

UNITED STATES BANKRUPTCY COURT

PROOF OF CLAIM

Name of Debtor:

MARLENE R. SHAPIRO

Case Number:

09-17787

NOTE: This form should not be used to make a claim for an administrative expense arising after the commencement of the case. A request for payment of an administrative expense may be filed pursuant to 11 U.S.C. § 503.

Name of Creditor (the person or other entity to whom the debtor owes money or property):

☐ Check this box to indicate that this claim amends a previously filed claim.

Name and address where notices should be sent:

MARLENE R. SHAPIRO, TRUSTEE
6301 E. NAUMANN DR.
PARADISE VALLEY, ARIZONA 85253

Court Claim Number: _____
(If known)

Filed on: _____

Telephone number:

480-998-8389

Name and address where payment should be sent (if different from above):

☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.

☐ Check this box if you are the debtor or trustee in this case.

Telephone number:

1. Amount of Claim as of Date Case Filed:

\$ 392,220.40

If all or part of your claim is secured, complete item 4 below; however, if all of your claim is unsecured, do not complete item 4.

If all or part of your claim is entitled to priority, complete item 5.

5. Amount of Claim Entitled to Priority under 11 U.S.C. §507(a). If any portion of your claim falls in one of the following categories, check the box and state the amount.

Specify the priority of the claim.

☐ Domestic support obligations under 11 U.S.C. §507(a)(1)(A) or (a)(1)(B).

☐ Wages, salaries, or commissions (up to \$10,950*) earned within 180 days before filing of the bankruptcy petition or cessation of the debtor's business, whichever is earlier - 11 U.S.C. §507 (a)(4).

☐ Contributions to an employee benefit plan - 11 U.S.C. §507 (a)(5).

☐ Up to \$2,425* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use - 11 U.S.C. §507 (a)(7).

☐ Taxes or penalties owed to governmental units - 11 U.S.C. §507 (a)(8).

☐ Other - Specify applicable paragraph of 11 U.S.C. §507 (a)().

2. Basis for Claim:

COST BASIS OF THORNBURG MORTGAGE INC.

(See instruction #2 on reverse side.)

\$ 392,220.40

3. Last four digits of any number by which creditor identifies debtor: _____

3a. Debtor may have scheduled account as: _____

(See instruction #3a on reverse side.)

4. Secured Claim (See instruction #4 on reverse side.)

Check the appropriate box if your claim is secured by a lien on property or a right of setoff and provide the requested information.

Nature of property or right of setoff: ☐ Real Estate ☐ Motor Vehicle ☐ Other
Describe:

Value of Property: \$ _____ Annual Interest Rate: %

Amount of arrearage and other charges as of time case filed included in secured claim,

if any: \$ _____ Basis for perfection: _____

Amount of Secured Claim: \$ _____ Amount Unsecured: \$ _____

6. Credits: The amount of all payments on this claim has been credited for the purpose of making this proof of claim.

7. Documents: Attach redacted orders, invoices, itemized statement. You may also attach a summary. Attach a security interest. You may also attach a security interest.

Filed: USBC - District of Maryland - Baltimore
Thornburg Mortgage, Inc., Et Al.
09-17787 (DKW)

0000000010

any notes, purchase
curity agreements.
action of
!" on reverse side.)

DO NOT SEND ORIGINAL DOCUMENT SCANNING.



ED AFTER

If the documents are not available, please explain:

Amount entitled to priority:

\$ 392,220.40

*Amounts are subject to adjustment on 4/1/10 and every 3 years thereafter with respect to cases commenced on or after the date of adjustment.

Date:

MAY 29, 2009

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

MARLENE R. SHAPIRO, TRUSTEE

Marlene R. Shapiro Trustee

FOR COURT USE ONLY

RECEIVED

JUN 02 2009

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571.

EPIO BANKRUPTCY SOLUTIONS, LLC



THORNBURG MORTGAGE
MING 312-595-6046

Fax Transmittal Cover Sheet

Date: 4/3/2009

SENT EBIQ SOLUTIONS
5/29/09

RECIPIENT

To: GENE SHAPIRO FOR MARLENE SHAPIRO

Firm:

Fax #: 4809985838

Phone #:

SENDER

From: Ming Meissonnier

Fax #: 3125954323

Phone #: 3125956046

Total Pages (including Cover Sheet): 5

Message:

Ming Meissonnier
Client Service Associate

Mesirow Financial
350 N. Clark Street
Chicago, IL 60610
P 312-595-6046
F 312-595-4323
E mmeissonnier@mesirrowfinancial.com

CONFIDENTIALITY NOTICE: The information contained in this facsimile transmission contains confidential information, and is transmitted for the sole use of the intended individual or entity named above. Mesirow Financial archives, and may monitor, facsimile transmissions through our network for regulatory compliance and record retention purposes. If you are not the intended recipient, you are hereby notified that any use, dissemination, distribution, duplication or retention of this communication is strictly prohibited. If you have received this facsimile transmission in error, please notify the sender immediately, and immediately destroy the original transmission in its entirety. Absent our prior written authorization, please do not disclose this facsimile transmission, or the contents thereof, to any third party. An offer or sale of any investment or other product may only be made in accordance with applicable law.

Mesirow Financial does not provide legal or tax advice. **IRS Circular 230 Notice:** To the extent that this communication or any attachment concerns tax matters, it is not intended to be used, and cannot be used by a taxpayer, for the purpose of avoiding any penalties that may be imposed by law.

321 North Clark Street, Chicago, Illinois 60610
312.595.8200 • www.mesirowfinancial.com

Portfolio Holdings
Detail - Industry Group

Prepared For:

7259-4359
MARLENE R SHAPIRO TR
UA 09-23-84
MARLENE R SHAPIRO REV TRUST

Quantity	Name	Security	Open Date	Mkt Price	Unit Cost	Cost Amount	Value	Unrealized	%Gain Loss	Income	Curr Yield	% of Port
Equities - Continued												
Hospital Supplies - Continued												
16,2850		MDT	07/27/2007	29.7600	50.3003	819.14	484.64	-334.50	-40.84	12.21	2.5	0.16
17,1010		MDT	10/26/2007	29.7600	48.0188	821.17	508.92	-312.25	-38.03	12.82	2.5	0.17
17,5250		MDT	01/25/2008	29.7600	46.9792	823.31	521.54	-301.77	-36.65	13.14	2.5	0.18
16,6330		MDT	04/25/2008	29.7600	49.6303	825.50	494.99	-330.51	-40.04	12.47	2.5	0.17
29,2470		MDT	07/25/2008	29.7600	53.3991	1,241.37	691.83	-549.54	-44.27	17.43	2.5	0.23
33,3710		MDT	10/24/2008	29.7600	37.3297	1,245.73	993.12	-252.61	-20.28	25.02	2.5	0.34
39,8540		MDT	01/23/2009	29.7600	32.2227	1,251.98	1,156.29	-95.69	-7.64	29.14	2.5	0.39
Hospital Supplies Summary						16,003.11	199,871.28	-5,595.97		5,036.99		87.50
Investment Companies												
2,500	PROLOGIS	PLD	07/01/2008	7.3500	16.0448	40,111.88	18,375.00	-21,736.88	-54.19	2,500.00	13.6	6.21
500		PLD	07/01/2008	7.3500	53.2554	26,627.68	3,675.00	-22,952.68	-86.20	500.00	13.6	1.24
1,000		PLD	11/06/2008	7.3500	10.3022	10,302.20	7,350.00	-2,952.20	-28.66	1,000.00	13.6	2.48
1,000		PLD	12/02/2008	7.3500	3.1920	3,192.00	7,350.00	4,168.00	130.99	1,000.00	13.6	2.48
37,282*	HORNBERG OLDCHG	885218-10-7	03/23/2001		9.4419	352,108.52						
22,076,5820*	MORTGAGE INC	885218-10-7	03/23/2001									
482*		885218-10-7	05/29/2001		14.3512	6,917.28						
578*		885218-10-7	09/23/2001		16.2800	9,408.84						
596*		885218-10-7	08/27/2001		15.7916	9,411.79						
726*		885218-10-7	11/28/2001		16.6355	12,077.37						
697*		885218-10-7	02/06/2002		19.6328	13,684.06						
10,000*		885218-10-7	04/12/2004		24.4004	244,004.50						
922,8650*		885218-10-7	05/17/2004		25.0600	23,127.00						
290,8020*		885218-10-7	08/17/2004		27.7300	8,063.95						
920,7510*		885218-10-7	05/15/2007		27.6000	25,412.73						
Investment Companies Summary						392,220.40	19,375.00	-21,736.88		2,800.00		6.21
Miscellaneous												
142,8571	ZILA INC \$001	ZILA	11/10/1999	0.1800	21.6810	3,094.43	25.71	-3,068.72	-99.17			0.01
Miscellaneous Summary						3,094.43	25.71	-3,068.72				0.01
Telecommunications												
350	TELLABS INC	TLAB	01/25/2000	4.9800	59.3913	20,788.96	1,743.00	-19,045.96	-91.61			0.59
Telecommunications Summary						20,788.96	1,743.00	-19,045.96				0.59
Equities Summary						446,117.76	296,081.23	-55,718.40		7,816.86		100.00
Report Summary						446,110.14	296,083.82	-55,718.40		7,816.86		100.00

Friday, April 03, 2009
AA01 LEVY JAMES S.

Portfolio Holdings
Detail - Industry Group

Prepared For:

7259-4359
MARLENE R CHAPIRO TR
UA 09-23-94
MARLENE R CHAPIRO REV TRUST

Quantity	Name	Security	Open Date	Mid Price	Unit Cost	Cost Amount	Value	Unrealized	% Gain/Loss	Income	Cur Yield	% of Port
16,250.0			07/20/2007	20.7500	500.0000	2,500.00	2,500.00	-104.50	-4.18	14.21	2.5	0.10
17,190.0			10/16/2007	21.7500	450.0000	2,250.00	2,250.00	-142.25	-6.33	12.45	2.4	0.17
17,500.0			11/12/2003	20.7500	450.0000	2,250.00	2,250.00	301.77	13.41	10.00	2.5	0.18
16,800.0			04/24/2008	20.7500	450.0000	2,250.00	2,250.00	301.51	13.41	10.00	2.5	0.17
23,990.0			07/20/2007	20.7500	500.0000	2,500.00	2,500.00	-88.54	-3.54	13.00	2.5	0.23
23,970.0			07/20/2007	20.7500	500.0000	2,500.00	2,500.00	-90.81	-3.63	13.00	2.5	0.23
23,970.0			07/20/2007	20.7500	500.0000	2,500.00	2,500.00	-90.81	-3.63	13.00	2.5	0.23
60,000.0			07/20/2007	20.7500	1,250.0000	6,250.00	6,250.00	-7.04	-0.11	20.14	3.1	0.36
	Hospital Supplies Summary					15,000.11	199,871.28	-5,385.97		5,030.98		67.50

Investment Companies												
2,500	PRM CQUIS	PID	07/01/2008	7.5000	16,000.00	40,111.88	18,375.00	-21,736.88	-54.16	2,500.00	13.6	6.21
500		PID	07/01/2008	7.5000	16,000.00	40,111.88	18,375.00	-21,736.88	-54.16	2,500.00	13.6	6.21
1,000		PID	07/01/2008	7.5000	16,000.00	40,111.88	18,375.00	-21,736.88	-54.16	2,500.00	13.6	6.21
1,000		PID	07/01/2008	7.5000	16,000.00	40,111.88	18,375.00	-21,736.88	-54.16	2,500.00	13.6	6.21
37,282	THORNTON OLDING	685210-10-7	03/23/2001	9.4419	9,441.90	352,108.62	352,108.62					
21,078	MORTGAGE INC	885210-10-7	08/20/2001	14.5319	14,531.90	6,217.32	6,217.32					
487		885210-10-7	08/20/2001	14.5319	14,531.90	6,217.32	6,217.32					
5,700		885210-10-7	08/20/2001	14.5319	14,531.90	6,217.32	6,217.32					
5,700		885210-10-7	08/20/2001	14.5319	14,531.90	6,217.32	6,217.32					
700		885210-10-7	08/20/2001	14.5319	14,531.90	6,217.32	6,217.32					
800		885210-10-7	08/20/2001	14.5319	14,531.90	6,217.32	6,217.32					
15,000		885210-10-7	08/20/2001	14.5319	14,531.90	6,217.32	6,217.32					
62,000.00		885210-10-7	08/20/2001	14.5319	14,531.90	6,217.32	6,217.32					
23,970.0		885210-10-7	08/20/2001	14.5319	14,531.90	6,217.32	6,217.32					
23,970.0		885210-10-7	08/20/2001	14.5319	14,531.90	6,217.32	6,217.32					
500,000.0		885210-10-7	08/20/2001	14.5319	14,531.90	6,217.32	6,217.32					
	Investment Companies Summary					392,220.40	18,375.00	-21,736.88		2,500.00		6.21

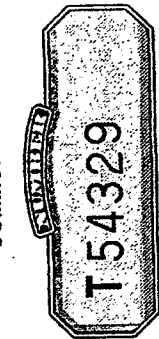
Miscellaneous												
142,057.1	ZILA INC \$.001	ZILA	11/10/1999	0.1000	21,601.00	3,084.43	25.71	-3,350.72	-59.17			0.01
	Miscellaneous Summary					3,084.43	25.71	-3,350.72				0.01
Telecommunications												
350	TELEARS INC	TIAR	07/25/2000	4.9800	59,381.33	20,788.96	1,743.00	-19,045.96	-91.83			0.59
	Telecommunications Summary					20,788.96	1,743.00	-19,045.96				0.59
	Equities Summary					445,117.75	298,081.23	-55,719.40		7,816.80		100.00
	Report Summary					445,117.75	298,081.23	-55,719.40		7,816.80		100.00

Page 3 of 4
02:30PM

Cost Amount
\$392,220.40

COMMON STOCK

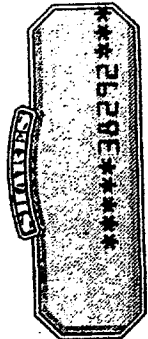
T 54329



12251

T0054329

COMMON STOCK



Thornburg Mortgage

INCORPORATED UNDER THE LAWS
OF THE STATE OF MARYLAND

CUSIP 865218 10 7

SEE REVERSE FOR CERTAIN DEFINITIONS

THIS CERTIFIES THAT

DTG-0727-07156-5804 3575
MARLENE R SHAPIRO TTEE
MARLENE R SHAPIRO REVOC TRUST
D/A DTG 9/23/94
6301 E NAUBURN DRIVE
PARADISE VALLEY AZ 85253

9008883051

IS THE RECORD HOLDER OF

SEVENTY-EIGHT THOUSAND TWO HUNDRED NINETY-TWO

FULLY PAID AND NON-ASSESSABLE SHARES OF THE COMMON STOCK, \$.01 PAR VALUE, OF

THORNBURG MORTGAGE, INC.

transferable on the books of the Corporation by the holder hereof in person or by duly authorized attorney upon the surrender of this Certificate properly endorsed. This Certificate is not valid until countersigned by the Transfer Agent and registered by the Registrar.

Witness the facsimile Corporate Seal of this Corporation and the facsimile signatures of its duly authorized officers.

Dated:

JUNE 07, 2007

12251005106 FAST

COUNTERSIGNED AND REGISTERED:
AMERICAN STOCK TRANSFER & TRUST COMPANY
TRANSFER AGENT
AND REGISTRAR

BY

[Signature]
AUTHORIZED OFFICER



CHAIRMAN

[Signature]

SECRETARY

[Signature]

171621942027
6111107

REISSUED

From 38,292 Shares

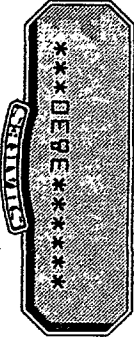
COMMON STOCK



T0056965

16007

COMMON STOCK



Thornburg Mortgage

INCORPORATED UNDER THE LAWS
OF THE STATE OF MARYLAND

CUSIP 885218 80 0

SEE REVERSE FOR CERTAIN DEFINITIONS

THIS CERTIFIES THAT

MARLENE R SHAPIRO TTEE
MARLENE R SHAPIRO REVOC TRUST
W/A DTD 7/23/74
6301 E NAUMANN DRIVE
PARADISE VALLEY AZ 85253

90000014849

IS THE RECORD HOLDER OF

THREE THOUSAND EIGHT HUNDRED THIRTY

FULLY PAID AND NON-ASSESSABLE SHARES OF THE COMMON STOCK, \$.01 PAR VALUE, OF

THORNBURG MORTGAGE, INC.

transferable on the books of the Corporation by the holder hereof in person or by duly authorized attorney upon the surrender of this Certificate properly endorsed. This Certificate is not valid until countersigned by the Transfer Agent and registered by the Registrar.

Witness the facsimile Corporate Seal of this Corporation and the facsimile signatures of its duly authorized officers.

FEBRUARY 26, 2009

Dated: 16007006602

COUNTERSIGNED AND REGISTERED:

AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
(NEW YORK, NY)

BY

TRANSFER AGENT
AND REGISTRAR

[Signature]
AUTHORIZED OFFICER

CHAIRMAN

[Signature]

[Signature]

SECRETARY



CERTIFICATE OF STOCK

MARLENE SHAPIRO
6301 E. NAUMANN DRIVE
PARADISE VALLEY, ARIZONA 85253
480-998 8389 FAX 480-998 5838

May 29, 2009

Thornburg Claims Prov. Center
EBIQ Solutions LLC
757 3rd Ave. 3rd Floor
N.Y. N.Y. 10017

Re: Marlene R. Shapiro, Revocable Trust

Thornburg Mortgage Common Stock cert. # T54329 38,292 shares reduced to
Ditto # T65965 3,830 shares

We spoke to several people in the claims dept. and they advised for me to fill out the available form on your web sight "<http://chapter11.epiqstems.com/thornburgmortgage>" for Case No. 09-17787. I also included the information from my brokerage firm Mesirow Financial.

Based on the enclosed my investment cost was \$392,220.40 for 37,292 shares. I also had another 1000 shares which was included with the total.

Please advise that my claim is properly listed.

Thank you,

A handwritten signature in cursive script that reads "Marlene R. Shapiro Trustee".

Marlene R. Shapiro, Trustee Marlene r. Shapiro Revocable Trust

Encl. Bankruptcy Court Form B 10 Proof of claim
Mesirow Financial portfolio holdings report
Copy of Stock Cert. #T54329 & #T65965

FedEx
0215 8659 5055 5189

X1 OGSA

TUE - 02 JUN A1
FIRST OVERNIGHT10017
NY-US
EWR

Encl 573828 813UM9 SCFA

28

FedEx US Airbill
Express

FedEx Tracking Number 8659

1 From This portion can be removed for Recipient's records.

Date 5/29/09

FedEx Tracking Number 865950

Sender's Name MARGUERITE STAPLE

RECI

Company GREEN MANAGEMENT

JUN 0 22009

Address 5301 E MAURINE DR

City FARM DUSE VALLEY State AZ ZIP 85904-4704

2 Your Internal Billing Reference

3 To Recipient's Name THERNUNG CLAIMS, INC. Phone

Company EBIQ SOLUTIONS L.L.C.

Recipient's Address 757 3RD AVE.

Address 3RD FL

City NEW YORK State NY ZIP 10017



8659 5055 5189

FedEx First Overnight

FedEx
EXPRESSFedEx
FIRST
OVERNIGHTDelivery Address
757 3RD AVERECIPIENT: PEEL HERE
fedex.com 1800.GoFedEx 1800.463.3339

Express Package Service

Priority Overnight

FedEx Standard Overnight

FedEx Express Saver

FedEx 2Day

FedEx 2Day Freight

FedEx 30 Day Freight

FedEx 30 Day Freight

5 Packaging

FedEx Envelope

FedEx Pak

FedEx Box

FedEx Tube

FedEx Other

6 Special Handling

SATURDAY Delivery

HOLD Weekday

HOLD Saturday

7 Payment

Sender

Recipient

Third Party

Credit Card

Cash/Check

8 Residential Delivery Signature Options

No Signature

Direct Signature

Indirect Signature

519