

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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In re: : Chapter 11  
 :  
HOOPER HOLMES, INC. :  
D/B/A PROVANT HEALTH, *et al.*, : Case No. 18-23302 (RDD)  
 :  
 : (Jointly Administered)  
Debtors.<sup>1</sup> :  
-----X

**STATEMENT OF FINANCIAL AFFAIRS FOR  
PROVANT HEALTH SOLUTIONS, LLC (CASE NO. 18-23309)**

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<sup>1</sup> The Debtors in these chapter 11 cases, along with the last four digits of each Debtor's federal tax identification number, are as follows: Hooper Holmes, Inc. (9359); Hooper Distribution Services, LLC (6838); Hooper Wellness, LLC (6005); Accountable Health Solutions, LLC (9625); Hooper Information Services, Inc. (4927); Hooper Kit Services, LLC (8378); and Provant Health Solutions, LLC (8511). The location of the Debtors' corporate headquarters is 560 N. Rogers Road, Olathe, KS 66286.

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**GLOBAL NOTES AND STATEMENT OF LIMITATIONS, METHODOLOGY, AND  
DISCLAIMERS REGARDING THE DEBTORS’ SCHEDULES OF ASSETS AND  
LIABILITIES AND STATEMENTS OF FINANCIAL AFFAIRS**

Hooper Holmes, Inc. d/b/a Provant Health and the other above-captioned debtors and debtors-in-possession (collectively, the “Debtors”) have filed their respective Schedules of Assets and Liabilities (the “Schedules”) and Statements of Financial Affairs (the “Statements”) in the United States Bankruptcy Court for the Southern District of New York (the “Court”). The Debtors, with the assistance of their legal and financial advisors, prepared the Schedules and Statements in accordance with section 521 of chapter 11 of title 11 of the United States Code (the “Bankruptcy Code”) and Rule 1007 of the Federal Rules of Bankruptcy Procedure.

James E. Fleet has signed each set of the Schedules and Statements. Mr. Fleet serves as the Debtors’ Chief Restructuring Officer and he is an authorized signatory for each of the Debtors in these chapter 11 cases. In reviewing and signing the Schedules and Statements, Mr. Fleet has necessarily relied upon the efforts, statements, advice, and representations of personnel of the Debtors and their legal and financial advisors. Mr. Fleet has not (and could not have) personally verified the accuracy of each such statement and representation, including statements and representations concerning amounts owed to creditors.

In preparing the Schedules and Statements, the Debtors relied on financial data derived from their books and records that was available at the time of such preparation. Although the Debtors have made every reasonable effort to ensure the accuracy and completeness of the Schedules and Statements, subsequent information or discovery may result in material changes to the Schedules and Statements. As a result, inadvertent errors or omissions may exist. For the avoidance of doubt, the Debtors hereby reserve their rights to amend and supplement the Schedules and Statements as may be necessary or appropriate.

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<sup>1</sup> The Debtors in these chapter 11 cases, along with the last four digits of each Debtor’s federal tax identification number, are as follows: Hooper Holmes, Inc. (9359); Hooper Distribution Services, LLC (6838); Hooper Wellness, LLC (6005); Accountable Health Solutions, LLC (9625); Hooper Information Services, Inc. (4927); Hooper Kit Services, LLC (8378); and Provant Health Solutions, LLC (8511). The location of the Debtors’ corporate headquarters is 560 N. Rogers Road, Olathe, KS 66286.

The Debtors and their agents, attorneys, and financial advisors do not guarantee or warrant the accuracy or completeness of the data that is provided herein, and shall not be liable for any loss or injury arising out of or caused in whole or in part by the acts, errors, or omissions, whether negligent or otherwise, in procuring, compiling, collecting, interpreting, reporting, communicating, or delivering the information contained herein. While commercially reasonable efforts have been made to provide accurate and complete information herein, inadvertent errors or omissions may exist. The Debtors and their agents, attorneys, and financial advisors expressly do not undertake any obligations to update, modify, revise, or re-categorize the information provided herein or to notify any third party should the information be updated, modified, revised, or re-categorized, except as required by applicable law. In no event shall the Debtors or their agents, attorneys, and financial advisors be liable to any third party for any direct, indirect, incidental, consequential, or special damages (including, but not limited to, damages arising from the disallowance of a potential claim against the Debtors or damages to business reputation, lost business, or lost profits), whether foreseeable or not and however caused, even if the Debtors or their agents, attorneys, or financial advisors are advised of the possibility of such damages.

### **Global Notes and Overview of Methodology**

1. **Description of Cases.** On August 27, 2018 (the “Petition Date”), each of the Debtors filed voluntary petitions for relief under chapter 11 of the Bankruptcy Code. The Debtors are operating their business and managing their property as debtors in possession pursuant to section 1107(a) and 1108 of the Bankruptcy Code. On August 29, 2018, an order for procedural purposes, [Docket No. 35], was entered directing joint administration of these chapter 11 cases. Notwithstanding the joint administration of the Debtors’ cases for procedural purposes, each Debtor has filed its own Schedules and Statements. The information provided herein, except as otherwise noted, is reported as of the close of business on the Petition Date.
2. **Global Notes Control.** These Global Notes pertain to and comprise an integral part of each of the Schedules and Statements and should be referenced in connection with any review thereof. In the event that the Schedules and Statements conflict with these Global Notes, these Global Notes shall control.
3. **Reservations and Limitations.** Reasonable efforts have been made to prepare and file complete and accurate Schedules and Statements; however, as noted above, inadvertent errors and omissions may exist. The Debtors reserve all rights to amend and supplement the Schedules and Statements as may be necessary or appropriate. Nothing contained in the Schedules and Statements constitutes a waiver of any of the Debtors’ rights or an admission of any kind with respect to these chapter 11 cases, including, but not limited to, any rights or claims of the Debtors against any third party or issues involving substantive consolidation, equitable subordination, or defenses or causes of action arising under the provisions of chapter 5 of the Bankruptcy Code or any other relevant applicable bankruptcy or non-bankruptcy laws to recover assets or avoid transfers. Any specific reservation of rights contained elsewhere in the Global Notes does not limit in any respect the general reservation of rights contained in this paragraph.

- a. **No Admission.** Nothing contained in the Schedules and Statements is intended or should be construed as an admission or stipulation of the validity of any claim against the Debtors, any assertion made therein or herein, or a waiver of the Debtors' rights to dispute any claim or assert any cause of action or defense against any party.
- b. **Recharacterization.** Notwithstanding that the Debtors have made reasonable efforts to correctly characterize, classify, categorize, or designate certain claims, assets, executory contracts, unexpired leases, and other items reported in the Schedules and Statements, the Debtors nonetheless may have improperly characterized, classified, categorized, or designated certain items. The Debtors reserve all rights to recharacterize, reclassify, recategorize, or redesignate items reported in the Schedules and Statements at a later time as is necessary and appropriate.
- c. **Classifications.** Listing (i) a claim on Schedule D as "secured," (ii) a claim on Schedule E/F as "priority" or "unsecured," or (iii) a contract on Schedule G a "executory" or "unexpired" does not constitute an admission by the Debtors of the legal rights of the claimant or contract counterparty, or a waiver of the Debtors' rights to recharacterize or reclassify such claim or contract.
- d. **Claims Description.** Any failure to designate a claim on the Debtors' Schedules and Statements as "disputed," "contingent," or "unliquidated" does not constitute an admission by the Debtors that such amount is not "disputed," "contingent," or "unliquidated." Each Debtor reserves all rights to dispute, or assert offsets or defenses to, any claim reflected on its respective Schedules and Statements on any grounds, including, without limitation, liability or classification, or to otherwise subsequently designate such claims as "disputed," "contingent," or "unliquidated" or object to the extent, validity, enforceability, priority, or avoidability of any claim. Moreover, listing a claim does not constitute an admission of liability by the Debtors against which the claim is listed or by any of the Debtors. The Debtors reserve all rights to amend its Schedules and Statements as necessary and appropriate, including, but not limited to, with respect to claim description and designation.
- e. **Estimates and Assumptions.** The preparation of the Schedules and Statements required the Debtors to make reasonable estimates and assumptions with respect to the reported amounts of assets and liabilities, the amount of contingent assets and contingent liabilities on the date of the Schedules and Statements, and the reported amounts of revenues and expenses during the applicable reporting periods. Actual results could differ from such estimates.
- f. **Causes of Action.** Despite reasonable efforts, the Debtors may not have identified all current and potential causes of action the Debtors may have against third parties in their respective Schedules and Statements, including, without limitation, avoidance actions arising under chapter 5 of the Bankruptcy

Code and actions under other relevant bankruptcy and non-bankruptcy laws to recover assets. The Debtors reserve all rights with respect to any causes of action, and nothing in these Global Notes or the Schedules and Statements should be construed as a waiver of any such causes of action.

Additionally, prior to the Petition Date, the Debtors, as plaintiff, cross-claimant and/or third party plaintiff may have asserted claims against third parties, seeking monetary damages for business-related losses.

- g. **Intellectual Property Rights.** Exclusion of certain intellectual property should not be construed as an admission that such intellectual property rights have been abandoned, have been terminated or otherwise expired by their terms, or have been assigned or otherwise transferred pursuant to a sale, acquisition, or other transaction. Conversely, inclusion of certain intellectual property rights should not be construed as an admission that such intellectual property rights have not been abandoned, have not been terminated or otherwise expired by their terms, or have not been assigned or otherwise transferred pursuant to a sale, acquisition, or other transaction.
  - h. **Insiders.** In the circumstances where the Schedules and Statements require information regarding “insiders,” the Debtors have included information with respect to certain individuals who served as officers and directors, as the case may be, during the relevant time periods. Such individuals may no longer serve in such capacities. The listing of a party as an insider for purposes of the Schedules and Statements is not intended to be, nor should it be, construed an admission of any fact, right, claim, or defense, and all such rights, claims, and defenses are hereby expressly reserved. Information regarding the individuals listed as insiders in the Schedules and Statements has been included for informational purposes only and such information may not be used for the purposes of determining control of the Debtors, the extent to which any individual exercised management responsibilities or functions, corporate decision-making authority over the Debtors, or whether such individual could successfully argue that he or she is not an insider under applicable law, including the Bankruptcy Code and federal securities laws, or with respect to any theories of liability or any other purpose.
4. **Methodology.**
- a. **Basis of Presentation.** The Schedules and Statements do not purport to represent financial statements prepared in accordance with Generally Accepted Accounting Principles in the United States (“GAAP”), nor are they intended to be fully reconciled to the financial statements of each Debtor. The Schedules and Statements contain unaudited information that is subject to further review and potential adjustment. The Schedules and Statements reflect the Debtors’ reasonable efforts to report the assets and liabilities of each Debtor on an unconsolidated basis.

- b. **Confidential Information.** There may be instances in the Schedules and Statements where the Debtors deemed it necessary and appropriate to redact from the public record information such as names, addresses, or amounts. Typically, the Debtors have used this approach because of a confidentiality agreement between the Debtors and a third party, for the protection of sensitive commercial information, or for the privacy of an individual.
- c. **Umbrella or Master Agreements.** Contracts and leases listed on the Schedules and Statements may be umbrella or master agreements that cover relationships with some or all of the Debtors. Where relevant, such agreements have been listed in the Schedules and Statements only of the Debtor entity that signed the original umbrella or master agreement. Other Debtors, however, may be liable together with such Debtor on account of such agreements and the Debtors reserve all rights to amend the Schedules and Statements to reflect changes regarding the liability of the Debtors with respect to such agreements, if appropriate.
- d. **Executory Contracts.** Although the Debtors have made diligent attempts to attribute an executory contract to its rightful Debtor, in certain instances, the Debtors may have inadvertently failed to do so due to the complexity and size of the Debtors' businesses. Accordingly, the Debtors reserve all of their rights with respect to the named parties of any and all executory contracts, including the right to amend Schedule G.
- e. **Duplication.** Certain of the Debtors' assets, liabilities, and prepetition payments may properly be disclosed in multiple parts of the Statements and Schedules. To the extent these disclosures would be duplicative, the Debtors have determined to only list such assets, liabilities and prepetition payments once.
- f. **Net Book Value.** In certain instances, current market valuations for individual items of property and other assets are neither maintained by, nor readily available to, the Debtors. Accordingly, unless otherwise indicated, the Debtors' Schedules and Statements reflect net book values. Market values may vary, at some times materially, from net book values. The Debtors believe that it would be an inefficient use of estate assets for the Debtors to obtain the current market values of its property. Accordingly, the Debtors have indicated in the Schedules and Statements that the market values of certain assets and liabilities are undetermined. Assets that have been fully depreciated or that were expensed for accounting purposes either do not appear in these Schedules and Statements, or are listed with a zero-dollar value, as such assets have no net book value. The omission of an asset from the Schedules and Statements does not constitute a representation regarding the ownership of such asset, and any such omission does not constitute a waiver of any rights of the Debtors with respect to such asset.

- g. **Undetermined or Unknown Assets.** The description of an amount as “unknown,” “TBD,” or “undetermined” is not intended to reflect upon the materiality of such amount.
- h. **Unliquidated Amounts.** Amounts that could not be fairly quantified by the Debtors are scheduled as “unliquidated.”
- i. **Totals.** All totals that are included in the Schedules and Statements represent totals of all known amounts. To the extent there are unknown or undetermined amounts, the actual total may be different from the listed total.
- j. **Property and Equipment.** The Debtors may lease furniture, fixtures, and equipment from certain third-party lessors. Any such leases are listed in the Schedules and Statements. Nothing in the Schedules and Statements is, or should be construed as, an admission as to the determination of the legal status of any lease (including whether any lease is a true lease or a financing arrangement), and the Debtors reserve all rights with respect thereto.
- k. **Allocation of Liabilities.** The Debtors allocated liabilities between the prepetition and postpetition periods based on the information and research conducted in connection with the preparation of the Schedules and Statements. As additional information becomes available and further research is conducted, the allocation of liabilities between the prepetition and postpetition periods may change.
- l. **Paid Claims.** The Debtors have authority to pay certain outstanding prepetition payables pursuant to Court order—as such, outstanding liabilities may have been reduced by any court-approved postpetition payments made on prepetition payables. Where and to the extent these liabilities have been satisfied, they are not listed in the Schedules and Statements. To the extent the Debtors later pay any amount of the claims listed in the Schedules and Statements pursuant to any orders entered by the Court, the Debtors reserve all rights to amend or supplement the Schedules and Statements or to take other action, such as filing claims objections, as is necessary and appropriate to avoid overpayment or duplicate payments for liabilities. Nothing contained herein should be deemed to alter the rights of any party in interest to contest a payment made pursuant to an order of the Court where such order preserves the right to contest.
- m. **Intercompany Claims.** Receivables and payables among the Debtors are reported on Schedule A/B and Schedule E/F, respectively, per the Debtors’ books and records. The listing of any amounts with respect to such receivables and payables is not, and should not be construed as, an admission of the characterization of such balances as debt, equity, or otherwise. For the avoidance of doubt, the Debtors reserve all rights, claims, and defenses in connection with any and all intercompany receivables and payables,

including with respect to the characterization of intercompany claims, loans, and notes.

- n. **Guarantees and Other Secondary Liability Claims.** The Debtors have exercised reasonable efforts to locate and identify guarantees of its executory contracts, unexpired leases, secured financings, and other such agreements. Where guarantees have been identified, they have been included in the relevant Schedules G and H for the affected Debtor. The Debtors may have inadvertently omitted guarantees embedded in its contractual agreements and may identify additional guarantees as it continues to review its books and records and contractual agreements. The Debtors reserve their rights, but are not required, to amend the Schedules and Statements if additional guarantees are identified.
- o. **Excluded Assets and Liabilities.** The Debtors have excluded certain categories of assets and liabilities, including Goodwill, from the Schedules and Statements and certain accrued expenses. The Debtors also have excluded rejection damage claims of counterparties to executory contracts and unexpired leases that may be rejected, to the extent such damage claim exists. In addition, certain immaterial assets and liabilities may have been excluded. Further, certain liabilities authorized to be paid pursuant to Court orders are excluded from the Schedules and Statements.
- p. **Liens.** The inventories, property and equipment listed in the Schedules and Statements are presented without consideration of any liens.
- q. **Currency.** Unless otherwise indicated, all amounts are reflected in U.S. dollars.
- r. **Setoffs.** The Debtors routinely incur setoffs and net payments in the ordinary course of business. Such setoffs and nettings may occur due to a variety of transactions or disputes including, but not limited to, intercompany transactions, counterparty settlements, pricing discrepancies, credits, rebates, returns, refunds, and negotiations and/or disputes between the Debtors and their customers and/or suppliers. These normal, ordinary course setoffs and nettings are common to the industry. Due to the voluminous nature of setoffs and nettings, it would be unduly burdensome and costly for the Debtors to list each such transaction. Therefore, although such setoffs and other similar rights may have been accounted for when scheduling certain amounts, these ordinary course setoffs are not independently accounted for, and as such, are or may be excluded from the Debtors' Schedules and Statements. In addition, some amounts listed in the Schedules and Statements may have been affected by setoffs or nettings by third parties of which the Debtors are not yet aware and/or of which the Debtors have approved to effectuate in the claims process of its chapter 11 cases. The Debtors reserve all rights to challenge any setoff and/or recoupment rights that may be asserted.

- s. **Consolidated Accounts Payable and Disbursement System.** The Debtors use a centralized cash management system to streamline collection, transfer, and disbursement of funds generated by the Debtors' business operations

5. **Specific Schedules Disclosures.**

- a. **Schedules A/B, Parts 1 and 2 – Cash and Cash Equivalents; Deposits and Prepayments.** Details with respect to the Debtors' cash management system and bank accounts are provided in the *Debtors' Motion for Entry of Interim and Final Orders (I) Authorizing the Debtors to (A) Continue Using the Cash Management System and (B) Maintain Existing Bank Accounts and Business Forms and Books and Records, (II) Authorizing Continued Intercompany Transactions* [Docket No. 6] (the "Cash Management Motion") and the orders of the Court granting the Cash Management Motion [Docket Nos. 40].

Additionally, in the *Debtors' Motion for Entry of an Order (I) Determining that Utility Providers Have Been Provided with Adequate Assurance of Payment, (II) Prohibiting the Utility Providers from Altering, Refusing, or Discontinuing Services, (III) Approving the Proposed Adequate Assurance Procedures, and (IV) Granting Related Relief* [Docket No. 22], the Debtors requested authority from the Court to provide adequate assurance of payment for future utility services, including an initial deposit in the amount of \$70,000. Such deposits are not listed on Schedule A/B, Part 2, which has been prepared as of the Petition Date.

- b. **Schedule A/B, Part 4 – Investments; Non-Publicly Traded Stock and Interests in Incorporated and Unincorporated Businesses, including any Interest in an LLC, Partnership, or Joint Ventures.** Ownership interests in subsidiaries, partnerships, and joint ventures have been listed in Schedule A/B, Part 4, as unknown amounts on account of the fact that the fair market value of such ownership is dependent on numerous variables and factors, and may differ significantly from their net book value.
- c. **Schedule A/B, Part 7 – Office Furniture, Fixtures, and Equipment; and Collectibles.** Dollar amounts are presented net of accumulated depreciation and other adjustments.
- d. **Schedule A/B, Part 10 – Intangibles and Intellectual Property.** The Debtors listed various intangibles and intellectual property owned by the Debtors, including trademarks, domain names, websites, and the like. The Debtors listed the value of such intellectual property as unknown on account of the fact that the fair market value of such assets is dependent on numerous variables and factors, and may differ significantly from their net book value.
- e. **Schedule A/B, Part 11 – All Other Assets.** Dollar amounts are presented net of impairments and other adjustments.

***Other Contingent and Unliquidated Claims or Causes of Action of Every Nature, Including Counterclaims of the Debtors and Rights to Setoff Claims.***

In the ordinary course of its businesses, the Debtors may have accrued, or may subsequently accrue, certain rights to counter-claims, cross-claims, setoffs, credits, rebates, or refunds with its customers and suppliers, or potential warranty claims against its suppliers. Additionally, certain of the Debtors may be party to pending litigation in which such Debtor has asserted, or may assert, claims as a plaintiff or counter-claims and/or cross-claims as a defendant. Because such claims are unknown to the Debtors and not quantifiable as of the Petition Date, they are not listed on Schedule A/B, Part 11.

***Interests in Insurance Policies and Annuities.*** A list of the Debtors' insurance policies and related information is available in the *Debtors' Motion for Entry of Interim and Final Orders (I) Authorizing the Debtors to (I) Continue Insurance Coverage Entered into Prepetition and Satisfy Prepetition Obligations Related Thereto, (II) Renew, Amend, Supplement, Extend, or Purchase Insurance Policies, (III) Honor the terms of their Premium Financing Agreements and Pay Premiums Thereunder, (IV) Enter into New Premium Financing Agreements in the Ordinary Course of Business, and (V) Granting Related Relief* [Docket No. 13]. The Debtors believe that there is little or no cash value to the vast majority of such insurance policies. Such policies have all been included on Schedule A/B, Part 11, with values listed as "unknown."

- f. **Schedule D – Creditors Who Have Claims Secured by Property.** Except as otherwise agreed pursuant to a stipulation or order entered by the Court, the Debtors reserve all rights to dispute or challenge the validity, perfection, or immunity from avoidance of any lien purported to be granted or perfected in any specific asset to a secured creditor listed on Schedule D. Moreover, although the Debtors have scheduled claims of certain creditors as secured claims, the Debtors' reserve all rights to dispute or challenge the secured nature of any such creditor's claim or the characterization of the structure of any such transaction or any document or instrument related to such creditor's claim.

The descriptions provided in Schedule D are intended only to be a summary. Reference to the applicable agreements and other related relevant documents is necessary for a complete description of the collateral and the nature, extent, and priority of any liens. Nothing in these Global Notes or the Schedules and Statements shall be deemed a modification or interpretation of the terms of such agreements. In certain instances, the Debtors may be a co-obligor, co-mortgagor, or guarantor with respect to scheduled claims of other Debtors, and no claim set forth on Schedule D of any Debtor is intended to acknowledge claims of creditors that are otherwise satisfied or discharged by other entities.

g. **Schedule E/F – Creditors Who Have Unsecured Claims.**

**Part 1 – Creditors with Priority Unsecured Claims.** As requested in the Debtors’ Motion for Entry of Interim and Final Orders (I) Authorizing the Payment of Certain Prepetition Taxes and Fees and (II) Granting Related Relief [Docket No. 14] (the “Taxes Motion”) and the orders of the Court granting the Taxes Motion [Docket Nos. 41], the Debtors have been granted the authority to pay, in its discretion, certain tax liabilities that accrued prepetition. Accordingly, any unsecured priority claims based upon prepetition tax accruals that have been paid pursuant to the Taxes Order are not listed in Schedule E.

Furthermore, as requested in the Debtors Motion for Entry of Interim and Final Orders (I) Authorizing the Debtors to (A) Pay Prepetition Wages, Salaries, Other Compensation, and Reimbursable Expenses and (B) Continue Employee Benefits Programs, and (II) Granting Related Relief [Docket No. 12] (the “Wages Motion”) and the orders of the Court granting the Wages Motion [Docket Nos. 39], the Debtors received final authority to pay certain prepetition obligations, including to pay employee wages and other employee benefits, in the ordinary course of business. Certain claims listed on Schedule E are claims held by the Debtors’ employees for paid time off (“PTO Claims”). Accrued, unused paid time off is paid out only upon separation from the Debtors. Any PTO Claims listed on Schedule E reflect amounts owed as of the Petition Date and have not been adjusted for any time off used since the Petition Date. As such, the Debtors believe that current amounts may differ from those PTO Claims listed on Schedule E as of the Petition Date. The Debtors believe that any non-insider, nonpriority employee claims for prepetition amounts related to ongoing payroll and benefits, other than the listed PTO Claims, have been or will be satisfied, and such satisfied amounts are not listed.

The listing of a claim on Schedule E/F, Part 1, does not constitute an admission by the Debtors that such claim or any portion thereof is entitled to priority status.

**Part 2 – Creditors with Nonpriority Unsecured Claims.** The liabilities identified in Schedule E/F, Part 2, are derived from the Debtors’ books and records. The Debtors made a reasonable attempt to set forth its unsecured obligations, although the actual amount of claims against the Debtors may vary from those liabilities represented on Schedule E/F, Part 2. The listed liabilities, which have been listed on a gross accounts payable basis, may not reflect the correct amount of any unsecured creditor’s allowed claims or the correct amount of all unsecured claims.

The Debtors generally do not allocate individual liabilities to particular Debtors. Therefore, in most cases, it would be a time-consuming and inefficient use of estate resources, or impracticable, to assign a given liability to a particular Debtor. To the extent the debt could not be attributed to a specific Debtor, the liability has been listed on Schedule E/F, Part 2, of Hooper Holmes, Inc.

Schedule E/F, Part 2, contains information regarding threatened or pending litigation involving the Debtors. The amounts for these potential claims are listed as “unknown” and are marked as contingent, unliquidated, and disputed in the Schedules and Statements.

Schedule E/F, Part 2, reflects certain prepetition amounts owing to counterparties to executory contracts and unexpired leases. Such prepetition amounts, however, may be paid in connection with the assumption or assumption and assignment of an executory contract or unexpired lease. In addition, Schedule E/F, Part 2, does not include claims that may arise in connection with the rejection of any executory contracts and unexpired leases, if any, that may be or have been rejected.

In many cases, the claims listed on Schedule E/F, Part 2, arose, accrued, or were incurred on various dates or on a date or dates that are unknown to the Debtors or are subject to dispute. Where the determination of the date on which a claim arose, accrued, or was incurred would be unduly burdensome and costly to the Debtors’ estates, the Debtors have not listed a specific date or dates for such claim.

As of the time of filing of the Schedules and Statements, the Debtors have not received all invoices for payables, expenses, and other liabilities that may have accrued prior to the Petition Date. Accordingly, the information contained in Schedules D and E/F may be incomplete. The Debtors reserve their rights, but undertake no obligations, to amend Schedules D and E/F if, or when, the Debtors receive such invoices.

- h. **Schedule G – Executory Contracts and Unexpired Leases.** While reasonable efforts have been made to ensure the accuracy of Schedule G, inadvertent errors or omissions may have occurred. Additionally, in certain instances, executory contracts and unexpired leases may be omitted due to their confidential nature, but can be made available to the U.S. Trustee on a confidential basis. Additionally, relationships between the Debtors and their customers are often governed by a master services agreement, under which customers also place work and purchase orders, which may be considered executory contracts. Disclosure of all of these purchase and work orders, however, is impracticable and unduly burdensome. Accordingly, to the extent the Debtors have determined to disclose non-confidential master services agreements in Schedule G, purchase and work orders placed thereunder may have been omitted.

Listing a contract or agreement on Schedule G does not constitute an admission that such contract or agreement is an executory contract or unexpired lease or that such contract or agreement was in effect on the Petition Date or is valid or enforceable. Expired contracts and leases may have also been inadvertently included. The Debtors hereby reserve all of their rights to dispute the validity, status, or enforceability of any contracts, agreements, or leases set forth in

Schedule G and to amend or supplement such Schedule as necessary. Certain of the leases and contracts listed on Schedule G may contain renewal options, guarantees of payment, indemnifications, options to purchase, rights of first refusal and other miscellaneous rights. Such rights, powers, duties and obligations are not set forth separately on Schedule G. In addition, the Debtors may have entered into various other types of agreements in the ordinary course of its business, such as supplemental agreements and letter agreement, which documents may not be set forth in Schedule G. The Debtors reserve the right to dispute the effectiveness of any such contract listed on Schedule G or to amend Schedule G at any time to remove any contract.

The Debtors have reserved all rights to dispute or challenge the characterization of any transaction or any document or instrument related to a creditor's claim.

In some cases, the same supplier or provider may appear multiple times in Schedule G. Multiple listings, if any, reflect distinct agreements between the applicable Debtor and such supplier or provider.

Omission of a contract or agreement from Schedule G does not constitute an admission that such omitted contract or agreement is not an executory contract or unexpired lease. The Debtors' rights under the Bankruptcy Code with respect to any such omitted contracts or agreements are not impaired by the omission. Certain Debtors are guarantors and parties to guaranty agreements regarding the Debtors' prepetition credit and term facilities. The guaranty obligations arising under these agreements are reflected on Schedules D and F only.

- i. **Schedule H – Co-Debtors.** For purposes of Schedule H, only the agent under the prepetition credit facility or counterparties that are subject to a guaranty are listed as co-Debtors on Schedule H. The Debtors have not listed any litigation-related co-Debtors on Schedule H. Instead, all such listings can be found on the Debtors' Schedules E/F.

## 6. Specific Statements Disclosures.

- a. **Statements, Part 2, Questions 3 and 4 – Payments to Certain Creditors.** Prior to the Petition Date, the Debtors maintained an integrated cash management system through which Hooper Holmes, Inc. made certain payments on behalf of its other affiliated entities. Consequently, all payments to creditors and insiders listed in response to Questions 3 and 4 on each of the Debtors' Statements, other than Debtor Hooper Kit Services, LLC, reflect payments made by Hooper Holmes, Inc. from its operating bank accounts (the "Operating Accounts"), on behalf of the corresponding Debtor entity, pursuant to the Debtors' cash management system described in the Cash Management Motion. Hooper Kit Services, LLC maintains a separate bank account that is used to make payments to certain trade creditors.

- b. **Statements, Part 2, Question 6 – Setoffs.** For the discussion of setoffs and nettings incurred by the Debtors, refer to paragraph 4(r) of these Global Notes.
- c. **Statements, Part 6, Question 11 – Payments Related to Bankruptcy.** The attachment to Question 11 reflects payments to professionals made from the Debtors' main concentration account, which is owned by Hooper Holmes, Inc., on behalf of its affiliate Debtor entities on a consolidated basis. The Debtors believe that it would be an inefficient use of the assets of the estates to allocate these payments on a Debtor-by-Debtor basis. The response to Question 11 in each of the Statements thus refers to the attachment to Question 11 of Hooper Holmes, Inc.'s Statement.
- d. **Statements, Part 9, Question 17 – Employee Benefit Plan.** The Debtors maintained two defined contribution savings plans established under Section 401(k) of the Internal Revenue Code. Following the consummation of the merger among various Debtor entities, effect May 11, 2017, the defined contribution plans were merged into one plan, the Hooper Provant 401k Plan.
- e. **Statements, Part 10, Question 20 – Off-Premise Storage.** The Debtor Hooper Kit Services, LLC maintains inventory located on off-premise sites. The locations listed for off-premise storage do not include shippers that are holding goods in-transit, including, but not limited to, goods on ships, in trucks or in warehouses where they may be temporarily stored during the transport process. Authorized employees of each facility have access to Hooper Kit Services, LLC's inventory maintained at such facility.
- f. **Statements, Part 13, Questions 26 – Books, Records, and Financial Statements.** Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Debtors have filed with the U.S. Securities and Exchange Commission (the "SEC") reports on Form 8-K, Form 10-Q, and Form 10-K. These SEC filings contain consolidated financial information relating to the Debtors. The Debtors have not filed any financial information specifically related to the Debtors either individually or on a combined or consolidated basis. Additionally, consolidated financial information for the Debtors is posted on the company's website at [www.provanthealth.com](http://www.provanthealth.com). In addition, the Debtors provide certain parties, such as banks, auditors, potential investors, vendors, and financial advisors, with financial statements that may not be part of a public filing. The Debtors do not maintain complete lists or other records tracking such disclosures. Therefore, the Debtors have not provided lists of these parties in its Responses to Statement Question 26.
- g. **Statements, Part 13, Question 30 – Payments, Distributions, or Withdrawals Credited or Given to Insiders.** Distributions by the Debtors to their respective directors and officers are listed on the attachment to Questions 3 and 4. Certain directors and executive officers of Hooper Holmes, Inc. are also directors and executive officers of certain Debtor affiliates. To the extent payments to such

individuals are not listed in the response to Questions 3 and 4 on the Statements for such Debtor affiliates, they did not receive payment for their services as directors or executive officers of these entities. Certain of the Debtors' directors and executive officers received distributions net of tax withholdings in the year preceding the Petition Date. The amounts listed under Questions 3 and 4 reflect the gross amounts paid to such directors and executive officers, rather than the net amounts after deducting for tax withholdings.

**Fill in this information to identify the case:**

Debtor PROVANT HEALTH SOLUTIONS, LLC

United States Bankruptcy Court for the: SOUTHERN DISTRICT OF NEW YORK

Case number (if known) 18-23309

Check if this is an amended filing

Official Form 207

**Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy**

04/16

The debtor must answer every question. If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and case number (if known).

**Part 1: Income**

**1. Gross revenue from business**

None

Identify the beginning and ending dates of the debtor's fiscal year, which may be a calendar year			Sources of revenue Check all that apply	Gross revenue (before deductions and exclusions)
From the beginning of the fiscal year to filing date:	From 1/1/2018	To 8/26/2018	<input checked="" type="checkbox"/> Operating a business <input type="checkbox"/> Other	\$11,424,263.37
For prior year:	From 1/1/2017	To 12/31/2017	<input checked="" type="checkbox"/> Operating a business <input type="checkbox"/> Other	\$32,570,486.00
For the year before that:	From 1/1/2016	To 12/31/2016	<input checked="" type="checkbox"/> Operating a business <input type="checkbox"/> Other	\$36,719,219.80

**2. Non-business revenue**

Include revenue regardless of whether that revenue is taxable. *Non-business income* may include interest, dividends, money collected from lawsuits, and royalties. List each source and the gross revenue for each separately. Do not include revenue listed in line 1.

None

**Part 2: List Certain Transfers Made Before Filing for Bankruptcy**

**3. Certain payments or transfers to creditors within 90 days before filing this case**

List payments or transfers—including expense reimbursements—to any creditor, other than regular employee compensation, within 90 days before filing this case unless the aggregate value of all property transferred to that creditor is less than \$6,425. (This amount may be adjusted on 4/01/19 and every 3 years after that with respect to cases filed on or after the date of adjustment.)

None

**4. Payments or other transfers of property made within 1 year before filing this case that benefited any insider**

List payments or transfers, including expense reimbursements, made within 1 year before filing this case on debts owed to an insider or guaranteed or cosigned by an insider unless the aggregate value of all property transferred to or for the benefit of the insider is less than \$6,425. (This amount may be adjusted on 4/01/19 and every 3 years after that with respect to cases filed on or after the date of adjustment.) Do not include any payments listed in line 3. *Insiders* include officers, directors, and anyone in control of a corporate debtor and their relatives; general partners of a partnership debtor and their relatives; affiliates of the debtor and insiders of such affiliates; and any managing agent of the debtor. 11 U.S.C. § 101(31).

None

SEE ATTACHED EXHIBIT 4

**5. Repossessions, foreclosures, and returns**

List all property of the debtor that was obtained by a creditor within 1 year before filing this case, including property repossessed by a creditor, sold at a foreclosure sale, transferred by a deed in lieu of foreclosure, or returned to the seller. Do not include property listed in line 6.

None

**6. Setoffs**

List any creditor, including a bank or financial institution, that within 90 days before filing this case set off or otherwise took anything from an account of the debtor without permission or refused to make a payment at the debtor's direction from an account of the debtor because the debtor owed a debt.

None

**Part 3: Legal Actions or Assignments**

(Name)

**7. Legal actions, administrative proceedings, court actions, executions, attachments, or governmental audits**

List the legal actions, proceedings, investigations, arbitrations, mediations, and audits by federal or state agencies in which the debtor was involved in any capacity-within 1 year before filing this case.

None

Case title	Nature of case	Court or agency's name and address	Status of case
ABRIELLA LANIER, AS AN INDIVIDUAL, AND ON BEHALF OF ALL OTHERS SMILIARLY SITUATION V. PROVANT HEALTH SOLUTIONS, LLC  <b>Case number</b> HG15789182	ALLEGED FAILURE TO PAY NON-EXEMPT EMPLOYEES MINIMUM AND OVERTIME WAGES AND REIMBURSEMENT OF EXEPENSES	SUPERIOR COURT OF THE STATE OF CALIFORNIA, COUNTY OF ALAMEDA 2233 SHORELINE DRIVE ALAMEDA, CA 94501	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
FDI MEDICAL/FITNESS DISTRIBUTORS, INC. V. HOOPER KIT SERVICES LLC, HOOPER HOLMES, INC., AND PROVANT HEALTH SOLUTIONS LLC  <b>Case number</b> 1781CV02557	BREACH OF CONTRACT	COMMONWEALTH OF MASSACHUSETTS, SUPERIOR COURT DEPARTMENT OF THE TRIAL COURT 1 PEMBERTON SQ. BOSTON, MA 02108	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
LABORATORY CORPORATION OF AMERICA HOLDINGS V. HOOPER HOLMES, INC. AND PROVANT HEALTH SOLUTIONS, LLC  <b>Case number</b> KC-2018-0637	BREACH OF CONTRACT	STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS SUPERIOR COURT ONE EXCHANGE TERRACE FEDERAL BUILDING AND COURTHOUSE PROVIDENCE, RI 02903	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
MILLENNIUM CONSULTING, INC. VS. HOOPER HOLMES, INC. AND PROVANT HEALTH SOLUTIONS LLC  <b>Case number</b> WC-2018-0230	BREACH OF CONTRACT	STATE OF RHODE ISLAND AND PROVIDENCE PLATATIONS, WASHINGTON COUNTY SUPERIOR COURT MCGRATH JUDICIAL COMPLEX 4800 TOWER HILL ROAD WAKEFIELD, RI 02879	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
SARAH HOUSER V. PROVANT HEALTH SOLUTIONS, LLC  <b>Case number</b> EEOC CHARGE NO. 523-2018-00870	ALLEGED DISCRIMINATION	U.S. EQUAL EMPLOYMENT OPPORTUNITY COMMISSION JFK FEDERAL BUILDING GOVERNMENT CENTER ROOM 475 BOSTON, MA 02203	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

**8. Assignments and receivership**

List any property in the hands of an assignee for the benefit of creditors during the 120 days before filing this case and any property in the hands of a receiver, custodian, or other court-appointed officer within 1 year before filing this case.

None

**Part 4: Certain Gifts and Charitable Contributions**

**9. List all gifts or charitable contributions the debtor gave to a recipient within 2 years before filing this case unless the aggregate value of the gifts to that recipient is less than \$1,000**

None

**Part 5: Certain Losses**

**10. All losses from fire, theft, or other casualty within 1 year before filing this case.**

None

**Part 6: Certain Payments or Transfers**

**11. Payments related to bankruptcy**

List any payments of money or other transfers of property made by the debtor or person acting on behalf of the debtor within 1 year before the filing of this case to another person or entity, including attorneys, that the debtor consulted about debt consolidation or restructuring, seeking bankruptcy relief, or filing a bankruptcy case.

None

**12. Self-settled trusts of which the debtor is a beneficiary**

List any payments or transfers of property made by the debtor or a person acting on behalf of the debtor within 10 years before the filing of this case to a self-settled trust or similar device. Do not include transfers already listed on this statement.

None

**13. Transfers not already listed on this statement**

List any transfers of money or other property—by sale, trade, or any other means—made by the debtor or a person acting on behalf of the debtor within 2 years before the filing of this case to another person, other than property transferred in the ordinary course of business or financial affairs.

Include both outright transfers and transfers made as security. Do not include gifts or transfers previously listed on this statement.

None

**Part 7: Previous Locations**

**14. Previous addresses**

List all previous addresses used by the debtor within 3 years before filing this case and the dates the addresses were used.

Does not apply

**Part 8: Health Care Bankruptcies**

**15. Health Care bankruptcies**

Is the debtor primarily engaged in offering services and facilities for:

- diagnosing or treating injury, deformity, or disease, or
- providing any surgical, psychiatric, drug treatment, or obstetric care?

None

**Part 9: Personal Identifiable Information**

**16. Does the debtor collect and retain personally identifiable information of customers?**

No.

Yes. State the nature of the information collected and retained.

PERSONAL INFORMATION COLLECTED INCLUDES NAME, ADDRESS, SOCIAL SECURITY NUMBER, AND DEMOGRAPHIC INFORMATION INCLUDING DATE OF BIRTH

Does the debtor have a privacy policy about that information?

No

Yes

**17. Within 6 years before filing this case, have any employees of the debtor been participants in any ERISA, 401(k), 403(b), or other pension or profit-sharing plan made available by the debtor as an employee benefit?**

No. Go to Part 10.

Yes. Does the debtor serve as plan administrator?

No. Go to Part 10.

Yes. Fill in below:

Name of plan	Employer identification number of the plan
PROVANT 401K PLAN	20-1778511

Has the plan been terminated?

No

Yes

**Part 10: Certain Financial Accounts, Safe Deposit Boxes, and Storage Units**

18. Closed financial accounts

Within 1 year before filing this case, were any financial accounts or instruments held in the debtor's name, or for the debtor's benefit, closed, sold, moved, or transferred? Include checking, savings, money market, or other financial accounts; certificates of deposit; and shares in banks, credit unions, brokerage houses, cooperatives, associations, and other financial institutions.

[X] None

19. Safe deposit boxes

List any safe deposit box or other depository for securities, cash, or other valuables the debtor now has or did have within 1 year before filing this case.

[X] None

20. Off-premises storage

List any property kept in storage units or warehouses within 1 year before filing this case. Do not include facilities that are in a part of a building in which the debtor does business.

[X] None

Part 11: Property the Debtor Holds or Controls That the Debtor Does Not Own

21. Property held for another

List any property that the debtor holds or controls that another entity owns. Include any property borrowed from, being stored for, or held in trust. Do not list leased or rented property.

[X] None

Part 12: Details About Environmental Information

For the purpose of Part 12, the following definitions apply:

- Environmental law means any statute or governmental regulation that concerns pollution, contamination, or hazardous material, regardless of the medium affected (air, land, water, or any other medium).
Site means any location, facility, or property, including disposal sites, that the debtor now owns, operates, or utilizes or that the debtor formerly owned, operated, or utilized.
Hazardous material means anything that an environmental law defines as hazardous or toxic, or describes as a pollutant, contaminant, or a similarly harmful substance.

Report all notices, releases, and proceedings known, regardless of when they occurred.

22. Has the debtor been a party in any judicial or administrative proceeding under any environmental law? Include settlements and orders.

[X] No
[ ] Yes. Provide details below.

23. Has any governmental unit otherwise notified the debtor that the debtor may be liable or potentially liable under or in violation of an environmental law?

[X] No
[ ] Yes. Provide details below.

24. Has the debtor notified any governmental unit of any release of hazardous material?

[X] No
[ ] Yes. Provide details below.

Part 13: Details About the Debtor's Business or Connections to Any Business

25. Other businesses in which the debtor has or has had an interest

List any business for which the debtor was an owner, partner, member, or otherwise a person in control within 6 years before filing this case. Include this information even if already listed in the Schedules.

[X] None

26. Books, records, and financial statements

26a. List all accountants and bookkeepers who maintained the debtor's books and records within 2 years before filing this case.

[ ] None

Table with 2 columns: Name and address, Dates of service. Row 1: DAN APSTEIN VIA CFGI, 560 N. ROGERS ROAD, OLATHE, KS 66062; From 3/28/17 To 5/11/17

(Name)

Name and address	Dates of service	
GREG SCORPIO 560 N. ROGERS ROAD OLATHE, KS 66062	From 2014	To 12/15/16
JOHN VAILLANCOURT 560 N. ROGERS ROAD OLATHE, KS 66062	From 2014	To 9/7/16
ROB LUBASH VIA CFGI 560 N. ROGERS ROAD OLATHE, KS 66062	From 1/5/17	To 3/28/17
SEAN DALY 560 N. ROGERS ROAD OLATHE, KS 66062	From 9/10/16	To 1/5/17

26b. List all firms or individuals who have audited, compiled, or reviewed debtor's books of account and records or prepared a financial statement within 2 years before filing this case.

None

Name and address	Dates of service	
RSM US LLP 80 CITY SQUARE BOSTON, MA 02129	From 12/1/2012	To 12/31/2017

26c. List all firms or individuals who were in possession of the debtor's books of account and records when this case is filed.

None

Name and address	If any books of account and records are unavailable, explain why
MICKIE KOSLOFSKY 560 N. ROGERS ROAD OLATHE, KS 66062	

26d. List all financial institutions, creditors, and other parties, including mercantile and trade agencies, to whom the debtor issued a financial statement within 2 years before filing this case.

None

Name and address
CNH FINANCE FUND I LP 2 GREENWICH PLAZA 1ST FLOOR GREENWICH, CT 06830
FRANK BAZOS C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062
GUS D. HALAS C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062
HENRY DUBOIS C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062
JIM FOREMAN C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062
LARRY FERGUSON C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062
MARK J. EMKJER C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062
PAUL DAOUST C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062

**Name and address**

RONALD APRAHAMIAN  
 C/O HOOPER HOLMES, INC.  
 560 N. ROGERS ROAD  
 OLATHE, KS 66062  
 SWK FUNDING LLC, AS AGENT  
 15770 DALLAS PARKWAY  
 SUITE 1290  
 DALLAS, TX 75248  
 THOMAS A. WATFORD  
 C/O HOOPER HOLMES, INC.  
 560 N. ROGERS ROAD  
 OLATHE, KS 66062

**27. Inventories**

Have any inventories of the debtor's property been taken within 2 years before filing this case?

None

**28. List the debtor's officers, directors, managing members, general partners, members in control, controlling shareholders, or other people in control of the debtor at the time of the filing of this case.**

None

Name	Address	Position and nature of any interest	% of interest, if any
HOOPER HOLMES, INC.	560 N. ROGERS ROAD OLATHE, KS 66286	PARENT	100
MARK CLERMONT	C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66286	PRESIDENT, COO	NONE
KEVIN T. JOHNSON	C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66286	CHIEF FINANCIAL OFFICER	NONE
DR. DAVID ASHLEY	C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062	CHIEF MEDICAL OFFICER	NONE
PETE MIRAKIAN	C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66286	SECRETARY	NONE

**29. Within 1 year before the filing of this case, did the debtor have officers, directors, managing members, general partners, members in control of the debtor, or shareholders in control of the debtor who no longer hold these positions?**

None

Name	Address	Position and nature of any interest	Period during which position or interest was held	
DANIEL SCANLON	C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062	FORMER DIRECTOR AND CHIEF INNOVATION OFFICER	From 2004	To 5/2017
FRANK BAZOS	C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062	FORMER DIRECTOR	From 6/2012	To 5/2017
HEATHER PROVINO	C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062	FORMER DIRECTOR AND CEO	From 2004	To 5/2017
MARK CLERMONT	C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062	FORMER PRESIDENT	From 11/2015	To 5/2017
PAUL DAOUST	C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062	FORMER DIRECTOR	From 6/2012	To 5/2017
STEPHEN MARQUARDT	C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062	FORMER DIRECTOR	From 6/2012	To 5/2017
THOMAS BASILIERE	C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062	FORMER CHIEF INFORMATION OFFICER	From 11/2014	To 5/2017

(Name)

**30. Payments, distributions, or withdrawals credited or given to insiders**

Within 1 year before filing this case, did the debtor provide an insider with value in any form, including salary, other compensation, draws, bonuses, loans, credits on loans, stock redemptions, and options exercised?

None

SEE RESPONSE TO QUESTION 4

**31. Within 6 years before filing this case, has the debtor been a member of any consolidated group for tax purposes?**

None

**32. Within 6 years before filing this case, has the debtor as an employer been responsible for contributing to a pension fund?**

None

**Part 14: Signature and Declaration**

**WARNING** -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

I have examined the information in this *Statement of Financial Affairs* and any attachments and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on September 20, 2018.

**x**

/s/ James E. Fleet

James E. Fleet

Signature of individual signing on behalf of the debtor

Printed Name

Chief Restructuring Officer

Position or relationship to debtor

**Are additional pages to *Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy (Official Form 207)* attached?**

- No
- Yes

## Statement of Financial Affairs - Exhibit 4

## PROVANT HEALTH SOLUTIONS, LLC 18-23309

Name	Relationship to Debtor	Payment Date	Amount	Description
BASILIERE, THOMAS C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062	CHIEF INFORMATION OFFICER	09/08/2017	\$11,475.41	PAYROLL
		09/22/2017	\$11,475.41	PAYROLL
		10/06/2017	\$11,475.41	PAYROLL
		10/20/2017	\$11,475.41	PAYROLL
		11/03/2017	\$11,475.41	PAYROLL
		11/17/2017	\$11,475.41	PAYROLL
		12/01/2017	\$11,475.41	PAYROLL
		12/15/2017	\$11,475.41	PAYROLL
		12/29/2017	\$11,475.41	PAYROLL
		01/12/2018	\$11,475.41	PAYROLL
		01/26/2018	\$11,475.41	PAYROLL
		02/09/2018	\$11,475.41	PAYROLL
		02/23/2018	\$11,475.41	PAYROLL
		03/09/2018	\$11,475.41	PAYROLL
		03/23/2018	\$11,475.41	PAYROLL
		04/06/2018	\$11,475.41	PAYROLL
		04/20/2018	\$11,475.41	PAYROLL
		05/04/2018	\$11,475.41	PAYROLL
		05/18/2018	\$11,475.41	PAYROLL
		06/01/2018	\$11,475.41	PAYROLL
06/15/2018	\$11,475.41	PAYROLL		
06/29/2018	\$11,475.41	PAYROLL		
			<b>\$252,459.02</b>	
CLERMONT, MARK C/O HOOPER HOLMES, INC. 560 N. ROGERS ROAD OLATHE, KS 66062	PRESIDENT, COO	09/08/2017	\$12,812.52	PAYROLL
		09/22/2017	\$12,812.52	PAYROLL
		10/06/2017	\$12,812.52	PAYROLL
		10/20/2017	\$12,812.52	PAYROLL
		11/03/2017	\$12,812.52	PAYROLL
		11/17/2017	\$12,812.52	PAYROLL
		12/01/2017	\$12,812.52	PAYROLL
		12/15/2017	\$12,812.52	PAYROLL
		12/29/2017	\$12,812.52	PAYROLL
		01/12/2018	\$12,812.52	PAYROLL
		01/26/2018	\$12,812.52	PAYROLL
		02/09/2018	\$12,812.52	PAYROLL
		02/23/2018	\$12,812.52	PAYROLL
		03/09/2018	\$12,812.52	PAYROLL
		03/23/2018	\$12,812.52	PAYROLL
		04/06/2018	\$12,812.52	PAYROLL
		04/20/2018	\$12,812.52	PAYROLL
		05/04/2018	\$12,812.52	PAYROLL
		05/18/2018	\$12,812.52	PAYROLL
		06/01/2018	\$12,812.52	PAYROLL
06/15/2018	\$12,812.52	PAYROLL		
06/29/2018	\$12,812.52	PAYROLL		
			<b>\$281,875.44</b>	

Name	Relationship to Debtor	Payment Date	Amount	Description
Grand Total: 2			\$534,334.46	