astone@milbank.com, aleblanc@milbank.com
Alan J. Stone	on behalf of Defendant	BNP Paribas Securities Corp. astone@milbank.com, aleblanc@milbank.com
Alan J. Stone	on behalf of Defendant	Fidelity Concord Street Trust astone@milbank.com, aleblanc@milbank.com
Alan J. Stone	on behalf of Defendant	UBS Securities Inc astone@milbank.com, aleblanc@milbank.com
Alan J. Stone	on behalf of Defendant	Fidelity Select Portfolios astone@milbank.com, aleblanc@milbank.com
Alan J. Stone	on behalf of Defendant	FMR LLC astone@milbank.com, aleblanc@milbank.com
Alan J. Stone	on behalf of Defendant	Fidelity Rutland Square Trust II astone@milbank.com, aleblanc@milbank.com
Alan J. Stone	on behalf of Witness	Deutsche Bank Securities, Inc. astone@milbank.com, aleblanc@milbank.com
Alan J. Stone	on behalf of Defendant	Fidelity Advisor Series I astone@milbank.com, aleblanc@milbank.com
Alan J. Stone	on behalf of Defendant	Fidelity Puritan Trust astone@milbank.com, aleblanc@milbank.com
Alan J. Stone	on behalf of Defendant	Fidelity Commonwealth Trust astone@milbank.com, aleblanc@milbank.com
Alan J. Stone	on behalf of Witness	Deutsche Bank Aktiengesellschaft astone@milbank.com, aleblanc@milbank.com
Alan J. Stone	on behalf of Defendant	Amalgamated Bank astone@milbank.com, aleblanc@milbank.com
Alan J. Stone	on behalf of Defendant	Fidelity Securities Fund astone@milbank.com, aleblanc@milbank.com
Alan Michael Root	on behalf of Interested Party	Samuel Zell aroot@archerlaw.com
Alan Michael Root	on behalf of Other Prof.	EGI-TRB LLC aroot@archerlaw.com
Alan Michael Root	on behalf of Other Prof.	EGI-TRB, L.L.C. and Samuel Zell aroot@archerlaw.com
Alexander R. Bilus	on behalf of Interested Party	Manufacturers Life Insurance Company
abilus@saul.com, bward@saul.com		
Alexander R. Bilus	on behalf of Interested Party	Oppenheimer Champion Income Fund
abilus@saul.com, bward@saul.com		
Alexander R. Bilus	on behalf of Interested Party	Capstone Asset Management Company
abilus@saul.com, bward@saul.com		
Alexander R. Bilus	on behalf of Interested Party	Vanguard Fenway Funds abilus@saul.com, bward@saul.com
Alexander R. Bilus	on behalf of Interested Party	Schwab Capital Trust abilus@saul.com, bward@saul.com
Alexander R. Bilus	on behalf of Interested Party	John Hancock Trust abilus@saul.com, bward@saul.com
Alexander R. Bilus	on behalf of Interested Party	Schwab 1000 Funds abilus@saul.com, bward@saul.com
Alexander R. Bilus	on behalf of Interested Party	Vanguard Tax-Managed Funds abilus@saul.com, bward@saul.com
Alexander R. Bilus	on behalf of Interested Party	Aegon/Transamerica Series Trust
abilus@saul.com, bward@saul.com		
Alexander R. Bilus	on behalf of Interested Party	Frank Russell Company abilus@saul.com, bward@saul.com
Alexander R. Bilus	on behalf of Interested Party	Transamerica Partners Funds Group II
abilus@saul.com, bward@saul.com		
Alexander R. Bilus	on behalf of Interested Party	Capstone Series Fund, Inc. abilus@saul.com, bward@saul.com
Alexander R. Bilus	on behalf of Interested Party	John Hancock Funds II abilus@saul.com, bward@saul.com
Alexander R. Bilus	on behalf of Interested Party	Pacific Select Fund abilus@saul.com, bward@saul.com
Alexander R. Bilus	on behalf of Interested Party	Vanguard Valley Forge Funds abilus@saul.com, bward@saul.com
Alexander R. Bilus	on behalf of Interested Party	Transamerica Partners Portfolios
abilus@saul.com, bward@saul.com		
Alexander R. Bilus	on behalf of Interested Party	Vanguard Institutional Index Funds
abilus@saul.com, bward@saul.com		
Alexander R. Bilus	on behalf of Interested Party	The Vanguard Group, Inc. abilus@saul.com, bward@saul.com
Alexander R. Bilus	on behalf of Interested Party	Vanguard Index Funds abilus@saul.com, bward@saul.com
Alexander R. Bilus	on behalf of Interested Party	Sun Capital Advisers Trust abilus@saul.com, bward@saul.com

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Alexander R. Bilus bward@saul.com	on behalf of Interested Party	Vanguard Whitehall Funds abilus@saul.com,
Alexander R. Bilus abilus@saul.com, bward@saul.com	on behalf of Interested Party	PIMCO Variable Insurance Trust
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	Schwab Annuity Portfolios abilus@saul.com,
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	MassMutual Select Funds abilus@saul.com,
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	Russell Investment Company abilus@saul.com,
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	John Hancock Funds III abilus@saul.com,
Alexander R. Bilus abilus@saul.com, bward@saul.com	on behalf of Interested Party	Transamerica Idex Mutual Fund
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	Vanguard World Funds abilus@saul.com,
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	MassMutual Premier Funds abilus@saul.com,
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	Vanguard Windsor Funds abilus@saul.com,
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	Schwab Investments abilus@saul.com,
Alexander R. Bilus abilus@saul.com, bward@saul.com	on behalf of Interested Party	Babson Capital Management LLC
Alexander R. Bilus abilus@saul.com, bward@saul.com	on behalf of Interested Party	Vanguard Variable Insurance Funds
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	Steward Fund, Inc. abilus@saul.com,
Alexander R. Bilus abilus@saul.com, bward@saul.com	on behalf of Interested Party	Oppenheimer Main Street Opportunity Fund
Alexander R. Bilus abilus@saul.com, bward@saul.com	on behalf of Interested Party	Oppenheimer Main Street Small Cap Fund
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	MML Series Investment Fund abilus@saul.com,
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	Harbor Fund abilus@saul.com, bward@saul.com
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	Vanguard Quantitative Funds abilus@saul.com,
Alexander R. Bilus abilus@saul.com, bward@saul.com	on behalf of Interested Party	American Independence Funds Trust
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	Clearwater Investment Trust abilus@saul.com,
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	John Hancock Bond Trust abilus@saul.com,
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	MMA Praxis Mutual Funds abilus@saul.com,
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	Vanguard Malvern Funds abilus@saul.com,
Alexander R. Bilus bward@saul.com	on behalf of Interested Party	Munder Series Trust abilus@saul.com,
Alexander R. Bilus	on behalf of Interested Party	PIMCO Funds abilus@saul.com, bward@saul.com
Alexander R. Bilus Limited abilus@saul.com, bward@saul.com	on behalf of Interested Party	MFC Global Investment Management (U.S.A.)
Alexander R. Bilus abilus@saul.com, bward@saul.com	on behalf of Interested Party	Transamerica Partners Funds Group
Alexander R. Bilus abilus@saul.com, bward@saul.com	on behalf of Interested Party	MML Series Investment Fund II
Alexander R. Bilus abilus@saul.com, bward@saul.com	on behalf of Interested Party	Lincoln Variable Insurance Products Trust
Alissa S. Wright alissa.wright@ag.ny.gov, stephanie.rosenberg@ag.ny.gov	on behalf of Defendant	NEW YORK STATE TEACHERS RET SYSTEM (NYSTRS)
Amanda Winfree Herrmann aherrmann@ashby-geddes.com	on behalf of Interested Party	Centerbridge Credit Advisors LLC
Amanda Winfree Herrmann aherrmann@ashby-geddes.com	on behalf of Interested Party	Aurelius Capital Management, LP
Amanda Winfree Herrmann aherrmann@ashby-geddes.com	on behalf of Interested Party	Akin Gump Strauss Hauer & Feld LLP
Amish R. Doshi	on behalf of Creditor	Oracle America, Inc. amish@doshilegal.com
Amit K. Trehan atrehan@mayerbrownrowe.com	on behalf of Interested Party	Barclays Bank PLC atrehan@mayerbrownrowe.com,
Amy D. Brown	on behalf of Interested Party	Chicago Tribune Company abrown@gsbblaw.com
Andrew Schouder andrew.schouder@bgllp.com	on behalf of Interested Party	Step One Credit Agreement Lenders
Andrew Glenn Devore andrew.devore@ropesgray.com	on behalf of Interested Party	TV Guide Online, Inc.
Andrew Glenn Devore andrew.devore@ropesgray.com	on behalf of Interested Party	TV Guide Online, LLC
Andrew N. Goldman andrew.goldman@wilmerhale.com, yolande.thompson@wilmerhale.com	on behalf of Interested Party	Angelo, Gordon & Co.

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Andrew S. Conway on behalf of Creditor Taubman Landlords Aconway@taubman.com  
 Angie M. Cowan on behalf of Interested Party International Association of Machinists Local 126 ("IAM Local 126") cowan@ask-attorneys.com  
 Anthony M. Saccullo on behalf of Attorney Anthony M. Saccullo ams@saccullolegal.com  
 Anthony M. Saccullo on behalf of Attorney Anthony M. Saccullo A.M. Saccullo Legal, LLC ams@saccullolegal.com  
 Anthony M. Saccullo on behalf of Defendant Timothy P. Knight ams@saccullolegal.com  
 Anthony M. Saccullo on behalf of Interested Party Timothy P. Knight ams@saccullolegal.com  
 Anthony M. Saccullo on behalf of Interested Party Timothy P. Knight ams@saccullolegal.com  
 Antranig N. Garibian on behalf of Defendant JP Morgan Chase Bank N.A. ag@garibianlaw.com, kb@garibianlaw.com  
 Antranig N. Garibian on behalf of Defendant Invesco Structured Core Fund agaribian@stradley.com, kb@garibianlaw.com  
 Antranig N. Garibian on behalf of Defendant PowerShares Exchange-Traded Fund Trust agaribian@stradley.com, kb@garibianlaw.com  
 Antranig N. Garibian on behalf of Defendant Dennis J. Fitzsimmons agaribian@stradley.com, kb@garibianlaw.com  
 Ari David Kunofsky on behalf of Creditor UNITED STATES OF AMERICA ari.d.kunofsky@usdoj.gov, eastern.taxcivil@usdoj.gov  
 Artemio C. Aranilla on behalf of Defendant SEI INSTITUTIONAL MANAGED TRUST LARGE CAP VALUE FUND acararilla@mdwcg.com, sekreps@mdwcg.com;efile@mdwcg.com;frparis@mdwcg.com  
 Arthur Steinberg on behalf of Interested Party General Motors Hourly-Rate Employee Pension Trust asteinberg@kslaw.com, jcmccullough@kslaw.com  
 Barbara Suzanne Mehlsack on behalf of Defendant PAVERS & ROAD BUILDERS PENSION FUND bmehlsack@gkllaw.com, rlynch@gkllaw.com  
 Barbara Suzanne Mehlsack on behalf of Defendant LABORERS NATIONAL PENSION FUND bmehlsack@gkllaw.com, rlynch@gkllaw.com  
 Barbara Suzanne Mehlsack on behalf of Interested Party Pavers and Road Builders District Council Pension Fund bmehlsack@gkllaw.com, rlynch@gkllaw.com  
 Barbara Suzanne Mehlsack on behalf of Interested Party LABORERS NATIONAL PENSION FUND bmehlsack@gkllaw.com, rlynch@gkllaw.com  
 Benjamin W. Keenan on behalf of Interested Party Aurelius Capital Management, LP bkeenan@ashbygeddes.com, bkeenan@ashbygeddes.com  
 Brain E. Martin on behalf of Defendant CATHOLIC UNITED INVESTMENT TRUST bmartin@stamostrucco.com  
 Brian A. Sullivan on behalf of Interested Party Wells Fargo Bank, N.A., as successor administrative agent bsullivan@werbsullivan.com, hbelair@werbsullivan.com  
 Brian A. Sullivan on behalf of Interested Party Wells Fargo Bank, N.A. as Administrative Agent under the Bridge Credit Agreement bsullivan@werbsullivan.com, hbelair@werbsullivan.com  
 Brian E. Lutness on behalf of Creditor Marcia Willette brain@silverman-mcdonald.com  
 Brian G. Esders on behalf of Creditor Truck Drivers & Helpers Local 355 and Health & Welfare Fund & Truck Drivers and Helpers Local 355 Pension Fund besders@abato.com  
 Brian L. Arban on behalf of Attorney Brian Arban barban@hillerarban.com  
 Brian M Rostocki on behalf of Defendant Dreyfus Stock Index Funds, Inc. brostocki@reedsmith.com, bankruptcy-2628@ecf.pacerpro.com  
 Brian M Rostocki on behalf of Defendant Dreyfus Variable Investment Funds brostocki@reedsmith.com, bankruptcy-2628@ecf.pacerpro.com  
 Brian M Rostocki on behalf of Defendant The Bank of New York Trust Company, N.A. brostocki@reedsmith.com, bankruptcy-2628@ecf.pacerpro.com  
 Brian M Rostocki on behalf of Interested Party Pershing LLC brostocki@reedsmith.com, bankruptcy-2628@ecf.pacerpro.com  
 Brian M Rostocki on behalf of Defendant Strategic Funds, Inc. brostocki@reedsmith.com, bankruptcy-2628@ecf.pacerpro.com  
 Brian M Rostocki on behalf of Defendant BNY Hamilton Funds Inc. brostocki@reedsmith.com, bankruptcy-2628@ecf.pacerpro.com  
 Brian M Rostocki on behalf of Defendant The Dreyfus/Laurel Funds, Inc. brostocki@reedsmith.com, bankruptcy-2628@ecf.pacerpro.com  
 Brian M Rostocki on behalf of Defendant Dreyfus Premier Manager Funds II brostocki@reedsmith.com, bankruptcy-2628@ecf.pacerpro.com  
 Brian M Rostocki on behalf of Defendant Dreyfus Index Funds, Inc. brostocki@reedsmith.com, bankruptcy-2628@ecf.pacerpro.com  
 Brian T. Carney on behalf of Interested Party Aurelius Capital Management, LP bcarney@akingump.com, nymco@akingump.com  
 Bruce E. Jameson, ESQ on behalf of Interested Party MassMutual Premier Funds Bejameson@prickett.com  
 Bruce E. Jameson, ESQ on behalf of Interested Party Munder Series Trust Bejameson@prickett.com  
 Bruce E. Jameson, ESQ on behalf of Interested Party Clearwater Investment Trust Bejameson@prickett.com  
 Bruce E. Jameson, ESQ on behalf of Interested Party Stewart Funds, Inc. Bejameson@prickett.com  
 Bruce E. Jameson, ESQ on behalf of Interested Party Capstone Series Fund, Inc. Bejameson@prickett.com  
 Bruce E. Jameson, ESQ on behalf of Interested Party Sun Capital Advisers Trust Bejameson@prickett.com  
 Bruce E. Jameson, ESQ on behalf of Interested Party PIMCO Funds Bejameson@prickett.com  
 Bruce E. Jameson, ESQ on behalf of Interested Party Russell Investment Company Bejameson@prickett.com  
 Bruce E. Jameson, ESQ on behalf of Interested Party MML Series Investment Fund Bejameson@prickett.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Bruce E. Jameson, ESQ	on behalf of Interested Party	Steward Fund, Inc. Bejameson@prickett.com
Bruce E. Jameson, ESQ	on behalf of Interested Party	Schwab Annuity Portfolios
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Schwab 1000 Funds Bejameson@prickett.com
Bruce E. Jameson, ESQ	on behalf of Interested Party	MML Series Investment Fund II
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Schwab Investments Bejameson@prickett.com
Bruce E. Jameson, ESQ	on behalf of Interested Party	Pacific Select Fund Bejameson@prickett.com
Bruce E. Jameson, ESQ	on behalf of Interested Party	Transamerica Partners Portfolios
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Vanguard Fenway Funds
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	The Vanguard Group, Inc.
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Vanguard Malvern Funds
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	American Independence Funds Trust
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	MMA Praxis Mutual Funds
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Schwab Capital Trust
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Capstone Asset Management Company
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	PIMCO Variable Insurance Trust
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Babson Capital Management LLC
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	John Hancock Funds III
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	MFC Global Investment Management (U.S.A.)
Limited Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Oppenheimer Main Street Opportunity Fund
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Manufacturers Life Insurance Company
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Harbor Funds Bejameson@prickett.com
Bruce E. Jameson, ESQ	on behalf of Interested Party	Frank Russell Company
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Transamerica Idex Mutual Fund
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Vanguard Windsor Funds
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Vanguard Whitehall Funds
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Oppenheimer Champion Income Fund
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Vanguard World Funds
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Lincoln Variable Insurance Products Trust
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Vanguard Valley Forge Funds
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Vanguard Tax-Managed Funds
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	John Hancock Trust Bejameson@prickett.com
Bruce E. Jameson, ESQ	on behalf of Interested Party	John Hancock Bond Trust
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Transamerica Partners Funds Group
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Transamerica Partners Funds Group II
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	MassMutual Select Funds
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Vanguard Variable Insurance Funds
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	John Hancock Funds II
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Aegon/Transamerica Series Trust
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Vanguard Institutional Index Funds
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Oppenheimer Main Street Small Cap Fund
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Vanguard Quantitative Funds
Bejameson@prickett.com		
Bruce E. Jameson, ESQ	on behalf of Interested Party	Harbor Fund Bejameson@prickett.com
Camela J. Chapman	on behalf of Interested Party	Howard County, Maryland cchapman@co.ho.md.us



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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Carol E. Momjian on behalf of Creditor Commonwealth of PA, Department of Revenue  
cmomjian@attorneygeneral.gov

Carol E. Momjian on behalf of Creditor PA Department of Revenue cmomjian@attorneygeneral.gov

Caroline R. Djang on behalf of Creditor LIT Finance, LP caroline.djang@bbkllaw.com,  
evelyn.gomez@bbkllaw.com;sansanee.wells@bbkllaw.com

Catherine Steege on behalf of Other Prof. EGI-TRB, L.L.C. and Samuel Zell csteegie@jenner.com,  
docketing@jenner.com;dixson@jenner.com;mroot@jenner.com;WHughes@jenner.com

Charles J. Brown on behalf of Creditor Jewel Food Store cbrown@gsbblaw.com

Charles J. Brown on behalf of Creditor Iron Mountain Information Management, Inc.  
cbrown@gsbblaw.com

Christina M. Thompson on behalf of Creditor DMD Special Situations Funding LLC  
cthompson@connollygallagher.com

Christopher A. Ward on behalf of Interested Party Channing Capital Management  
cward@polsinelli.com, LSuprum@Polsinelli.com;delawaredocketing@polsinelli.com

Christopher A. Ward on behalf of Interested Party Daniel Kazan cward@polsinelli.com,  
LSuprum@Polsinelli.com;delawaredocketing@polsinelli.com

Christopher A. Ward on behalf of Interested Party Schultze Asset Management, LLC  
cward@polsinelli.com, LSuprum@Polsinelli.com;delawaredocketing@polsinelli.com

Christopher Dean Loizides on behalf of Attorney Loizides PA loizides@loizides.com

Christopher Dean Loizides on behalf of Interested Party Brigade Capital Management  
loizides@loizides.com

Christopher J. Giaimo on behalf of Creditor Tirschwell & Loewy, Inc. cgiaimo@clarkhill.com

Christopher M. Samis on behalf of Interested Party TV Guide Online, LLC  
csamis@potteranderson.com,  
cglobbe@potteranderson.com;lhuber@potteranderson.com;bankruptcy@potteranderson.com;lhuber@pottera  
nderson.com

Christopher M. Samis on behalf of Interested Party TV Guide Online, Inc.  
csamis@potteranderson.com,  
cglobbe@potteranderson.com;lhuber@potteranderson.com;bankruptcy@potteranderson.com;lhuber@pottera  
nderson.com

Christopher Page Simon on behalf of Interested Party JPMorgan Chase Bank, N.A.  
csimon@crosslaw.com, smacdona@d@crosslaw.com

Christopher Page Simon on behalf of Defendant J.P. Morgan Securities Inc. csimon@crosslaw.com,  
smacdona@d@crosslaw.com

Christopher Page Simon on behalf of Interested Party JPMorgan Securities Inc.  
csimon@crosslaw.com, smacdona@d@crosslaw.com

Christopher Page Simon on behalf of Interested Party Washington-Baltimore Newspaper Guild,  
Local 32035, TNG-CWA csimon@crosslaw.com, smacdona@d@crosslaw.com

Christopher Page Simon on behalf of Defendant JP Morgan Chase Bank, N.A., individually and as  
Administrative Agent csimon@crosslaw.com, smacdona@d@crosslaw.com

Christopher R. Belmonte on behalf of Defendant International Business Machines Corporation,  
Stichting Pensioenfond IBM Nederland, sued herein as IBM Netherlands MSCI US cbelmonte@ssbb.com,  
pbosswick@ssbb.com,managingclerk@ssbb.com,asnow@ssbb.com

Christopher R. Belmonte on behalf of Defendant IBM Personal Pension Plan Trust  
cbelmonte@ssbb.com, pbosswick@ssbb.com,managingclerk@ssbb.com,asnow@ssbb.com

Christopher R. Belmonte on behalf of Defendant IBM Canada Limited, sued herein as IBM Canada  
cbelmonte@ssbb.com, pbosswick@ssbb.com,managingclerk@ssbb.com,asnow@ssbb.com

Christopher S. Chow on behalf of Creditor CCI Europe A/S chowc@ballardspahr.com

Claire P. Murphy on behalf of Defendant Chandler Bigelow cmurphy@sperling-law.com,  
lsands@sperling-law.com

Colm F. Connolly on behalf of Defendant New York State Common Retirement Fund  
cconnolly@morganlewis.com, lori.gibson@morganlewis.com

Colm F. Connolly on behalf of Interested Party New York State Common Retirement Fund  
cconnolly@morganlewis.com, lori.gibson@morganlewis.com

Curtis S. Miller on behalf of Creditor Comcast Cable Communications, LLC csmeffiling@mnat.com,  
curtis-miller-4921@ecf.pacerpro.com;meghan-leyh-4080@ecf.pacerpro.com;emily-malafronti-2874@ecf.p  
acerpro.com;glenn-reimann-6767@ecf.pacerpro.com

Curtis S. Miller on behalf of Interested Party The CW Network, LLC csmeffiling@mnat.com,  
curtis-miller-4921@ecf.pacerpro.com;meghan-leyh-4080@ecf.pacerpro.com;emily-malafronti-2874@ecf.p  
acerpro.com;glenn-reimann-6767@ecf.pacerpro.com

D. Ross Martin on behalf of Interested Party President and Fellows of Harvard College  
rmartin@ropesgray.com

D. Ross Martin on behalf of Interested Party Artis Capital Management, L.P.  
rmartin@ropesgray.com

D. Ross Martin on behalf of Interested Party Marcia Tingley rmartin@ropesgray.com

Dana S. Plon on behalf of Creditor Unisys Corporation dplon@sirlinlaw.com

Daniel Horowitz on behalf of Defendant Marshwinds Advisory Co. dhorowit@alumni.law.upenn.edu

Daniel R. Swetnam on behalf of Interested Party Ohio Public Employees Retirement System  
Daniel.Swetnam@icemiller.com, Deborah.Martin@icemiller.com

Daniel A. Shmikler on behalf of Defendant Chandler Bigelow dshmikler@sperling-law.com,  
cmurphy@sperling-law.com,sflorsheim@sperling-law.com

Daniel B. Rath on behalf of Plaintiff The Official Committee of Unsecured Creditors of the  
Tribune Company rath@lrclaw.com, rogers@lrclaw.com;Butler@lrclaw.com;Ramirez@lrclaw.com

Daniel B. Rath on behalf of Plaintiff The Official Committee of Unsecured Creditors of  
Tribune Company, on behalf of Tribune Company, et al. rath@lrclaw.com,  
rogers@lrclaw.com;Butler@lrclaw.com;Ramirez@lrclaw.com

Daniel K. Hogan on behalf of Interested Party Employee Compensation Defendants Group  
dkhogan@dkhogan.com, gdurstein@dkhogan.com,

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Daniel K. Hogan on behalf of Interested Party Anthony LaMantia dkhogan@dkhogan.com, gdurstein@dkhogan.com,  
Daniel K. Hogan on behalf of Interested Party Certain SLCFC Defendants (Charles R. Baugh and others similarly situated) dkhogan@dkhogan.com, gdurstein@dkhogan.com,  
David B. Stratton on behalf of Interested Party BANK OF AMERICA, N.A. strattond@pepperlaw.com, wlbank@pepperlaw.com, strattond@ecf.inforuptcy.com, wlbank@ecf.inforuptcy.com, smithda@pepperlaw.com, molitorm@pepperlaw.com  
David B. Stratton on behalf of Interested Party Banc of America Securities LLC strattond@pepperlaw.com, wlbank@pepperlaw.com, strattond@ecf.inforuptcy.com, wlbank@ecf.inforuptcy.com, smithda@pepperlaw.com, molitorm@pepperlaw.com  
David L. Buchbinder david.l.buchbinder@usdoj.gov, david.l.buchbinder@usdoj.gov  
David M. Powlen on behalf of Creditor Morgan Stanley & Co. LLC dpowlen@btlaw.com, pgroff@btlaw.com; Kathy.lytle@btlaw.com  
David M. Powlen on behalf of Creditor Morgan Stanley & Co., Inc. dpowlen@btlaw.com, pgroff@btlaw.com; Kathy.lytle@btlaw.com  
David M. Powlen on behalf of Defendant Morgan Stanley & Co. LLC dpowlen@btlaw.com, pgroff@btlaw.com; Kathy.lytle@btlaw.com  
David M. Powlen on behalf of Defendant Morgan Stanley Smith Barney LLC dpowlen@btlaw.com, pgroff@btlaw.com; Kathy.lytle@btlaw.com  
David M. Zensky on behalf of Trustee Litigation Trustee dzensky@akingump.com, nymco@akingump.com; AGSearch-Lit@akingump.com  
David M. Zensky on behalf of Interested Party Aurelius Capital Management, LP dzensky@akingump.com, nymco@akingump.com; AGSearch-Lit@akingump.com  
David S. Leinwand on behalf of Creditor Avenue Blue TC Fund, LP dleinwand@amroc.com  
David S. Leinwand on behalf of Creditor Avenue TC Fund, L.P. dleinwand@amroc.com  
David W. Carickhoff on behalf of Other Prof. EGI-TRB LLC dcarickhoff@archerlaw.com, DE20@ecfcbis.com  
David W. Carickhoff on behalf of Other Prof. EGI-TRB, L.L.C. and Samuel Zell dcarickhoff@archerlaw.com, DE20@ecfcbis.com  
David W. Carickhoff on behalf of Interested Party Samuel Zell dcarickhoff@archerlaw.com, DE20@ecfcbis.com  
David W. Carickhoff on behalf of Spec. Counsel Jenner Block LLP dcarickhoff@archerlaw.com, DE20@ecfcbis.com  
David William Reimann on behalf of Creditor Majestic Realty Co., Yorba Park I, LLC, Yorba Park Sub, LLC dreimann@reimannlawgroup.com  
Denis C. Dice, Esq. on behalf of Defendant SEI Large Cap Value Fund ddice@mdwcg.com  
Dennis A. Meloro on behalf of Interested Party Aurelius Capital Management, LP melorod@gtlaw.com, bankruptcydel@gtlaw.com; melorod@gtlaw.com; dellitdock@gtlaw.com  
Dennis A. Meloro on behalf of Interested Party Lauderdale River, Inc. melorod@gtlaw.com, bankruptcydel@gtlaw.com; melorod@gtlaw.com; dellitdock@gtlaw.com  
Dennis A. Meloro on behalf of Creditor Hamdon Entertainment melorod@gtlaw.com, bankruptcydel@gtlaw.com; melorod@gtlaw.com; dellitdock@gtlaw.com  
Derek C. Abbott on behalf of Interested Party Sandelman Finance 2006-1 Ltd. dabbott@mnat.com, megan-leyh-4080@ecf.pacerpro.com; emily-malafronti-2874@ecf.pacerpro.com; glenn-reimann-6767@ecf.pacerpro.com  
Devon J. Eggert on behalf of Other Prof. Mercer (US) Inc. deggert@bcblaw.net, pfoster@bcblaw.net  
Devon J. Eggert on behalf of Creditor David P. Murphy deggert@bcblaw.net, pfoster@bcblaw.net  
Devon J. Eggert on behalf of Creditor James L. Ellis deggert@bcblaw.net, pfoster@bcblaw.net  
Donald L. Gouge, Jr. on behalf of Interested Party International Brotherhood of Electrical Workers AFL-CIO Local 4 dgouge@gougelaw.com, lmartin@gougelaw.com  
Donna L. Harris on behalf of Interested Party Federal Insurance Company dharris@phw-law.com  
Douglas R. Gonzales on behalf of Creditor City of Dania Beach, FL dgonzales@wsh-law.com  
Douglas R. Gonzales on behalf of Creditor City of Miramar, Florida dgonzales@wsh-law.com  
Duane David Werb on behalf of Creditor Keith Younge maustria@werbsullivan.com; riorii@werbsullivan.com  
Duane David Werb on behalf of Defendant Beverly Mackintosh Trustee maustria@werbsullivan.com; riorii@werbsullivan.com  
Duane David Werb on behalf of Defendant RegentAtlantic Capital, LLC maustria@werbsullivan.com; riorii@werbsullivan.com  
Edmon L. Morton on behalf of Interested Party Credit Agreement Lenders bankfilings@ycst.com  
Edward Patrick O'Brien on behalf of Creditor SLG 200 News Owner LLC ebrien@sbchlaw.com  
Elaine Conway Becraft on behalf of Attorney Constellation NewEnergy, Inc. hforrest@jw.com; kcavazos@jw.com  
Elaine M Seid on behalf of Creditor Diablo Investment Co. emseid@mstpartners.com  
Elihu Ezekiel Allinson, III on behalf of Creditor Wilmington Trust Company ZAllinson@SHA-LLC.com, ecf@williamsdullivanllc.com; hcoleman@sha-llc.com  
Epiq Corporate Restructuring, LLC nmrodriguez@epiqsystems.com  
Eric D. Boyle on behalf of Defendant Lutheran Brotherhood eboyle@fandpnet.com, acole@fandpnet.com  
Eric D. Boyle on behalf of Defendant Advantus Capitol Managment, Inc. eboyle@fandpnet.com, acole@fandpnet.com  
Eric D. Boyle on behalf of Defendant Minnesota Life Insurance Co. eboyle@fandpnet.com, acole@fandpnet.com  
Eric D. Boyle on behalf of Defendant Lutheran Brotherhood (Thrivent Fin for Lutherans) eboyle@fandpnet.com, acole@fandpnet.com  
Eric D. Boyle on behalf of Defendant Thrivent Series Fund Inc. eboyle@fandpnet.com, acole@fandpnet.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Eric D. Boyle on behalf of Defendant Advantus Series Fund, Inc. Index 500 Portfolio  
eboyle@fandpnet.com, acole@fandpnet.com

Eric D. Boyle on behalf of Defendant Thrivent Mutual Funds eboyle@fandpnet.com,  
acole@fandpnet.com

Eric D. Boyle on behalf of Defendant Advantus Series Fund Inc eboyle@fandpnet.com,  
acole@fandpnet.com

Eric Lopez Schnabel on behalf of Creditor Caption Colorado, L.L.C. de.ecf@Dorsey.com

Eric Michael Suttty on behalf of Interested Party Bridge Agent ems@elliottgreenleaf.com

Eric Michael Suttty on behalf of Interested Party Bridge Proponents ems@elliottgreenleaf.com

Eric Michael Suttty on behalf of Interested Party King Street Acquisition Company, L.L.C.  
ems@elliottgreenleaf.com

Eric Michael Suttty on behalf of Interested Party Wells Fargo Bank, N.A. as Administrative  
Agent under the Bridge Credit Agreement ems@elliottgreenleaf.com

Eric Michael Suttty on behalf of Interested Party Marathon Asset Management, L.P.  
ems@elliottgreenleaf.com

Eric Michael Suttty on behalf of Interested Party Wells Fargo Bank, N.A., as successor  
administrative agent ems@elliottgreenleaf.com

Eric Michael Suttty on behalf of Interested Party King Street Capital, L.P.  
ems@elliottgreenleaf.com

Eric R. Wilson on behalf of Creditor TeleRep, LLC  
KDWBankruptcyDepartment@kelleydrye.com; MVicinanza@ecf.inforuptcy.com

Ericka Fredricks Johnson on behalf of Interested Party Zurich American Insurance Company  
Ericka.johnson@wbd-us.com, Heidi.sasso@wbd-us.com; chris.lewis@wbd-us.com

Eva H. Vlachynsky on behalf of Creditor Missouri Department of Revenue deecf@dor.mo.gov

Evelyn J. Meltzer on behalf of Defendant WT Mutual Fund meltzere@pepperlaw.com,  
wlbank@pepperlaw.com; smithda@pepperlaw.com; wlbank@ecf.inforuptcy.com; meltzere@ecf.inforuptcy.com;  
molitorm@pepperlaw.com; hardinp@pepperlaw.com

Evelyn J. Meltzer on behalf of Interested Party Bank of America, N.A. meltzere@pepperlaw.com,  
wlbank@pepperlaw.com; smithda@pepperlaw.com; wlbank@ecf.inforuptcy.com; meltzere@ecf.inforuptcy.com;  
molitorm@pepperlaw.com; hardinp@pepperlaw.com

Evelyn J. Meltzer on behalf of Interested Party Banc of America Securities, LLC  
meltzere@pepperlaw.com,  
wlbank@pepperlaw.com; smithda@pepperlaw.com; wlbank@ecf.inforuptcy.com; meltzere@ecf.inforuptcy.com;  
molitorm@pepperlaw.com; hardinp@pepperlaw.com

Frances Gecker on behalf of Interested Party Employee Compensation Defendants Group  
fgecker@fgllp.com, csmith@fgllp.com

Frank A. Anderson on behalf of Creditor Pension Benefit Guaranty Corporation  
anderson.frank@pbgc.gov, efile@pbgc.gov

Frank F. McGinn on behalf of Creditor Iron Mountain Information Management, Inc.  
ffm@bostonbusinesslaw.com

Frederick Brian Rosner on behalf of Interested Party Intelsat Corporation  
rosner@teamrosner.com

Frederick Brian Rosner on behalf of Creditor Steven Gellman rosner@teamrosner.com

Garvan F. McDaniel on behalf of Other Prof. Kasowitz Benson Torres LLP gfmcdaniel@dkhogan.com,  
gdurstein@dkhogan.com

Garvan F. McDaniel on behalf of Interested Party Davidson Kempner Capital Management LLC  
gfmcdaniel@dkhogan.com, gdurstein@dkhogan.com

Garvan F. McDaniel on behalf of Interested Party Law Debenture Trust Company of New York  
gfmcdaniel@dkhogan.com, gdurstein@dkhogan.com

George R. Mesires on behalf of Interested Party KTR South Florida LLC grmesires@uhlaw.com

George T. Lees, III on behalf of Creditor Schur Packaging Systems, Inc.  
George.Lees@state.de.us, Dawn.Pillarelli@state.de.us; Jennifer.Mitchell@state.de.us

Glen Silverstein on behalf of Creditor Morgan Stanley & Co., Inc.  
gsilverstein@leaderberkon.com

Gregg R. Hague on behalf of Defendant Chandler Bigelow grh@sperling-law.com

Gregg R. Hague on behalf of Interested Party Chandler Bigelow grh@sperling-law.com

Gregory W. Werkheiser on behalf of Interested Party Wells Fargo Variable Trust  
gwerkheiser@beneschlaw.com, debankruptcy@beneschlaw.com

Gregory W. Werkheiser on behalf of Interested Party AG Edwards, Inc.  
gwerkheiser@beneschlaw.com, debankruptcy@beneschlaw.com

Gregory W. Werkheiser on behalf of Interested Party Wells Fargo Funds Trust  
gwerkheiser@beneschlaw.com, debankruptcy@beneschlaw.com

Gregory W. Werkheiser on behalf of Interested Party Wells Fargo Investments. LLC  
gwerkheiser@beneschlaw.com, debankruptcy@beneschlaw.com

Gregory W. Werkheiser on behalf of Interested Party Evergreen Equity Trust  
gwerkheiser@beneschlaw.com, debankruptcy@beneschlaw.com

Gregory W. Werkheiser on behalf of Interested Party Wells Fargo Bank, N.A.  
gwerkheiser@beneschlaw.com, debankruptcy@beneschlaw.com

Gregory W. Werkheiser on behalf of Interested Party Wells Fargo & Co.  
gwerkheiser@beneschlaw.com, debankruptcy@beneschlaw.com

Gregory W. Werkheiser on behalf of Interested Party Wachovia Corp. gwerkheiser@beneschlaw.com,  
debankruptcy@beneschlaw.com

Gregory W. Werkheiser on behalf of Interested Party Evergreen Select Equity Trust  
gwerkheiser@beneschlaw.com, debankruptcy@beneschlaw.com

Gregory W. Werkheiser on behalf of Interested Party Wells Fargo Master Trust  
gwerkheiser@beneschlaw.com, debankruptcy@beneschlaw.com

Helen Elizabeth Weller on behalf of Creditor Tarrant County dallas.bankruptcy@publicans.com,  
Beth.weller@lgbs.com; Dora.Casiano-Perez@lgbs.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Helen Elizabeth Weller on behalf of Creditor Dallas County dallas.bankruptcy@publicans.com,  
Beth.weller@lgbs.com;Dora.Casiano-Perez@lgbs.com  
Holly M Smith on behalf of Trustee Marc S. Kirschner, as Litigation Trustee  
HMeganSmith@gsbblaw.com  
Howard A. Cohen on behalf of Interested Party Douglas C. Lane & Associates, Inc.  
hcohen@gibbonslaw.com  
Hugh H. Shull, III on behalf of Defendant New York City Defendants hugh@law.nyc.gov  
Ian Connor Bifferato on behalf of Defendant Walter Roche, Jr. cbifferato@bifferato.com,  
mstewart@tbf.legal  
Ian Connor Bifferato on behalf of Defendant Myron Levin cbifferato@bifferato.com,  
mstewart@tbf.legal  
Ian Connor Bifferato on behalf of Defendant Dan Neil cbifferato@bifferato.com,  
mstewart@tbf.legal  
Ian Connor Bifferato on behalf of Defendant Julie Makinen cbifferato@bifferato.com,  
mstewart@tbf.legal  
Ian Connor Bifferato on behalf of Defendant Henry Weinstein cbifferato@bifferato.com,  
mstewart@tbf.legal  
Ian Connor Bifferato on behalf of Interested Party Dan Neil, Corie Brown, Henry Weinstein,  
Walter Roche, Jr., Myron Levin, and Julie Makinen, individuals, on behalf of themselves and on  
behalf of all others similarly situated cbifferato@tbf.legal, mstewart@tbf.legal  
Ian Connor Bifferato on behalf of Defendant Corie Brown cbifferato@bifferato.com,  
mstewart@tbf.legal  
Ileana M. Hernandez on behalf of Interested Party California State Teachers' Retirement  
System ihernandez@manatt.com  
Ira M. Levee on behalf of Creditor I.B.E.W. Local 103 Trust Fund ilevee@lowenstein.com,  
krosen@lowenstein.com  
Ira M. Levee on behalf of Creditor Louisiana State Employees' Retirement System  
ilevee@lowenstein.com, krosen@lowenstein.com  
Ivan Lerer Kallick on behalf of Interested Party California State Teachers' Retirement System  
ikallick@manatt.com  
J Jackson Shrum on behalf of Creditor Keith Younge jshrum@jshrumlaw.com  
J. Kate Stickles on behalf of Fee Examiner Stuart Maue kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com  
J. Kate Stickles on behalf of Debtor The Baltimore Sun Company kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com  
J. Kate Stickles on behalf of Debtor Greenco, Inc. kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com  
J. Kate Stickles on behalf of Debtor Tribune Direct Marketing, Inc. kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com  
J. Kate Stickles on behalf of Debtor Magic T Music Publishing Company  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com  
J. Kate Stickles on behalf of Debtor WPIX, Inc. kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com  
J. Kate Stickles on behalf of Debtor Tribune Media Company, et al. kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com  
J. Kate Stickles on behalf of Debtor Chicago Avenue Construction Company  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com  
J. Kate Stickles on behalf of Plaintiff Tribune Company, et al. kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com  
J. Kate Stickles on behalf of Plaintiff Tribune Publishing Company kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com  
J. Kate Stickles on behalf of Debtor Baltimore Newspaper Networks, Inc.  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com  
J. Kate Stickles on behalf of Debtor Chicagoland Publishing Company kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com  
J. Kate Stickles on behalf of Plaintiff Tribune Company kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com  
J. Kate Stickles on behalf of Debtor Tribune Finance Service Center, Inc.  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

J. Kate Stickles on behalf of Debtor Tribune Television Company kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor New River Center Maintenance Association, Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor TMS Entertainment Guides, Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Interested Party Debtor/Committee/Lender Plan Proponents kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Distribution Systems of America, Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor The Hartford Courant Company kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Plaintiff The Hartford Courant Company kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Plaintiff WGN Continental Broadcasting Company kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Attorney Cole Schotz P.C. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Plaintiff Tribune Direct Marketing, Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Towering T Music Publishing Company kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor WCWN LLC kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Tribune CNLBC, LLC kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Plaintiff Chicagoland Television News, Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Interested Party Tribune Broadcasting Company kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Southern Connecticut Newspapers, Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Tribune Los Angeles, Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Plaintiff CHICAGO TRIBUNE COMPANY, INC. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Chicago Tribune Company kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor JuliusAir Company, LLC kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor KSWB Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor 435 Production Company kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Newspaper Readers Agency, Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

J. Kate Stickles on behalf of Debtor Courant Specialty Products, Inc.  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Neocomm, Inc. kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor KWGN Inc. kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor TMLH 2, Inc. kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor InsertCo, Inc. kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor ForSaleByOwner.com Referral Services, LLC  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Plaintiff Los Angeles Times Communications LLC  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Tribune Broadcasting Company kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Orlando Sentinel Communications Company  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Los Angeles Times International, Ltd.  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Plaintiff Tribune Company [and applicable Reorganized  
Debtor(s)] kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Tribune Network Holdings Company  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor WGN Continental Broadcasting Company  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Plaintiff Tribune Media Services, Inc. kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Tribune Broadcasting News Network, Inc.  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor KIAH Inc. kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Homeowners Realty, Inc. kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor North Orange Avenue Properties, Inc.  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Chicago Tribune Press Service, Inc.  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Stemweb, Inc. kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Times Mirror Payroll Processing Company, Inc.  
kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Forum Publishing Group, Inc. kstickles@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@colesch  
otz.com;jford@coleschotz.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

J. Kate Stickles on behalf of Debtor The Other Company LLC kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Valumail, Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Tribune Television New Orleans, Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Candle Holdings Corporation kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Plaintiff Magic T Music Publishing Company kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Tribune Entertainment Production Company kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Tribune Company, et al. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Virginia Gazette Companies, LLC kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Publishers Forest Products Co. of Washington kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Tribune NM, Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Tribune Media Net, Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Interested Party Tribune Media Services, Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Hoy, LLC kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Eagle Publishing Investments, LLC kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Shepard's Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Interested Party Tribune CNLBC, LLC (f/k/a Chicago National League Ball Club, LLC) kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Kate Stickles on behalf of Debtor Channel 39, Inc. kstickles@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

J. Zachary Haupt on behalf of Defendant Henry Weinstein zhaupt@bifferato.com

J. Zachary Haupt on behalf of Defendant Corie Brown zhaupt@bifferato.com

J. Zachary Haupt on behalf of Defendant Dan Neil zhaupt@bifferato.com

J. Zachary Haupt on behalf of Defendant Julie Makinen zhaupt@bifferato.com

J. Zachary Haupt on behalf of Defendant Walter Roche, Jr. zhaupt@bifferato.com

J. Zachary Haupt on behalf of Defendant Myron Levin zhaupt@bifferato.com

James Johnston on behalf of Interested Party Credit Agreement Lenders jjohnston@jonesday.com

James Andrew Hinds, Jr. on behalf of Creditor Michael I. Tarnoff jhinds@jhindsllaw.com, mduran@jhindsllaw.com

James C. Carignan on behalf of Interested Party Pepper Hamilton LLP carignanj@pepperlaw.com, wlbank@pepperlaw.com, lanoc@pepperlaw.com, carignanj@ecf.inforuptcy.com;wlbank@ecf.inforuptcy.com;wlbank@ecf.inforuptcy.com

James D. Newbold on behalf of Interested Party Illinois Secretary of State James.Newbold@illinois.gov

James D. Newbold on behalf of Creditor State of Illinois, Department of Revenue James.Newbold@illinois.gov

James D. Newbold on behalf of Creditor State of Illinois on behalf of Department of Revenue and Department of Employment Security James.Newbold@illinois.gov

James E. Huggett on behalf of Creditor Oracle USA, Inc. jhuggett@margolisedelstein.com, tyeager@margolisedelstein.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

James S. Green, Jr. on behalf of Plaintiff Official Committee of Unsecured Creditors of Tribune Company, on behalf of Tribune Company, et al. green@lrclaw.com, spappa@svglaw.com; cwalters@svglaw.com  
 James S. Green, Jr. on behalf of Plaintiff The Official Committee of Unsecured Creditors of Tribune Company, on behalf of Tribune Company, et al. green@lrclaw.com, spappa@svglaw.com; cwalters@svglaw.com  
 James S. Green, Sr. on behalf of Plaintiff OFFICIAL COMMITTEE OF UNSECURED CREDITORS jgreen@svglaw.com, spappa@svglaw.com  
 James S. Green, Sr. on behalf of Attorney Seitz, Van Ogtrop & Green, P.A. jgreen@svglaw.com, spappa@svglaw.com  
 James S. Green, Sr. on behalf of Interested Party THE OFFICIAL COMMITTEE OF UNSECURED CREDITORS OF TRIBUNE COMPANY, et al., jgreen@svglaw.com, spappa@svglaw.com  
 James S. Green, Sr. on behalf of Spec. Counsel Seitz, Van Ogtrop & Green, P.A. jgreen@svglaw.com, spappa@svglaw.com  
 James S. Green, Sr. on behalf of Interested Party OFFICIAL COMMITTEE OF UNSECURED CREDITORS jgreen@svglaw.com, spappa@svglaw.com  
 James S. Yoder on behalf of Creditor Oracle Corporation yoderj@whiteandwilliams.com  
 James S. Yoder on behalf of Creditor Cisco Systems Capital Corporation yoderj@whiteandwilliams.com  
 Jami B. Nimeroff on behalf of Interested Party NBC Universal, Inc. jnimeroff@bmnlawyers.com, cjones@bmnlawyers.com  
 Jami B. Nimeroff on behalf of Interested Party NBC Studios LLC jnimeroff@bmnlawyers.com, cjones@bmnlawyers.com  
 Jay Teitelbaum on behalf of Creditor William Niese jteitelbaum@tblawllp.com, jteitelbaum@tblawllp.com  
 Jay Teitelbaum on behalf of Creditor Mark Willes jteitelbaum@tblawllp.com, jteitelbaum@tblawllp.com  
 Jean-Marie L. Atamian on behalf of Interested Party Barclays Capital jatamian@mayerbrown.com  
 Jean-Marie L. Atamian on behalf of Interested Party Barclays Bank PLC jatamian@mayerbrown.com  
 Jeffrey C. Wisler on behalf of Creditor Certain Former Executives jwisler@connollygallagher.com  
 Jeffrey C. Wisler on behalf of Creditor Robert Bellack jwisler@connollygallagher.com  
 Jeffrey C. Wisler on behalf of Creditor Harry Amsden, Stephen D. Carver, Dennis J. FitzSimons, Robert Gremillion, Donald C. Grenesko, David Dean Hiller, Timothy J. Landon, Richard H. Malone, John E. Reardon, Scott C. Smith, John J. Vitanova jwisler@connollygallagher.com  
 Jeffrey C. Wisler on behalf of Interested Party Kathleen Waltz jwisler@connollygallagher.com  
 Jeffrey C. Wisler on behalf of Creditor Harry Amsden, Robert Gremillion, David Hiller, Tim Landon, Richard Malone, John Poelking, John Reardon, Scott Smith, John Vitanovec and Kathleen Waltz jwisler@connollygallagher.com  
 Jeffrey C. Wisler on behalf of Creditor Harry Amsden, Robert Gremillion and David D. Williams jwisler@connollygallagher.com  
 Jeffrey C. Wisler on behalf of Creditor Certain Directors and Officers jwisler@connollygallagher.com  
 Jeffrey M. Gorris on behalf of Defendant Citicorp North America, Inc., individually and as Administrative Agent jspeakman@friedlandergorris.com, jgorris@friedlandergorris.com; aschmidt@friedlandergorris.com  
 Jeffrey M. Gorris on behalf of Defendant Citigroup Global Markets, Inc. jspeakman@friedlandergorris.com, jgorris@friedlandergorris.com; aschmidt@friedlandergorris.com  
 Jeffrey M. Gorris on behalf of Defendant Citibank, N.A. jspeakman@friedlandergorris.com, jgorris@friedlandergorris.com; aschmidt@friedlandergorris.com  
 Jeffrey M. Schlerf on behalf of Interested Party Wells Fargo Bank, N.A., as successor administrative agent jschlerf@foxrothschild.com, ahrycak@foxrothschild.com  
 Jeffrey M. Schlerf on behalf of Interested Party Marathon Asset Management, L.P. jschlerf@foxrothschild.com, ahrycak@foxrothschild.com  
 Jeffrey M. Schlerf on behalf of Interested Party Bridge Proponents jschlerf@foxrothschild.com, ahrycak@foxrothschild.com  
 Jeffrey M. Schlerf on behalf of Interested Party King Street Acquisition Company, L.L.C. jschlerf@foxrothschild.com, ahrycak@foxrothschild.com  
 Jeffrey M. Schlerf on behalf of Interested Party Wells Fargo Bank, N.A. as Administrative Agent under the Bridge Credit Agreement jschlerf@foxrothschild.com, ahrycak@foxrothschild.com  
 Jeffrey M. Schlerf on behalf of Interested Party King Street Capital, L.P. jschlerf@foxrothschild.com, ahrycak@foxrothschild.com  
 Jeffrey N. Rich on behalf of Interested Party GreatBanc Trust Company jrich@r3mlaw.com, emoser@r3mlaw.com  
 Jennifer M. Jackson on behalf of Interested Party State of Michigan JacksonJ5@michigan.gov  
 Jennifer M. Jackson on behalf of Defendant Michigan Department of Treasury Bureau of Investments JacksonJ5@michigan.gov  
 Jennifer R. Hoover on behalf of Creditor Wilmington Trust Company jhoover@beneschlaw.com, debankruptcy@beneschlaw.com  
 Jerome Bennett Friedman on behalf of Creditor Isaksen Investments, LLC jfriedman@flg-law.com, msobkowiak@flg-law.com; cllosa@flg-law.com  
 Joan E. Pilver on behalf of Creditor STATE OF CONNECTICUT, DEPARTMENT OF REVENUE SERVICES Joan.Pilver@ct.gov  
 Jody C. Barillare on behalf of Defendant Nob Hill CLO Limited jody.barillare@morganlewis.com, lori.gibson@morganlewis.com  
 Joel E. Friedlander on behalf of Interested Party Chandler Bigelow sbrodowski@bmf-law.com; jspeakman@bmf-law.com



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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Joel E. Friedlander on behalf of Defendant Chandler Bigelow  
sbrodowski@bmf-law.com; jspeakman@bmf-law.com

Joel M. Wertman on behalf of Defendant SEI Large Cap Value Fund jmwertman@mdwgc.com

John C. Phillips, Jr on behalf of Creditor Valuation Research Corporation  
tlb@pgslaw.com; SAA@pgslaw.com

John C. Phillips, Jr on behalf of Creditor Software Ag, Inc. tlb@pgslaw.com; SAA@pgslaw.com

John D. Demmy on behalf of Interested Party Virginia Electric And Power Company  
john.demmy@saull.com, robyn.warren@saull.com

John D. Demmy on behalf of Interested Party Connecticut Natural Gas Corporation  
john.demmy@saull.com, robyn.warren@saull.com

John D. Demmy on behalf of Interested Party Pennsylvania Electric Company john.demmy@saull.com,  
robyn.warren@saull.com

John D. Demmy on behalf of Interested Party PECO Energy Company john.demmy@saull.com,  
robyn.warren@saull.com

John D. Demmy on behalf of Interested Party Southern California Edison Company  
john.demmy@saull.com, robyn.warren@saull.com

John D. Demmy on behalf of Interested Party Consolidated Edison Company of New York, Inc.  
john.demmy@saull.com, robyn.warren@saull.com

John D. Demmy on behalf of Defendant Crown Equipment Corporation john.demmy@saull.com,  
robyn.warren@saull.com

John D. Demmy on behalf of Interested Party Commonwealth Edison Company john.demmy@saull.com,  
robyn.warren@saull.com

John D. Demmy on behalf of Creditor The Connecticut Light and Power Company  
john.demmy@saull.com, robyn.warren@saull.com

John D. Demmy on behalf of Interested Party Niagara Mohawk Power Corporation  
john.demmy@saull.com, robyn.warren@saull.com

John D. Demmy on behalf of Interested Party CenterPoint Energy Services, Inc.  
john.demmy@saull.com, robyn.warren@saull.com

John D. Demmy on behalf of Interested Party Duke Energy Indiana, Inc. john.demmy@saull.com,  
robyn.warren@saull.com

John D. Demmy on behalf of Interested Party Yankee Gas Services Company john.demmy@saull.com,  
robyn.warren@saull.com

John D. Demmy on behalf of Interested Party Metropolitan Edison Company john.demmy@saull.com,  
robyn.warren@saull.com

John D. Demmy on behalf of Interested Party Baltimore Gas and Electric Company  
john.demmy@saull.com, robyn.warren@saull.com

John D. Demmy on behalf of Creditor Crown Credit Company and Crown Equipment Corporation  
john.demmy@saull.com, robyn.warren@saull.com

John D. Demmy on behalf of Defendant Crown Lift Trucks LLC john.demmy@saull.com,  
robyn.warren@saull.com

John D. McLaughlin, Jr. on behalf of Defendant Xerox Corporation jmclaughlin@ciardilaw.com,  
mflores@ciardilaw.com

John D. McLaughlin, Jr. on behalf of Defendant Stardust Visions, Inc.  
jmclaughlin@ciardilaw.com, mflores@ciardilaw.com

John F. Theil on behalf of Fee Examiner Stuart Maue j.theil@smmj.com

John H. Strock on behalf of Interested Party White & Case LLP jstrock@foxrothschild.com,  
dkemp@foxrothschild.com; brian-oneill-fox-5537@ecf.pacerpro.com

John H. Strock on behalf of Interested Party Wells Fargo Bank, N.A., as successor  
administrative agent jstrock@foxrothschild.com,  
dkemp@foxrothschild.com; brian-oneill-fox-5537@ecf.pacerpro.com

John H. Strock on behalf of Interested Party Bridge Proponents jstrock@foxrothschild.com,  
dkemp@foxrothschild.com; brian-oneill-fox-5537@ecf.pacerpro.com

John H. Strock on behalf of Interested Party Huron Consulting Services, LLC  
jstrock@foxrothschild.com, dkemp@foxrothschild.com; brian-oneill-fox-5537@ecf.pacerpro.com

John H. Strock on behalf of Interested Party Wells Fargo Bank, N.A. as Administrative Agent  
under the Bridge Credit Agreement jstrock@foxrothschild.com,  
dkemp@foxrothschild.com; brian-oneill-fox-5537@ecf.pacerpro.com

John Henry Schanne, II on behalf of Interested Party BANK OF AMERICA, N.A.  
schannej@pepperlaw.com,  
wlbank@pepperlaw.com; smithda@pepperlaw.com; schannej@ecf.inforuptcy.com; wlbank@ecf.inforuptcy.com;  
molitorm@pepperlaw.com; hardinp@pepperlaw.com

John Henry Schanne, II on behalf of Interested Party PPF OFF Two Park Avenue Owner, LLC  
schannej@pepperlaw.com,  
wlbank@pepperlaw.com; smithda@pepperlaw.com; schannej@ecf.inforuptcy.com; wlbank@ecf.inforuptcy.com;  
molitorm@pepperlaw.com; hardinp@pepperlaw.com

John Henry Schanne, II on behalf of Defendant Bank of America, N.A. schannej@pepperlaw.com,  
wlbank@pepperlaw.com; smithda@pepperlaw.com; schannej@ecf.inforuptcy.com; wlbank@ecf.inforuptcy.com;  
molitorm@pepperlaw.com; hardinp@pepperlaw.com

John Henry Schanne, II on behalf of Interested Party Banc of America Securities LLC  
schannej@pepperlaw.com,  
wlbank@pepperlaw.com; smithda@pepperlaw.com; schannej@ecf.inforuptcy.com; wlbank@ecf.inforuptcy.com;  
molitorm@pepperlaw.com; hardinp@pepperlaw.com

John Henry Schanne, II on behalf of Defendant Banc of America Securities, LLC  
schannej@pepperlaw.com,  
wlbank@pepperlaw.com; smithda@pepperlaw.com; schannej@ecf.inforuptcy.com; wlbank@ecf.inforuptcy.com;  
molitorm@pepperlaw.com; hardinp@pepperlaw.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

John Henry Schanne, II on behalf of Interested Party Bank Of America Corporation  
 schannej@pepperlaw.com,  
 wlbank@pepperlaw.com;smithda@pepperlaw.com;schannej@ecf.inforuptcy.com;wlbank@ecf.inforuptcy.com;  
 molitorm@pepperlaw.com;hardinp@pepperlaw.com  
 John K. Sherwood on behalf of Creditor Louisiana State Employees' Retirement System  
 jsherwood@lowenstein.com, dclausen@lowenstein.com  
 John K. Sherwood on behalf of Creditor I.B.E.W. Local 103 Trust Fund jsherwood@lowenstein.com,  
 dclausen@lowenstein.com  
 John Louis Decker on behalf of Fee Examiner Stuart Maue j.decker@smmj.com  
 John M. Seaman on behalf of Interested Party President and Fellows of Harvard College  
 seaman@abramsbayliss.com, farro@abramsbayliss.com;matthews@abramsbayliss.com  
 John M. Seaman on behalf of Interested Party Marcia Tingley seaman@abramsbayliss.com,  
 farro@abramsbayliss.com;matthews@abramsbayliss.com  
 John M. Seaman on behalf of Interested Party Artis Capital Management, L.P.  
 seaman@abramsbayliss.com, farro@abramsbayliss.com;matthews@abramsbayliss.com  
 John P. Dillman on behalf of Creditor Harris County houston\_bankruptcy@publicans.com  
 John P. Sieger on behalf of Interested Party Robert R. McCormick Foundation  
 john.sieger@kattenlaw.com, paige.barr@kattenlaw.com  
 John P. Sieger on behalf of Interested Party Cantigny Foundation john.sieger@kattenlaw.com,  
 paige.barr@kattenlaw.com  
 John Patrick DiTomo on behalf of Defendant Citigroup Global Markets, Inc. jditomo@mnat.com  
 John Patrick DiTomo on behalf of Defendant Citicorp North America, Inc., individually and as  
 Administrative Agent jditomo@mnat.com  
 John Patrick DiTomo on behalf of Interested Party Citicorp North America, Inc.  
 jditomo@mnat.com  
 John Patrick DiTomo on behalf of Defendant Citibank, N.A. jditomo@mnat.com  
 John Patrick DiTomo on behalf of Interested Party Citigroup Global Markets Inc.  
 jditomo@mnat.com  
 Jonathan M. Stemerman on behalf of Defendant 3M Employees Welfare Benefits Association Trust  
 1 and Employee Retirement Income Plan Trust of Minnesota Mining & Manufacturing Co.  
 jms@elliottgreenleaf.com  
 Jonathan M. Stemerman on behalf of Interested Party 3M Employees Welfare Benefits Association  
 Trust 1 and Employee Retirement Income Plan Trust of Minnesota Mining & Manufacturing Co.  
 jms@elliottgreenleaf.com  
 Jonathan W. Young on behalf of Defendant Irving L. Quimby Jr. jonathan.young@lockelord.com,  
 trb-sdny-ecf-dkt@edwardswildman.com,kimberly.gerhardt@lockelord.com,ChicagoDocket@lockelord.com  
 Jonathan W. Young on behalf of Defendant Mark W. Hianik jonathan.young@lockelord.com,  
 trb-sdny-ecf-dkt@edwardswildman.com,kimberly.gerhardt@lockelord.com,ChicagoDocket@lockelord.com  
 Joseph Grey on behalf of Interested Party The Henry Francis Dupont Winterthur Museum, Inc.  
 jgrey@crosslaw.com, smacdonald@crosslaw.com  
 Joseph Grey on behalf of Defendant The Henry Francis Dupont Winterthur Museum, Inc.  
 jgrey@crosslaw.com, smacdonald@crosslaw.com  
 Joseph Grey on behalf of Creditor Constellation NewEnergy, Inc. jgrey@crosslaw.com,  
 smacdonald@crosslaw.com  
 Joseph D. Frank on behalf of Creditor Jones Lang LaSalle Americas (Illinois), L.P.  
 jfrank@fgllp.com,  
 mmatlock@fgllp.com;csmith@fgllp.com;Larry.Thomas@westernunion.com;csucic@fgllp.com  
 Joseph D. Frank on behalf of Interested Party Employee Compensation Defendants Group  
 jfrank@fgllp.com,  
 mmatlock@fgllp.com;csmith@fgllp.com;Larry.Thomas@westernunion.com;csucic@fgllp.com  
 Joseph Emil Shickich, Jr. on behalf of Creditor Microsoft Licensing, GP  
 jshickich@foxrothschild.com, vmagda@foxrothschild.com  
 Joseph Emil Shickich, Jr. on behalf of Creditor Microsoft Corporation  
 jshickich@foxrothschild.com, vmagda@foxrothschild.com  
 Joseph H. Huston, Jr. on behalf of Interested Party The Morning Call, Inc. jhh@stevenslee.com  
 Joshua M. Mester on behalf of Interested Party Avenue-CDP Global Opportunities Fund, L.P.  
 (US) jmester@jonesday.com, jjohnston@jonesday.com  
 Joshua M. Mester on behalf of Interested Party GoldenTree MultiStrategy Financing, Limited  
 jmester@jonesday.com, jjohnston@jonesday.com  
 Joshua M. Mester on behalf of Interested Party Franklin Templeton Variable Insurance Products  
 Trust-Franklin Strategic Income Securities Fund jmester@jonesday.com, jjohnston@jonesday.com  
 Joshua M. Mester on behalf of Interested Party GoldenTree Credit Opportunities Second  
 Financing, Limited jmester@jonesday.com, jjohnston@jonesday.com  
 Joshua M. Mester on behalf of Interested Party Avenue International Master, LP (Master)  
 jmester@jonesday.com, jjohnston@jonesday.com  
 Joshua M. Mester on behalf of Interested Party Summer Hill Fixed Income AG, LLC  
 jmester@jonesday.com, jjohnston@jonesday.com  
 Joshua M. Mester on behalf of Interested Party GoldenTree 2004 Trust jmester@jonesday.com,  
 jjohnston@jonesday.com  
 Joshua M. Mester on behalf of Interested Party GoldenTree MultiStrategy Subsidiary, LLC  
 jmester@jonesday.com, jjohnston@jonesday.com  
 Joshua M. Mester on behalf of Interested Party Templeton Global Investment Trust-Templeton  
 Income Fund jmester@jonesday.com, jjohnston@jonesday.com  
 Joshua M. Mester on behalf of Interested Party Northwoods Capital VI, Limited  
 jmester@jonesday.com, jjohnston@jonesday.com  
 Joshua M. Mester on behalf of Interested Party Avenue Investments, LP jmester@jonesday.com,  
 jjohnston@jonesday.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Joshua M. Mester on behalf of Interested Party	VGE III Portfolio Ltd. jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Avenue Special Situations Fund V, L.P. jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Northwoods Capital V, Limited jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Northwoods Capital VIII, Limited jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	LMA SPC for and on behalf of MAP84 Segregated Portfolio jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Knighthead Master Fund, L.P. jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Creditor	Contrarian Funds, LLC jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	AG Global Debt Strategy Partners, L.P. jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	GN3 SIP Limited jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Oaktree Capital Management, L.P. jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	GoldenTree Credit Opportunities Financing I, Limited jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	AG Diversified Credit Strategies Master, L.P. jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Silver Oak Capital, LLC jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	GoldenTree Leverage Loan Master Fund, Ltd. jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Franklin Strategic Income Fund (Canada) jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	FT Opportunistic Distressed Funds Ltd. jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Thracia LLC jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Blue Shield of California jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Varde Investment Partners, L.P. jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Franklin Floating Rate Daily Access Fund jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Avenue Special Situations Fund IV, L.P. jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Franklin Templeton Series II Funds-Franklin Floating Rate 11 Fund jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Goldman Sachs Loan Partners jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Anchorage Advisors, L.L.C. jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	GoldenTree Asset Management, L.P. Not in its Individual and Principal Capacity but as Investment Advisor on behalf of one or more Managed Clients jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Viking Global Equities II LP jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	CVI GVF (Lux) Master S.a.r.l. jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Northwoods Capital IV, Limited jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Viking Global Equities LP jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Franklin Strategic Series-Franklin Strategic Income Fund jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Canyon Capital Advisors, LLC jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Franklin Floating Rate Master Series jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Franklin Total Return Fund jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Mason Capital Management, LLC, on behalf of itself and as investment manager jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	James River Insurance Company jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Special Situations Investing Group, Inc. jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Luxor Capital Group, LP jmester@jonesday.com, jjohnston@jonesday.com
Joshua M. Mester on behalf of Interested Party	Northwoods Capital VII, Limited jmester@jonesday.com, jjohnston@jonesday.com
Judy D. Thompson on behalf of Creditor	Sodexo, Inc. jdt@jdtthompsonlaw.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Julia Bettina Klein on behalf of Interested Party Silver Point Capital, L.P.  
klein@kleinllc.com

Julie McVey Murphy on behalf of Interested Party Invesco Structured Core Fund  
murphy@hyandlevin.com, charles@hyandlevin.com

Justin Cory Falgowski on behalf of Attorney Reed Smith LLP jfalowski@burr.com

Justin R. Alberto on behalf of Interested Party Cook County Department of Revenue  
jalberto@bayardlaw.com, bankserve@bayardlaw.com;lmorton@bayardlaw.com;cdavis@bayardlaw.com

Kate R. Buck on behalf of Creditor Deutsche Bank Trust Company Americas kbuck@mccarter.com

Katharine L. Mayer on behalf of Creditor Deutsche Bank Trust Company Americas  
kmayer@mccarter.com

Kathleen A. Murphy on behalf of Creditor GENERAL ELECTRIC CAPITAL CORPORATION  
kmurphy@reedsmith.com

Kathleen M. Miller on behalf of Creditor Crane Kenney kmiller@skjlaw.com, llb@skjlaw.com

Kerry K. Fennelly on behalf of Interested Party Eighth District Electrical Pension Fund  
kerrykessler@gmail.com, ms.courtneylogan@gmail.com;torres.luisadiaz@gmail.com

Kevin G. Collins on behalf of Attorney Bifferato LLC kevin.collins@btlaw.com,  
pgroff@btlaw.com;Kathy.lytle@btlaw.com

Kevin G. Collins on behalf of Defendant Myron Levin kevin.collins@btlaw.com,  
pgroff@btlaw.com;Kathy.lytle@btlaw.com

Kevin G. Collins on behalf of Defendant Corie Brown kevin.collins@btlaw.com,  
pgroff@btlaw.com;Kathy.lytle@btlaw.com

Kevin G. Collins on behalf of Defendant Henry Weinstein kevin.collins@btlaw.com,  
pgroff@btlaw.com;Kathy.lytle@btlaw.com

Kevin G. Collins on behalf of Defendant Julie Makinen kevin.collins@btlaw.com,  
pgroff@btlaw.com;Kathy.lytle@btlaw.com

Kevin G. Collins on behalf of Interested Party Dan Neil, Corie Brown, Henry Weinstein, Walter Roche, Jr., Myron Levin, and Julie Makinen, individuals, on behalf of themselves and on behalf of all others similarly situated kevin.collins@btlaw.com, pgroff@btlaw.com;Kathy.lytle@btlaw.com

Kevin G. Collins on behalf of Creditor Morgan Stanley & Co. LLC kevin.collins@btlaw.com,  
pgroff@btlaw.com;Kathy.lytle@btlaw.com

Kevin G. Collins on behalf of Defendant Dan Neil kevin.collins@btlaw.com,  
pgroff@btlaw.com;Kathy.lytle@btlaw.com

Kevin G. Collins on behalf of Defendant Walter Roche, Jr. kevin.collins@btlaw.com,  
pgroff@btlaw.com;Kathy.lytle@btlaw.com

Kevin G. Collins on behalf of Creditor Morgan Stanley Capital Services LLC  
kevin.collins@btlaw.com, pgroff@btlaw.com;Kathy.lytle@btlaw.com

Kevin J. Mangan on behalf of Defendant MetLife Stock Index Portfolio kevin.mangan@wbd-us.com,  
Heidi.sasso@wbd-us.com;chris.lewis@wbd-us.com

Kevin J. Mangan on behalf of Defendant Metropolitan Life Insurance Company  
kevin.mangan@wbd-us.com, Heidi.sasso@wbd-us.com;chris.lewis@wbd-us.com

Kevin M. Capuzzi on behalf of Creditor William Niese kcapuzzi@beneschlaw.com,  
debankruptcy@beneschlaw.com

Kevin P. Garland on behalf of Creditor Hamdon Entertainment garlandk@gtlaw.com

Kimberly A. Brown on behalf of Attorney Chadbourne & Parke LLP brown@lrclaw.com,  
ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com

Kimberly A. Brown on behalf of Attorney Landis Rath & Cobb LLP brown@lrclaw.com,  
ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com

Kimberly A. Brown on behalf of Trustee Marc S. Kirschner, as Litigation Trustee  
brown@lrclaw.com, ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com

Kimberly A. Brown on behalf of Creditor Committee Zuckerman Spaeder LLP brown@lrclaw.com,  
ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com

Kimberly A. Brown on behalf of Financial Advisor Epiq Corporate Restructuring, LLC  
brown@lrclaw.com, ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com

Kimberly A. Brown on behalf of Interested Party OFFICIAL COMMITTEE OF UNSECURED CREDITORS  
brown@lrclaw.com, ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com

Kimberly A. Brown on behalf of Financial Advisor Moelis & Company LLC brown@lrclaw.com,  
ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com

Kizzy Lyn Jarashow on behalf of Interested Party Aurelius Capital Management, LP  
kjarashow@goodwinprocter.com

Kurt F. Gwynne on behalf of Interested Party Pershing LLC kgwynne@reedsmith.com,  
llankford@reedsmith.com;bankruptcy-2628@ecf.pacerpro.com

Kurt F. Gwynne on behalf of Defendant BNY Hamilton Funds Inc. kgwynne@reedsmith.com,  
llankford@reedsmith.com;bankruptcy-2628@ecf.pacerpro.com

Kurt F. Gwynne on behalf of Defendant Dreyfus Stock Index Funds, Inc. kgwynne@reedsmith.com,  
llankford@reedsmith.com;bankruptcy-2628@ecf.pacerpro.com

Kurt F. Gwynne on behalf of Defendant Strategic Funds, Inc. kgwynne@reedsmith.com,  
llankford@reedsmith.com;bankruptcy-2628@ecf.pacerpro.com

Kurt F. Gwynne on behalf of Defendant The Bank of New York Trust Company, N.A.  
kgwynne@reedsmith.com, llankford@reedsmith.com;bankruptcy-2628@ecf.pacerpro.com

Kurt F. Gwynne on behalf of Defendant The Dreyfus/Laurel Funds, Inc. kgwynne@reedsmith.com,  
llankford@reedsmith.com;bankruptcy-2628@ecf.pacerpro.com

Kurt F. Gwynne on behalf of Defendant Dreyfus Index Funds, Inc. kgwynne@reedsmith.com,  
llankford@reedsmith.com;bankruptcy-2628@ecf.pacerpro.com

Kurt F. Gwynne on behalf of Defendant Dreyfus Premier Manager Funds II kgwynne@reedsmith.com,  
llankford@reedsmith.com;bankruptcy-2628@ecf.pacerpro.com

Kurt F. Gwynne on behalf of Defendant Dreyfus Variable Investment Funds kgwynne@reedsmith.com,  
llankford@reedsmith.com;bankruptcy-2628@ecf.pacerpro.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

L. Jason Cornell on behalf of Creditor Donna Gerhart Gutman, personal representative to the estate of E. Michael Gutman a/k/a Michael Gutman a/k/a Elliott M. Gutman  
jcornell@foxrothschild.com, slynch@foxrothschild.com  
Landon Ellis on behalf of Plaintiff Marc S. Kirschner, as Litigation Trustee ellis@lrclaw.com  
Landon Ellis on behalf of Plaintiff The Official Committee of Unsecured Creditors of Tribune Company, on behalf of Tribune Company, et al. ellis@lrclaw.com  
Landon Ellis on behalf of Interested Party OFFICIAL COMMITTEE OF UNSECURED CREDITORS  
ellis@lrclaw.com  
Landon Ellis on behalf of Plaintiff Official Committee of Unsecured Creditors of Tribune Company, on behalf of Tribune Company, et al. ellis@lrclaw.com  
Landon Ellis on behalf of Trustee Marc S. Kirschner, as Litigation Trustee ellis@lrclaw.com  
Laura L. McCloud on behalf of Interested Party Tennessee Attorney General's Office  
agbankdelaware@ag.tn.gov  
Laurie Selber Silverstein on behalf of Interested Party Merrill Lynch Capital Corporation and Merrill Lynch, Pierce, Fenner & Smith, Incorporated bankruptcy@potteranderson.com  
Laurie Selber Silverstein on behalf of Creditor Merrill Lynch Capital Corporation, as Administrative Agent bankruptcy@potteranderson.com  
Lawrence Joel Kotler on behalf of Interested Party Pennsylvania Public School Employees' Retirement System ljkotler@duanemorris.com  
Lawrence Joel Kotler on behalf of Creditor Pennsylvania Public School Employees' Retirement System ljkotler@duanemorris.com  
Lawrence M. Jacobson on behalf of Interested Party CBS Television Stations Inc.  
lmj@gfjlawfirm.com  
Lee Harrington on behalf of Defendant HCA MASTER RETIREMENT TRUST LCV  
lharrington@nixonpeabody.com  
Leonard H. Gerson on behalf of Interested Party United States Department of Labor  
gerson.leonard@dol.gov  
Leslie C. Heilman on behalf of Creditor Comcast Corporation heilmanl@ballardspahr.com,  
ambroses@ballardspahr.com  
Leslie C. Heilman on behalf of Creditor Comcast Cable heilmanl@ballardspahr.com,  
ambroses@ballardspahr.com  
M. Blake Cleary on behalf of Interested Party Oaktree Capital Management, L.P.  
bankfilings@ycst.com  
M. Blake Cleary on behalf of Interested Party Angelo, Gordon & Co. bankfilings@ycst.com  
M. Blake Cleary on behalf of Interested Party Credit Agreement Lenders bankfilings@ycst.com  
Marc J. Phillips on behalf of Interested Party Timothy Landon mphilips@mmwr.com,  
marc-phillips-8177@ecf.pacerpro.com  
Marc J. Phillips on behalf of Interested Party Scott Smith mphilips@mmwr.com,  
marc-phillips-8177@ecf.pacerpro.com  
Marc J. Phillips on behalf of Interested Party John Reardon mphilips@mmwr.com,  
marc-phillips-8177@ecf.pacerpro.com  
Marc J. Phillips on behalf of Interested Party David Hiller mphilips@mmwr.com,  
marc-phillips-8177@ecf.pacerpro.com  
Marc J. Phillips on behalf of Interested Party Luis Lewin mphilips@mmwr.com,  
marc-phillips-8177@ecf.pacerpro.com  
Marc J. Phillips on behalf of Interested Party Thomas Leach mphilips@mmwr.com,  
marc-phillips-8177@ecf.pacerpro.com  
Marc J. Phillips on behalf of Interested Party Richard Malone mphilips@mmwr.com,  
marc-phillips-8177@ecf.pacerpro.com  
Marc J. Phillips on behalf of Creditor Los Angeles County Treasurer and Tax Collector  
mphilips@mmwr.com, marc-phillips-8177@ecf.pacerpro.com  
Marc J. Phillips on behalf of Interested Party John Vitanovec mphilips@mmwr.com,  
marc-phillips-8177@ecf.pacerpro.com  
Marc J. Phillips on behalf of Creditor Harry Amsden, Robert Gremillion and David D. Williams  
mphilips@mmwr.com, marc-phillips-8177@ecf.pacerpro.com  
Marc J. Phillips on behalf of Interested Party George R. Dougherty mphilips@mmwr.com,  
marc-phillips-8177@ecf.pacerpro.com  
Marc J. Phillips on behalf of Interested Party Kathleen Waltz mphilips@mmwr.com,  
marc-phillips-8177@ecf.pacerpro.com  
Margaret Fleming England on behalf of Interested Party Scarborough Research  
mengland@gssbblaw.com  
Margaret Fleming England on behalf of Creditor The Nielsen Company (US) LLC  
mengland@gssbblaw.com  
Maria Ann Milano on behalf of Creditor Microsoft Corporation mamilano@foxrothschild.com,  
vmagda@foxrothschild.com; jshickich@foxrothschild.com  
Maria Ann Milano on behalf of Interested Party Microsoft Corporation and Microsoft Licensing,  
GP mamilano@foxrothschild.com, vmagda@foxrothschild.com; jshickich@foxrothschild.com  
Maria Ann Milano on behalf of Creditor Microsoft Licensing, GP mamilano@foxrothschild.com,  
vmagda@foxrothschild.com; jshickich@foxrothschild.com  
Mark Melickian on behalf of Defendant David P. Murphy mmelickian@sfg.com  
Mark A. Neubauer on behalf of Creditor Durham J. Monsma mneubauer@carltonfields.com,  
mlrodriguez@carltonfields.com; smcloughlin@carltonfields.com  
Mark A. Neubauer on behalf of Creditor Certain Directors and Officers  
mneubauer@carltonfields.com, mlrodriguez@carltonfields.com; smcloughlin@carltonfields.com  
Mark D. Collins on behalf of Interested Party Angelo, Gordon & Co.  
rbgroup@rlf.com; ann-jerominski-2390@ecf.pacerpro.com  
Mark D. Collins on behalf of Interested Party JPMorgan Chase Bank, N.A.  
rbgroup@rlf.com; ann-jerominski-2390@ecf.pacerpro.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Mark E. Felger on behalf of Defendant Irving L. Quimby Jr. mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger on behalf of Defendant Mark W. Hianik mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger on behalf of Defendant Betty Ellen Berlamino mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger on behalf of Defendant Peter A. Knapp mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger on behalf of Defendant Tom E. Ehlmann mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger Esq. on behalf of Creditor Twentieth Television, Inc. mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger Esq. on behalf of Interested Party David Kniffen mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger Esq. on behalf of Creditor John Birmingham mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger Esq. on behalf of Interested Party Betty Ellen Berlamino mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger Esq. on behalf of Interested Party BETTY ELLEN BERLAMINO mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger Esq. on behalf of Creditor Tom E. Ehlmann mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger Esq. on behalf of Creditor Camden Asset Management mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger Esq. on behalf of Creditor Peter A. Knapp mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger Esq. on behalf of Creditor Mark W. Hianik mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger Esq. on behalf of Creditor Citadel Equity Fund Ltd. mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark E. Felger Esq. on behalf of Interested Party Irving L. Quimby mfelger@cozen.com,  
kcallahan@cozen.com;sshidner@cozen.com;mark-felger-2433@ecf.pacerpro.com  
Mark Harrington Ralston on behalf of Defendant Xerox Corporation mralston@fishmanjackson.com,  
mhr.pacer@gmail.com  
Mark M. Billion on behalf of Interested Party Centerbridge Credit Advisors LLC  
markbillion@billionlaw.com, mmb21167@fastpacer.us  
Mark M. Billion on behalf of Interested Party CenterPoint Energy Services, Inc.  
markbillion@billionlaw.com, mmb21167@fastpacer.us  
Mark N. Parry on behalf of Witness Deutsche Bank Aktiengesellschaft mparry@mosessinger.com,  
dkick@mosessinger.com;kkolbig@mosessinger.com,jbonteque@mosessinger.com  
Mark N. Parry on behalf of Witness Deutsche Bank Securities, Inc. mparry@mosessinger.com,  
dkick@mosessinger.com;kkolbig@mosessinger.com,jbonteque@mosessinger.com  
Mark T Hurford on behalf of Plaintiff Tribune Company cl@camlev.com  
Mark T Hurford on behalf of Plaintiff Los Angeles Times Communications LLC cl@camlev.com  
Mark T Hurford on behalf of Interested Party Campbell & Levine, LLC cl@camlev.com  
Martha E. Romero on behalf of Creditor County of San Bernardino, California  
romero@dslextreme.com  
Mary E. Augustine on behalf of Interested Party Robert R. McCormick Foundation  
maugustine@dkhogan.com  
Mary E. Augustine on behalf of Creditor Deutsche Bank Trust Company Americas  
maugustine@dkhogan.com  
Mary E. Augustine on behalf of Interested Party Cantigny Foundation maugustine@dkhogan.com  
Mary E. Augustine on behalf of Interested Party Law Debenture Trust Company of New York  
maugustine@dkhogan.com  
Mary E. Augustine on behalf of Interested Party Davidson Kempner Capital Management LLC  
maugustine@dkhogan.com  
Mary K. Ware on behalf of Interested Party Employees' Retirement System of Georgia  
mware@law.ga.gov  
Matthew B. McGuire on behalf of Attorney Chadbourne & Parke LLP mcguire@lrclaw.com,  
rogers@lrclaw.com;dellose@lrclaw.com;ramirez@lrclaw.com;butler@lrclaw.com  
Matthew B. McGuire on behalf of Financial Advisor Epiq Corporate Restructuring, LLC  
mcguire@lrclaw.com, rogers@lrclaw.com;dellose@lrclaw.com;ramirez@lrclaw.com;butler@lrclaw.com  
Matthew B. McGuire on behalf of Attorney Landis Rath & Cobb LLP mcguire@lrclaw.com,  
rogers@lrclaw.com;dellose@lrclaw.com;ramirez@lrclaw.com;butler@lrclaw.com  
Matthew B. McGuire on behalf of Creditor Committee Zuckerman Spaeder LLP mcguire@lrclaw.com,  
rogers@lrclaw.com;dellose@lrclaw.com;ramirez@lrclaw.com;butler@lrclaw.com  
Matthew B. McGuire on behalf of Interested Party OFFICIAL COMMITTEE OF UNSECURED CREDITORS  
mcguire@lrclaw.com, rogers@lrclaw.com;dellose@lrclaw.com;ramirez@lrclaw.com;butler@lrclaw.com  
Matthew B. McGuire on behalf of Financial Advisor Moelis & Company LLC mcguire@lrclaw.com,  
rogers@lrclaw.com;dellose@lrclaw.com;ramirez@lrclaw.com;butler@lrclaw.com  
Matthew J. Troy on behalf of Creditor UNITED STATES OF AMERICA matthew.troy@usdoj.gov  
Maurie J. Shalmone on behalf of Interested Party Longacre Opportunity Fund, L.P.  
maurie@longacrellc.com  
Meghan Colleen Horn on behalf of Creditor Truck Drivers & Helpers Local 355 and Health &  
Welfare Fund & Truck Drivers and Helpers Local 355 Pension Fund mhorn@abato.com  
Menachem O. Zelmanovitz on behalf of Interested Party New York State Common Retirement Fund  
mendy@zelmlaw.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Micah R Krohn on behalf of Interested Party Employee Compensation Defendants Group  
mkrohn@fgllp.com, ccarpenter@fgllp.com

Michael Brandess on behalf of Defendant David P. Murphy mbrandess@sfgf.com

Michael E. Emrich on behalf of Creditor Riverside Claims, LLC. notice@regencap.com

Michael F. Bonkowski on behalf of Plaintiff WDCW Broadcasting, Inc. mbonkowski@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Michael F. Bonkowski on behalf of Plaintiff Tribune Media Services, Inc.  
mbonkowski@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Michael F. Bonkowski on behalf of Plaintiff Tribune Television Northwest, Inc.  
mbonkowski@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Michael F. Bonkowski on behalf of Plaintiff Channel 40, Inc. mbonkowski@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Michael F. Bonkowski on behalf of Plaintiff KWGN Inc. mbonkowski@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Michael F. Bonkowski on behalf of Plaintiff KSWB Inc. mbonkowski@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Michael F. Bonkowski on behalf of Plaintiff Tribune Broadcast Holdings, Inc.  
mbonkowski@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Michael F. Bonkowski on behalf of Plaintiff Tribune Company mbonkowski@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Michael F. Bonkowski on behalf of Plaintiff Los Angeles Times Communications LLC  
mbonkowski@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Michael F. Bonkowski on behalf of Plaintiff Tribune Television Company  
mbonkowski@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Michael F. Bonkowski on behalf of Plaintiff Tribune Company, et al. mbonkowski@coleschotz.com,  
bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Michael F. Murphy on behalf of Interested Party State of Michigan MurphyM2@michigan.gov,  
ballingerbl@michigan.gov

Michael F. Murphy on behalf of Defendant Michigan Department of Treasury Bureau of  
Investments MurphyM2@michigan.gov, ballingerbl@michigan.gov

Michael G. Busenkell on behalf of Creditor Marta Waller mbusenkel@gssbblaw.com

Michael J. Farnan on behalf of Interested Party Kenneth N. Klee mfarnan@farnanlaw.com,  
tfarnan@farnanlaw.com

Michael Joseph Joyce on behalf of Defendant Civilian Employees Retirement System of Kansas  
City mjoyce@mjlawoffices.com

Michael Joseph Joyce on behalf of Defendant Police Retirement System of Kansas City  
mjoyce@mjlawoffices.com

Michael Joseph Joyce on behalf of Creditor Constellation NewEnergy, Inc.  
mjoyce@mjlawoffices.com

Michael Joseph Joyce on behalf of Interested Party Teamsters Local Union No. 355  
mjoyce@mjlawoffices.com

Michael Joseph Joyce on behalf of Interested Party JPMorgan Securities Inc.  
mjoyce@mjlawoffices.com

Michael Joseph Joyce on behalf of Interested Party JPMorgan Chase Bank, N.A.  
mjoyce@mjlawoffices.com

Michael Joseph Joyce on behalf of Interested Party Washington-Baltimore Newspaper Guild,  
Local 32035, TNG-CWA mjoyce@mjlawoffices.com

Michael Joseph Joyce on behalf of Interested Party Newspaper Guild of New York, CWA Local  
31003 mjoyce@mjlawoffices.com

Michael Joseph Joyce on behalf of Interested Party Teamsters Local Union No. 888  
mjoyce@mjlawoffices.com

Michael P. Migliore on behalf of Creditor Verizon Investment Management Corp. mpm@skjlaw.com

Michael P. Richman on behalf of Interested Party Schultze Asset Management, LLC  
jsteele@steinhilberswanson.com

Michael S. Amato on behalf of Creditor Esther Rhein mamato@rmfpc.com, dolsen@rmfpc.com

Michael T. Trucco on behalf of Defendant CATHOLIC UNITED INVESTMENT TRUST  
mtrucco@stamostrucco.com, erosenquist@stamostrucco.com

Michael W. Yurkewicz on behalf of Interested Party SuttonBrook Capital Management LP  
myurkewicz@klehr.com

Michelle McMahon on behalf of Interested Party ENDEX Capital Management, LLC  
michelle.mcmahon@bryancave.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Mona A. Parikh on behalf of Interested Party OFFICIAL COMMITTEE OF UNSECURED CREDITORS  
mona.parikh@bipc.com

Mona A. Parikh on behalf of Creditor Committee OFFICIAL COMMITTEE OF UNSECURED CREDITORS  
mona.parikh@bipc.com

Nancy G. Everett on behalf of Interested Party LSV Asset Management neverett@winston.com,  
ecf\_bank@winston.com

Nathan Jones on behalf of Creditor US Debt Recovery III, LP nate@usdrllc.com

Nathan Jones on behalf of Creditor US Debt Recovery IIA, LLC nate@usdrllc.com

Nathan Jones on behalf of Creditor US Debt Recovery IV, LLC nate@usdrllc.com

Nathan Jones on behalf of Creditor US Debt Recovery VIII, L.P. nate@usdrllc.com

Nathan Jones on behalf of Creditor US Debt Recovery V, LP nate@usdrllc.com

Nathan Quinn Rugg on behalf of Interested Party GreatBanc Trust Company nathan.rugg@bfkn.com

Neil Raymond Lapinski on behalf of Interested Party Allen Francisco nlapinski@gfmlaw.com,  
kvalania@gfmlaw.com

Norman L. Pernick on behalf of Debtor Tribune Media Services, Inc. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor Tribune California Properties, Inc.  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor Tribune Broadcasting Holdco, LLC  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor California Community News Corporation  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor Chicago River Production Company  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor Tribune Television Northwest, Inc.  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor Times Mirror Services Company, Inc.  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor Los Angeles Times Communications LLC  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor Virginia Community Shoppers, LLC  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor Tribune Television Holdings, Inc.  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor Forsalebyowner.com Corp. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor The Morning Call, Inc. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor WDCW Broadcasting, Inc. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor KPLR, Inc. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor NBBF, LLC npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor 5800 Sunset Productions Inc. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor Gold Coast Publications, Inc. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m

Norman L. Pernick on behalf of Debtor Newscom Services, Inc. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.co  
m



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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Norman L. Pernick on behalf of Debtor Chicagoland Microwave Licensee, Inc.  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Tribune License, Inc. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Fortify Holdings Corporation npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Chicago Tribune Newspapers, Inc.  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor WTX Inc. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Oak Brook Productions, Inc. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor KTLA Inc. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Tower Distribution Company npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Tribune Finance, LLC npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Los Angeles Times Newspapers, Inc.  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Sentinel Communications News Ventures, Inc.  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor North Michigan Production Company  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Homestead Publishing Co. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Heart & Crown Advertising, Inc. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Internet Foreclosure Service, Inc.  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor WLVI Inc. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Eagle New Media Investments, LLC  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor New Mass. Media, Inc. npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Tribune Manhattan Newspaper Holdings, Inc.  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Tribune Entertainment Company npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Chicagoland Television News, Inc.  
npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Patuxent Publishing Company npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Hoy Publications, LLC npernick@coleschotz.com,  
pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Norman L. Pernick on behalf of Debtor Tribune Publishing Company npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Interested Party Barclays Bank PLC npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Channel 40, Inc. npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Direct Mail Associates, Inc. npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Sun-Sentinel Company npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Times Mirror Land and Timber Company npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor WATL, LLC npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor JuliusAir Company II, LLC npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor TMLS I, Inc. npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Tribune New York Newspaper Holdings, LLC npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Tribune Media Company, et al. npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Tribune Broadcast Holdings, Inc. npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Channel 20, Inc. npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor The Daily Press, Inc. npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Star Community Publishing Group, LLC npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Attorney Cole Schotz P.C. npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Tribune CNLBC, LLC npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman L. Pernick on behalf of Debtor Signs of Distinction, Inc. npernick@coleschotz.com, pratkowiak@coleschotz.com;bankruptcy@coleschotz.com;jwhitworth@coleschotz.com;jford@coleschotz.com

Norman M. Monhait on behalf of Creditor Gabelli Entities nmonhait@rmgglaw.com

Norman P. Fivel on behalf of Creditor New York State Dept. Of Taxation & Finance norman.fivel@oag.state.ny.us

Odalisa Polanco on behalf of Creditor Claims Recovery Group LLC allison@claimsrecoveryllc.com, allison@ecf.inforuptcy.com;notices@claimsrecoveryllc.com

Odalisa Polanco on behalf of Creditor Allison Rebecca Axenrod allison@claimsrecoveryllc.com, allison@ecf.inforuptcy.com;notices@claimsrecoveryllc.com

Patricia P. McGonigle on behalf of Plaintiff The Official Committee of Unsecured Creditors of Tribune Company, on behalf of Tribune Company, et al. pmcgonigle@svglaw.com, dclack@svglaw.com

Patricia P. McGonigle on behalf of Interested Party Buena Vista Television, LLC pmcgonigle@svglaw.com, dclack@svglaw.com

Patricia P. McGonigle on behalf of Plaintiff OFFICIAL COMMITTEE OF UNSECURED CREDITORS pmcgonigle@svglaw.com, dclack@svglaw.com

Patrick J. Reilley on behalf of Debtor Tribune Company, et al. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff KSWB Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Patrick J. Reilley on behalf of Plaintiff WPIX, Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Interested Party Debtor/Committee/Lender Plan Proponent Group preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Debtor Tribune Media Company, et al. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Chicagoland Television News, Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Tribune Media Net, Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Tribune Direct Marketing, Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Kiah Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff KIAH Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff The Baltimore Sun Company preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Tribune Publishing Company preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Los Angeles Times Communications LLC preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Interested Party Debtor/Committee/Lender Plan Proponents preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff The Daily Press, Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Channel 40, Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Orlando Sentinel Communications Company preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Tribune Company preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Tribune Company, et al. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Tribune Television Northwest, Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Chicago Tribune Company preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff California Community News Corporation preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Tribune Television Holdings, Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff CHICAGO TRIBUNE COMPANY, INC. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Patrick J. Reilley on behalf of Plaintiff WDCW Broadcasting, Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Gold Coast Publications, Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff WGN Continental Broadcasting Company preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Tribune Television Company preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff The Hartford Courant Company preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff KTLA Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff KWGN Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Sun-Sentinel Company preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Tribune Broadcasting Company preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Magic T Music Publishing Company preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Tribune Media Services, Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Channel 39, Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff Tribune Broadcast Holdings, Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick J. Reilley on behalf of Plaintiff The Morning Call, Inc. preilley@coleschotz.com, bankruptcy@coleschotz.com;pratkowiak@coleschotz.com;jwhitworth@coleschotz.com;kkarstetter@coleschotz.com;jford@coleschotz.com

Patrick M. Brannigan on behalf of Interested Party JPMorgan Chase Bank, N.A. pbrannigan@crosslaw.com

Paul J. Catanese on behalf of Creditor The Nielsen Company (US) LLC pcatanese@mcguirewoods.com

Philip C. Dublin on behalf of Interested Party Aurelius Capital Management, LP pdublin@akingump.com

R. Craig Martin on behalf of Interested Party Barclays Bank PLC craig.martin@dlapiper.com, carolyn.fox@dlapiper.com;craig-martin-0553@ecf.pacerpro.com

R. Stephen McNeill on behalf of Defendant Merrill, Lynch, Pierce, Fenner & Smith Incorporated bankruptcy@potteranderson.com, bankruptcy@potteranderson.com

R. Stephen McNeill on behalf of Interested Party Merrill Lynch Capital Corporation and Merrill Lynch, Pierce, Fenner & Smith, Incorporated bankruptcy@potteranderson.com, bankruptcy@potteranderson.com

R. Stephen McNeill on behalf of Creditor Merrill Lynch Capital Corporation, as Administrative Agent bankruptcy@potteranderson.com, bankruptcy@potteranderson.com

Rachel B. Mersky on behalf of Interested Party bkm 3128 Redhill, LLC rmersky@monlaw.com

Rafael Xavier Zahralddin-Aravena on behalf of Interested Party 3M Employees Welfare Benefits Association Trust 1 and Employee Retirement Income Plan Trust of Minnesota Mining & Manufacturing Co. rxza@elliottgreenleaf.com, mxm@elliottgreenleaf.com

Rafael Xavier Zahralddin-Aravena on behalf of Defendant 3M Employees Welfare Benefits Association Trust 1 and Employee Retirement Income Plan Trust of Minnesota Mining & Manufacturing Co. rxza@elliottgreenleaf.com, mxm@elliottgreenleaf.com

Raymond H. Lemisch on behalf of Creditor Wilmington Trust Company rlemisch@klehr.com

Rebecca L. Butcher on behalf of Attorney Landis Rath & Cobb LLP butcher@lrclaw.com, rogers@lrclaw.com;ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com

Rebecca L. Butcher on behalf of Interested Party OFFICIAL COMMITTEE OF UNSECURED CREDITORS butcher@lrclaw.com, rogers@lrclaw.com;ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com

Rebecca L. Butcher on behalf of Plaintiff The Official Committee of Unsecured Creditors of Tribune Company, on behalf of Tribune Company, et al. butcher@lrclaw.com, rogers@lrclaw.com;ramirez@lrclaw.com;dellose@lrclaw.com;butler@lrclaw.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Reed A. Heiligman on behalf of Interested Party Employee Compensation Defendants Group  
rheiligman@fgllp.com, ccarpenter@fgllp.com  
Richard A. Robinson on behalf of Attorney Reed Smith LLP rrobinson@burr.com,  
debankruptcy@burr.com  
Richard E Rosberger on behalf of Defendant POLICE RETIREMENT SYSTEM OF K.C., MISSOURI LCV  
rrosberger@rlrpclaw.com  
Richard E Rosberger on behalf of Defendant Civilian Employees Retirement System of Kansas  
City rrosberger@rlrpclaw.com  
Richard M. Seltzer on behalf of Creditor SAG-AFTRA rseltzer@cwsny.com, ecf@cwsny.com  
Richard Michael Beck on behalf of Interested Party Harris, N.A. n/k/a BMO Harris Bank N.A.  
rbeck@klehr.com, lstanton@klehr.com  
Richard Michael Beck on behalf of Interested Party The State of California Franchise Tax  
Board rbeck@klehr.com, lstanton@klehr.com  
Richard Scott Cobb on behalf of Trustee Marc S. Kirschner, as Litigation Trustee  
cobb@lrclaw.com, ramirez@lrclaw.com; rogers@lrclaw.com; dellose@lrclaw.com; butler@lrclaw.com  
Richard Scott Cobb on behalf of Plaintiff Official Committee of Unsecured Creditors of  
Tribune Company, on behalf of Tribune Company, et al. cobb@lrclaw.com,  
ramirez@lrclaw.com; rogers@lrclaw.com; dellose@lrclaw.com; butler@lrclaw.com  
Richard Scott Cobb on behalf of Plaintiff The Official Committee of Unsecured Creditors of  
the Tribune Company cobb@lrclaw.com,  
ramirez@lrclaw.com; rogers@lrclaw.com; dellose@lrclaw.com; butler@lrclaw.com  
Richard Scott Cobb on behalf of Interested Party OFFICIAL COMMITTEE OF UNSECURED CREDITORS  
cobb@lrclaw.com, ramirez@lrclaw.com; rogers@lrclaw.com; dellose@lrclaw.com; butler@lrclaw.com  
Richard Scott Cobb on behalf of Plaintiff Marc S. Kirschner, as Litigation Trustee  
cobb@lrclaw.com, ramirez@lrclaw.com; rogers@lrclaw.com; dellose@lrclaw.com; butler@lrclaw.com  
Richard Scott Cobb on behalf of Trustee Litigation Trustee cobb@lrclaw.com,  
ramirez@lrclaw.com; rogers@lrclaw.com; dellose@lrclaw.com; butler@lrclaw.com  
Richard Scott Cobb on behalf of Plaintiff The Official Committee of Unsecured Creditors of  
Tribune Company, on behalf of Tribune Company, et al. cobb@lrclaw.com,  
ramirez@lrclaw.com; rogers@lrclaw.com; dellose@lrclaw.com; butler@lrclaw.com  
Richard W. Riley on behalf of Interested Party Cantigny Foundation rriley@wtplaw.com,  
clano@wtplaw.com  
Richard W. Riley on behalf of Interested Party Edward D. Jones & Co., L.P. rriley@wtplaw.com,  
clano@wtplaw.com  
Richard W. Riley on behalf of Interested Party Robert R. McCormick Tribune Foundation  
rriley@wtplaw.com, clano@wtplaw.com  
Richard W. Riley on behalf of Interested Party Robert R. McCormick Foundation  
rriley@wtplaw.com, clano@wtplaw.com  
Richard W. Riley on behalf of Defendant Robert R. McCormick Foundation  
rwiley@duanemorris.com, clano@wtplaw.com  
Robert J. Lack on behalf of Interested Party Aurelius Capital Management, LP rlack@fklaw.com,  
vgarvey@fklaw.com  
Robert J. Stearn, Jr. on behalf of Interested Party JPMorgan Chase Bank, N.A. stearn@rlf.com,  
rbgroup@rlf.com; ann-jerominski-2390@ecf.pacerpro.com  
Robert K. Minkoff on behalf of Creditor Jefferies Leveraged Credit Products, LLC  
rminkoff@cedargladecapital.com  
Robert S. Brady on behalf of Interested Party Credit Agreement Lenders bankfilings@ycst.com  
Robert S. Brady on behalf of Interested Party Angelo, Gordon & Co. bankfilings@ycst.com  
Robert S. Brady on behalf of Interested Party Oaktree Capital Management, L.P.  
bankfilings@ycst.com  
Robert T. Honeywell on behalf of Defendant Metropolitan Life Insurance Company  
robert.honeywell@klgates.com  
Robert T. Honeywell on behalf of Defendant MetLife Stock Index Portfolio  
robert.honeywell@klgates.com  
Robert W. Mallard on behalf of Creditor Agfa Corporation mallard.robert@dorsey.com  
Ronald Mark Tucker on behalf of Creditor Simon Property Group, Inc. rtucker@simon.com,  
bankruptcy@simon.com  
Ronald S. Gellert on behalf of Creditor The Nielsen Company (US) LLC rgellert@gsbblaw.com  
Scott Golden on behalf of Interested Party Abitibi Bowater, Inc. sagolden@hhlaw.com  
Scott Golden on behalf of Interested Party Abitibi Consolidated Sales Corporation  
sagolden@hhlaw.com  
Scott Golden on behalf of Interested Party Bowater Inc. sagolden@hhlaw.com  
Scott Alan Zuber on behalf of Interested Party Day Pitney LLP szuber@csglaw.com,  
ecf@csglaw.com  
Scott Alan Zuber on behalf of Creditor Day Pitney LLP szuber@csglaw.com, ecf@csglaw.com  
Scott D. Cousins on behalf of Interested Party Aurelius Capital Management, LP  
scousins@bayardlaw.com, lmorton@bayardlaw.com; kmccloskey@bayardlaw.com  
Scott I. Davidson on behalf of Interested Party General Motors Hourly-Rate Employee Pension  
Trust sdavidson@kslaw.com  
Scott J. Leonhardt on behalf of Creditor Ad Hoc Committee of Subsidiary Trade Creditors  
leonhardt@teamrosner.com  
Scott J. Leonhardt on behalf of Other Prof. Tweedy, Browne Company LLC  
leonhardt@teamrosner.com  
Scott J. Leonhardt on behalf of Interested Party Silver Point Capital, L.P.  
leonhardt@teamrosner.com  
Scott J. Leonhardt on behalf of Interested Party Neuberger Berman LLC leonhardt@teamrosner.com  
Shanti M. Katona on behalf of Interested Party Daniel Kazan skatona@polsinelli.com,  
LSuprum@Polsinelli.com; delawaredocketing@polsinelli.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Shanti M. Katona on behalf of Interested Party Schultze Asset Management, LLC  
skatona@polsinelli.com, LSUPRUM@Polsinelli.com;delawaredocketing@polsinelli.com

Shawn M. Christianson on behalf of Creditor Oracle America, Inc. schristianson@buchalter.com,  
cmcintire@buchalter.com

Shawn M. Christianson on behalf of Creditor Oracle USA, Inc. schristianson@buchalter.com,  
cmcintire@buchalter.com

Simon E. Fraser on behalf of Creditor Mark W. Hianik sfraser@cozen.com,  
sshidner@cozen.com;simon-fraser-1269@ecf.pacerpro.com

Sommer Leigh Ross on behalf of Interested Party Robert R. McCormick Foundation  
slross@duanemorris.com, AutoDocketWILM@duanemorris.com

Sommer Leigh Ross on behalf of Creditor Sony Pictures Television slross@duanemorris.com,  
AutoDocketWILM@duanemorris.com

Steven C. Schwendemann on behalf of Fee Examiner Stuart Maue s.schwendemann@smmj.com

Steven T. Hoort on behalf of Interested Party TV Guide Online, Inc. shoort@ropesgray.com,  
Therese.Scheuer@RopesGray.com;matthew.burrows@ropesgray.com

Steven T. Hoort on behalf of Interested Party TV Guide Online, LLC shoort@ropesgray.com,  
Therese.Scheuer@RopesGray.com;matthew.burrows@ropesgray.com

Stuart M. Brown on behalf of Interested Party Barclays Bank PLC stuart.brown@dlapiper.com,  
stuart-brown-7332@ecf.pacerpro.com

Susan E. Kaufman on behalf of Interested Party The American Federation of Television and  
Radio Artists ("AFTRA") skaufman@skaufmanlaw.com

Susan E. Kaufman on behalf of Creditor SAG-AFTRA skaufman@skaufmanlaw.com

Susan E. Kaufman on behalf of Interested Party United Steel, Paper and Forestry, Rubber,  
Manufacturing, Energy, Allied Industrial and Service Workers International Union ("United  
Steelworkers") skaufman@skaufmanlaw.com

Tara Hannon on behalf of Interested Party Oaktree Opportunities Fund VIII (Parallel), L.P.  
thannon@loan-law.com

Tara Hannon on behalf of Interested Party Opps 7b TRB Investments Holdings Ltd.  
thannon@loan-law.com

Tara Hannon on behalf of Creditor Oaktree FF Investment Fund, L.P. thannon@loan-law.com

Tara Hannon on behalf of Creditor Oaktree Huntington Investment Fund, L.P.  
thannon@loan-law.com

Tara Hannon on behalf of Creditor Oaktree Opportunities Fund VIII Delaware, L.P.  
thannon@loan-law.com

Tara Hannon on behalf of Creditor Oaktree Value Opportunities Fund Holdings, L.P.  
thannon@loan-law.com

Tara Hannon on behalf of Creditor OCM Opportunities Fund VII Delaware, L.P.  
thannon@loan-law.com

Tara Hannon on behalf of Creditor OCM Opportunities Fund VIIb Delaware, L.P.  
thannon@loan-law.com

Tara Hannon on behalf of Interested Party Opps 8 Main TRB Investments Holdings Ltd.  
thannon@loan-law.com

Tara L. Lattomus on behalf of Defendant MISI Company Ltd.  
delawarebankruptcy@eckertseamans.com, tlattomus@eckertseamans.com;mrutkowski@eckertseamans.com

Tara L. Lattomus on behalf of Defendant Acutech, LLC delawarebankruptcy@eckertseamans.com,  
tlattomus@eckertseamans.com;mrutkowski@eckertseamans.com

Telly Christos Nakos on behalf of Interested Party Anthony LaMantia tnakos@oconnornakos.com

Thomas A. Lee, III on behalf of Creditor American Express Travel Related Services Co Inc  
notices@becket-lee.com

Thomas F. Driscoll, III on behalf of Defendant Dan Neil tdriscoll@bifferato.com,  
mdunwody@tbf.legal

Thomas F. Driscoll, III on behalf of Defendant Walter Roche, Jr. tdriscoll@bifferato.com,  
mdunwody@tbf.legal

Thomas F. Driscoll, III on behalf of Defendant Henry Weinstein tdriscoll@bifferato.com,  
mdunwody@tbf.legal

Thomas F. Driscoll, III on behalf of Defendant Myron Levin tdriscoll@bifferato.com,  
mdunwody@tbf.legal

Thomas F. Driscoll, III on behalf of Interested Party Dan Neil, Corie Brown, Henry Weinstein,  
Walter Roche, Jr., Myron Levin, and Julie Makinen, individuals, on behalf of themselves and on  
behalf of all others similarly situated tdriscoll@tbf.legal, mdunwody@tbf.legal

Thomas F. Driscoll, III on behalf of Defendant Corie Brown tdriscoll@bifferato.com,  
mdunwody@tbf.legal

Thomas F. Driscoll, III on behalf of Defendant Julie Makinen tdriscoll@bifferato.com,  
mdunwody@tbf.legal

Thomas G. Macauley on behalf of Spec. Counsel Special Counsel to the Official Committee of  
Unsecured Creditors bk@macdelaw.com

Thomas G. Macauley on behalf of Plaintiff Official Committee of Unsecured Creditors of  
Tribune Company, on behalf of Tribune Company, et al. bankr@zuckerman.com

Thomas P. Carroll on behalf of Interested Party United States (on behalf of EPA)  
thomas.carroll@usdoj.gov

Thomas R. Fawkes on behalf of Creditor Clear Channel Outdoor, Inc. tomf@goldmclaw.com,  
seanw@restructuringshop.com

Thomas R. Fawkes on behalf of Other Prof. Mercer Health & Benefits, LLC tomf@goldmclaw.com,  
seanw@restructuringshop.com

Thomas V. Askounis on behalf of Creditor Banc of America Leasing & Capital, LLC  
taskounis@askounisdarcy.com, rwoolley@askounisdarcy.com

Tiffany Strelow Cobb on behalf of Creditor Third Screen Media, Inc. tscobb@vorys.com,  
mdwalkuski@vorys.com

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Tiffany Strelow Cobb mdwalkuski@vorys.com	on behalf of Creditor	AOL Advertising Inc. tscobb@vorys.com,
Tiffany Strelow Cobb mdwalkuski@vorys.com	on behalf of Creditor	Turner Properties, Inc. tscobb@vorys.com,
Tiffany Strelow Cobb mdwalkuski@vorys.com	on behalf of Creditor	AOL Inc. tscobb@vorys.com, mdwalkuski@vorys.com
Tiffany Strelow Cobb mdwalkuski@vorys.com	on behalf of Creditor	CNN NewsSource Sales, Inc. tscobb@vorys.com,
Tiffany Strelow Cobb mdwalkuski@vorys.com	on behalf of Creditor	AOL LLC tscobb@vorys.com, mdwalkuski@vorys.com
Tiffany Strelow Cobb mdwalkuski@vorys.com	on behalf of Creditor	Turner Broadcasting System, Inc. tscobb@vorys.com,
Timothy M. Riffin thomase@gtlaw.com;bankruptcydel@gtlaw.com	on behalf of Interested Party	Aurelius Capital Management, LP
U.S. Trustee USTPRegion03.WL.ECF@USDOJ.GOV		
Virginia Whitehill Guldi of Unsecured Creditors vguldi@zuckerman.com,	on behalf of Spec. Counsel	Special Counsel to the Official Committee cmeyer@zuckerman.com
W. Andrew Dalton W. Bradley Russell, Jr.	on behalf of Fee Examiner	Stuart Maue a.dalton@smmj.com
William.B.Russell@usdoj.gov,	on behalf of Creditor	UNITED STATES OF AMERICA eastern.taxcivil@usdoj.gov;james.j.wilkinson@usdoj.gov
William A. Hazeltine William A. Hazeltine	on behalf of Creditor	Wilmington Trust Company Bankruptcy001@sha-llc.com
William D. Sullivan	on behalf of Interested Party	Ivan J Bates Bankruptcy001@sha-llc.com
William D. Sullivan wdsecfnofices@sha-llc.com	on behalf of Creditor	Wilmington Trust Company wdsecfnofices@sha-llc.com
William D. Sullivan	on behalf of Creditor	Pepco Energy Services, Inc.,
William Douglas White clm@mccarthywhite.ocm	on behalf of Creditor	Diablo Investment Co. wdsecfnofices@sha-llc.com
William Douglas White wdw@mccarthywhite.com,	on behalf of Creditor	Florida Power & Light Co. wdw@mccarthywhite.com,
William Douglas White wdw@mccarthywhite.com,	on behalf of Creditor	Public Service Electric and Gas Company
William Douglas White clm@mccarthywhite.ocm	on behalf of Creditor	Delmarva Power & Light Company
William Douglas White clm@mccarthywhite.ocm	on behalf of Creditor	Entergy New Orleans, Inc. wdw@mccarthywhite.com,
William Douglas White clm@mccarthywhite.ocm	on behalf of Creditor	Entergy Louisiana, LLC wdw@mccarthywhite.com,
William M. Kelleher ad litem, Neala Olson wkelleher@gfmlaw.com	on behalf of Interested Party	Jon Van Senus, by and through his guardian
William M. Kelleher wkelleher@gfmlaw.com	on behalf of Interested Party	Corestaff Services, L.P.
William P. Weintraub wweintraub@fklaw.com,	on behalf of Interested Party	Aurelius Capital Management, LP
William Pierce Bowden wbowden@ashby-geddes.com	on behalf of Interested Party	Akin Gump Strauss Hauer & Feld LLP
William Pierce Bowden wbowden@ashby-geddes.com	on behalf of Creditor	Ricketts Acquisition LLC and Chicago Baseball Holdings, LLC
William Pierce Bowden wbowden@ashby-geddes.com	on behalf of Creditor	Morgan Stanley Capital Services Inc.
William Pierce Bowden wbowden@ashby-geddes.com	on behalf of Interested Party	Aurelius Capital Management, LP
William J. Barrett william.barrett@bfkn.com	on behalf of Interested Party	GreatBanc Trust Company
William J. Barrett	on behalf of Defendant	GreatBanc Trust Company william.barrett@bfkn.com

TOTAL: 969