

3. All envelopes utilized in the service of the foregoing contained the following legend:
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Wing Chan
Wing Chan

Sworn to before me this
9th day of December, 2019

/s/ Diane M. Streaty

Notary Public, State of New York
No. 01ST5003825
Qualified in Westchester County
Commission Expires December 2, 2022

EXHIBIT A

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

BAR(23) MAILID *** 000159745522 ***

LBH DEFTRFNTC (ADDRESS2, ADRKEYID3) 32598



EMPYREAN LHMN SPV, LP
ANN BATCHELOR PACE
C/O CARTER LEDYARD & MILBURN LLP
2 WALL STREET
NEW YORK, NY 10005

EMPYREAN LHMN SPV, LP
TRANSFEROR: DEUTSCHE BANK AG, LONDON
BRANCH
ATTN: STERLING HATHAWAY
10250 CONSTELLATION BLVD., STE 2950
LOS ANGELES, CA 90067

PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP
ATTN: ELANIT SNOW
1285 AVENUE OF THE AMERICAS
NEW YORK, NY 10019

Your transfer of claim # 20676-01 is defective for the reason(s) checked below:

Other CLAIM WAS ALREADY SOLD DURING SCA AUCTION
Other CLAIM WAS ALREADY SOLD DURING SCA AUCTION

Docket Number 60117

Date: 11/08/2019

/s/ Betina Wheelon

Epiq Corporate Restructuring, LLC

as claims agent for the debtor(s).

EXHIBIT B

Claim Name	Address Information
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ATTN: ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANNE BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL ST, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	C/O CARTER LEDYARD & MILBURN LLP, ATTN: ANN B. PACE, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067

Claim Name	Address Information
EMPYREAN LHMN SPV, LP	CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANNE BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL ST, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANNE BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL ST, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ATTN: ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ATTN: ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067

Claim Name	Address Information
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PLACE, CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANNE BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL ST, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ATTN: ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ATTN: ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ATTN: ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ATTN: ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019

Claim Name	Address Information
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019

Total Creditor Count 114
