# IN THE UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF DELAWARE

In re:		hapter 11
ADI Liquidation, Inc. (f/k/a AWI Delaware, Inc.), et al.,	) ) ) C	ase No. 14-12092 (KJC)
Debtors.	) ) (J	ointly Administered)
	)	elated to Docket No. 3679

# AFFIDAVIT OF ADAM B. LEVIN REGARDING PUBLICATION OF NOTICE OF CONFIRMATION OF PLAN

I, Adam B. Levin, being duly sworn, hereby certify that (a) I am Senior Account Executive at Miller Advertising Agency, Inc. and (b) I caused the Debtors' "NOTICE OF CONFIRMATION OF PLAN" (the "Notice") to be published in the following publications, as described below:

- A summary of the Notice was published in Seafax First News, an industry email
  newsletter, on Monday, October 17, 2016, directing readers to the Debtors' informational
  website hosted by Epiq Systems. A copy of the PDF version of the newsletter is attached
  hereto as Exhibit A.
- 2. Notice was published on page D10 in the Monday, October 17, 2016 issue of *The Philadelphia Inquirer*. A copy of the page is attached hereto as **Exhibit B**.
- 3. Notice was published on page C5 in the Monday, October 17, 2016 issue of *The Wall Street Journal (National Edition)*. A copy of the page is attached hereto as **Exhibit C**.
- 4. Notice was published on page 5 in the Monday, October 17, 2016 issue of *The Star-Ledger*. A copy of the page is attached hereto as **Exhibit D**.

X (Signature)

Sr. Acct. Exec.
(Title)

Sworn to before me this: 17th day of October, 2016

**Notary Public** 

PETER EGLOFF OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires January 30, 2019

# EXHIBIT A



# FIRST NEWS



Your daily food industry update

### COLLECTIONS PLACED

O.K. Industries, Inc. -- Fostoria, OH (#899332) -- \$2,240

Scandia Seafood (New York), Inc. dba Scandia Seafood -- Secaucus, NJ (#11577) -- \$25,977 -- Partial Payment

### SPECIAL UPDATE

McDonald's Corporation -- Oak Brook, IL -- McDonald's Corporation announced October 13 that for the quarter ended September 30, 2016, it expects to incur approximately \$130 million in pre-tax charges, consisting of restructuring and non-cash impairment charges related to its global general and administrative and refranchising initiatives -- The initiatives include McDonald's plans to refranchise 4,000 restaurants by the end of 2018 and a net general and administrative savings target of \$500 million, the vast majority of which is expected to be realized by the end of 2017 -- Going forward, McDonald's expects to incur additional strategic charges in connection with these ongoing initiatives -- McDonald's is the world's leading global foodservice retailer with over 36,000 locations in over 100 countries (#33262)

Smithfield Foods, Inc. -- Smithfield, VA -- Smithfield Foods Inc conveyed October 13 that its employees continue to work around the clock to determine the impact of extraordinarily high levels of rain in North Carolina on the company's farms and processing facilities -- The company noted that none of its processing plants in North Carolina or Virginia have suffered substantive damage, but flooding is making the movement of hogs and employees difficult -- Smithfield said that its teams are working diligently to mitigate these logistical issues and the company's facilities are operating at full capacity in Virginia and at a reduced rate in North Carolina -- Smithfield anticipates that it will resume full production the week of October 17 (#17740)

## **NEWS DIGEST**

## Pacific Seafood Corporation of NE -- Boston,

MA -- Massachusetts-based Pacific Seafood Incorporated sold

Mo (Peter) Lee confirmed with SEAFAX October 14 that Roxbury, MA-based Pacific Seafood Incorporated was sold to Chung (John) Hsu.

Terms of the sale were not disclosed. Hsu, who is now 100% owner, formed a new entity in Massachusetts, changing the company's name to Pacific Seafood Corporation of NE.

Lee informed SEAFAX that the sale was completed on July 29, 2016 and Hsu began running the business on August 1.

Regarding his involvement with the business, Lee said he still owns and is leasing to Hsu the building that Pacific Seafood Corporation of NE is operating out of, and anticipates helping Hsu run the business for about a year. Hsu is also the president of Boston, MA-based Pekin Duck Supply

Corporation.

Massachusetts corporate records indicate that Pacific Seafood Corporation of NE was registered back on July 8, with Hsu listed as the registered agent. (#899356)

## Reinhart Foodservice, L.L.C. -- La Crosse, WI --

Reinhart to acquire Vermont-based distributor

Reinhart Foodservice LLC revealed October 14 that it has entered into an agreement with Earth Brothers Ltd. to purchase substantially all of the assets of the Black River Produce distribution business.

Financial details of the transaction, which is expected to close later this month, were not disclosed.

Black River Produce is a multi-regional distributor serving more than 2,000 accounts across Vermont, New Hampshire, Massachusetts, Connecticut, Maine, Rhode Island and New York. Founded in 1978, Black River Produce is owned by Mark Curran and Steve Birge and is based in Springfield, VT.

The acquisition further marks Reinhart's commitment to the Vermont market following the opening of a new distribution center in Essex, VT in 2014, and strengthens Reinhart's position in the New England distribution area.

"Black River Produce is a great company with strong management focused on delivering the highest quality local products and service to its customers. The Black River culture, product strategy as well as the proximity of its territory to key Reinhart markets, makes this acquisition a strong fit for both companies. We look forward to working with the team at Black River Produce to grow the business in the New England area and beyond," said Jeff King president and chief operating officer of Reinhart.

"Since we founded Black River Produce in 1978, we've prided ourselves on being the fresh connection for our customers across the markets we serve," said Curran and Birge. "We are fully committed to our customers' needs and providing them with the best locally-sourced products they can find. We are proud to join our operations with Reinhart so that we can combine their national leverage, supply chain expertise and operator solutions with our passion and focus on local solutions for our growing customer base."

Founded in 1972, Reinhart provides fresh produce, proteins, ingredients, supplies and equipment to customers across the U.S. from 29 distribution centers. (#753685)

## **FLASH REPORT**

Nature's One, Inc. -- Lewis Center, OH --

The information contained in this report is compiled according to reasonable and customary standards and practices within the credit reporting industry. This compilation of information is the property of Seafax and is provided pursuant to and subject to the terms of your agreement with Seafax. This report is provided solely for Seafax subscribers and may not be shared in whole or in part with any others. Our toll free number is (800) 777-3533; our email address is news@seafax.com. Only collection amounts over \$1,000 are listed. Copyright 2016 Seafax, Inc.

### **FIRST NEWS**

**Nature's One Inc.** was placed for collection with SEAFAX in the amount of \$11,540 resulting from a single unpaid invoice that dates back to July of this year.

A representative of the debtor indicated to SEAFAX that it will need four months to repay this debt in full. A separate \$540 collection claim was placed with SEAFAX against *Nature's One Inc.* last month. That debt was paid in full upon placement.

Jay Highman is listed as the president and chief executive officer of *Nature's One Inc.* Ohio corporate records lists the debtor as an active entity, effective since May 1998, with Highman as its registered agent. (#864877)

Tongfa Seafood International, LLC -- Manchester, NH -- A supplier placed Tongfa Seafood International LLC and its affiliate Manchester Seafood LLC for collection with SEAFAX in September.

**Tongfa Seafood International LLC** was placed for collection in the amount of \$27,213, while **Manchester Seafood LLC** was placed for collection in the amount of \$6,577. The debts are the result of unauthorized deductions taken from invoices that date back to late 2015.

All attempts by SEAFAX to reach *Tony Chen*, principal of both *Tongfa Seafood International LLC* and *Manchester Seafood LLC* have been unsuccessful. The businesses' primary number does not accept incoming calls and all messages left on an alternate phone number, as well as email correspondence sent by SEAFAX remain unanswered.

**Tongfa Seafood International LLC** has a history of collection activity with SEAFAX. A \$26,458 claim placed against the company in 2014 was settled, while a \$2,800 claim placed in 2015 was disputed and not paid.

New Hampshire corporate records lists **Tongfa Seafood International LLC** as an entity not in good standing and names **Tongfa Chen** as the registered agent. **Manchester Seafood LLC** is listed in good standing and names **Tony Chen** as registered agent. (#861749)

### BANKRUPTCY NEWS UPDATE

Garden Fresh Restaurant Corp. dba Souplantation -- San Diego, CA -- Souplantation, Sweet Tomatoes operators seek establishment of bid procedures

Garden Fresh Restaurant Corp. and four of its affiliates, which own and operate the Souplantation, Souplantation Express, Sweet Tomatoes, Sweet Tomatoes Express and Sweet Tomatoes Field Kitchen restaurant concepts, recently filed a motion asking the bankruptcy court to establish bid procedures and authorizing them to enter into a stalking horse agreement with their debtor-in-possession lender, Cortland Marketing Services.

Through the motion, the debtors have asked the court to set November 28 as the bid deadline, to schedule a November

30 auction and to set a December 2 hearing to consider the approval of the sale to the successful bidder. The court will discuss the debtors' proposed bid procedures at an October 28 hearing.

Following an extensive pre-petition marketing process that yielded no bid whatsoever, the debtors engaged in good-faith negotiations with their secured lenders, resulting in an agreement on a restructuring transaction to be implemented swiftly through a 363 sale of substantially all of their assets. Prior to the petition date, the debtors, 100% of their secured lenders and agents for other loan facilities entered into a restructuring support agreement, pursuant to which the parties agreed to support a sale transaction and certain restructuring terms on a specified timeframe and other conditions. The debtor-in-possession lender has agreed to serve as the stalking horse bidder in an auction related to the sale of the debtors' assets. The debtors expect to emerge from the Chapter 11 process by December 5, 2016.

The debtors currently operate 123 restaurants across 15 states and operate a fully integrated supply chain and distribution network including 17 central kitchens and two distribution centers. (#69164)

### **BANKRUPTCY BRIEFS**

Mastroianni Bros., Inc. dba Mastroianni Bakery -- Schenectady, NY -- Mastrojanni Bros. Inc. which did business as Mastrojanni Bakery. recently filed a motion seeking bankruptcy court approval to conduct an auction in connection with a sale of its equipment -- The debtor informed the court that it owns a substantial amount of equipment on its premises which it hopes to sell free and clear of all liens -- The debtor noted that Capital Recovery Group LLC has guaranteed that it can sell the equipment for \$400,000 -- Mastroianni Bakery has asked the court to schedule an auction for no later than December 1, 2016 -- As reported, the the bankruptcy court entered an order last month awarding Mastroiann Bakery access to the leased facility from which it operated for the purpose of selling equipment and other assets it owned -- Mastoianni Bakery has ceased operations, but has substantial assets at its leased facility that can be sold to generate a significant dividend for unsecured creditors and/or allow a new entity to take over its operations -- However, the landlord for the facility has commenced an eviction proceeding -- The September order grants Mastroianni Bakery access to the leased premises through December 31, 2016 (#897700)

### Pacific Andes Resources Development Limited -- Hong Kong, I -

- Pacific Andes Resources Development Limited (PARD) filed a motion October 10 asking the court to impose an automatic stay -- The stay, the debtor argued, will block creditors from liquidating its assets while the company attempts to reorganize under the protections afforded in a Chapter 11 bankruptcy -- PARD is asking for the same stay that was granted to its affiliates in their jointly administered Chapter 11 case, which includes Pacific Andes International Holdings Limited and China Fishery Group Limited -- PARD filed a Chapter 11 petition with the U.S. Bankruptcy Court for the Southern District of New York under Case #16-12739 (#898938)

### **INDUSTRY NOTES**

Hershey Company, The -- Hershey, PA -- The Hershey Company announced October 14 that John P. Bilbrey, chairman, president and chief executive officer, intends to retire from the company on July 1, 2017 -- Bilbrey will continue as non-executive chairman of

### **FIRST NEWS**

Hershey's board of directors following his retirement as president and chief executive officer -- As part of The Hershey Company's succession planning process, the board has appointed a special committee to direct the search for a new chief executive officer (#60159)

Sears Holdings Corporation -- Hoffman Estates, IL -- Sears Holdings Corporation announced October 14 that Jason Hollar has been promoted to chief financial officer, effective immediately -- Sears announced in May that Robert A. Schriesheim would be departing from his position with the company to focus on his other business interests and pursue other career opportunities -- Hollar joined Sears Holdings in October 2014 as senior vice president, finance overseeing the financial planning and analysis function, the business finance relationship with centralized finance and procurement -- The company also announced October 14 that it has consolidated responsibility for internal audit, treasury and capital markets finance functions under Robert Riecker, who has served as vice president and controller since 2011 and will continue as controller while also serving as head of capital markets activities (#777124)

**Tri-Marine International, Inc.** -- Bellevue, WA -- A representative of Tri-Marine International Inc (Tri Marine) confirmed with SEAFAX October 14 that Don Binotto resigned from his position of the company's The Tuna Store operation -- Binotto had previously advised Tri Marine that he would retire at the end of 2016 -- According to the company's representative, as a result of Tri Marine's decision to suspend canning operations in American Samoa in December 2016, which was made together with him, he immediately resigned his position -- Currently, Joe Hamby is acting chief executive officer of The Tuna Store and Samoa Tuna Processors (STP) (#289188)

### **INDUSTRY ANNOUNCEMENTS**

A classified section containing paid advertisements

### **Legal Notice**

ADI Liquidation, Inc. (f/k/a AWI Deleware, Inc.), et al., Debtors, Case No. 14-12092 (KJC) PLEASE TAKE NOTICE THAT on September 30, 2016, the Bankruptcy Court entered an Order [D.I. 3679] confirming the Debtors' Modified Second Amended Chapter 11 Plan of Liquidation (the "Plan"). PLEASE TAKE FURTHER NOTICE that upon the occurrence of the Effective Date, the Debtors will file and serve a Notice of Effective Date of Plan, which will, among other things, provide a deadline for all entities holding or wishing to assert Professional Fee Claims, Administrative Claims arising on and subsequent to November 12, 2014 (other than Administrative Claims described in sections 503(b)(1)(B) or 503(b)(1)(C) of the Bankruptcy Code or 28 U.S.C. 1930) or claims for damages on account of the rejection of executory contracts and leases pursuant to the Plan. Please http://dm.epiq11.com/AWI to access case information, including a PDF of the full-length version of this notice.

Questions or tips regarding <u>First News</u> items are encouraged. Please send email to news@seafax.com or contact us at 1-888-777-3533

# EXHIBIT B

thousands of items here and online at philly ecom

phillyecom

ROOMS RENT: \$100-125/wk. Hunting Park Area, Call 215-324-2402, 10a-4p

W. PHIL Fully Furn Irg rooms. \$125/wk. House privs incl W/D. 215-301-0803

W Phil Rooms \$550/mo, utils incl.newly reno, spac, clean, SSI ok. 424.274.1117

low cost cars & trucks

BUICK LESABRE 2000, RUNS NEW FORD EXPLORER 1995, 4X4, 1 OWNER FORD FOCUS SW 2003, NEW INSP \$1450/EACH. CALL 215-620-9383

CHEVY TRAILBLAZER 2004. Loaded, exc cond. \$3800. 215-629-6569

NISSAN ALTIMA GXE, 1999, \$950

<u>4 door, auto, loaded, 215-779-995</u>4

W. Phila rooms \$75-125/wk Cable tv incl 215-941-5848

TO PLACE AN AD, CALL 1.800.341.3413 OR GO ONLINE AT PHILLY.COM/PLACEANAD

## TO OUR READERS

Advertisements are the property of Philadelphia Media Network and/or its advertisers and are subject to contracts between them. The classified listings and individual advertisements are subject to the copyright in this edition owned by PMN and/or to copyright interests owned by its advertisers and/or PMN. Reproduction, display, transmission or distribution of the listings or individual advertisements in any format without express permission of PMN and/or its advertisers is prohibited.

# TO OUR ADVERTISERS

By placing an advertisement, you agree that the advertisement as it appears will become the prop-erty of Philadelphia Media Network and you assign to PMN all ownership interest, under the Copyrigh Act of otherwise, in the advertisement as it appears in the newspaper. Unless notified to the contrary by PMN, you are granted a license to place the same ad in the media. Delinquent accounts are subject to reasonable collection charges.

## merchandise market

### **FOR SALE**

2 Side by Side cemetery plots in White Marsh Memorial Park, Ambler, PA. Transfer fees paid. \$1500/each or both for \$2000. Call 215-822-8785

**BRAZILIAN FLOORING** 

FLORAL COOLER, 1 yr old, like new cond, 4 glass doors, incl all shelving, car deliver for fee. \$4,500. 484-359-4940

# GARAGE SALES/FLEA MARKETS

Society Hill Condos - Community Wide Garage Sale Sat., Oct 22, 8:30AM-2:00PM - 140 Society Hill Boulevard, Cherry Hill, NJ. This is a bargain hunters paradise Treasure seekers will find antiques, collectibles, jewelry, clothing, furniture, electronics and more.

## **MACHINERY & TOOLS**



2000 Bobcat 773 Rubber Tire Skid Ste

Steinway Model B Grand Piano Good cond., 6ft. 11 in., Bryn Mawr, \$22,000 OBO (610) 348-9106 stvdavis01@aol.com SPORTS COLLECTIBLES

# WANTED: Sports Cards, Autographs, Comics, etc. Cash Paid! 732-547-4381

**WANTED TO BUY** 

## 33 & 45 Records Absolute Higher \$

\* \* \* 215-200-0902 \* \* \* Comics, Trains, Magazines, Toys, Coins, Military, Model Kits, Books, 610.639.0563

# everything pets

# pets/livestock

Please be aware Possession of exotic/wild animals may be restricted in some areas.

# CATS

PERSIAN KITTENS, white, red Delivery. \$250. 610.463.4098 Siamese Kittens. M&F, Apple Head, Pure-

# DOGS

AKC AIREDALE Terrier Puppies, ready Now. \$1000. Call Dan 410-770-9789 American Border Collie Pups, Rare rd/wht, reg, vet chckd, 267-471-8573 American Bulldog Pups, 717-799-9775 \$1000. NKC, Multi-Color.

DOBIE Pups, Euro lines, blks & blues

English Bulldog Puppy, Champion Bloodlines, Sweet Personalities Friendly LancasterPuppies.com717-269-2708 GOLDEN RETRIEVER/HUSKY PUPS. 9

# adult lines

MEET HOT LOCALS! Send Messages FREE! Straight 215-878-1888/Curious 215-877-3337! Use Code 3297, 18+

# iobs

# **JOBS WANTED**

Caregiver for the elderly. Exc refs. Look no further. 215.938.1992, Ms.Gordon,

## real estate sale

All real estate advertised in this newspaper is subject to federal, state and local fair housing laws, which make newspaper is subject to federal, state and local fair housing laws, which make it illegal to advertise any preference, limitation, or discrimination based on race; color; religion; sex; disability; familial status; (presence of children); national origin; age (Pennsylvania and New Jersey); marital status or sexual orientation (Pennsylvania and New Jersey); or source of income (Philadelphia only) in the sale rental, financing or insuring of housing.

This paper will not knowingly accept any advertising for real estate which violates these laws. The law requires that all dwellings advertised be available on an equal opportunity basis. If you believe you have been discriminated against in connection with the sale, rent, financing or insuring of housing or commercial property, call HUD at 1-888-799-2085, TTY 215-656-3450; or fair housing organizations in Philadelphia at 1-800-KEPT-OUT; Bucks, Chester and Delaware counties at 610-604-4411; Montgomery County at 215-576-7711.

**EQUAL HOUSING OPPORTUNITY** 

**CHESTER COUNTY SALE** 



76 Cassatt Ave. Berwyn, PA 6BR/2.5BA \$659,000 Open House: Saturday Oct 22, 1:00 PM to 4:00 PM, 76 Cassatt Ave. Berwyn, PA (610) 389-5506 ncrowe4340@comcast.net For more detail see listing on Zillow.com

## homes for rent

# **WEST PHILADELPHIA RENT**

**West Phila Beautiful 2** & 3BR Homes, Available Now. Sect 8 welc. 267.981.2718 W/SW Philly 3BR homes recently renov

\$775-\$850/mo + utils.(215)878-2857

## NORTH PHILADELPHIA RENT **16xx N Newkirk, 3BR \$800** Sec 8 Welcome Ph#267-252-6168

# **KENSINGTON RENT**

21xx E. Birch St. 3BR/1BA. \$750 new paint, vinyl flrs. 215-833-6673

# NORTHEAST PHILADELPHIA RENT

### Front St. & Wyoming 2BR/1BA \$700 LR, DR, kit, no pets. (215)289-2973

## apartment marketplace

# **WEST PHILADELPHIA APTS**

1 & 2br Apts for Rent. Starting at \$650/mo. 267-972-9693

**56xx Spruce St 3BR** newly remod, Sec 8 ok, 215-885-1700

**60th & Market 2BR, Section 8 OK,** New Reno, Must see, 215-885-1700

# Marketplace HOW-TO

The Inquirer and Daily News new classifeds sections are now transformed into Marketplace, designed to deliver your ads to potential shoppers in a more visually attractive design and an easier-to-search format.

### **WRITE YOUR AD** Marketplace Ads:

Begin with a key word. Use descriptive words.

State your price or terms. D. Include a phone number and/or email address.

GERMAN SHEPHERD PUPS Champion bloodlines. 3 generation family tree. Large boned mother. 100 lbs. Registered. Papers. 8 weeks old. \$375. 215-222-2222

\*\* •; \*\* •; \*\*

Real Estate Ads:

# A. Begin with town, type of

home (single, apt., etc.), number of bedrooms & baths and cost. Use descriptive words.

DELAWARE COUNTY Lansdowne Single 4/2 \$350k Quiet street, close to schools and public see! Prime location.

## Transp. Must see! Call 215-222-2222 C. Include a phone number and/or email address.

### Auto Ads: A. Begin with model, year

# and price.

Use descriptive words.

and/or email address

C. Include a phone number

Prius 2007 \$23,000
Red w/Grey & Blk Interior. 25,000 mi.
5 disc changer. A/C 44 mpg. Clean car
Like New. Call 215-222-2222

### **AD BEGINS** Sunday - Real Estate

Sunday - Auto Sunday - Employment\* Sunday - Marketplace Monday & Tuesday Wednesday - Saturday

### **2** CHECK YOUR DEADLINES DEADLINE 10 AM Thursday 5 PM Thursday

3 PM Friday 5 PM Thursday 5 PM Friday 5 PM two days prior

CALL: 1-800-341-3413 ONLINE: philly.com/placeanad

801 Market Street, Phila., PA 19107 MAIL TO: Marketplace, P.O. Box 8263, Phila., PA 19101 4 SELL YOUR STUFF

# IARKETPLACE Over 2.5 million people will see your ad each week when your ad

runs in The Inquirer, Daily News and philly.com! \*Employment ads publish Sunday & Monday only.

# apartment marketplace **OAKLANE APTS**

## NORTH PHILADELPHIA APTS



13th/ Allegheny, 4BR/2BA, 3BR/2BA, 2BR/1BA. Also 2BR, 1BR & Efficiencies Available. Sec 8 OK. 215-221-0385

**2303 N. 18th St. 2BR \$660/mo + utils** 2 mo sec + 1 mo rent. 215-548-5938 23xx N. 17th St. Efficiency. \$450 + elec. 1 mo rent, 1 mo sec. 215.681.6967

## **TEMPLE UNIVERSITY AREA APTS** 1, 2, 3, 4 BEDROOM

FURNISHED APTS
Laundry-Parking 215-223-7000 **GERMANTOWN APTS** 

## 52xx Greene 1BR den \$725-775+utils, 2sec+rent, great trans 610.287.9857 CHESTNUT HILL/MT. AIRY APTS

**6730 Blakemore St., 1BR, 2nd floor,** near trans. \$695+. Call 215-410-6907 WADSWORTH COMMUNITIES

Apartments at Great Prices!

10 Loc's. Beautiful Studios, 1&2 br

**Legal Notices** 

legal notices

**Legal Notices** 

**6970 Cedar Park** . Renov. 3BR Duplex granite kitchen, \$800+utils. 215.416.2757

71xx N. Broad St. 1BR, \$725. wall-wall crpt, 1 mo rent+1 mo sec 267.549.8946

NORTHEAST PHILADELPHIA APTS

LAWNDALE AREA, 2BR Apt w/ balcony, \$825/mo. 1BR, \$725/mo. + Utilities. A/C, Avail immed. 215-620-1511

Rhawn Street & Frankford Ave., 2BR duplex, 1st floor, apt 1, fully renovated, basement storage, private parking, cent air, W/D. Available Now, \$800/

month plus utilities. Call 215-666-3200

ROOMS

**5500 Thompson W Phila \$100/wk** frig micro prv ent \$200sec 215-572-7664

Broad/Olney furn frig micro pvt ent \$100/wk \$200 sec 215-572-7664

Germantown Area: NICE, cozy rooms. Private entry. No drugs. (267)988-5890

N. 57th St. - Rooms, fully furn., \$135/wk + \$135 sec. Call 215-954-4202

NE: Rising Sun & Blvd. Newly renov a/c, cable, phone, w/d 267-253-7764

N. Phil. Quiet, furn, all male, biwkly, monthly. No drugs. 215-303-7658

**Legal Notices** 

**SUMMARY OF ORDINANCE** The following is a summary of Bill No. 160767 (approved October 7, 2016)

a private negotiated sale, for the purposes set forth above, subject to approval by the electors

Section 3 provides that the details of issuance of said bonds, within certain limitations, shall be determined by the Bond Committee; that payments into the Sinking Fund shall commence not more than 18 months from issuance; that interest on the bonds shall be payable initially not more than nine months from issuance; that interest on the bonds shall be payable initially not more than nine months.

from issuance; and that such bonds may be subject to redemption prior to maturity .

Section 5 authorizes the Bond Committee to enter into agreements with any appropriate entity providing credit or payment or liquidity sources, which agreements may provide for payment or acquisition of the bonds by such entity if the City does not pay the bonds when due and for City repayment to such entity; and authorizes the Bond Committee to take any other actions necessary to effect the Ordinance.

Section 6 directs that the above Notice of Election be issued, and directs compliance with certain advertising requirements.



(b)

(c)

(d)

(e)

(g)

**CITY OF PHILADELPHIA** CERTIFICATE OF THE CITY CONTROLLER **AS OF JULY 1, 2016** 

\$184,303,000

I hereby certify that the following is a true and correct statement of the existing and proposed new indebtedness of the City of Philadelphia as required by the Act of

Assembly approved June 25, 1919, as amended, entitled "An Act for the better government of cities of the first class of this Commonwealth"

The average of the annual assessed valuation of the taxable realty within the City during the ten years immediately preceding 2016 is .....

The amount of the existing indebtedness is

The amount of the existing indebtedness, less the deductions therefrom allowed by law is..........

of the taxable realty within the City during the ten years immediately preceding 2016 is...

The amount of the proposed new debt or increase of the indebtedness is the face amount of the loan \$184,303,000

valuations of the taxable realty within the City during the ten years immediately preceding 2016 is... 0.4562%

(f) The amount of the existing indebtedness, plus the proposed new debt or increase of indebtedness

of the taxable realty within the City during the ten years immediately preceding 2016 is...... ..... 4.8465%

The amount of indebtedness less the deductions therefrom allowed by law which the City may lawfully have outstanding is ... \$5,454,000,638

The percentage which such net amount represents of the average of the annual assessed valuations of the taxable realty within the City during the ten years immediately preceding 2016 is....

The above figures do not include the following authorized indebtedness under City Council Bill No. 2437, approved September 30, 1958:

FOR THE DISTRICT OF DELAWARE In re: ADI Liquidation, Inc. (f/k/a ) Chapter 11, Case No. 14-12092 (KJC)
AWI Delaware, Inc.), et al., ' | (Jointly Administered)
Debtors. | Related to Docket No. 3679
NOTICE OF CONFIRMATION OF PLAN

PLEASE TAKE NOTICE THAT on September 30, 2016, the Bankruptcy Court entered an Order [D.I. 3679] confirming the Debtors' Modified Second Amended Chapter 11 Plan of

Liquidation (the" Plan").

PLEASE TAKE PURTHEN NOTICE that upon the occurrence of the Effective Date, the
Debtors will file and serve a Notice of Effective Date of Plan, which will, among other
things, provide a deadline for all entities holding or wishing to assert Professional Fee
Claims, Administrative Calaims arising on and subsequent to November 12, 2014 (other
than Administrative Calaims arising on and subsequent to November 12, 2014 (other
than Administrative Calaims described in sections 503(b)(1)(B) or 503(b)(1)(C) of the

Bankruptcy Code or 28 U.S.C. § 1930) or claims for damages on account of the rejection

Dated: October 6, 2016, SAUL EWING LLP, Schmark Minuti

Bar No. 2659), Monique B. DiSabatino (DE Bar No. 6027), 1201 North Market Street, Suite 2300, P.O. Box 1266, Wilmington, DE 19899, Fleephone: (302) 241-6800, Facsimite: (302) 421-6810, Facsimite: (302) 421-6813 and - Jeffrey C. Hampton, Adam H. Isenberg, Robyn F. Pollack, Centre Square West, 1500 Market Street, 38° Floor, Philadelphia, PA 19102, Telephone: (215) 972-7777, Facsimite: (215) 972-7725, Counsel to the Debtors

of executory contracts and unexpired leases pursuant to the Plan.

Pursuant to the City of Philadelphia Wastewater Control Regulations effective January 1, 1977, as amended June 1, 1993, the City of Philadelphia through its Water Department is going to issue Wastewater Discharge Permits to the Significant Industrial Users listed below. Written comments from the public may be submitted and must be received by the City at the address below within 30 days of this notice. Manager, Industrial Waste Unit Philadelphia Water Department 1101 Market Streets Philadelphia, PA 19107 215-685-685 jennifer.l.moor@phila.gov 215-685-4908 donneli.laws@phila.gov

Copies of the proposed permit(s) can be obtained by contacting the above office. **Aluminum Forming Operations** 

Martin/F. Weber Co Pulp, Paper, and Paperboard O perations Newman & Company, Inc. Significant Industrial Users Caledonian Dye Works, Inc. Coca-Cola Refreshments USA, Inc. GE Bentz, Inc. Kinder-Marcack

Philadelphia, PA Philadelphia, PA Philadelphia, PA Philadelphia, PA

Philadelphia, PA Philadelphia, PA

Philadelphia, PA Philadelphia, PA Bensalem, PA Philadelphia, PA Philadelphia, PA Philadelphia, PA Philadelphia, PA Philadelphia, PA

automotive

VAN, w/cabinets, shelving, racks, A/C, reg serviced, cooperate disposal, below book value. \$7,985. Carol 215-922-2165 HONDA ACCORD EX \$7999 black, v6, 159k mi., 610-348-9375

**GMC 2009 DELUXE SAVANNA CARGO** 

**LEXUS RX350 2013. 30K mi, gar kept,** exc cond. \$31,000. (610)304-4736 R350 Mercedes 2006, exc cond.

loaded. Asking \$6,000. 267-250-0308 \*\*\*\*

VW BEETLE TURBO, 2012, \$14,000 (Se New! Only 16,000 mi, (302)333-36

autos other

### **ANTIQUE & CLASSIC CARS** CORVETTE 1992 LT1 conv.- auto, a/c

mags, full pwr, arrow pkg. 7lk orig mi BF Goodrich G Force TA, very good condition \$11,500 call Bob at 717-273-9951 **AUTOS, TRUCKS WANTED** 

**WE BUY CARS & TRUCKS** IN ANY CONDITION! \$100-\$5000 CASH 267-229-1970

WE BUY CARS, Vans, Buses, SUVs, Trucks!
WE PAY TOP \$\$\$ . Any Condition! Free
Pick-up! WE SELL USED CAR PARTS.
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# **Legal Notices**

# **Legal Notices**

# **Legal Notices NOTICE OF ELECTION**

# As authorized by Bill No. 160767 (approved October 7, 2016), notice is hereby given that a public election will be held on November 8, 2016, to obtain the consent of the electors of the City of Philadelphia to increase the indebtedness of the City in the sum of ONE HUNDRED EIGHTY-FOUR MILLION THREE HUNDRED THREE THOUSAND DOLLARS (\$184,303,000). The monies raised by the said loan shall be used for capital improvements relating to Transit; Streets and Sanitation; Municipal Buildings; Parks, Recreation and Museums; and Economic and Community Development.

Section 1 authorizes the City's Bond Committee to issue up to \$184,303,000 in general obligation bonds at a public sale to the highest bidder or at

Section 2 allocates a portion of the proceeds of the loan to each of the purposes set forth in the above Notice of Election, including reimbursement of previous City capital expenditures for such purposes, subject to re-allocation by City Council, if deemed advisable.

Section 4 provides for the creation of a sinking fund administered by the Sinking Fund Commission; and covenants, on behalf of the City, that the City will appropriate to the Sinking Fund Commission from the general revenues of the City a sum equal to the interest and principal on the bonds, as the same become due and payable; and that, so long as any bonds remain unpaid or any agreements authorized by Section 5 of the Ordinance are outstanding, the City will make payments out of the sinking fund or any other of its general revenues or funds sufficient to pay the interest and principal on such bonds or otherwise pay its obligations under the agreements.

Section 7 directs the proper officers to conduct said election, and sets forth the text of the ballot question.

Michael A. Decker Chief Clerk of Council

\$40,400,004,728

.\$2,194,904,000 The amount of the deductions therefrom allowed by law is..... ..\$421,222,000

\$1,773,682,000 The percentage which such net amount represents of the average of the annual assessed valuations

The percentage which such net amount represents of the average of the annual assessed

less the deductions therefrom allowed by law is ...... .....\$1,957,985,000 The percentage which such net amount represents of the average of the annual assessed valuations

The amount of \$4,600,000 approved by the electors on November 4, 1958 is indebtedness that shall not be valid and effective unless and until declared to be self-supporting by the Court under the Act of May 21, 1921, P.L 1054. In the event of such declaration, said indebtedness will be included in deductions allowed by law and hence will not increase net legal indebtedness.

> ALAN BUTKOVITZ CITY CONTROLLER IN THE UNITED STATES BANKRUPTCY COURT

2086 E. Willard Street 2922 N. 19th St 740 Sansom Street, Suite 402 3028 W. Hunting Park Avenue 2438 Sergeant Street

olySat, Inc. aint Formulating Operations Martin/F. Weber Co 2727 Southampton Road 6101 Tacony Street 3300 Emerald Street 725 E. Erie Avenue

2600 Christian Street

Philadelphia, PA

Philadelphia, PA

kPhiladelphia, PA Philadelphia, PA Philadelphia, PA Philadelphia, PA

Facsimile;(215) 972-7725, Counsel on the Debtors

1 The Debtors and the last four digits of their respective taxpayer identification numbers are as follows: ADI Liquidation, Inc. (If/A) AWI Delaware, Inc.) (3683); AW Liquidation, Inc. (If/A) Associated Wholesalers, Inc.) (7857); IK Liquidation, Inc. (If/A) ASsociated Wholesalers, Inc.) (7857); IK Liquidation, Inc. (If/A) Associated Logistics, Inc.) (1506); WR Liquidation, Inc. (If/A) White Rose Inc.) (1833); MI Liquidation Corp. (If/A) Rose Trucking Corp.) (2630); WRSC Liquidation Corp. (If/A) WRS Service Corp.) (5698); WRSC II Liquidation Corp. (If/A) WRS Service Corp.) (5698); WRSC II Liquidation Corp. (If/A) WRS Service Orp.) (4224); and White Rose Puerto Rico, LLC (4914). The Debtors' address is AW Liquidation, Inc. (If/A) Associated Wholesalers, Inc.), c/o Douglas A. Booth, Route 422, P.O. Box 233, Robesonia, PA 1955.

2 Capitalized terms used but not otherwise defined herein shall have the meanings ascribed to such terms in the Plan.

Drug Act.

2000 Cabot Blvd. West, Suite 150 Langhorne, PA 3100 Passyunk Ave

Philadelphia, PA

# Aluminum Forming Operations Thermacore, Inc Centralized Waste Treatment Operations Philadelphia Gas Works Electroplating & Metal Finishing Operations Philadelphia Rust-Proof Company, Inc. Metal Finishing Operations Henshell Corporation Murray Greene & Sons Penn Fishing Tackle Mfg. Co. Simons Brothers Co. Organic Chemicals, Plastics, and Synthetic Fibers Operations Philadephia Energy Solutions Refining and Marketing, LLC PolySat, Inc. Paint Formulating Operations 3 PLACE YOUR AD- 24 hours a day/7 days a week FAX: 1-215-854-5572 DROP OFF: Mon - Fri 11:00 AM - 3 PM

Coca-Cola Hefreshments USA, Inc.
GE Bentz, Inc.
Kinder-Morgan Liquid Terminals, LLC
Michel's Bakery, Inc
N. Jonas & Co., Inc.
Neatsfoot Oil Corporation
Pepsi Beverages Company
PBF Logistics Products Terminals, LLC
RichardsApex Inc.
William H. Cooper's Sons, Inc.

RichardsApex Inc.
William H. Cooper's Sons, Inc.
Steam Electric Power Generation
Operations
Veolia Energy Philadelphia, Inc.
Transporation Equipment Cleaning
Operations
Qualawash Holdings, LLC

3144 Passyunk Avenue 7240 State Road

3300 N. Delaware Avenue 5698 Rising Sun Avenue 1301 Adams Road 2925 E. Ontario Street 11701 Roosevelt Blvd 3400 S. 67th Street 4202 Main Street 3900 G Street

On March 21, 2016, the State Board of Nursing atuomatically suspended for one year the license of Sarah Ann Parker, license no. RN552766, of Philadelphia, Philadelphia County, based on her misdemeanor conviction under the Drug Act.

# EXHIBIT C

Prem 12 Mo

Yld

NAV Close/Disc

Fund (SYM)

PIMCO Hilnco PHK

Fund (SYM)

ClearBridge Engy MLP Fd CEM Clearbridge Engy MLP Opp EMO Clearbridge Engy MLP TR CTR

Cohen & Steers Infra UTF 23.34 20.58

Fund (SYM)

NAV Close/Disc

# **CLOSED-END FUNDS**

-11.8

NA -16.5

10.8

Listed are the 300 largest closed-end funds as Listed are the 300 largest closed-end runds as measured by assets. Closed-end funds sell a limited number of shares an invest the proceeds in securities. Unlike open-end funds, closed-ends generally do not buy their shares back from investors who wish to cash in their holdin Instead, fund shares trade on a stock exchange.

a-The NAV and market price are ex dividend. b-The NAV is fully diluted. e-NAV is as of Thursday's close. NAV is as of Wednesday's close. e-NAV assumes right. NAV Close / Disc Ret Fund (SYM) FtnVnc Tay Δα Gabelli Equity Genl American In NAV is as of Wednesday's close e-NAV assumes right offering is fully subscribed. FRights offering in process g-Rights offering announced. h-Lipper data has been adjusted for rights offering, leights offering has expired, but Lipper data not yet adjusted. H-NAV as of previous day. of-Tender offer in process. V-NAV is converted at the commercial Rand rate. w-Convertible Note-NAV front market) conversion value. y-NAV and market price are in Canadian dollars. NA signifies that the information is not available or not applicable. NS signifies fund not in existence of entire period.

12 month yelful is computed by dividing longer. HnckJohn Tx Liberty All-Star Royce Micro Royce Value Source Capit Tri-Continer Specialized signifies fund not in existence of entire period.

12 month yeld is computed by dividing income dividends paid (during the previous twelve months for periods ending at month-end or during the previous fifty-two weeks for periods ending at any time other than month-end market price adjusted for capital gains distributions.

Source Linner AllnzGI NF J Div AlpnGlbIPrPr ASA Gold & Prec BlkRk Enh Ca Friday, October 14, 2016 BlackRock Enh E Blackrock Globa 52 wk BlkRk Health Fund (SYM) NAV Close/Disc Ret BlkRk Intl Grwtl **General Equity Funds** Adams Divers Equity Fd **ADX 15.48 12.96 -16.3** BlackRok Rsos Con

CohSteer Opprtnty Fd <b>FOF</b> Cornerstone Strategic <b>CLM 13.1</b>			Central Fund of Canada <b>CEF 13.5</b> 9 ClearBridge Amer Engy <b>CBA</b>		-3.9 12.7 NA -14.5
<b>Borrowing B</b>	enchr	nark	S   WSJ.com/bonds		
<b>Money Rates</b>			Oct	ober	14, 2016

BlackRock Utilit

CBREClarionGlblRlEstIncm IGR 9.16 7.96 -13.1

Latest Euro commercial paper

n.q.

n.q.

n.q.

Euro interbank offered rate (Euribor)

n.q. n.q.

**0.53567** 0.52956 0.54633 0.18830

**0.88167** 0.87156 0.88167 0.31640 **1.26228** 1.26244 1.26367 0.51790

**1.58567** 1.58122 1.59567 0.82565

**-0.379** -0.377 -0.128 -0.379 **-0.323** -0.321 -0.050 -0.327

**-0 215** -0 212 0 018 -0 218

**-0.081** -0.083 0.122 -0.083

**-0.371** -0.371 -0.116 -0.373

**-0.311** -0.304 -0.051 -0.311

**-0.204** -0.203 0.021 -0.204 **-0.072** -0.063 0.130 -0.072

Latest Traded High Low

**0.478** 49.250 1.266 0.075 **0.501** 105.700 1.328 0.087

Settle Change Interest Rate

**99.410** 0.005 2821 0.590

**99.450** unch. 2536 0.550

Key annual interest rates paid to borrow or lend money in U.S. and international markets. Rates below are a guide to general levels but don't

30 day

Two month

Four month

Five month

Six month

Libor

One month

Six month

One year

Three month

Euro Libor

One month

Six month

One month

Three month Six month

Treasury Oct

Treasury Nov

**DTCC GCF Repo Index** 

**DTCC GCF Repo Index Futures** 

U.S. prime rate is effective December 17, 2015

U.S. prime rate is effective December 17, 2015. Discount rate is effective December 17, 2015 U.S. prime rate is the base rate on corporate loans posted by at least 70% of the 10 largest U.S. banks; Other prime rates aren't directly comparable; lending practices vary widely by location; DTCC GCF Repo Index is Depository Trust & Clearing Corp.'s weighted average for overnight trades in applicable CUSIPs. Value traded is in billions of U.S. follars. Futures on the DTCC GCF Repo Index.

dollars. Futures on the DTCC GCF Repo Index

Sources: Federal Reserve; Bureau of Labor Statistics; DTCC; SIX Financial Information; General Electric Capital Corp.; Tullett Prebon

WS, PRO

Surviving a Rough

Retail Environment

Join us for an in-depth discussion with retail and turnaround experts, drawing from

real experiences to explain how they help

businesses navigate current challenges.

Tuesday, October 25, 2016

7:45 am-10:30 am EDT Intercontinental New York Barclay

Contact WSJProEvents@WSJ.com to RSVP

are traded on NYSE Liffe US

Information, Ltd.

One year

Three month

Inflation	presentacti 1	iai ti ai is	actions.
miacion	Aug. index level	Chg Fro July '16	m (%) Aug. ′15
	ımer price in	dex	
∆ll items	240.853	0.09	11

Boulder Grwth & Inco BIF 10.21 8.11 -20.6 10.6 Central Securities CET 25.74 20.70 -19.6 12.0

Core	248	3.284	0.21	2.3
Internat	ional ra	ates		
	Latest	Week ago	-52- High	Week – Low
Prime rate	s			
U.S.	3.50	3.50	3.50	3.25
Canada	2.70	2.70	2.70	2.70
Japan	1.475	1.475	1.475	1.475
<b>Policy Rat</b>	es			
Euro zone	0.00	0.00	0.05	0.00
Switzerland	0.50	0.50	0.50	0.50
Britain	0.25	0.25	0.50	0.25

Australia	1.70	1.70	2.00	1.7		
Overnight	repurch	ase				
U.S.	0.48	0.44	1.30	0.0		
U.S. government rates						

Discount						
Discount	1.00	1.00	1.00	0.75		
Federal funds						
Effective rate	0.4300	0.4200	0.4500	0.0600		

Treasury bill auction						
Offer	0.4300	0.4200	0.5600	0.1100		
Bid	0.4100	0.4000	0.5500	0.0600		
Low	0.3000	0.3000	0.4000	0.0200		
High	0.5625	0.5625	0.7500	0.3100		
Effective rate	0.4200	0.4200	0.4700	0.0000		

i reasury bili auction					
4 weeks	0.265	0.260	0.295	0.000	
13 weeks	0.360	0.310	0.375	0.000	
26 weeks	0.495	0.490	0.585	0.080	
		-			

zo weeks	0.495	0.490	0.202	0.000			
Secondary market							
Fannie Mae	-						
20-year morte	rago violde						

Other short-term rates Call money							
60 days			3.646				
30 days	2 982	2 964	3.610	2 806			

Call money				
	2.25	2.25	2.25	2.00
Commercial pa	per			

30 to 270 days	n.q.				
Commercial paper (AA financial)					
90 days	0.78	0.78	0.90	0.23	

dvDiv <b>EVT</b>	21.03	19.70	-6.3	10.1	ı
& Incm GDV	21.01	18.84	-10.3	6.7	ı
Trust GAB	5.61	5.37	-4.3	7.7	ı
vestors GAM	38.99	32.04	-17.8	0.3	ı
Adv HTD					ı
Equity USA	6.01	5.09	-15.3	4.0	ı
-Cap RMT					ı
Trust RVT					ı
al SOR					ı
tal <b>TY</b>	24.79	21.03	-15.2	7.7	ı
ZF		11.85	-12.0	-1.2	ı
Equity Fu					ı
Rscs Fd <b>PEO</b>					ı
Interest NFJ					ı
rop <b>AWP</b>					ı
:Metals <b>ASA</b>					ı
ap Inco CII				2.4	ı
es Tr <b>BGR</b>					ı
q Div Tr <b>BDJ</b>					ı
al Trust BOE					ı
Sci <b>BME</b>					ı
h&Inco <b>BGY</b>					l
nm Str Tr <b>BCX</b>					l
e & Tech BST					l
ty & Infr BUI	19.47	18.87	-3.1	16.8	ı

-52-WEEK-

n.g. -0.07 -0.09 n.q.

n.q.

n.q.

-0.07 -0.08

52 wk n Ttl

ر.	Concila Steel Sillia OII	۲,57	20.70	-TT.0
.6	C&S MLP Incm & Engy Opp MIE			
.0	Cohen & Steers Qual Inc RQI	13.71	12.50	-8.8
.5	CohnStrsPfdInco RNP	22.39	19.41	-13.3
.7	Cohen & Steers TR RFI	13.64	12.54	-8.1
.0	CLSeligmn Prem Tech Gr Fd STK	17.55	17.80	+1.4
.7	Divers Real Asset Incm Fd DRA	19.26	16.47	-14.5
.2	Duff & Phelps <b>DNP</b>			+8.9
	Duff&PhelpsGblUtilIncFd DPG	18.69	16.22	-13.2
.5	Eaton Vance Eqty Inco Fd EOI			-7.7
.3	Eaton Vance Eqty Inco II EOS			-3.6
.9	EtnVncRskMngd ETJ			
.9	Etn Vnc Tax Mgd Buy-Write ETB			
.4	Eaton Vance BuyWrite Opp ETV			
.6 .3	Eaton Vance Tax-Mng Div ETY	11.29	10.56	-6.5
.3	Eaton Vance Tax-Mngd Opp ETW	10.93	10.80	-1.2
.5	EtnVncTxMngGlDvEqInc EXG	9.05	8.64	-4.5
.2	Fiduciary/Clymr Opp Fd FMO	15.04	15.58	+3.6
.6	FT Energy Inc & Growth Fd FEN			
.1	FstTrEnhEgtIncFd FFA			
.1	First Tr Engy Infr Fd FIF	19.60	18.39	-6.2
.8	First Tr MLP & Engy Incm FEI	15.65	15.76	+0.7
.6	Gabelli Hlthcr & Well GRX			
.7	Gabelli Utility Tr GUT	5.30	6.55	+23.6
.5	GAMCOGIbIGoldNatRscs&Inc GGN	5.87	6.09	+3.7
-	Goldman Sachs MLP Inc Opp GMZ		9.35	NA
	Goldman Sachs MLP Inc Opp GMZ Goldman Sachs MLP Energy GER		6.98	NA
	John Hancock Finl Opps Fd BTO			
	Kayne Anderson Engy TR Fd KYE	12.54	11.22	-10.5
6	Kayne Anderson MLP Invt KYN	18.98	19.44	+2.4
	Kayne Andrsn Midstr Engy KMF			
	Macquarie Glbl Infrstrctr MGU			

GAMCOGIbIGoldNatRscs&Inc GGN	5.87	6.09	+3.7	23.4
Goldman Sachs MLP Inc Opp GMZ		9.35	NA	-15.3
Goldman Sachs MLP Inc Opp GMZ Goldman Sachs MLP Energy GER		6.98	NA	-22.3
John Hancock Finl Opps Fd BTO	27.01	27.29	+1.0	13.2
Kayne Anderson Engy TR Fd KYE	12.54	11.22	-10.5	-18.5
Kayne Anderson MLP Invt KYN	18.98	19.44	+2.4	-9.8
Kavne Andrsn Midstr Engv KMF			-10.6	-24.4
Macquarie Glbl Infrstrctr MGU	24.55	20.70	-15.7	2.6
Neuberger Berman MLP Incm NML	9.69	8.86	-8.6	-28.0
Neubrgr Brm RI Est Sec Fd NRO	6.02	5.39	-10.5	15.8
Nuveen Dow 30 Dynamic DIAX				
NuvCorEgAlpha JCE	14.31	13.13	-8.2	0.2
NuvDivInco JDD	12.63	11.52	-8.8	15.3
Nuveen Engy MLP Fd JMF	13.16	12.39	-5.9	-2.6
Nuv NASDAQ 100 Dyn Over QQQX	19.68	18.58	-5.6	8.7
Nuveen Real Estate Fd JRS	11.67	11.13	-4.6	13.1
Nuv S&P 500 Dyn Overwrite SPXX		13.31	NA	6.6
Nuveen S&P 500 Buy-Write BXMX			-4.7	8.8
Reaves Utility Fund UTG			NA	5.8
Tekla Hithcr Investors HQH			-5.1	-19.3
Tekla Healthcare Opps Fd THQ			-5.7	7.8
Tekla Life Sciences HQL			-6.8	-19.8
Tekla World Hlthcr Fd THW	15.33	14.48	-5.5	2.2
Tortoise Engy Ind Fd NDP	16.93	15.66	-7.5	16.6
Tortoise Energy <b>TYG</b>	29,60	29,97	+1.3	3.0
Tortoise MLP Fund NTG	19.64	18.99	-3.3	9.8

Tortoise MLP Fund NTG	19.64	18.99	-3.3	9.8
Voya GI Equity Div IGD	7.71	7.02	-8.9	5.9
Income Preferred Sto	ck Fu	nds		
Calamos Strat Fd CSQ	11.17	NA	NA	NA
Cohen & Steers Dur Pfd LDP		24.53	NA	17.6
Cohen & Strs Sel Prf Inco PSF		26.72	NA	22.5
FT Interm Duration Pfd FPF	23.76	22.53	-5.2	12.8
Flaherty & Crumrine Dyn <b>DFP</b>	25.37	24.60	-3.0	16.7
Flaherty & Crumrine Pfd FFC	19.41	20.27	+4.4	11.8
John Hancock Pfd Income HPI	22.00	21.00	-4.5	15.8
John Hancock Pfd II HPF	21.75	21.25	-2.3	18.5
John Hancock Pfd Inc III HPS	19.32	18.08	-6.4	15.1
JHancock Pr Div <b>PDT</b>	15.99	15.13	-5.4	20.6
LMP Cap & Inco Fd SCD		13.03	NA	8.4
Nuveen Preferred & Incm JPI	24.48	24.30	-0.7	14.6
Nuveen Preferred Inc Opp JPC	10.43	9.72	-6.8	14.4
Nuveen Preferred Incm Fd JPS	9.59	9.28	-3.2	10.8
TCW Strategic Income Fund TSI		5.29	NA	3.0
Virtus Global Dividend ZTR	12.81	NA	NA	NA
Commentally Could From				

Convertible Sec's. Fur	ıas			
AdvntClymrFd <b>AVK</b>	16.38	14.14	-13.7	9.95
AllianzGI Conv & Incm NCV	6.58	6.69	+1.7	23.2
AllianzGI Conv & Incm II NCZ				21.6
AllianzGI Equity & Conv NIE	20.88	18.36	-12.1	9.4
Calamos Conv Hi Inco Fd CHY	11.38	10.88	-4.4	6.9
Calamos CHI	10.78	10.11	-6.2	8.4
Morld Equity Funds				

World Equity Funds				
Alpine Tot Dyn Div AOD	8.75	7.46	-14.7	2.5
Calamos Glbl Dyn Inc CHW	8.19	NA	NA	NA
		17.84	-32.7	1.1
China Fund CHN	18.93	16.28	-14.0	2.0
Clough Global Opp Fd GLO	11.39	9.48	-16.8	-5.9
EtnVncTxAdvGblDiv ETG				-0.2
EatonVance TxAdv Opport ETO	22.05	21.10	-4.3	4.9
First Trust Dynamic Eur FDEU				-18.0
Gabelli Glbl Multimedia GGT				5.2
GDL Fund GDL		9.83		4.5
India Fund IFN	28.32	24.66	-12.9	3.9
Japan Sml Cap <b>JOF</b>	12.06	10.37	-14.0	6.0
Korea Fund <b>KF</b>	38,92	34.16	-12.2	-0.9
		16.18		-6.7
MS China a Shr Fd CAF				7.0
MS India Invest IIF		28.59		6.0
New Germany Fund GF	15.68	13.79	-12.1	-1.2
Swiss Helvetia Fund SWZ				-0.3
Templeton Dragon <b>TDF</b>				3.9

## 52 wk 1 Ttl NAV Close / Disc Ret Fund (SYM) NAV Close / Disc Ret Templeton Emerging **EMF** 14.25 12.44 -12.7 14.0 Voya Infr Indis & Matls **IDE** 14.22 12.48 -12.2 9.9 16.07 NA -11.1 -16.3 -13.8 Wells Fargo Gl Div Opp **EOD** 6.51 5.58 -14.4 -3.9 Prem12 Mo Fund (SYM) NAV Close / Disc Yld 15.4 16.1 8.3 U.S. Mortgage Bond Funds BlackRock Income Trust BKT 6,92 6,41 -7.4 Brkfld Mortgage Opp Incm BOI 16,73 14.65 -12.4 Brookfield TR Fund HTR 24,33 21.89 -10.0 Nuveen Mtg Oppy Term Ed JLS 25,33 23,77 -6.2 16.5 7.2 11.7 5.4 7.6 9.9 1.6 11.3 John Hancok Income Secs JHS 15.50 14.20 - 8.4 MFS Inc Tr MIN 4.84 4.47 -7.6 WstAstClymrInfLnkd Fd WIW 12.72 10.95 -13.9 WstAssetClymrInfLnkd Fe WM 12.72 10.95 -13.5 8.0 2.7 1.5 6.9 0.3 -0.3 8.0 7.5 1.6 5.4

Loan Participation Funds	
Apollo Sr Fltg Rate Fd AFT 18.12 16.54 -8.7	6.5
BlackRock FR Incm Strat FRA 14.84 13.65 -8.0	5.3
Blkrk FltRt InTr <b>BGT</b> 14.36 13.54 -5.7	5.3
BlackstoneGSO Strat Cred BGB 16.75 14.77 -11.8	8.4
Blackstone GSO Sr Float BSL 17.40 17.00 -2.3	6.3
Eagle Point Credit ECC NA 16.76 NA	10.4
Eaton Vance FR Incm Tr <b>EFT 15.21 14.23 -6.4</b>	6.3
EatonVnc SrFltRate <b>EFR 14.87 14.07 -5.4</b>	6.0
Eaton Vance Sr <b>EVF</b> 6.97 6.36 -8.8	6.4
1st Tr Sr Fltg Rt Fd II FCT 14.23 13.54 -4.8	6.2
Invesco Credit Opps Fund VTA 12.89 11.62 -9.9	7.0
Invesco Senior Income Tr VVR 4.77 4.35 -8.8	7.0
Nuveen Credit Strt Inc Fd JQC 9.33 8.47 -9.2	7.2
NuvFloatRtelnco Fd JFR 11.52 10.97 -4.8	6.0
Nuv Float Rte Opp Fd <b>JRO 11.48 11.08 -3.5</b>	6.9
Nuveen Senior Income Fund NSL 6.86 6.36 -7.3	6.5
Pioneer Floating Rate Tr PHD 12.52 11.58 -7.5	6.3
Voya Prime Rate Trust PPR 5.73 5.23 -8.7	6.0
High Yield Bond Funds	
AllianceBernstein Glbl AWF NA 12.36 NA	8.7

Voya Prime Rate Trust <b>PPR</b>		5.23	-8.7	6.0
High Yield Bond Funds				
AllianceBernstein GlbI AWF		12.36	NA	8.7
Barings Glbl Short Dur HY BGH				10.0
BlackRock Corp Hi Yd Fd HYT				9.1
BlkRk Debt Strat Fd <b>DSU</b>				6.5
BlackRockDurInco Tr <b>BLW</b>	16.89	15.37	-9.0	8.2
Credit Suisse High Yld <b>DHY</b>	2.62	2.52	-3.8	11.0
DoubleLine Incm Solutions DSL	NA	18.84	NA	9.8
Dreyfus Hi Yld Fd DHF				9.8
Fst Tr Hi Inc Lg/Shrt Fd FSD	17.78	15.39	-13.4	6.9
Guggenheim Strat Opps Fd GOF	18.63	19.37	+4.0	11.4
Ivy High Income Opps Fund IVH	15.63	13.94	-10.8	11.1
Neuberger Berman HYS NHS	13.22	11.75	-11.1	8.0
NexPoint Credit Strat Fd NHF		21.91	NA	12.9
Nuveen GI Hi Incm Fd JGH	17.44	15.71	-9.9	10.0
Nuveen High Incm Dec18 JHA	10.13	10.28	+1.5	NS
Pioneer High Income Trust PHT			-0.8	11.1
Prud GI Shrt Dur Hi Yd GHY			-10.9	8.6
Prudentl Sh Dur Hi Yd Fd ISD	16.94	15.62	-7.8	8.2
Wells Fargo Incm Opps Fd EAD	9.11	8.20	-10.0	9.2
Wstrn Asset Glbl Hi Inco EHI	11.23	10.04	-10.6	11.3
Wstrn Asset High Inco II HIX	7.64	7.18	-6.0	10.9
Wstrn Asset Opp Fd HIO			-10.0	8.4
West Asst HY Def Opp Fd HYI				8.5
Other Domestic Taxal				3.5
Ares Dynamic Credit Alloc ARDC		14.53	NA	8.8

l	West Asst HY Def Opp Fd HYI 16.	63 15.22	-8.5	8.5	Nuveen Intermed Dur Mun NID 14.06 13.49		1.9	Voya Senior Income:C	
ı	Other Domestic Taxable	Bond Fu	ınds		NuveenMunilncoOpp Fd NMZ 13.70 13.41	-2.1 6	5.2		12.66
	Ares Dynamic Credit Alloc ARDC N	VA 14.53	NA	8.8	Nuveen Muni Value Fund NUV 10.40 10.09	-3.0 3	3.6	Voya Senior Income:W	
	Barings Corp Investors MCI	VA 17.64	NA	6.9	Nuveen Quality Muni NAD 15.76 14.12	-10.4 5	5.6	High Yield Bond Fund	
	BlackRock Multi-Sector IT BIT 18.			9.5	Nuveen Sel Tax Free NXP 15.54 14.51	-6.6 3	3.6	Nuveen HI Dec 2019 Tgt	
ı	BlackRock Taxable Mun Bd BBN 23.			6.6	Nuveen Sel TF NXQ 14.93 13.85	-7.2 3	3.6	PionrILSInterval	10.99
l		VA 24.02		9.8	PIMCO MuniFd PMF NA 16.15	NA 5	5.8	WA Middle Mkt Dbt	NA
ı	Duff & Phelps Utl & Cp Bd DUC 10.			6.1	Pimco Muni Inc II PML NA 12.65		5.7	WA Middle Mkt Inc WMF	
		VA 13.44		8.7	PIMCO Muni Inc III PMX NA 11.99		5.8	Other Domestic Taxa	
ı		VA 11.91		6.1	Pioneer Mun Hi Inc Adv Tr MAV 12.46 12.74		5.6	Capstone Church Capital	
	Guggenheim Taxable Muni GBAB 23.			7.0	Pioneer Mun Hi Incm Tr MHI 13.32 12.77		5.1	GL Beyond Income	4.62
ı	John Hancock Investors JHI 18.			8.3	Putnam Tr <b>PMM</b> 8.16 7.58		5.4	Palmer Square Opp Income	NA
ı		VA 15.93		9.3	Putnam Muni Opportunities PMO 13.64 12.84		5.3	Resource Credit Inc:A	10.26
ı		49 8.47		8.5	Wstrn Asset Mngd Muni MMU 14.49 14.13		5.1	Resource Credit Inc:C	10.36
ı					Western Asset Mun Tr Fund MTT 22.30 23.78			Resource Credit Inc:D	10.24
ı		80 5.98		8.6		+6.6 4	1.2	Resource Credit Inc:I	10.28
	Nuveen Build Am Bd Fd NBB 22.			5.8	Single State Muni Bond			Resource Credit Inc:T	10.23
ı		VA 14.62		10.8	BlackRock CA Municipal Tr BFZ 15.80 15.46		5.1	Resource Credit Inc:U	10.26
l	PIMCO Corporate & Incm PCN	VA 14.94	NA	8.9	BlkRk MuniHldgs CA Qlty <b>MUC 16.01 15.10</b>	-5.7 4	1.9	Resource Credit Inc:W	10.24

NA 9.64 NA NA 10.46 NA PIMCO Inco Str Fd **PFL** PIMCO Incm Strategy Fd II **PFN** Putnam Mas Inco **PIM** NA 9.34 4.89 4.44 Putnam Premier Income Tr PPT 5.39 4.88 Wells Fargo Multi-Sector ERC 14.35 12.74 World Income Funds
Abeerden Asia-Pacific FAX 5.74 4.95 Etn Vnc Short Dur Fd EVG NA 13.48 Legg Mason BW Glbl Incm BWG 15.32 13.19 MS EmMktDomDebt EDD 8.92 7.92 PIMCO Dynamic Credit PCI NA 20.20 PIMCO Dynamic Income Fund PDI PIMCO Income Opportunity PKO PIMCO Strat Income Fund RCS Templeton Emerging TEI 12.15 10.92 Templeton Global **GIM** 7.17 6.27 Wstm Asset Emerg Mkts **ESD** 18.03 15.66 Wstm Asset Emerg Mkt I **EMD** 12.92 11.23 Wstrn Asset Gl Def Opp Fd GDO 19.07 17.68 National Muni Bond Funds AllianceBrnstn NtlMun AFB 15.41 14.09 Blackrock Invest BKN 16.63 15.89 Blackrock Mun 2030 Target BTT 24,48 22,98
BlackRock Municipal Trust BFK 15.00 14.81
BlackRock Muni BLE 15.65 15.16
BlackRock Muni BLE 15.65 15.16
BlackRock Muni TR BYM 15.72 14,65
BlkRk MuniAssets Fd MUA 14.48 14.15
BlkRk MuniHidgs Oltyl MUE 12.34 11.84
BlkRk MuniHidgs Oltyl MUE 14.65 13.90
BlkRk MuniHidgs Oltyl MUE 14.65 13.90 BlkRk MuniHldgs MHD 17.70 17.14 BlkRk MuniVest MVF 10.10 9.91 BlkRk MuniVest II MVT 15.89 16.11 BlkRk MuniVield MYD 15.43 14.74 BlkRk MuniYld Quality MQY 16.26 15.55 BlkRk MuniYld Qlty II MQT 14.32 13.33 BlRkMunyldQltyIII MYI 14.98 14.25 Deutsche Mun Income Tr KTF 13.23 13.20 Dreyfus Mun Bd Infr Fd DMB 14.37 13.47
Dreyfus Municipal Income DMF 9.67 9.13
Dreyfus Strat Muni Bond DSM 8.70 8.34 Dreyfus Strategic Munis LEO 8,94 8,73 Eaton Vance Mun Bd Fd EIM 14.24 12.87 Eaton Vance Mun Income EVN 13.90 13.29 EV National Municipal Opp EOT 22.78 21.89 Invesco Adv Mun Incm II VKI 12.62 11.63 Invesco Mun Incm Opps Tr OIA 7.73 NA Invesco Mun Opportunity VMO 14.07 13.12 Invesco Municipal Trust VKQ 14.03 12.87 Invesco Qity Mun Inco IQI 14.09 13.04 Invesco Inv Grade Muni VGM 14.52 13.37 Invesco Value Mun Incm Tr IIM 16.76 15.33 MainStay DefinedTerm MMD 20.42 19.47 MAINTARY Defined refit MIND 20.42 19.47
MFS Munl Inco MFM 7.57 7.19
Nuveen AMT-Free Mun Value NUW 17.24 17.06
Nuveen AMT-Free Quality NEA 15.37 13.75 Nuveen Enh AMT-Fr Muni NVG 16.66 14.87 Nuveen Enh Muni Credit NZF 16.37 14.67 Nuveen Enhncd Mun Val Fd NEV 15.59 15.13 Nuveen Intermed Dur Mun NID 14.06 13.49 NuveenMunilncoOppFd NMZ 13.70 13.41 Nuveen Muni Value Fund NUV 10.40 10.09 Nuveen Quality Muni NAD 15.76 14.12 Nuveen Sel Tax Free NXP 15.54 14.51 Nuveen Sel TF NXQ 14.93 13.85
PIMCO MuniFd PMF NA 16.15
Pimco Muni Inc II PML NA 12.65
PIMCO Muni Inc III PMX NA 11.99 Pioneer Mun Hi Inc Adv Tr MAV 12.46 12.74
Pioneer Mun Hi Incm Tr MHI 13.32 12.77
Putnam Tr PMM 8.16 7.58

Disc	Yld	Fund (SYM)	NAV (	:lose/	Disc	Yld
NA	12.4	Blkrck MunHI NJ Qlty MUJ	16.11	14.82	-8.0	5.5
NA	10.1	BIRk MuHldg NY Qlty MHN	15.18	14.10	-7.1	5.1
NA	10.8	BlkRk MuniYld CA Fd <b>MYC</b>	16.42	15.93	-3.0	5.0
-9.2	7.0	BlkRk MuniYld CA Quality MCA	16.23	15.30	-5.7	5.1
-9.5	6.3	BlkRk MuniYld MI Qlty MIY	15.85	14.29	-9.8	5.0
-11.2	8.3	BlkRk MuniYld NJ Fd MYJ			-0.7	5.3
		BIRk Muyld NY Qlty MYN			-7.5	5.0
-13.8	5.5	Eaton Vance CA Mun Bd EVM			-6.0	5.0
NA	6.8	Invesco CA Value Mun Incm VCV			-7.3	5.4
-13.9	9.8	Invesco PA Value Mun Incm VPV	14.46	12.99	-10.2	5.6
-11.2	9.4	Invesco Inv Grade NY Muni VTN			-6.1	5.4
NA	10.7	Nuveen California AMT <b>NKX</b>			-7.2	5.2
NA	14.9	Nuveen CA Div Fnd NAC	16.13	15.14	-6.1	5.5
NA	9.8	Nuveen CA Div 2 NVX			-7.2	4.9
NA	9.95	NuveenCA3 NZH	15.03		-6.5	5.2
-10.1	7.2	Nuveen CA Muni Value NCA			-0.3	4.1
-12.6	4.8	Nuveen MD Premium Income NMY			-10.5	4.8
-13.1	7.7	Nuveen MI Quality Income NUM	15.85	14.05	-11.4	5.0
-13.1	7.3	Nuveen NC Premium Income NNC	15.30	13.70	-10.5	4.1
-7.3	7.7	Nuveen NJ Div <b>NXJ</b>	16.07	14.23	-11.4	5.2
		NuvAMTFreeMunilncm NRK	14.85	13.4/	-9.3	4.9
-8.6	4.9	Nuveen NY Div Fnd NAN			-7.8	5.1
-4.5	5.1	Nuveen Ohio Qual Income NUO	17.07	15.43	-9.6	4.8
-6.1	3.9	Nuveen Pa Investment Qual NQP Nuveen VA Premium Income NPV	15.83	14.08	-11.1	5.3 4.5
-1.3	5.6				-3.7	4.5
-3.1	5.7	PIMCO California Muni <b>PCQ</b>		15.60	NA	5.5
-6.8	5.2	PIMCO California Mun II PCK	NA.	9.97	NA	5.8
-2.3	4.6				5	2 wk
-4.1	5.5				rem	Ttl
-2.2	5.3	Fund (SYM)	NAV C	:lose/	Disc	Ret
-5.1	5.4	General Equity Funds				
-3.2	5.5	Specialized Equity Fu				
-1.9	5.9	Corsair Opportunity:A	9.41	NA	NA	NS
+1.4	5.7	Corsair Opportunity:	9.44	NA	NA	-1.1
-4.5	5.7	NexPointRlEstStrat;A	NA	NA	NA	NS
-4.4	5.5	NexPointRIEstStrat;C	NA	NA	NA	NS
-6.9	5.5	NexPointRlEstStrat;Z	NA	NA	NA	NS
-4.9	5.6	SharesPost 100	26.94	NA	NA	7.3
-0.2	5.9	Versus Cap MMgr RE Inc:F	26.94 27.11	NA	NA	7.3 7.0
-6.3	5.3	Versus Cap MMgr RE Inc:	27.16	NA	NA	1.3
-5.6	5.6	Wildermuth Endwmnt Str	11.58	NA	NA	7.4
-4.1	5.6	Wildermuth Endwmnt S:C	11.52	NA	NA	NS
-2.3		Income Preferred Sto		nds		
-9.6	5.5 5.3	Convertible Sec's. Fu	nds	10.00	7.0	٠.
-4.4	5.5	Calmos Dyn Conv and Inc CCD	19.73	18.20	-7.8	0.6
-3.9	4.4	World Equity Funds	011			
-7.8	6.2	BMO LGM Front ME	9.14	NA	NA	6.8
NA	4.7				rem1	2 Mo
-6.8	6.1	Fund (SYM)	NAV C	:lose/	Disc	Yld
-8.3	5.8	U.S. Mortgage Bond F	unds			
		Vertical Capital Income	12.50	NA	NA	3.0
-7.5	5.5	Loan Participation Fu				
-7.9	6.0	504 Fund	10.07	NA	NA	3.3
-8.5	5.0		6.47	NA	NA	5.5
-4.7	5.6	Invesco Sr Loan A Invesco Sr Loan B	6.47	NA	NA	5.5
-5.0	5.1	Invesco Sr Loan C	6.48	NA	NA	4.8
-1.0	4.1	Invesco Sr Loan IB	6.47	NA	NA	5.8
-10.5	5.1	Invesco Sr Loan IC	6.47	NA	NA	5.6
-10.7	5.1	Invesco Sr Loan Y	6.47	NA	NA	5.7
-10.4	5.4	Voya Senior Income:A	12.69	NA	NA	5.5
-3.0	5.9	Voya Senior Income:B	12.63	NA	NA	5.0
-4.1	4.9	Voya Senior Income:C	12.67	NA	NA	5.0
-2.1	6.2	Voya Senior Income:I	12.66 12.70	NA	NA	5.8
-3.0	3.6	Voya Senior Income:W	12.70	NA	NA	5.8
-10.4	5.6	High Yield Bond Fund	s			
-6.6	3.6	Nuveen HI Dec 2019 Tgt	10.18	10.13	-0.5	NS
-7.2	3.6	PionrILSInterval	10.99	NA	NA	5.8
NA	5.8	WA Middle Mkt Dbt	NA	NA	NA	11.4
	5.7	WA Middle Mkt Inc WMF Other Domestic Taxa	NA	NA	NA	11.7
			ble Re	nd Eu	nds	
NA			DIE DO			
NA NA	5.8	Capstone Church Capital	11.57	NA	NA	1.1
NA NA +2.2	5.8 6.6	Capstone Church Capital GL Beyond Income	11.57 4.62	NA NA	NA NA	NE
NA NA +2.2 -4.1	5.8 6.6 6.1	Capstone Church Capital GL Beyond Income Palmer Square Opp Income	11.57 4.62 NA	NA NA NA	NA NA NA	NE 6.7
NA NA +2.2 -4.1 -7.1	5.8 6.6 6.1 5.4	Capstone Church Capital GL Beyond Income Palmer Square Opp Income Resource Credit Inc:A	11.57 4.62 NA 10.26	NA NA NA NA	NA NA NA NA	NE 6.7 3.4
NA NA +2.2 -4.1 -7.1 -5.9	5.8 6.6 6.1 5.4 5.3	Capstone Church Capital GL Beyond Income Palmer Square Opp Income Resource Credit Inc:A Resource Credit Inc:C	11.57 4.62 NA 10.26	NA NA NA NA NA	NA NA NA NA NA	NE 6.7 3.4 3.0
NA +2.2 -4.1 -7.1 -5.9 -2.5	5.8 6.6 6.1 5.4 5.3 5.1	Capstone Church Capital GL Beyond Income Palmer Square Opp Income Resource Credit Inc:A Resource Credit Inc:C Resource Credit Inc:D	11.57 4.62 NA 10.26	NA NA NA NA NA	NA NA NA NA NA	NE 6.7 3.4 3.0 3.1
NA NA +2.2 -4.1 -7.1 -5.9	5.8 6.6 6.1 5.4 5.3	Capstone Church Capital GL Beyond Income Palmer Square Opp Income Resource Credit Inc:A Resource Credit Inc:D Resource Credit Inc:D Resource Credit Inc:I	11.57 4.62 NA 10.26	NA NA NA NA NA	NA NA NA NA NA NA	NE 6.7 3.4 3.0 3.1 3.4
NA +2.2 -4.1 -7.1 -5.9 -2.5	5.8 6.6 6.1 5.4 5.3 5.1	Capstone Church Capital GL Beyond Income Palmer Square Opp Income Resource Credit Inc:A Resource Credit Inc:C Resource Credit Inc:D	11.57 4.62 NA	NA NA NA NA NA	NA NA NA NA NA	NE 6.7 3.4 3.0 3.1

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UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF MICHIGAN

### If You Are a Truck and/or Equipment Dealership That Purchased Vehicles or Bought Certain Parts for a Vehicle in the U.S. Since 1999 You Could Receive Money from Settlements of Class Actions

You may be part or a class action settlement if you are a Iruck and/or Equipment dealership that indirectly purchased certain component parts and/or vehicles for resale or lease containing these parts ("Dealer") in the District of Columbia or one or more of the following states: Arizona, Arkansas, California, Florida, Hawaii, Illinois, Iowa, Kansas, Maine, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nebraska, Nevada, New Hamsebire, New Marcio, New York, Noth Carolias, North ampshire, New Mexico, New York, North Carolina, North Dakota, Oregon, South Carolina, South Dakota, Tennessee, Utah, Vermont, West Virginia, and Wisconsin.

### These Settlements may affect your rights. Read on for more information

What Are The Lawsuits About?
The lawsuits claim that the Defendants in each lawsuit agreed to unlawfully raise the price of certain motor vehicle component parts. As a result, dealers of Trucks and/or Equipment who pur parts. As a result, dealers of Trucks and/or Equipment who purchased for resale or lease Trucks and/or Equipment containing those parts or who indirectly purchased those parts as replacement parts, which were manufactured or sold by a Defendant or any subsidiary, affiliate, or alleged co-conspirator of a Defendant may have paid more than they should have. Although the Settling Defendants have agreed to settle, the Settling Defendants do not after that they engraded in any wrangfoling or are lightle or were agree that they engaged in any wrongdoing or are liable or owe any money or benefits to Plaintiffs. The Court has not yet decided

The Court has appointed Duane Morris LLP as interim class counsel ("Class Counsel") in these lawsuits to represent your dealership and all other members of the class actions. Your dealership and all other members of the class actions. Your dealership will not be charged directly by these lawyers, and any fees that they are paid will come from any settlements or recovery in these lawsuits. If your dealership wants to be represented by its own lawyer, it may hire one at its own expense.

Who's Included In The Settlements?
Your dealership is part of one or more of the Settlements if it is a Truck and Equipment Dealer and falls within the definition of one or more of the settlement classes ("Settlement definition of one or more of the settlement classes ("Settlement classes") approved by Judge Battani. The class definitions are set forth in the full-length Notice, which is available at <a href="www.TruckDealerSettlement.com">www.TruckDealerSettlement.com</a>. The term "Truck and Equipment Dealership" means an entity person authorized to engage in the business of selling or dealing in Trucks and/or Equipment at retail in the United States. A list of the parts included in these Settlements and their manufacturers can be found at <a href="www.TruckDealerSettlement.com">www.TruckDealerSettlement.com</a>. can be found at www.TruckDealerSettlement.com.

can be found at <a href="www.TruckDealerSettlement.com">www.TruckDealerSettlement.com</a>.

Who Are The Settling Defendants?

The Settling Defendants are: Sumitome Electric Industries, Ltd., Sumitomo Wiring Systems, Ltd., Sumitomo Electric Wiring Systems, Inc. (incorporating K&S Wiring Systems, Inc.), Sumitomo Wiring Systems, Inc., Standard Systems, Inc., Standard Systems, Inc., and G.S.W. Manufacturing, Inc.; DeNSO Corporation and DENSO International America, Inc.; Tokai Rika Co., Ltd. and TRAM, Inc., d/b/a Tokai Rika U.S.A. Inc.; LEONI Wiring Systems, Inc. and Leonische Holding Inc.; Furukawa Electric Co., Ltd. and American Furukawa, Inc.; Autoliv, Inc., Autoliv ASP, Inc., Autoliv B.V. & Co. KG, and Autoliv Japan Ltd.; and ZF TRW Automotive Holdings Corp. (formerly known as "TRW Automotive Holdings Corp.") and TRW Deutschland Holding GmbH. A list of the Defendants, their affiliates, and the alleged co-conspirators for each case involving the parts described in co-conspirators for each case involving the parts described the Settlement Class definitions and settlement agreements available at <a href="https://www.truckDealerSettlement.com">www.truckDealerSettlement.com</a>.

What Do The Settlements Provide? Generally, you are included if, at any time between 1999 and 2016 for Vehicle Wire Harness Systems or between 2003 and 2015 for Occupant Safety Systems, you were a dealer of heavy duty (Class 8) or medium-duty (Class 4, 5, 6 & 7) trucks, buses

Lawsuits involving the prices of certain vehicle component parts have been settled with certain Defendants in various class actions in this litigation ("Settling Defendants"). The Settling Defendants are identified below. The cases are separate class actions within the lead case known as In re Automotive Parts Antitrust Litigation, 12-md-02311 (E.D. Mich.), which is currently before United States District Judge Marianne O. Battani. You may be part of a class action settlement if you are a Truck and/or Equipment containing a Component Part, or (b) indirectly purchased a Component Part as a replacement part. "Indirectly"

purchased a Component Part as a replacement part. Indirectly means you bought the vehicle replacement part from someone other than the manufacturer of the part.

The specific definition of who is included in each Settlement Class is set forth in each Settlement Agreement between the Settlement Classes and the Settling Defendants. Each of those Settlement Agreements, and the related Complaints are accessible on the website www.TrucklearSettlement comsible on the w ebsite www.TruckDealerSettlement.com

The Settlement Funds total approximately \$5.1 million. Detailed information about the respective Settlements and became involved can be found in the full-length Notice, which is available at <a href="https://www.truck/bealerSettlement.com">www.truck/bealerSettlement.com</a>. The amount of money your dealership may receive, if any, will depend upon where the dealership purchased the affected vehicles or component parts, the type and quantity of vehicles and parts your dealership purchased in the states listed above and the District of Columbia, and the total number of claims made by eligible Truck and Equipment Dealers

What Are My Rights And Options?

1. Opt Your Dealership Out of the Settlements
If your dealership purchased any of the parts listed in the
Settlement Class definitions as components in the specified Settlement Class definitions as components in the specified vehicles or as parts and purchased such vehicles or parts in the states listed in this Notice or the District of Columbia and does not want to be legally bound by the Settlements, your dealership must exclude itself ("opt out") in a writing postmarked by November 4, 2016, or it will not be able to sue, or continue to sue, the Settling Defendants (including all related entities covered by the release in the individual settlement agreements) about the lega claims settled in the settlement agreements.

If your dealership submits a valid and timely request for exclu in your dealership submits a valid and timely request for excision / opt out, it will not share in the proceeds of that Settlement, and it will not be bound by the judgment. To be valid, the request for exclusion / opt out must follow the instructions set forth in the full-length Notice and be postmarked by November 4, 2016. The full instructions and requirements for opting out may be viewed at www.TruckDealerSettlement.com.

2. Object to the Settlement

If your dealership wishes to object to one or more of the

If your dealership wishes to object to one or more of the Settlements or the request for attorneys' fees, reimbursement of expenses, and service awards, it may write to the Court and counsel about why it objects. To be considered, your dealership's objection must be filed according to the procedures set forth in the full-length Notice and postmarked no later than November 4, 2016. The full instructions and requirements for objecting to one or more of the Settlements may be viewed at objecting to one or more of the Settlements may be viewed at www.TruckDealerSettlement.com

www.ruckuealersettement.com.
3. Attend the Final Approval Hearing
The Court will hold a Final Approval Hearing at 2:30 p.m. on
November 17, 2016, at the United States District Court for the
Eastern District of Michigan, Theodore Levin U.S. Courthouse,
231 W. Lafayette Blvd., Courtroom 272, Detroit, MI 48226 to
decide whether to approve the Settlements and the request
for attractive foer implurement of expenses and services. for attorney's fees, reimbursement of expenses, and service awards. You or your own lawyer may attend and ask the Court's awards. You or your own lawyer may attend and ask the Court's permission to speak, but you don't have to participate in the hearing in order to attend. To request to speak at the Final Approval Hearing, you must follow the procedures set forth in the full-length Notice no later than November 4, 2016.

This notice is a summary only. The complete terms, including the definitions of what parties and claims are being released are set forth in the full-length Notice, settlement agreements and the Court fillings which may be obtained at

agreements, and the Court filings which may be obtained at www.TruckDealerSettlement.com.

For More Information, Contact the Settlement Administrator toll free at 1-866-742-4955 or visit www.TruckDealerSettlement.com

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IN THE UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF DELAWARE In re:
ADI Liquidation, Inc. (f/k/a AWI Delaware, Inc.), et al.,
Debtors.
Chapter 11, Case No. 14-12092 (KJC)
(Jointly Administered)
Related to Docket No. 3679

NOTICE OF CONFIRMATION OF PLAN NOTICE OF CONFIRMATION OF PLAN

PLEASE TAKE NOTICE THAT on September 30, 2016, the Bankruptcy Court entered an Order [D.I. 3679] confirming the Debtors' Modified Second Amended Chapter 11 Plan of Liquidation (the "Plan").

PLEASE TAKE FURTHER NOTICE that upon the occurrence of the Effective Date, the Debtors will file and serve a Notice of Effective Date of Plan, which will, among other things, provide a deadline for all entities holding or wishing to assert Professional Fee Claims, Administrative Claims described in sections 503(b)(1) (8) or 503(b)(1)(C) of the Bankruptcy Code or 28 U.S.C. § 1930) or claims for damages on account of the rejection of executory contracts and unexpired leases pursuant to the Plan.

Pater! Orchor 6. 2016. \$AUII. EMINICAL IN Local Mark Minuti.

Mark Minuti (JE Bar No. 2659)

Dated: October 6, 2016, SAUL EWING LLP, /s/ Mark Minuti (DE Bar No. 2659), Monique B. DiSabatino (DE Bar No. 6027), 1201 North Market Street, Suite 2300, P.O. Box 1266, Wilmington, DE 19899, Telephone: (302) 421-6800, Facsimile: (302) 421-6813. and-Jeffrey C. Hampton, Adam H. Isenberg, Robyn F. Pollack, Centre Square West, 1500 Market Street, 38" Floor, Philadelphia, PA 19102, Telephone: (215) 972-7777, Facsimile: (215) 972-7725, Counsel to the Debtors

PA 19102, Telephone: (215) 972-7777, Facsimile: (215) 972-7725, Counsel to the Debtors

¹ The Debtors and the last four digits of their respective taxpayer identification numbers are as follows: ADI Liquidation, Inc. (f/k/a MWI Delaware, Inc.) (3683); AWI Liquidation, Inc. (f/k/a Associated Wholesalers, Inc.) (7857); NK Liquidation, Inc. (f/k/a Associated Logistics, Inc.) (1506); WR Liquidation, Inc. (f/k/a Associated Logistics, Inc.) (1506); WRSC Liquidation, Inc. (f/k/a Associated Nite Rose Inc.) (1833); RT Liquidation Corp. (f/k/a Rose Trucking Corp.) (2630); WRSC Liquidation Corp. (f/k/a WR Service Urp.) (3648); WRSC II Liquidation Corp. (f/k/a WR Service Urp.) (3444); WRSC V Liquidation Corp. (f/k/a WR Service WRSC V Liquidation Corp.) (4744); WRSC V Liquidation Corp. (f/k/a WR Service V Corp.) (4224); and White Rose Puerto Rico, LLC (4914). The Debtors' address is AW Liquidation, Inc. (f/k/a Associated Wholesalers, Inc.), c/o Douglas A. Booth, Route 422, P.O. Box 233, Robesonia, PA 19551.

² Capitalized terms used but not otherwise defined herein shall have the meanings ascribed to such terms in the Plan.

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THE WALL STREET JOURNAL.

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# EXHIBIT D

lane closures during the occurrence of the lane clo-

sures?" the attorney pressed. Gramiccioni answered no to both questions and Critchley quickly moved on to other

topics. But the cryptic questions remained. Was Critchley hinting that his client, Kelly, would testify she spoke to the governor about the lane closures while they were happening? And would she say she mentioned the political retri-

bution plot? Veteran defense attorneys watching the federal court trial said Critchley's questions could be a preview of the next chapter of the trial.

"These are smart defense attorneys," said Joseph Hayden Jr., a top defense lawyer and former deputy attorney general. "Mike Critchley would not have asked the questions if he did not have

something to back it up." As the Bridgegate trial moves into its fifth week, the governor remains a key figure in the complex legal battle unfolding in federal court.

Kelly, the governor's former deputy chief of staff, and former Christie aide and Port Authority executive Bill Baroni are on trial for allegedly arranging to have local lanes to the George Washington Bridge closed for days to punish the mayor of Fort Lee for refusing to endorse the governor for re-election.

Christie has denied knowing anything about the lane shutdowns before they happened or the efforts to cover up the alleged political retribution that prompted them.

Baroni is expected to take the stand today. Kelly is expected to testify later this month in her own defense.

"When she takes the stand, we can expect her to allege conversations she had directly with the governor both before and during the lane closures to drive home her claim that she was merely following instructions," said



Defendant Bridget Anne Kelly walks toward the federal courthouse in Newark on Sept. 27 with her attorneys Michael Critchley, left, and Michael Critchley Jr. Aristide Economopoulos/ NJ Advance Media for The Star-Ledger

white collar crime attorney with McCarter & English.

"If she has any chance of an acquittal, she has to acknowledge her errors in judgment and try (to) convince the jury that there is something fundamentally unfair to hold her responsible for conduct that was widely known within the governor's office and directed by others," Mintz said.

It is unclear how or if Christie, who is not on trial, would be affected if the defendants say the governor knew about the lane closures.

"Somebody's name can be thrown around. But it has no direct effect if they are not a party to the case," said Hayden, an attorney with Pashman Stein Walder Hayden in Hackensack.

On Thursday, a Bergen County judge allowed a citizen's official misconduct complaint against Christie to move forward in a separate

Christie's attorneys vowed to file an appeal.

"The simple fact is the governor had no knowledge of the lane realignments either before they happened or

Robert Mintz, a well-known while they were happening. This matter has already been thoroughly investigated by three separate independent investigations," said Brian Murray, Christie's spokesman.

In the federal trial, Kelly and Baroni's attorneys might have more success if they focus less on Christie and more on David Wildstein, the

MONTCLAIR STATE

ADVERTISEMENT FOR BIDS

Procurement Services at Montclair State

University will receive bids for Request for Proposal # 1270 – School of Business

- Second Heat Exchanger through 10:00 AM November 17, 2016 when they will

be publicly opened at the Finance and Treasury Conference Room, Suite 101,

855 Valley Road, Clifton, NI 07013, The

project is for the installation of a second

heat exchanger for the new School of

A Pre-Bid Meeting and following Site

2016 at 9:00 AM at the Finance and

Treasury Conference room, suite 101,

855 Valley Road, Clifton, N.J. 07013. No

special consideration will be provided to any bidder who does not attend the Pre-

**Bid Meeting or Site Inspection.** Copies

of the request for proposal, including information for Bidders, bidding forms

and specifications may be obtained on

or about October 17, 2016 at the Office

of the Director of Procurement Services,

855 Valley Road, Suite 112, Clifton, N.J.

plan sets on computer disk are available

07013. Electronic bid documents and

for a non-refundable fee of \$10.00 by

cash, check or money order payable to the University. Credit cards are not

Bidders are required to comply with

accepted.

Inspection will be held on October 19.

The Director of Construction

UNIVERSITY

self-confessed mastermind behind the plot to close the bridge lanes, one legal expert

. Wildstein, a Christie appointee at the Port Authority of New York and New Jersey who pleaded guilty in connection to the case last year, spent eight days testifying as the prosecution's star witness. His testimony outlined Kelly and Baroni's alleged role in the lane closures and the plan to cover up the plot by calling it a traffic study.

"From my vantage, the defense is better served by sticking with the 'Wildstein is lying' defense and calling as defense witnesses every person Wildstein claims knew

about the retaliatory nature of the closures to say they were either unaware of the closures or believed them to be a Port Authority traffic study," said Lee Vartan, a former federal prosecutor and partner with Holland & Knight in New

"The defense then sets up the argument: Who are you going to believe, David Wildstein, who will scratch and claw to stay out of jail, or the 10 witnesses who told you he is lying?" Vartan said.



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### **Department of the Treasury - Internal Revenue Service Notice of Public Auction Sale**

Under the authority in Internal Revenue Code section 6331, the property described below has been seized for nonpayment of internal revenue taxes due from

Gencarelli's Pizzeria & Restaurant Inc The property will be sold at public auction as provided by Internal Revenue Code section 6335 and related

regulations. Date of Sale: November 22, 2015

Time of Sale: 11:00 AM

Newark City Hall 920 Broad Street Room 215 Newark, New Jersey Place of Sale: **Title Offered:** 

Only the right, title, and interest of Gencarelli's Pizzeria & Restaurant Inc In and to the property will be offered for sale. If requested, the Internal Revenue Service

will furnish information about possible encumbrances, which may be useful in determining the value of the interest being sold.

Description New Jersey Plenary Consumption Retail Liquor License operable in the City of of Property: Newark, County of Essex, State of New Jersey

License # 0714-33-790-010. THE MINIMUM BID IS \$33,000.00

Property may be Inspected at:

920 Broad Street Room 215 Newark, New Jersey November 22, 2016

**Payment Terms:** [X] Full payment due upon acceptance of highest bid

Form of Payment: All payments must be by cash, a certified, cashier's or treasurer's check drawn on any bank or trust company incorporated under the laws of the United States or under the laws of any State, Territory, or possession of the

United States, or by a United States postal, bank, or express money order. Make check or money order payable to the United States Treasury.

Name and Title Signature

John M Kenney, PROPERTY APPRAISAL AND LIQUIDATION SPECIALIST Address for information About the Sale Phone

191 Main Street Poughkeepsie NY 12601 WWW.IRSSALES.GOV

Catalog No. 18490S Form 2434 (Rev. 3-84) 10/17/2016, 11/7/2016

845-451-7119

\$410.76

## IN THE UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF DELAWARE Chapter 11

In re: ADI Liquidation, Inc. (f/k/a AWI Delaware, Inc.), et al., 1

NOTICE OF CONFIRMATION OF PLAN

PLEASE TAKE NOTICE THAT on September 30, 2016, the Bankruptcy Court entered an Order
D.I. 3679] confirming the Debtors' Modified Second Amended Chapter 11 Plan of Liquidation [DI. 36/3] cominiming the Debots Modified Sector America Stages. In the Light (the "Plan").<sup>2</sup>
PLEASE TAKE FURTHER NOTICE that upon the occurrence of the Effective Date, the Debtors will file and serve a Notice of Effective Date of Plan, which will, among other things, provide a deadline for all entities holding or wishing to assert Professional Fee Claims, Administrative Claims arising on and subsequent to November 12, 2014 (other than Administrative Claims described in sections 503(b)(1)(B) or 503(b)(1)(C) of the Bankruptcy Code or 28 U.S.C. § 1930) or claims for damages on account of the rejection of executory contracts and unexpired leases pursuant to the Plan.

Dated: October 6, 2016 , Mark Minuti (DE Bar No. 2659), Monique B. DiSabatino SAUL EWING LLP, <u>/s/ Mark Minuti</u>, Mark Minuti (DE Bar No. 2659), Monique B. DiSabatino (DE Bar No. 6027), 1201 North Market Street, Suite 2300, P.O. Box 1266, Wilmington, DE 19899, Telephone: (302) 421-6800, Facsimile: (302) 421-6813 - and - Jeffrey C. Hampton, Adam H. Isenberg, Robyn F. Pollack, Centre Square West, 1500 Market Street, 38th Floor, Philadelphia, PA 19102, Telephone: (215) 972-7777, Facsimile: (215) 972-7725, Counsel to the Debtors

19102, Telephrolic (213) 912-1117, Facisinille (213) 912-1123, Couniser to the Debtors and the last four digits of their respective taxpayer identification numbers are as follows: ADI Liquidation, Inc. (f/k/a AWI Delaware, Inc.) (3683); AW Liquidation, Inc. (f/k/a Associated Wholesalers, Inc.)(7857); NK Liquidation, Inc. (f/k/a Nell's, Inc.) (1195); Co-Op Ageno. (6043); AL Liquidation, Inc. (f/k/a Associated delgistics, Inc.) (1506); WR Liquidation, Inc. (f/k/a White Rose Inc.) (1833); RT Liquidation Corp. (f/k/a Rose Trucking Corp.) (2630); WRSC Liquidation Corp. (f/k/a WR Service Corp.) (5698); WRSC II Liquidation Corp. (f/k/a Yervice II Corp.) (9444); WRSC V Liquidation Corp. (f/k/a WR Service V Corp.) (4224); and White Rose Puerto Rico, LLC (4914). The Debtors' address is AW Liquidation, Inc. (f/k/a Associated Wholesalers, Inc.), c/o Douglas A. Booth, Route 422, P.O. Box 233, Robesonia, PA 19551.
2 Capitalized terms used but not otherwise defined herein shall have the meanings ascribed to such terms in the Plan.

Case No. 14-12092 (KJC) (Jointly Administered) Related to Docket No. 3679

requirements of N.J.S.A 10:5-31 et seq. and N.J.A.C. 17:27 et seq Affirmative Action, State of New Jersey Prevailing Wage Rate Act P.L. 34:11-56.26 et seq., "Statement of Stockholders Exceeding 10%." Bidders are required to be registered with the Division of Construction and Property Management (DPMC) and possess a classification of C032 (HVACR) or C033 (Boilers-New/Repair) with a minimum rating of \$500,000 or higher at time of bid submission and contract execution. Montclair State University is an Equal

Details regarding the requirements for vendors bidding this work are contained in the request for proposal. Questions may be directed to John Goscinski, Senior Procurement Administrator, (973) 655-7468 or via fax at (973) 655-5468. Additional details may be found at:

Opportunity Purchaser.

http://www.montclair.edu/finance-andtreasury/news/index.php?ChannelID=92 By: Daniel Roche, Director of Construction Procurement & Accounting Montclair State University



**ADVERTISEMENT FOR BIDS** STATE OF NEW JERSEY **KEAN UNIVERSITY UNION, NEW JERSEY 07083** 

The Contracting Officer at Kean University invites sealed Bids for Lump Sum Contract for -KEAN UNIV. HIGHLANDS CAMPUS: CANOPY WALK: PHASE 2 RE-BID **Project Name:** 

K16-9-1-1 Bid Number:

Kean University Highlands Campus

**Project Location:** 

243 Mount Paul Road Oak Ridge, NJ 07438

Bond and a Payment Bond equal to 100% of the bid amount submitted.

Notice is hereby given that sealed bids will be received until 2:00 PM on November 17, 2016 at Kean University Purchasing Department-1000 Morris Avenue, Maintenance Building, Room 134, Union, NJ 07083, telephone number 908-737-5050 at which time they will be publicly opened and read aloud.

Kean University seeks qualified bids for the construction of an elevated "canopy walk" at our Highlands Campus. The elevated "canopy walk is proposed for 2 segments and includes a new "treehouse" structure. The Canopy Walk connects to the existing" Lodge" building being renovated in Phase 1.

Bid Documents may be picked up by appointment only beginning October 20, 2016 from 9:30am to 4:00pm at K.U. Purchasing Department, 1000 Morris Avenue, Maintenance Building, Room 134, Union, NJ 07083. There is a non-refundable cost of \$100.00 per set, payable by check made out to Kean University. A valid photo ID is required to gain access into University Purchasing to pick up or hand deliver a bid or attend a Pre-Bid Conference or Bid Opening.

A Pre-Bid Conference shall be held on October 20, 2016 in the Miron Student Center Room 226 at 10:30 a.m. Deadline for inquiries is November 2, 2016 @ 12:00pm. Requests for extensions will not be granted. Attendance at the pre-bid meeting is mandatory. Bids must be submitted in a sealed envelope with the name of the Bidder, the Bid Number, and clearly Marked

by facsimile or telephone will not be accepted. Bidders will be fully responsible for the delivery of their bids. Reliance upon the US Mail or other carriers is at the Bidder's risk. Late bids will NOT be accepted. Each bid shall be accompanied by a security payable to Kean University. The bid security shall be in the amount of 10 % of the bid amount, and shall be given, at the option of the bidder, by certified check, cashier's check, or bid bond. If a contract is awarded, the successful bidder shall enter into contract and shall furnish a Performance

"KEAN UNIV. HIGHLANDS CAMPUS: CANOPY WALK: PHASE 2 RE-BID" on the bid envelope. Bids submitted

No bid shall be withdrawn after the opening of bids for a period of sixty (60) days after the scheduled date and time of bid opening. Kean University reserves the right to waive any informality in any bid and to reject any or all bids. Any award of this bid will be subject to the availability of funds.

Bidders are required to comply with the requirement of N.J.S.A. 10:5-31 et. seq. P.L. 1975, C. 127 N.J.A.C. 17:27 (Affirmative Action), State of New Jersey Prevailing Wage Act, the Provisions of Small Business Enterprises and N.J.S.A. 52:25-24-2, P.L. 1977, chapter 33, Statement of Stockholders exceeding (10%). Bidders must also provide a New Jersey Business Registration Certificate and must comply with the requirements of Public Law 2005, c.51, formerly Executive Order 134 and Executive Order 151 for New Jersey's Small ,Minority & Woman's Business **Enterprises Contracting Opportunities** 

Date: October 5, 2016 Jenifer Soyka

10/17,18,19/16

**Director University Purchasing** 

## **REQUEST FOR PROPOSAL INDEPENDENT PUBLIC AUDITOR**

ANNUAL FINANCIAL AUDIT FYE 9/30/2016 The Housing Authority Town of Dover will accept proposals for its Annual Financial Audit for FYE September

It is the Authority's desire to retain and employ a qualified Independent Public Auditor (IPA) in order to audit the books and accounts of the Authority. All services must be in accordance with the existing rules and regulations promulgated by the U.S. Department of Housing and Urban Development and conducted in such

a manner as to meet all regulatory auditing requirements of the State of New Jersey. The services that are requested will be as follows and will encompass all of the Housing Authority's programs including, but not limited to, Public Housing (59 units); Section 8 Housing Choice Vouchers (248); Capital Fund Program (CFP) and Family Self Sufficiency Program.

1. Audit the accounts and records of the Authority for the 12-month period ending 9/30/16 in accordance with generally accepted government auditing standards (as defined in Standards for Auditing Government Organizations, Programs, Activities & Functions) and the auditing and reporting provisions in OMB circular A-133. The audit performed should be sufficient in scope to enable the auditor to express an opinion on whether the financial statements fairly present the financial position of the Authority. In addition, there should be a management review of the accounts and records up until September 30, 2015.

2. The audit must be in a format which is approvable by the U.S. Department of Housing and Urban Development as detailed in the HUD Audit Guide (7476.1 Rev.-1), the Single Audit Act of 1984 and any relevant government regulations.

3. The report should be completed within four months of the end of the Housing Authority's fiscal year. 4. Upon completion of the report, sufficient copies should be made in order to provide each PHA commissioner

and staff with a copy and other copies for the HUD Area Office, the State of NJ, the Local Governing Body, the Bond Trustee and other applicable agencies as required by all applicable rules and regulations. 5. Engagement should also include Synopsis of the annual credit report to be published in the newspaper as required by the State of New Jersey.

6. Auditor must attend meetings, as requested, with Authority Commissioners, HUD Auditors and Staff and must make work-papers available for a period of time dictated by the federal government.

7. The auditor will also be required to prepare and maintain the completed State's prescribed "Audit

Questionnaire" as part of the auditor's working papers. 8. The auditor must work with the fee accountant and/or the Authority's controller in order to prepare the necessary schedules and forms to ensure compliance with GAAP, per HUD instructions.

9. A supplemental report regarding compliance by the Authority with the provisions of the NJHMFA 2007 Capital Fund Revenue Bond Indenture, Housing Authority Agency Agreement between the Authority and the Housing Authority Town of Dover, and the Program and Assignment Agreement related to the PHA Leveraging. Besides the specifics requirements of the financing documents the auditor will specifically provide a report addressing the Authority's compliance with HUD regulations regarding submission of the annual and  $\,$ 5 year plans, obligation and expenditure of funds for open capital fund programs. The supplemental report will cover the period of the audit (fiscal year audited) through the date of completion of the auditor's field work.

**QUALIFICATIONS:** 1. Must be licensed in the State of New Jersey as a Certified Public Accountant.

2. The selected Auditor must meet the standards specified in the Standards for Audit of Government Organizations, Programs, Activities and Functions.

3. Should have previous experience auditing Public Housing Agencies (include experience with your proposal).

# **PROPOSAL REVIEW:**

All proposals will be reviewed according to the "Competitive Proposal" process outlined in the HUD

Procurement Handbook 7560.8 and HUD notice PIH 95-31. **PROPOSAL EVALUATION SYSTEM:** 1. Demonstrate experience & competence in the type of work .. ..30 points

and regulations in general. .20 points .10 points

3. Capability and capacity to accomplish work within the required time period. 10 points

4. Geographic location of the firm relative to the proximity to the Housing Authority.. 5. Firms Equal Opportunity Policy. Each bidder must ensure that all employees

and applicants for employment are not discriminated against because of race,

2. Familiarity with the Authority's programs in specific and HUD rules

color, religion, sex or national origin. .10 points .20 points 6. Price

**PROPOSAL SUBMISSION:** A copy of the candidates resume should be attached to the proposal listing all previous experience and

\$924.21

qualifications. Proposals should be mailed or hand delivered to the Authority on or before 2:00P.M. on November 17, 2016. The address is as follows: Maria Tchinchinian, Executive Director

Housing Authority Town of Dover 215 E. Blackwell Street Dover, New Jersey 07801

October 17, 2016