

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----x  
: **Chapter 11 Case No.**  
: **08-13555 (JMP)**  
: **(Jointly Administered)**  
: **Ref. Docket No. 59972**  
-----x

**In re**  
**LEHMAN BROTHERS HOLDINGS INC., et al.,**  
**Debtors.**

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
  ) ss.:  
COUNTY OF NEW YORK    )

FORREST HOUKU, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Corporate Restructuring, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On October 3, 2019, I caused to be served the “Notice of Adjournment of Hearing on Motion of the Plan Administrator for an Order in Aid of Execution of the Modified Third Amended Joint Chapter 11 Plan of Lehman Brothers Holdings Inc. and Its Affiliated Debtors,” dated October 3, 2019 [Docket No. 59972], by causing true and correct copies to be:
  - a. enclosed securely in separate postage pre-paid envelopes and delivered via overnight mail to those parties listed in the annexed Exhibit A,
  - b. enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed in the annexed Exhibit B, and
  - c. delivered via electronic mail to those parties listed in the annexed Exhibit C.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Forrest Houku  
Forrest Houku

Sworn to before me this

7<sup>th</sup> day of October, 2019

/s/ Diane M. Streaty

Notary Public, State of New York

No. 01ST5003825

Qualified in Westchester County

Commission Expires November 2, 2022

**EXHIBIT A**

**Lehman Brothers Holding Inc., et al. – 08-13555 (SCC)**

**Overnight Mail Master Service List**

OFFICE OF THE US TRUSTEE  
U.S. FEDERAL OFFICE BUILDING  
ATTN: WILLIAM K. HARRINGTON, ESQ.,  
SUSAN D. GOLDEN, ESQ.  
ANDREA B. SCHWARTZ, ESQ.  
201 VARICK STREET, ROOM 1006  
NEW YORK, NY 10014

OFFICE OF THE UNITED STATES TRUSTEE  
ATTN: JOSEPH T. NADKARNI, CFA  
SENIOR BANKRUPTCY ANALYST  
201 VARICK STREET – SUITE 1006  
NEW YORK, NEW YORK 10014

INTERNAL REVENUE SERVICE  
SPECIAL PROCEDURES BRANCH  
ATTN: DISTRICT DIRECTOR  
290 BROADWAY  
NEW YORK, NY 10007

**Lehman Brothers Holding Inc., et al. – 08-13555 (SCC)**

**Overnight Mail Additional Service List**

U.S. ATTORNEY'S OFFICE  
SOUTHERN DISTRICT OF NY  
ATTN: GEOFFREY S. BERMAN  
1 SAINT ANDREW'S PLAZA  
NEW YORK, NY 10007

SECURITIES EXCHANGE COMMISSION  
200 VESEY STREET, #400  
NEW YORK, NY 10281

MAYER BROWN LLP  
COUNSEL TO CAPITAL PARTNERS SECURITIES  
ATTN: JOAQUIN M C DE BACA, ESQ.  
1221 AVENUE OF THE AMERICAS  
NEW YORK, NY 10020-1001

**EXHIBIT B**

Claim Name	Address Information
AKIO ISOMOTO	FINANCIAL PRODUCTS TRADING DEPT. COSMO SECURITIES CO., LTD. 1-13-4, UCHIKANDA, CHIYODA-KU TOKYO 101-8742 JAPAN
AKIO ISOMOTO	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU 107-0052 JAPAN
AKIO ISOMOTO	23-15, SUGIMOTO 2-CHOME, SUMIYOSHI-KU OSAKA 558-0022 JAPAN
AOYAMA, TAMOTSU	COSMO SECURITIES CO., LTD. ATTN: FINANCIAL PRODUCTS TRADING DEPT. 1-13-4, UCHIKANDA CHIYODA-KU TOKYO 101-8742 JAPAN
AOYAMA, TAMOTSU	ATTN: KIMIKO TAKAHASHI MITSUI COMPANY 14-2 AKASAKA 2CHOME, MINATO-KU TOKYO 107-0052 JAPAN
AOYAMA, TAMOTSU	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPANY AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
AOYAMA, TAMOTSU	1-30, UMEGAOKA NAGAOKAKYO KYOTO 617-0841 JAPAN
ARCADIA HILL INC.	SUITE 28153 1942 NE 148TH ST MIAMI FL 33181-1161
BANK OF EAST ASIA LTD., THE, AS NOMINEE	FOR A/C E.A. INT'L TRUSTEES LTD-E 1015 35/F BEA TOWER, MILLENNIUM CITY 5 CYD DEPT KWUN TONG HONG KONG
BARCLAYS BANK PLC	TRANSFEROR: AG CENTRE STREET PARTNERSHIP, L.P. ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BAUPOST GROUP SECURITIES, L.L.C.	TRANSFEROR: RBS SECURITIES INC. C/O ROPES & GRAY LLP ATTN: GAVIN ALEXANDER PRUDENTIAL TOWER 800 BOYLSTON STREET BOSTON MA 02199-3600
CANFORD ENTERPRISES LIMITED	PO BOX 210, 3RD FLOOR 24 SAND STREET ST. HELIER, JERSEY CHANNEL ISLANDS JE2 3QF UNITED KINGDOM
CF SENECA DIVERSIFIED GROWTH FUND	F/K/A CF MIDAS BALANCED GROWTH FUND BEAUFORT HOUSE 51 NEW NORTH ROAD EXETER, DEVON EX4 4EP UNITED KINGDOM
DAH SING BANK, LIMITED	TRANSFEROR: D.A.H. HAMBROS BANK (CHANNEL ISLANDS) LIMITED C/O BAKER & MCKENZIE LLP; ATTN: IRA REID 452 FIFTH AVENUE NEW YORK NY 10018
DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: DEUTSCHE SECURITIES INC. ATTN: MICHAEL SUTTON WINCHESTER HOUSE, 1 GREAT WINCHESTER ST LONDON EC2N 2DB UNITED KINGDOM
DORELLA INVESTMENTS	SUITE 28153 1942 NE 148TH ST MIAMI FL 33181-1161
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EIKO UENO	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU 107-0052 JAPAN
EIKO UENO	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPANY AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
EIKO UENO	4-23, FUKAEMINAMI 2-CHOME HIGASHINARI-KU OSAKA 537-0002 JAPAN
FATAI INVESTMENT LIMITED	PO BOX 210, 3RD FLOOR 24 SAND STREET ST. HELIER, JERSEY CHANNEL ISLANDS JE2 3QF UNITED KINGDOM
FIRST COMMERCIAL BANK	C/O MORRISON & FOERSTER LLP ATTN: BRETT H. MILLER 250 WEST 55TH STREET NEW YORK NY 10019
GOLDMAN SACHS & CO.	TRANSFEROR: LIQUIDATION OPPORTUNITIES MASTER FUND, L.P. ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282
H.S. SECURITIES CO., LTD.	TRANSFEROR: LEHMAN BROTHERS JAPAN INC ATTN: YOSHIAKI MISHIMA SUMITOMO FUDOSAN SHINJUKU OAK TOWER 27F, 6-8-1 NISHI-SHINJUKU, SHINJUKU-KU TOKYO 163-6027 JAPAN
HBK MASTER FUND, L.P.	TRANSFEROR: MORGAN STANLEY & CO. INTERNATIONAL PLC 2300 NORTH FIELD STREET, SUITE 2200 DALLAS TX 75201
HISAKAZU MATSUSHIMA	FINANCIAL PRODUCTS TRADING DEPT. COSMO SECURITIES CO., LTD. 1-13-4, UCHIKANDA, CHIYODA-KU TOKYO 101-8742 JAPAN
HISAKAZU MATSUSHIMA	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU 107-0052 JAPAN
HISAKAZU MATSUSHIMA	9-7 NAGAYOSHIROKUTAN 2-CHOME HIRANO-KU OSAKA 547-0012 JAPAN
HSBC TRINKAUS & BURKHARDT INTERNATIONAL SA	8 RUE LOU HEMMER LUXEMBOURG-FINDEL L-1748 LUXEMBOURG
JUNKO ISOMOTO	FINANCIAL PRODUCTS TRADING DEPT. COSMO SECURITIES CO., LTD. 1-13-4, UCHIKANDA,

Claim Name	Address Information
JUNKO ISOMOTO	CHIYODA-KU TOKYO 101-8742 JAPAN
JUNKO ISOMOTO	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
JUNKO ISOMOTO	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPANY AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
JUNKO ISOMOTO	23-15 SUGIMOTO 2-CHOME SUMIYOSHI-KU OSAKA 558-0022 JAPAN
KEIKO YOSHIDA	FINANCIAL PRODUCTS TRADING DEPT. COSMO SECURITIES CO., LTD. 1-13-4, UCHIKANDA, CHIYODA-KU TOKYO 101-8742 JAPAN
KEIKO YOSHIDA	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU 107-0052 JAPAN
KEIKO YOSHIDA	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPANY AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
KEIKO YOSHIDA	1280 ROKUJO-CHO NARA 630-8041 JAPAN
KEIMEI SHOJI CO, LTD	FINANCIAL PRODUCTS TRADING, DEPT. COSMO SECURITIES CO., LTD. 1-13-4 UCHIKANDA CHIYODA-KU TOKYO 101-8742 JAPAN
KEIMEI SHOJI CO, LTD	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
KEIMEI SHOJI CO, LTD	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPANY AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
KEIMEI SHOJI CO, LTD	249 KUGIKAKUSHICHO BUKKOUJI KARASUMA-NISHIIRU SHIMOGYO-KU KYOTO 600-8423 JAPAN
KNIGHTHEAD (NY) FUND, L.P.	TRANSFEROR: GOLDMAN SACHS & CO. C/O KNIGHTHEAD CAPITAL MANAGEMENT ATTN: LAURA L. TORRADO, ESQ. 1140 AVENUE OF THE AMERICAS, 12TH FLOOR NEW YORK NY 10036
KOHEI ENOKI	FINANCIAL PRODUCTS TRADING, DEPT. COSMO SECURITIES CO., LTD. 1-13-4 UCHIKANDA CHIYODA-KU TOKYO 101-8742 JAPAN
KOHEI ENOKI	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
KOHEI ENOKI	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPANY AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
KOHEI ENOKI	A/B/O SHOJI ENOKI 6-3 FURUEDAI 2-CHOME SUITA CITY OSAKA 565-0874 JAPAN
LIGHTEN UP CORPORATION	2/F, 15 WANG CHIU ROAD KOWLOON BAY HONG KONG
MASAKI NODA	FINANCIAL PRODUCTS TRADING DEPT. COSMO SECURITIES CO., LTD. 1-13-4, UCHIKANDA, CHIYODA-KU TOKYO 101-8742 JAPAN
MASAKI NODA	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU 107-0052 JAPAN
MASAKI NODA	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPANY AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
MASAKI NODA	7-1-1823, TAKAHAMACHO, ASHIYA-CITY HYOGO 659-0033 JAPAN
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MINAMI TAXI CO, LTD.	FINANCIAL PRODUCTS TRADING, DEPT. COSMO SECURITIES CO., LTD. 1-13-4 UCHIKANDA CHIYODA-KU TOKYO 101-8742 JAPAN
MINAMI TAXI CO, LTD.	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
MINAMI TAXI CO, LTD.	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPANY AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
MINAMI TAXI CO, LTD.	3-5-35, TSURUHASHI IKUNO-KU OSAKA 544-0031 JAPAN
MITSUBISHI UFJ MORGAN STANLEY SECURITIES CO., LTD.	ATTN: MS. HISAKO KUBO, LEGAL DEPARTMENT MR. TOMOHIRO NAKAMURA, CORPORATE PLANNING DIVISION MR. HIROKA KIKUCHI- STRUCTURED PRODUCTS DIVISION 22ND FLOOR, OTEMACHI FINANCIAL CITY GRAND CUBE 1-9-2 OTEMACHI, CHIYODA-KU TOKYO 100 JAPAN
MITSUBISHI UFJ MORGAN STANLEY SECURITIES CO., LTD.	MITSUBISHI UFJ SECURITIES CO., LTD LEGAL DIVISION MARUNOUCHI BUILDING, 2-4-1 MARUNOUCHI CHIYODA-KU TOKYO 100-6317 JAPAN
MITSUBISHI UFJ MORGAN STANLEY	MORRISON & FOERSTER LLP. LORENZO MARINUZZI, STACY MOLISON 250 WEST 55TH STREET



Claim Name	Address Information
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MIYOKO SHINNOU	FINANCIAL PRODUCTS TRADING DEPT. COSMO SECURITIES CO., LTD. 1-13-4, UCHIKANDA, CHIYODA-KU TOKYO 101-8742 JAPAN
MIYOKO SHINNOU	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU 107-0052 JAPAN
MIYOKO SHINNOU	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPANY AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
MIYOKO SHINNOU	10-12, SAIGOUDOURI 1-CHOME MORIGUCHI-CITY OSAKA 570-0034 JAPAN
MIZUHO SECURITIES CO LTD	ATTENTION: CREDIT TRADING & DERIVATIVE PRODUCTS DEPARTMENT; K. TANAKA OTEMACHI FIRST SQUARE 1-5-1, OTEMACHI CHIYODA-KU, TOKYO 100-0004 JAPAN
MIZUHO SECURITIES CO LTD	STROOCK & STROOCK & LAVAN LLP ATTENTION: SHERRY MILLMAN ESQ. 180 MAIDEN LANE NEW YORK NY 10038
MIZUHO SECURITIES CO., LTD	F/K/A MIZUHO INVESTORS SECURITIES CO., LTD ATTN: KUNIKO TAKIZAWA MITA NN BLDG. 4-1-23, SHIBA, MINATO-KU TOKYO 108-0014 JAPAN
MIZUHO SECURITIES CO., LTD	STROOCK & STROOCK & LAVAN LLP ATTN: SHERRY MILLMAN 180 MAIDEN LANE NEW YORK NY 10038
NG POH KIM ZITA	3 SIN MING WALK # 20-22 THE GARDENS AT BISHAN SINGAPORE 575575 SINGAPORE
NOMURA SECURITIES CO., LTD.	TRANSFEROR: CAPITAL PARTNERS SECURITIES CO., LTD FINANCIAL PRODUCT DEPARTMENT 2-2-2, OTEMACHI, CHIYODA-KU TOKYO JAPAN
NOMURA SECURITIES CO., LTD.	1-9-1, NIHONBASHI, CHUO-KU TOKYO JAPAN
PYRELL FUND, L.L.C.	TRANSFEROR: GOLDMAN SACHS & CO. MOSES & SINGER LLP - ATTN: MARK N. PARRY THE CHRYSLER BUILDING-405 LEXINGTON AVE NEW YORK NY 10174-1299
ROTH IRA FBO LEE BATCHA PERSHING LLC AS CUSTODIAN	TRANSFEROR: ROYAL BANK OF SCOTLAND, PLC, THE ATTN: LEE BATCHA 21 BROOK TERRACE FAIR HAVEN NJ 07704
ROTH IRA FBO MICHAEL ZLATIN PERSHING LLC AS CUSTOD	TRANSFEROR: ROYAL BANK OF SCOTLAND, PLC, THE ATTN: MICHAEL ZLATIN 552 CUMBERLAND STREET ENGLEWOOD NJ 07631
ROYAL BANK OF SCOTLAND PLC, THE	C/O NATWEST MARKETS SECURITIES INC ATTN: BRIAN GELDERT 600 WASHINGTON BLVD STAMFORD CT 06901
ROYAL BANK OF SCOTLAND PLC, THE	IRENA M. GOLDSTEIN & JEFFREY CHUBAK PROSKAUER ROSE LLP ELEVEN TIMES SQUARE NEW YORK NY 10036
TOSHIHISA HATANAKA	FINANCIAL PRODUCTS TRADING, DEPT. COSMO SECURITIES CO., LTD. 1-13-4 UCHIKANDA CHIYODA-KU TOKYO 101-8742 JAPAN
TOSHIHISA HATANAKA	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
TOSHIHISA HATANAKA	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPANY AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
TOSHIHISA HATANAKA	11-6 SHIBATSUJI-CHO 2 CHOME NARA-SHI NARA 630-8114 JAPAN
UBS AG	BAHNHOFSTR. 45 ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, OQ9C/O5GC P.O. BOX ZURICH 8098 SWITZERLAND
WONG SHUK CHING, PAULINE &	CHU KAR LAI, CAROLYN G/F 172 HENNESSY ROAD WANCHAI HONG KONG
ZAMA INTERNATIONAL LIMITED	TRANSFEROR: ZAMA INTERNATIONAL LIMITED P.O. BOX 210, 3RD FLOOR 24 SAND STREET ST. HELIER, JERSEY JE2 3QF CHANNEL ISLANDS

<b>Total Creditor count 84</b>
--------------------------------

**EXHIBIT C**

**Lehman Brothers Holding Inc., et al. – 08-13555 (SCC)**

**Electronic Mail Master Service List**

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**Lehman Brothers Holding Inc., et al. – 08-13555 (SCC)****Electronic Mail Master Service List**

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