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Electronically Filed July 13, 2020

**UNITED STATES BANKRUPTCY COURT**  
**DISTRICT OF NEVADA**

In re

Case No. BK-20-12814-mkn

RED ROSE, INC.,

Jointly Administered with  
Case No. BK-S-20-12815-mkn  
Case No. BK-S-20-12816-mkn  
Case No. BK-S-20-12818-mkn  
Case No. BK-S-20-12819-mkn  
Case No. BK-S-20-12820-mkn  
Case No. BK-S-20-12821-mkn  
Case No. BK-S-20-12822-mkn  
Case No. BK-S-20-12823-mkn  
Case No. BK-S-20-12824-mkn  
Case No. BK-S-20-12825-mkn  
Case No. BK-S-20-12826-mkn  
Case No. BK-S-20-12827-mkn  
Case No. BK-S-20-12829-mkn  
Case No. BK-S-20-12831-mkn  
Case No. BK-S-20-12833-mkn

- ☐ Affects Beachhead Roofing and Supply, Inc.
- ☐ Affects California Equipment Leasing Association, Inc.
- ☐ Affects Fences 4 America, Inc.
- ☐ Affects James Petersen Industries, Inc.
- ☐ Affects PD Solar, Inc.
- ☐ Affects Petersen Roofing and Solar LLC
- ☒ Affects Petersen-Dean, Inc.
- ☐ Affects PetersenDean Hawaii LLC
- ☐ Affects PetersenDean Roofing and Solar Systems, Inc.
- ☐ Affects PetersenDean Texas, Inc.
- ☐ Affects Red Rose, Inc.
- ☐ Affects Roofs 4 America, Inc.
- ☐ Affects Solar 4 America, Inc.
- ☐ Affects Sonoma Roofing Services, Inc.
- ☐ Affects TD Venture Fund, LLC
- ☐ Affects Tri-Valley Supply, Inc.
- ☐ Affects All Debtors

Chapter 11

**STATEMENT OF FINANCIAL AFFAIRS**

FOX ROTHSCHILD LLP  
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**GLOBAL NOTES AND STATEMENT OF LIMITATIONS, METHODOLOGY  
AND DISCLAIMER REGARDING THE DEBTORS' SCHEDULES OF  
ASSETS AND LIABILITIES, AND STATEMENTS OF FINANCIAL AFFAIRS**

Petersen-Dean, Inc., Beachhead Roofing & Supply, Inc., California Equipment Leasing Association, Inc., Fences 4 America, Inc., James Petersen Industries, Inc., PD Solar, Inc., Petersen Roofing and Solar LLC, PetersenDean Hawaii LLC, PetersenDean Roofing and Solar Systems, Inc., PetersenDean Texas, Inc., Red Rose, Inc., Roofs 4 America, Inc., Solar 4 America, Inc., Sonoma Roofing Services, Inc., TD Venture Fund, LLC, and Tri-Valley Supply, Inc., debtors and debtors in possession (collectively, the “Debtors”), in the above captioned chapter 11 cases (the “Chapter 11 Cases”), by and through their proposed undersigned counsel, Fox Rothschild LLP (“Counsel”), are filing their respective *Schedules of Assets and Liabilities* (collectively, the “Schedules”) and *Statements of Financial Affairs* (collectively, the “Statements,” and together with the Schedules, collectively, the “*Schedules and Statements*”)<sup>1</sup> in the United States Bankruptcy Court for the District of Nevada (the “Court”). The Debtors, which were assisted by their professional advisors, prepared the Schedules and Statements in accordance with section 521 of title 11 of the United States Code, 11 U.S.C. §§ 101 *et seq.* (the “Bankruptcy Code”), and Rule 1007 of the Federal Rules of Bankruptcy Procedure (the “Bankruptcy Rules”).

These Global Notes and Statement of Limitations, Methodology and Disclaimer regarding the Schedules and Statements (collectively, the “Global Notes”) pertain to, are incorporated by reference in, and comprise an integral part of, all of the Schedules and Statements. These Global Notes should be referred to, and reviewed in connection with, any review of the Schedules and Statements.

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<sup>1</sup> These Global Notes are in addition to any specific notes that may be contained in each of the Schedules or Statements. The fact that the Debtors have prepared a general note herein with respect to any of the Schedules and Statements and not to others should not be interpreted as a decision by the Debtors to exclude the applicability of such general note to any of the Debtors’ remaining Schedules and Statements, as appropriate.

The Schedules and Statements have been prepared based on information provided by the Debtors' management and are unaudited and subject to potential adjustment. In preparing the Schedules and Statements, the Debtors relied on financial data derived from their books and records that was available at the time of preparation. The Debtors have used commercially reasonable efforts to ensure the accuracy and completeness of such financial information; however, subsequent information or discovery may result in material changes to the Schedules and Statements and inadvertent errors, omissions or inaccuracies may exist. The Debtors and their estates reserve all rights to amend or supplement their Schedules and Statements.

**Reservation of Rights.** Nothing contained in the Schedules and Statements or these Global Notes shall constitute a waiver of any of the Debtors' rights or an admission with respect to their chapter 11 cases, including, but not limited to, any issues involving objections to claims, setoff or recoupment, substantive consolidation, equitable subordination, defenses, characterization or re-characterization of contracts, leases and claims, assumption or rejection of contracts and leases and/or causes of action arising under the Bankruptcy Code or any other applicable laws to recover assets or avoid transfers.

**Description of the Cases and "As of" Information Date.** On June 11, 2020 (the "Petition Date"), each of the Debtors filed a voluntary petition for relief with the Court under chapter 11 of the Bankruptcy Code. The Debtors are operating their business and managing their properties as debtors in possession pursuant to sections 1107(a) and 1108 of the Bankruptcy Code. On June 23, 2020, the Court entered an order [ECF No. 94] jointly administering the Debtors' chapter 11 cases pursuant to Bankruptcy Rule 1015(b). All financial information for the Debtors in the Schedules and Statements and these Global Notes is provided as of the Petition Date unless otherwise indicated herein or in the Schedules and Statements.

**Basis of Presentation.** The Schedules and Statements do not purport to represent financial statements prepared in accordance with Generally Accepted Accounting Principles (“GAAP”), nor are they intended to fully reconcile to any financial statements prepared by the Debtors. Therefore, combining the assets and liabilities set forth in the Schedules and Statements could result in amounts that could be substantially different from any financial information regarding the Debtors prepared on a consolidated basis under GAAP. Unlike any consolidated financial statements, the Schedules and Statements, except where otherwise indicated herein or in the Schedules and Statements, reflect the assets and liabilities of each Debtor on a non-consolidated basis, where possible.

**Recharacterization.** Notwithstanding the Debtors’ reasonable efforts to properly characterize, classify, categorize, or designate certain claims, assets, executory contracts, unexpired leases, and other items reported in the Schedules and Statements, the Debtors may nevertheless seek to recharacterize, reclassify, recategorize, redesignate, add, or delete items included in the Schedules and Statements, and the Debtors and their estates reserve all rights in this regard.

**Consolidated Entity Accounts Payable and Disbursement Systems.** The Debtors maintain a centralized cash management system (the “Cash Management System”). The Debtors maintain the Cash Management System to collect, concentrate, and disburse funds generated from their operations. A more complete description of the Debtors’ Cash Management System is set forth in the *Emergency Motion For Order Pursuant to 11 U.S.C. §§363, 1107 and 1108 (I) Authorizing Continued Use of Prepetition Bank Accounts; and (II) Granting Related Relief* (the “Cash Management Motion”) filed on June 16, 2020 (ECF No. 36].

**Insiders.** For purposes of the Schedules and Statements, the Debtors define “insiders” pursuant to section 101(31) of the Bankruptcy Code as (a) current or former directors, officers or persons in control of a Debtor, (b) relatives of current or former directors, officers, or persons in control of a Debtor, (c) a partnership in which a Debtor is a general partner or (d) an affiliate of a Debtor. Except as otherwise disclosed herein or in the Statements, payments to insiders listed in (a) through (d) above are set forth on Statement 3(c). Persons listed as “insiders” have been included for informational purposes only, and such listing is not intended to be, nor should it be construed as, a legal characterization of such person as an insider, and does not act as an admission of any fact, claim, right or defense, and all such rights, claims, and defenses with respect thereto are hereby expressly reserved. Further, the Debtors and their estates do not take any position with respect to: (a) such person’s influence over the control of the Debtors; (b) the management responsibilities or functions of such individual; (c) the decision-making or corporate authority of such individual; or (d) whether such individual could successfully argue that he or she is not an “insider” under applicable law, including, without limitation, the federal securities laws, or with respect to any theories of liability or for any other purpose.

**Summary of Significant Reporting Policies.** The following is a summary of certain significant reporting policies:

a. **Current Market Value — Net Book Value.** In many instances, current market valuations are neither maintained by, nor readily available to, the Debtors. It would be prohibitively expensive and unduly burdensome to obtain current market valuations of the Debtors’ property interests that are not maintained or readily available. Accordingly, unless otherwise indicated herein or in the Schedules and Statements, the Schedules and Statements reflect the net book values, rather than current market values, of the Debtors’ assets as of the

Petition Date (unless another date is indicated herein or in the Schedules and Statements) and may not reflect the net realizable value.

b. **First Day Orders.** Pursuant to various “first day” orders and any supplements or amendments to such orders entered by the Court (each, a “First Day Order,” and collectively, the “First Day Orders”), the Debtors and their estates are authorized to pay certain pre-petition claims, including, without limitation, certain claims relating to employee wages and benefits. Except to the extent that these parties have claims in excess of the authority granted to the Debtors under the First Day Orders, in certain instances, the Debtors may have not included certain claims of this nature in the Schedules and Statements.

c. **Setoffs.** To the extent the Debtors have incurred or effectuated any ordinary course setoffs with third parties (including, without limitation, customers and vendors) prior to the Petition Date, or are subject to the occurrence of, or maintain the right to effectuate, ordinary course setoffs on account of activities occurring prior to the Petition Date, such setoffs are excluded from the Debtors’ Schedules and Statements. The Debtors and their estates reserve all of their rights with respect to any such setoffs.

d. **Credits and Adjustments.** Claims of creditors are listed in the amounts entered on the Debtors’ books and records and may not reflect credits, allowances or other adjustments due from such creditors to the Debtors. The Debtors and their estates reserve all of their rights with regard to such credits, allowances and other adjustments, including, without limitation, the right to assert claims objections, setoffs and recoupments with respect to the same.

e. **Accounts Receivable.** The accounts receivable information listed on Schedule B includes both billed and unbilled receivables, and is net of allowance for doubtful accounts.

f. **Leases.** In the ordinary course of business, the Debtors may lease certain real property, fixtures and equipment from certain third-party lessors for use in the daily operation of their business. Nothing in the Schedules and Statements is, or shall be construed as, an admission as to the determination of the legal status of any lease (including, without limitation, whether any lease is a true lease or a financing arrangement, and whether such lease is unexpired), and the Debtors and their estates reserve all rights with respect to such issues.

g. **Entity Classification Issues.** The Debtors have endeavored in good faith to identify the assets owned by each Debtor, the liabilities owed by each Debtor, and the Debtor that is a counterparty to executory contracts and unexpired leases. While the Schedules reflect the results of this effort, several factors may impact the ability of the Debtors to precisely assign assets, liabilities, and executory contracts and unexpired leases to particular Debtor entities, including, but not limited to: (a) certain assets and executory contracts and unexpired leases may be primarily used by a Debtor other than the entity which holds title to such assets or is a party to such executory contact and unexpired lease according to the Debtors' books and records; (b) the Debtor entity that owns or holds title to certain assets or is a party to certain executory contracts and unexpired leases may not be ascertainable given the consolidated manner in which the Debtors have operated their business; (c) certain liabilities may have been nominally incurred by one Debtor, yet such liabilities may have actually been incurred by, or the invoices related to such liabilities may have been issued to or in the name of, another Debtor; and (d) certain creditors of the Debtors may have treated one or more of the Debtors as a consolidated entity rather than as differentiated entities.

h. **Executory Contracts and Unexpired Leases.** The Debtors have not set forth executory contracts and unexpired leases as assets in the Schedules and Statements, even though these contracts and leases may have some value to the Debtors' estates. Rather, the Debtors'

executory contracts and unexpired leases have been set forth solely on Schedule G. The Debtors' rejection of executory contracts and unexpired leases may result in the assertion of rejection damages claims; however, the Schedules and Statements do not reflect any claims for rejection damages. The Debtors and their estates reserve any and all rights with respect to the assertion of any such claims.

i. **Intercompany Claims.** For certain reporting and internal accounting purposes, the Debtors record certain intercompany receivables and payables. Receivables and payables among the Debtors are reported as assets on Schedule B or liabilities on Schedule F as appropriate (collectively, the "Intercompany Claims"). While the Debtors have used commercially reasonable efforts to ensure that the proper intercompany balance is attributed to each legal entity, the Debtors and their estates reserve all rights to amend the Intercompany Claims in the Schedules and Statements, including, without limitation, to change the characterization, classification, categorization or designation of such claims, including, but not limited to, the right to assert that any or all Intercompany Claims are, in fact, consolidated or otherwise properly assets or liabilities of a different Debtor entity.

j. **Unknown or Undetermined Amounts.** Where a description of an amount is left blank or listed as "unknown" or "undetermined," such response is not intended to reflect upon the materiality of such amount.

k. **Liabilities.** At the time of the filing of the Schedules and Statements, the Debtors are continuing to reconcile certain accounts payable liabilities. The Debtors have sought to allocate liabilities between the prepetition and post-petition periods based on the information available at the time of the filing of the Schedules and Statements. As additional information becomes available and further research is conducted, the allocation of liabilities between the



prepetition and postpetition periods may change. Accordingly, the Debtors and their estates reserve all rights to amend, supplement, or otherwise modify the Schedules and Statements as is necessary or appropriate. The liabilities listed on the Schedules do not reflect any analysis of any claims under section 503(b)(9) of the Bankruptcy Code. Accordingly, the Debtors and their estates reserve all rights to dispute or challenge the validity of any claims asserted under section 503(b)(9) of the Bankruptcy Code, or characterization of the structure of any transaction, document or instrument related to any such claim.

l. **Estimates.** To timely close the books and records of the Debtors and to prepare such information on a legal entity basis, the Debtors were required to make certain estimates and assumptions that affect the reported amounts of assets and liabilities and reported revenue and expenses. The Debtors and their estates reserve all rights to amend the reported amounts of assets, liabilities, revenue and expenses to reflect changes in those estimates and assumptions.

m. **Classifications.** Listing a claim (a) on Schedule D as “secured,” (b) on Schedule E as “unsecured priority,” or (c) on Schedule F as “unsecured non-priority,” or listing a contract or lease on Schedule G as “executory” or “unexpired,” does not constitute an admission by the Debtors and their estates of the legal rights of any claimant, or a waiver of the rights of the Debtors and their estates to recharacterize or reclassify any claim or contract.

n. **Claims Description.** Any failure to designate a claim on a given Debtor’s Schedules as “disputed,” “contingent,” or “unliquidated” does not constitute an admission by the Debtor and its estate that such amount is not “disputed,” “contingent” or “unliquidated.” The Debtors and their estates reserve all rights to dispute, or to assert any offsets or defenses to, any claim reflected on their Schedules on any grounds, including, without limitation, amount, liability, validity, priority or classification, or to otherwise subsequently designate any claim as “disputed,”

“contingent” or “unliquidated.” Listing a claim on the Schedules does not constitute an admission of liability by the Debtors and their estates, and the Debtors and their estates reserve all rights to amend the Schedules.

o. **Guaranties and Other Secondary Liability Claims.** Guaranties and other secondary liability claims (collectively, the “Guaranties”) with respect to the Debtors’ contracts and leases may not be included on Schedule H and the Debtors believe that certain Guaranties embedded in the Debtors’ executory contracts, unexpired leases, secured financings, debt instruments and similar agreements may exist. Therefore, the Debtors and their estates reserve all rights to amend the Schedules to the extent additional Guaranties are identified.

### **NOTES FOR SCHEDULES**

**Schedule B — Personal Property.** Despite their commercially reasonable efforts to identify all known assets, the Debtors may not have listed all of their respective causes of action or potential causes of action against third parties as assets in their respective Schedules and Statements, including, but not limited to, causes of action arising under the Bankruptcy Code or any other applicable laws to recover assets or avoid transfers. The Debtors and their estates reserve all of their rights with respect to any claims and causes of action that they may have, and neither these Global Notes nor the Schedules and Statements shall be deemed a waiver of any such claims and causes of actions, or in any way waive, prejudice, impair or otherwise affect the assertion of such claims and causes of action.

Patents, trademarks, and other intellectual property is listed on Schedule B22 as an unknown or undetermined amount on account of the fact that the fair market value of such ownership is dependent on numerous variables and factors and may differ significantly from the net book value.

Since the deposit provided for under that *Order Pursuant to 11 U.S.C. § 366 Re: Adequate Assurance of Payment for Postpetition Utility Services* [ECF No. 54] did not exist as of the Petition Date, the Debtors have not accounted for it on Schedule B.

**Schedule D — Creditors Holding Secured Claims.** Except as otherwise agreed pursuant to a stipulation, agreed order, or general order entered by the Court that is or becomes final, the Debtors and their estates reserve all rights to dispute or challenge the validity, perfection or immunity from avoidance of any lien purported to be granted or perfected in any specific asset to a creditor listed on Schedule D of any of the Debtors. Moreover, although the Debtors may have scheduled claims of various creditors as secured claims for informational purposes, no current valuation of the Debtors' assets in which such creditors may have a lien has been undertaken. Except as otherwise agreed pursuant to a stipulation, agreed order, or general order entered by the Court that is or becomes final, the Debtors and their estates reserve all rights to dispute or challenge the secured nature of any such claim or the characterization of the structure of any transaction, document or instrument related to any such claim. The descriptions provided in Schedule D are intended only to be a summary. The Debtors have not included on Schedule D all parties that may believe their claims are secured through setoff rights, deposits posted by, or on behalf of, the Debtors, inchoate statutory lien rights, or real property lessors, utility companies and other parties which may hold security deposits. By listing a party on Schedule D based on a UCC-1 filing, the Debtors and their estates are not conceding that such party actually holds a perfected, unavoidable security interest in the asset that is the subject of such filing, and reserve all rights as set forth in these Global Notes. Certain of the amounts listed for parties on Schedule D may not be reflective of any accrued and unpaid interest, prepayment premiums, and other similar fees or expenses that such parties may be entitled to.

**Schedule E — Creditors Holding Unsecured Priority Claims.** The Debtors have not listed on Schedule E any tax and priority employee wage and benefit claims for which the Debtors have been granted authority (but not direction) to pay pursuant to a First Day Order. The Debtors believe that such claims have been, or will be, satisfied in the ordinary course of business during these chapter 11 cases pursuant to the authority granted in the relevant First Day Orders. The Debtors and their estates reserve all rights to dispute or challenge whether creditors listed on Schedule E are entitled to priority claims.

**Schedule F — Creditors Holding Unsecured Non-Priority Claims.** Certain creditors listed on Schedule F may owe amounts to the Debtors; as such, the Debtors and their estates may have valid setoff and recoupment rights with respect to such amounts, which rights are not reflected on Schedule F. Also, the amounts listed on Schedule F reflect known prepetition claims as of Petition Date. Such amounts do not reflect any rights of setoff or recoupment that may be asserted by any creditors listed on Schedule F, and the Debtors and their estates reserve all rights to challenge any setoff and recoupment rights that may be asserted against them. The Debtors and their estates reserve all rights to dispute or challenge the validity, perfection or immunity from avoidance of any lien purported to be perfected by a creditor listed on Schedule F. As noted above, certain claims listed on Schedule F may be entitled to priority under section 503(b)(9) of the Bankruptcy Code, and the Debtors and their estates reserve all rights with respect to any such claims. Schedule F contains certain information regarding pending litigation involving the Debtors. The amounts for these potential claims are listed as unknown or undetermined, contingent, unliquidated and disputed in the Schedules.

The Debtors have used commercially reasonable efforts to include all creditors on Schedule F; however, the Debtors believe that there are instances in which vendors have yet to provide

proper invoices for prepetition goods or services. While the Debtors maintain general accruals to account for these liabilities in accordance with GAAP, these amounts are estimates and not tracked on a vendor by vendor basis, and as such may not have been included on Schedule F. The Debtors may not have listed on Schedule F certain (but not all) unsecured non-priority employee wage or benefit claims, claims related to vendors, or claims related to the Debtors' insurance programs for which the Debtors have been granted authority (but not direction) to pay pursuant to a First Day Order. The Debtors believe that such claims have been, or will be, satisfied in the ordinary course of business during these chapter 11 cases pursuant to the authority granted in the relevant First Day Orders. The Debtors and their estates reserve their rights to dispute or challenge whether creditors listed on Schedule F are entitled to priority claims.

**Schedule G — Executory Contracts and Unexpired Leases.** Although commercially reasonable efforts have been made to ensure the accuracy of Schedule G regarding executory contracts and unexpired leases, inadvertent errors, omissions or over-inclusion may have occurred in preparing Schedule G. In the ordinary course of business, the Debtors enter into various agreements with their customers and vendors. The Debtors may have entered into various other types of agreements in the ordinary course of their business, such as indemnity agreements, supplemental agreements, letter agreements, and confidentiality agreements which may not be set forth in Schedule G. Omission of a contract, lease or other agreement from Schedule G does not constitute an admission that such omitted contract, lease or agreement is not an executory contract or unexpired lease. Schedule G may be amended at any time to add any omitted executory contracts, unexpired leases and other agreements to which the Debtors are a party, including, without limitation, to add any executory contracts, unexpired leases and other agreements that the Debtors, due to the voluminous number of such contracts, leases and agreements, were unable to

list on Schedule G at this time. Likewise, the listing of an agreement on Schedule G does not constitute an admission that such agreement is an executory contract or unexpired lease, or that such agreement was in effect or unexpired on the Petition Date or is valid or enforceable. The agreements listed on Schedule G may have expired or may have been modified, amended, or supplemented from time to time by various amendments, restatements, waivers, estoppel certificates, letters and other documents, instruments and agreements which may not be listed on Schedule G.

Any and all rights, claims and causes of action of the Debtors and their estates with respect to the agreements listed on Schedule G are hereby reserved and preserved. The Debtors and their estates hereby reserve all of their rights to: (a) dispute the validity, status, or enforceability of any agreements set forth on Schedule G; (b) dispute or challenge the characterization of the structure of any transaction, document or instrument related to a creditor's claim, including, but not limited to, the agreements listed on Schedule G; and (c) amend or supplement Schedule G, as necessary, including, without limitation, to modify which Debtor entity is a counterparty to the agreement.

### **NOTES FOR STATEMENTS**

**Statement 3b.** Statement 3b includes any disbursement or other transfer made by that particular Debtor, except for those made to insiders, employees, and bankruptcy professionals. The amounts listed in Statement 3b reflect that Debtor's disbursements netted against any check level detail; thus, to the extent a disbursement was made to pay for multiple invoices, only one entry has been listed on Statement 3b. All disbursements listed on Statement 3b were made by that particular Debtor.

**Statement 3(c) and 23.** As previously set forth herein and in more detail in the Cash Management Motion, the Debtors maintain a centralized cash management system. As a result, during the year preceding the Petition Date, certain payments may have been made to insiders of

each of the Debtors by one or more of the other Debtors, and some of these payments may have been for the benefit of another Debtor. These payments are listed on Statement 3(c) and 23 for the Debtor making the payment, even if the payment was made to or for the benefit of an insider of another Debtor.

**Statement 4(a).** The Debtors and their estates reserve all rights, claims and defenses with respect to any and all listed lawsuits and administrative proceedings (or potential lawsuits and administrative proceedings). The listing of any such suits and proceedings shall not constitute an admission by the Debtors and their estates of any liabilities or that the actions or proceedings were correctly filed against the Debtors. The Debtors and their estates reserve all rights to assert that the Debtors are not an appropriate party to such actions or proceedings. The Debtors may not have included on Statement 4(a) certain parties that may have asserted informal workers' compensation claims or similar claims that were resolved or otherwise addressed without formal litigation or an administrative hearing or similar proceeding having been commenced.

**Statement 9.** Debtor PDI made payments on behalf of all of the Debtors to various professionals for restructuring services. The payments listed in Statement 9 are generally only for restructuring-related services. The Debtors may have made other payments to the listed professionals for non-bankruptcy related services, but these payments are not listed in Statement 9.

## Fill in this information to identify the case:

Debtor Petersen-Dean, Inc.United States Bankruptcy Court for the: NevadaCase number 20-12821-mkn  
(if known)☐ Check if this is an amended filing

## Official Form 207

## Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy

04/19

The debtor must answer every question. If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and case number (if known).

**Part 1: Income****1. Gross revenue from business**☐ None

Identify the beginning and ending dates of the debtor's fiscal year, which may be a calendar year		Sources of revenue Check all that apply	Gross revenue (before deductions and exclusions)
From 5/1/2020	To 6/10/2020	<input checked="" type="checkbox"/> Operating a business <input type="checkbox"/> Other	\$10,574,004.20
From 5/1/2019	To 4/30/2020	<input checked="" type="checkbox"/> Operating a business <input type="checkbox"/> Other	\$127,178,911.15
From 5/1/2018	To 4/30/2019	<input checked="" type="checkbox"/> Operating a business <input type="checkbox"/> Other	\$107,475,985.51

**2. Non-business revenue**

Include revenue regardless of whether that revenue is taxable. *Non-business income* may include interest, dividends, money collected from lawsuits, and royalties. List each source and the gross revenue for each separately. Do not include revenue listed in line 1.

☐ None

			Description of sources of revenue	Gross revenue from each source (before deductions and exclusions)
FROM THE BEGINNING OF THE FISCAL YEAR TO FILING DATE:	From 5/1/2020	To 6/10/2020	OTHER INCOME	UNKNOWN
FOR PRIOR YEAR:	From 5/1/2019	To 4/30/2020	OTHER INCOME	UNKNOWN
FOR THE YEAR BEFORE THAT:	From 5/1/2018	To 4/30/2019	OTHER INCOME	UNKNOWN

**Part 2: List Certain Transfers Made Before Filing for Bankruptcy****3. Certain payments or transfers to creditors within 90 days before filing this case**

List payments or transfers—including expense reimbursements—to any creditor, other than regular employee compensation, within 90 days before filing this case unless the aggregate value of all property transferred to that creditor is less than \$6,825. (This amount may be adjusted on 4/1/22 and every 3 years after that with respect to cases filed on or after the date of adjustment.)

☐ None

SEE ATTACHED EXHIBIT TO PART 2, QUESTION 3

**4. Payments or other transfers of property made within 1 year before filing this case that benefited any insider**

List payments or transfers, including expense reimbursements, made within 1 year before filing this case on debts owed to an insider or guaranteed or cosigned by an insider unless the aggregate value of all property transferred to or for the benefit of the insider is less than \$6,825. (This amount may be adjusted on 4/1/22 and every 3 years after that with respect to cases filed on or after the date of adjustment.) Do not include any payments listed in line 3. *Insiders* include officers, directors, and anyone in control of a corporate debtor and their relatives; general partners of a partnership debtor and their relatives; affiliates of the debtor and insiders of such affiliates; and any managing agent of the debtor. 11 U.S.C. § 101(31).

☐ None

SEE ATTACHED EXHIBIT TO PART 2, QUESTION 4



**5. Repossessions, foreclosures, and returns**

List all property of the debtor that was obtained by a creditor within 1 year before filing this case, including property repossessed by a creditor, sold at a foreclosure sale, transferred by a deed in lieu of foreclosure, or returned to the seller. Do not include property listed in line 6.

☒ None

**6. Setoffs**

List any creditor, including a bank or financial institution, that within 90 days before filing this case set off or otherwise took anything from an account of the debtor without permission or refused to make a payment at the debtor's direction from an account of the debtor because the debtor owed a debt.

☒ None

**Part 3: Legal Actions or Assignments****7. Legal actions, administrative proceedings, court actions, executions, attachments, or governmental audits**

List the legal actions, proceedings, investigations, arbitrations, mediations, and audits by federal or state agencies in which the debtor was involved in any capacity-within 1 year before filing this case.

☐ None

Case title	Nature of case	Court or agency's name and address	Status of case
25018 VIKING STREET, HAYWARD, CA <b>Case number</b> 1422026	HEALTH AND SAFETY VIOLATIONS	DEPARTMENT OF INDUSTRIAL RELATIONS - OAKLAND 1515 CLAY STREET, RM 1302 OAKLAND, CA 94612	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
AASEN V. PULTE HOME CORPORATION <b>Case number</b> RIC1816319	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF RIVERSIDE 4050 MAIN ST RIVERSIDE, CA 92501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
ABARCA V. CENTEX HOMES, LLC <b>Case number</b> VCU268791	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF TULARE 221 S MOONEY BLVD VISALIA, CA 93291	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
ABARCA V. PULTE HOME COMPANY LLC <b>Case number</b> MSC19-01247	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF CONTRA COSTA 725 COURT ST MARTINEZ, CA 94553	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
ADAMS V. GREYSTONE <b>Case number</b> A-15-727918-D	CHAPTER 40 CONSTRUCTION DEFECT	NEVADA SUPERIOR COURT OF CLARK COUNTY 200 LEWIS AVE LAS VEGAS, NV 89155	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
AGUILAR V. GREYSTONE <b>Case number</b> A-15-727916-D	CHAPTER 40 CONSTRUCTION DEFECT	NEVADA SUPERIOR COURT OF CLARK COUNTY 200 LEWIS AVE LAS VEGAS, NV 89155	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
AGUILAR V. GREYSTONE NEVADA LLC <b>Case number</b> A-15-727916-D	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	NEVADA SUPERIOR COURT OF CLARK COUNTY 200 LEWIS AVE LAS VEGAS, NV 89155	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
ALLEN V. KIPER DEVELOPMENT, INC. <b>Case number</b> MSC18-00375	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF CONTRA COSTA 725 COURT ST MARTINEZ, CA 94553	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
ALMENDAREZ V. CENTEX HOMES <b>Case number</b> S-1500-CV-281306 SPC	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
ALTEA V. CENTEX HOMES <b>Case number</b> 30-2018-01031819-CU-CD-CXC	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF ORANGE 700 W CIVIC CENTER DR SANTA ANA, CA 92701	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
ALVAREZ V. PDI <b>Case number</b> WC-CM-673166	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - FRESNO 770 E. SHAW AVENUE, STE 222 FRESNO, CA 93710	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
ALVIZO V. BEAZER V. PD <b>Case number</b> CV2017-008234	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	ARIZONA SUPERIOR COURT OF MARICOPA COUNTY 201 W JEFFERSON STREET PHOENIX, AZ 85003	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
ANDRUS V. PULTE <b>Case number</b> RG18901406	INDEMNITY, PERSONAL INJURY	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
ARCAS V. BEAZER <b>Case number</b> CV201900582	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	ARIZONA SUPERIOR COURT OF PINAL COUNTY 971 NORTH JASON LOPEZ CIR BLDG FLORENCE, AZ 85132	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
ARREDONDO V. PDI <b>Case number</b> WC-CM-628288	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - FRESNO 770 E. SHAW AVENUE, STE 222 FRESNO, CA 93710	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
ARRENDONDO V. KB HOME SACRAMENTO, INC. <b>Case number</b> CVCS14-0000891	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SUTTER 1175 CIVIC CENTER BLVD YUBA CITY, CA 95993	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
AUGUSTIN, RODOLFO <b>Case number</b> 20190160518	INDUSTRIAL INJURY CLAIM	INDUSTRIAL COMMISSION - ARIZONA 800 W WASHINGTON ST PHOENIX, AZ 85007	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
AZOSHA INSPECTION #1454966.015 <b>Case number</b> 1454966.015	CITATION REGARDING VIOLATION OF SAFETY RULES	ARIZONA OSHA AZ	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
BACON V. KB HOMES SACRAMENTO, INC.  <b>Case number</b> CV14-1692	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF YOLO 725 COURT ST WOODLAND, CA 95695	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
BANKETT V BEAZER  <b>Case number</b> 34-2015-00180495	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SACRAMENTO 720 9TH ST SACRAMENTO, CA 95814	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
BARRET V CASTLE PRINCIPLES  <b>Case number</b> CIVMSC12-00247	CONSTRUCTION DEFECTS	CALIFORNIA SUPERIOR COURT OF CONTRA-COSTA 725 COURT ST MARTINEZ, CA 94553	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
BARTON V. TAYLOR MORRISON TM HOMES V. DOES  <b>Case number</b> AAA CASE# 01-19-0000-8372 CV2018-053970	CONSTRUCTION DEFECT - BREACH OF CONTRACT	AMERICAN ARBITRATION ASSOCIATION	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
BASHFORD V. PULTE HOME CORPORATION  <b>Case number</b> STC-CV-UCD-2017-9280	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
BASULTO V. CENTEX HOMES  <b>Case number</b> 18CECG02001	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF FRESNO 1100 VAN NESS AVE FRESNO, CA 93724	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
BEEBE V. JMC  <b>Case number</b> S-CV-0041943	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF PLACER 10820 JUSTICE CENTER DR ROSEVILLE, CA 95678	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
BERNAL V. PULTE HOME CORPORATION  <b>Case number</b> RG18916573	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
BIAS V CENTEX  <b>Case number</b> RIC1210268	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF RIVERSIDE 4050 MAIN ST RIVERSIDE, CA 92501	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
BLARDONY V. DISCOVERY BUILDERS, INC.  <b>Case number</b> MSC17-02166	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF CONTRA COSTA 725 COURT ST MARTINEZ, CA 94553	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
BLUFFS AT EAST GARRISON - BENCHMARK COMMUNITIES  <b>Case number</b> 40-67832-522	REQUEST FOR PAYROLL RECORDS	DEPARTMENT OF INDUSTRIAL RELATIONS - BAKERSFIELD 7718 MEANY AVE BAKERSFIELD, CA 93308	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
BOARD OF TRUSTEES OF PACIFIC COAST ROOFERS PENSION PLAN AND PACIFIC COAST ROOFERS PENSION PLAN V PDI ET AL  <b>Case number</b> 5:18-CV-06284	PENSION WITHDRAWAL LIABILITY (JUDGMENT, NOT PAID)	ND/CA 280 SOUTH 1ST STREET, ROOM 2112 SAN JOSE, CA 95113	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
BOFFMAN V. BEAZER  <b>Case number</b> 19AVCV00238	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF LOS ANGELES 42011 4TH ST W LANCASTER, CA 93534	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
BOLDEN V. JOHN MOURIER CONSTRUCTION, INC.  <b>Case number</b> CVCV19-00363	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF YUBA 215 5T ST MARYSVILLE, CA 95901	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
BOOKER V. CENTEX HOMES  <b>Case number</b> VCU 261784	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF TULARE 221 S MOONEY BLVD VISALIA, CA 93291	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
BOSCO V. MERITAGE  <b>Case number</b> FCS050494	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SOLANO 600 UNION AVE FAIRFIELD, CA 94533	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
BOSCO V. MERITAGE HOMES OF CALIFORNIA  <b>Case number</b> FCS050494	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SOLANO 600 UNION AVE FAIRFIELD, CA 94533	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
BOXLEY V. PULTE  <b>Case number</b> AAA CASE# 01-19-0004-4745	ARBITRATION DEMAND	AMERICAN ARBITRATION ASSOCIATION	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
BOYLE V. PULTE  <b>Case number</b> MSC19-00082	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF CONTRA-COSTA 725 COURT ST MARTINEZ, CA 94553	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
BRAGG V. WOODSIDE BELLA BRISAS, INC.  <b>Case number</b> 34-2017-00216866	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SACRAMENTO 720 9TH ST SACRAMENTO, CA 95814	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
BRINTON V. WOODSIDE O5N LP <b>Case number</b> 34-2019-00270951	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SACRAMENTO 720 9TH ST SACRAMENTO, CA 95814	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
BRISCO V. MERITAGE HOMES OF CALIFORNIA, INC. <b>Case number</b> SCV0041420	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF PLACER 10820 JUSTICE CENTER DR ROSEVILLE, CA 95678	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
BROOKS V. FAR WEST <b>Case number</b> RIC1905217	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF RIVERSIDE 4050 MAIN ST RIVERSIDE, CA 92501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
BROOKS V. GREYSTONE <b>Case number</b> A-15-727855-D	CHAPTER 40 CONSTRUCTION DEFECT	NEVADA SUPERIOR COURT OF CLARK COUNTY 200 LEWIS AVE LAS VEGAS, NV 89155	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
BUELNA V. LGI HOMES <b>Case number</b> AAA CASE# 01-19-0004-4109	ARBITRATION DEMAND	AMERICAN ARBITRATION ASSOCIATION	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
CALOSHA INSPECTION #1197531.015 <b>Case number</b> 1197531.015	CITATION REGARDING VIOLATION OF SAFETY RULES	CALIFORNIA OSHA CA	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
CALOSHA INSPECTION #1285450.015 <b>Case number</b> 1285450.015	CITATION REGARDING VIOLATION OF SAFETY RULES	CALIFORNIA OSHA CA	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
CAMBEROS V CENTEX <b>Case number</b> 16CECF03457	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF FRESNO 1100 VAN NESS AVE FRESNO, CA 93724	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
CAMBEROS V. CENTEX <b>Case number</b> 16CECG03457	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF FRESNO 1100 VAN NESS AVE FRESNO, CA 93724	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
CARTER V. CENTEX HOMES <b>Case number</b> S-1500-CV-281398	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
CHAMGAGNE, ARMANDO V. PDI <b>Case number</b> WC-CM-768517	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - FRESNO 770 E. SHAW AVENUE, STE 222 FRESNO, CA 93710	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
CHAMPAGNE, SUZANNE V. PDI <b>Case number</b> WC-CM-767902	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - SAN DIEGO 7575 METROPOLITAN DR., ROOM 210 SAN DIEGO, CA 92108	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
CHAVEZ V. BEAZER <b>Case number</b> CV2017-008233	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	ARIZONA SUPERIOR COURT OF MARICOPA COUNTY 201 W JEFFERSON STREET PHOENIX, AZ 85003	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
CHESTER V. PDI & GERARDO MANUEL <b>Case number</b> 20CECG00801	PERSONAL INJURY	CALIFORNIA SUPERIOR COURT OF FRESNO 1100 VAN NESS AVE FRESNO, CA 93724	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
CHIOTTI V. K. HOVNIANIAN HOMES OF NORTHERN CALIFORNIA, INC. <b>Case number</b> 18CV328410	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SANTA CLARA 191 N 1ST ST SANJOSE, CA 95113	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
CHIU V. SHAPPEL <b>Case number</b> 30-2014-00730903-CU-CD-CXC	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF ORANGE 700 W CIVIC CENTER DR SANTA ANA, CA 92701	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
CHRISTOPHER V. SHAPELL <b>Case number</b> BC642418	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF LOS ANGELES 1945 S HILL ST LOS ANGELES, CA 90007	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
CIRILE V PDI <b>Case number</b> BC619835	CONSUMER LEGAL REMEDIES ACT CLAIM	CALIFORNIA SUPERIOR COURT OF LOS ANGELES 1945 S HILL ST LOS ANGELES, CA 90007	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
CLAMPITT V. TAYLOR MORRISON <b>Case number</b> STK-CV-UCD-2019-5340	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
CLARK V. PDI <b>Case number</b> RG17856125/A158120	EMPLOYMENT DISPUTE	CALIFORNIA FIRST APPELLATE DISTRICT DIVISION 5 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input checked="" type="checkbox"/> On appeal <input type="checkbox"/> Concluded
CONSOLIDATED ELECTRICAL DISTRIBUTORS DBA CED GREENTECH V. PDI AND JAMES P. PETERSEN <b>Case number</b> HG20062142	BREACH OF CONTRACT SUIT BY SUPPLIER.	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
CONTINUING LIFE V. HENSEL PHELPS  <b>Case number</b> 30-2016-00885267	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF ORANGE 700 W CIVIC CENTER DR SANTA ANA, CA 92701	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
CORBILLA V. KB HOME NORTH BAY, INC.; KB HOMES SACRAMENTO, INC.  <b>Case number</b> 34-2015-00174851	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SACRAMENTO 720 9TH ST SACRAMENTO, CA 95814	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
CRAVEN V. CENTEX HOMES  <b>Case number</b> 30-2012-00540941	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF ORANGE 700 W CIVIC CENTER DR SANTA ANA, CA 92701	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
CREEKRIDGE TOWNHOME OWNERS ASSOCIATION, INC. V. PETERSENDEAN ROOFING AND SOLAR SYSTEMS  <b>Case number</b> 34-2017-00210701	BREACH OF CONTRACT, CONSTRUCTION DEFECTS	CALIFORNIA SUPERIOR COURT OF SACRAMENTO 720 9TH ST SACRAMENTO, CA 95814	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
CROSS V. SAN MIGUEL  <b>Case number</b> HG19012143	PERSONAL INJURY	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
DAVILA V. PDI  <b>Case number</b> STK-CV-VPI201911347	PERSONAL INJURY	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
DAVIS V. PDI  <b>Case number</b> 18CV337266	BREACH OF CONTRACT, CONSTRUCTION DEFECTS	CALIFORNIA SUPERIOR COURT OF SANTA CLARA 191 N 1ST ST SANJOSE, CA 95113	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
DAVIS V. TAYLOR MORRISON  <b>Case number</b> CV2017-003765	CONSTRUCTION DEFECT	ARIZONA SUPERIOR COURT OF MARICOPA COUNTY 201 W JEFFERSON STREET PHOENIX, AZ 85003	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
DAVIS-BUTORAC V. PD  <b>Case number</b> 34-2019-00267076	EMPLOYMENT DISPUTE	CALIFORNIA SUPERIOR COURT OF SACRAMENTO 720 9TH ST SACRAMENTO, CA 95814	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
DEAN V PETERSEN-DEAN, INC.  <b>Case number</b> HG17868054	SHAREHOLDER DERIVATIVE ACTION	ALAMEDA COUNTY SUPERIOR COURT 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
DEBICKI V. PULTE HOME CORPORATION <b>Case number</b> RIC1802669	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF RIVERSIDE 4050 MAIN ST RIVERSIDE, CA 92501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
DELOA V. CENTEX HOMES <b>Case number</b> 17CECG04203	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF FRESNO 1100 VAN NESS AVE FRESNO, CA 93724	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
DERRO V. PDI <b>Case number</b> 37-2018-00054066	CLASS ACTION COMPLAINT REGARDING VIOLATIONS OF CONSUMER LAW.	CALIFORNIA SUPERIOR COURT OF SAN DIEGO 1100 UNION ST SAN DIEGO, CA 92101	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
DICKMAN V. PULTE HOME CORPORATION <b>Case number</b> MSC16-01712	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF CONTRA COSTA 725 COURT ST MARTINEZ, CA 94553	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
DILL V. CENTEX <b>Case number</b> VCU264464	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF TULARE 221 S MOONEY BLVD VISALIA, CA 93291	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
DUEÑAS V. CENTEX HOMES <b>Case number</b> BCV-15-100852	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
DURWIN V S&S HOMES <b>Case number</b> BCV-19-101186	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
EAST GARRISON TOWNHOMES - BENCHMARK COMMUNITIES <b>Case number</b> 40-67831-148	REQUEST FOR PAYROLL RECORDS	DEPARTMENT OF INDUSTRIAL RELATIONS - BAKERSFIELD 7718 MEANY AVE BAKERSFIELD, CA 93308	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
ELKINS V. CENTEX <b>Case number</b> S-1500-CV-278132	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
ENDERS V. CENTEX <b>Case number</b> VCU279292	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF TULARE 221 S MOONEY BLVD VISALIA, CA 93291	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
FABIAN COVARRUBIAS V. PD BUILDERS GROUP, ET AL <b>Case number</b> BCV-16-101122	EMPLOYMENT CLASS ACTION	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded



Case title	Nature of case	Court or agency's name and address	Status of case
FARLEY, SARAH - FARLEY ASSOCIATES  <b>Case number</b> 119547	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - CA	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
FARLEY, SARAH - FARLEY ASSOCIATES  <b>Case number</b> 138546	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - CA	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
FARLEY, SARAH - FARLEY ASSOCIATES  <b>Case number</b> 72422	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - CA	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
FAUTT V. MERIOLLE  <b>Case number</b> MSC16-00342	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF CONTRA-COSTA 725 COURT ST MARTINEZ, CA 94553	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
FERREIRA V. PDI  <b>Case number</b> WC-CM-646263	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - FRESNO 770 E. SHAW AVENUE, STE 222 FRESNO, CA 93710	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
FISHBACK V. WILSON HOMES  <b>Case number</b> 18CECG03843	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF FRESNO 1100 VAN NESS AVE FRESNO, CA 93724	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
FOSTER V. GREYSTONE  <b>Case number</b> A-15-728093-D	CHAPTER 40 CONSTRUCTION DEFECT	NEVADA SUPERIOR COURT OF CLARK COUNTY 200 LEWIS AVE LAS VEGAS, NV 89155	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
FULFORD V. CENTEX HOMES  <b>Case number</b> 18CECG01451	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF FRESNO 1100 VAN NESS AVE FRESNO, CA 93724	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
GARDNER V. FRUITVALE  <b>Case number</b> FCS046422	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SOLANO 600 UNION AVE FAIRFIELD, CA 94533	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
GENTRY V. PULTE HOMES  <b>Case number</b> RIC1901411	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF RIVERSIDE 4050 MAIN ST RIVERSIDE, CA 92501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
GEORGE V. PDI/PDS  <b>Case number</b> RG19047985	EMPLOYMENT DISPUTE	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
GONZALES V. PDI <b>Case number</b> RG19026831	EMPLOYMENT DISPUTE	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
GOODRICH V. BRIGHT <b>Case number</b> CV-18-004626	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF STANISLAUS 800 11TH STREET MODESTO, CA 95354	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
GOWLAND V. NUWI VISTAMONTE, INC. <b>Case number</b> 37-2018-00061878-CU-CD-CTL	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN DIEGO 1100 UNION ST SAN DIEGO, CA 92101	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
GRAY V. PDI <b>Case number</b> BC684655	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF LOS ANGELES 1945 S HILL ST LOS ANGELES, CA 90007	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
GRAYSON V. PDI <b>Case number</b> WC-CM-771031-JL	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - OAKLAND 1515 CLAY STREET, RM 1302 OAKLAND, CA 94612	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
GREENBERG V. S & S HOMES OF THE CENTRAL COAST, INC. <b>Case number</b> BCV-18-101364	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
GRIFFIN ET AL V. PD BUILDER GROUP <b>Case number</b> 19CV344750	EMPLOYMENT DISPUTE	CALIFORNIA SUPERIOR COURT OF SANTA CLARA 191 N 1ST ST SANJOSE, CA 95113	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
GROSS V. KB HOME <b>Case number</b> STK-CV-UCD-2013-0001679	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
HARVEY V. CENTEX <b>Case number</b> 2009720	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF STANISLAUS 800 11TH STREET MODESTO, CA 95354	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
HECKLER V. PULTE HOME CORP. <b>Case number</b> RIC1826863	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF RIVERSIDE 4050 MAIN ST RIVERSIDE, CA 92501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
HER V. CENTEX <b>Case number</b> 19CECG03604	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF FRESNO 1100 VAN NESS AVE FRESNO, CA 93724	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
HOHAG & KACKSTETTER V. PDI & JP  <b>Case number</b> RG20051704	EMPLOYMENT DISPUTE	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
HOLLEY V. JOHN MOUREIR CONSTRUCTION, INC.  <b>Case number</b> SCV00039824	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF PLACER 10820 JUSTICE CENTER DR ROSEVILLE, CA 95678	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
HOLMAN V. KB HOME NORTH BAY, INC.  <b>Case number</b> STK-CV-UCD-2013-0010392	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
HOOPINGARNER V. PULTE HOME CORPORATION  <b>Case number</b> 39-2015-00329497-CU-CD-STK	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
HORNE V. PD SOLAR  <b>Case number</b> WC-CM-729645	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - BAKERSFIELD 7718 MEANY AVE BAKERSFIELD, CA 93308	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
HULL V. PDI  <b>Case number</b> CV20-00328	BREACH OF CONTRACT, EMPLOYMENT DISPUTE	NEVADA SUPERIOR COURT OF WASHOE COUNTY 75 COURT ST RENO, NV 89501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
HUMPHREYS V. CENTEX HOMES  <b>Case number</b> CIVDS 1302259	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	SUPERIOR COURT OF THE STATE OF CALIFORNIA FOR THE COUNTY OF SAN BERNARDINO 247 W 3RD ST SAN BERNARDINO, CA 92415	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
ISCHINGER V. PDI  <b>Case number</b> C17-02472	BREACH OF CONTRACT, CONSTRUCTION DEFECTS	CALIFORNIA SUPERIOR COURT OF CONTRA-COSTA 725 COURT ST MARTINEZ, CA 94553	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
JAUREGUI V. K. HOVNANIAN FORECAST HOMES, INC.  <b>Case number</b> BC705670	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF LOS ANGELES 1945 S HILL ST LOS ANGELES, CA 90007	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
JEWELL V. CENTEX HOMES  <b>Case number</b> CV128345	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN LUIS OBISPO 1050 MONTEREY ST SAN LUIS OBISPO, CA 93408	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
JUAN V. WOODSIDE <b>Case number</b> STK-CV-UBC-2019-3194	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
KAUR V. CENTEX <b>Case number</b> 18CECG0279	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF FRESNO 1100 VAN NESS AVE FRESNO, CA 93724	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
KHAN V. TAYLOR MORRISON OF CALIFORNIA <b>Case number</b> 34-2017-00214300	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SACRAMENTO 720 9TH ST SACRAMENTO, CA 95814	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
KINSELLA V. PDI <b>Case number</b> 37-2018-00023406-CU-BC-CTL	BREACH OF CONTRACT, CONSTRUCTION DEFECTS	CALIFORNIA SUPERIOR COURT OF SAN DIEGO 1100 UNION ST SAN DIEGO, CA 92101	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
KIRK V. CENTEX HOMES <b>Case number</b> VCU265921	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF TULARE 221 S MOONEY BLVD VISALIA, CA 93291	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
KITCHEN V. 5984 ENTERPRISES, LP AND WILSON HOMES, INC. <b>Case number</b> 18 CE CG 00908	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF FRESNO 1100 VAN NESS AVE FRESNO, CA 93724	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
KNIGHT V. PULTE HOME CORPORATION <b>Case number</b> RIC1817638	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF RIVERSIDE 4050 MAIN ST RIVERSIDE, CA 92501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
KUNG V. SHAPELL INDUSTRIES, INC. <b>Case number</b> C18-01011	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF CONTRA COSTA 725 COURT ST MARTINEZ, CA 94553	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
LACH V. PDI <b>Case number</b> CV2017-096883	BREACH OF CONTRACT	ARIZONA SUPERIOR COURT OF MARICOPA COUNTY 201 W JEFFERSON STREET PHOENIX, AZ 85003	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
LAGRIMAS V. MERITAGE HOMES OF CALIFORNIA, INC. <b>Case number</b> STK-CV-UCD-2017-11737	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
LAGUNA, ET AL. V. CIVIC RANCHO MEADOWS LLC <b>Case number</b> 17CV312388	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SANTA CLARA 191 N 1ST ST SANJOSE, CA 95113	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
LARA V. CENTEX HOMES <b>Case number</b> 2006946	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF STANISLAUS 800 11TH STREET MODESTO, CA 95354	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
LEE V. KB HOME COASTAL, INC. <b>Case number</b> 34-2014-00158579	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SACRAMENTO 720 9TH ST SACRAMENTO, CA 95814	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
LEMUS V. WOODSIDE <b>Case number</b> 19CECG00687	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF FRESNO 1100 VAN NESS AVE FRESNO, CA 93724	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
LEON, EMILIO V. PDI <b>Case number</b> WC-CM-364476	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - FRESNO 770 E. SHAW AVENUE, STE 222 FRESNO, CA 93710	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
LEON, RIGOBERTO V. PDI <b>Case number</b> WC-CM-364493	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - FRESNO 770 E. SHAW AVENUE, STE 222 FRESNO, CA 93710	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
LEON, SERGIO V. PDI <b>Case number</b> WC-CM-364500	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - FRESNO 770 E. SHAW AVENUE, STE 222 FRESNO, CA 93710	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
LOU BARBACCIA V. PETERSEN-DEAN, INC <b>Case number</b> ADR 01-19-0002-3880	BREACH OF CONTRACT	ARBITRATION-AMERICAN ARBITRATION ASSOCIATION CA	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
MANN V. BEAZER <b>Case number</b> CV2018-005068	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	ARIZONA SUPERIOR COURT OF MARICOPA COUNTY 201 W JEFFERSON STREET PHOENIX, AZ 85003	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
MARINA RIVERBEND V. STRATEGIC CONSTRUCTION <b>Case number</b> 18-CV-1775	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	TEXAS SUPERIOR COURT OF GALVESTON COUNTY 600 59TH ST #3302 GALVESTON, TX 77551	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
MARTINEZ V. OLD RIVER ROAD, LLC, S&S HOMES OF THE CENTRAL COAST, INC. <b>Case number</b> BCV-17-102868 DRL	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
MATIASEVICH V. SCOTTS VALLEY <b>Case number</b> 16CV00473	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	SANTA CRUZ COUNTY SUPERIOR COURT 1 2ND ST WATSONVILLE, CA 95076	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
MCCOY V. GREYSTONE <b>Case number</b> A-15-713587-D	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	NEVADA SUPERIOR COURT OF CLARK COUNTY 200 LEWIS AVE LAS VEGAS, NV 89155	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
MCKELVEY & NULUD V. R&B CUSTOM BUILDERS CORPORATION <b>Case number</b> SCV-263849	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SONOMA 3055 CLEVELAND AVE SANTA ROSA, CA 95403	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
MERITAGE V. AMERICA ROOFING <b>Case number</b> CV2019-014893	INDEMNITY, CONSTRUCTION DEFECTS	ARIZONA SUPERIOR COURT OF MARICOPA COUNTY 201 W JEFFERSON STREET PHOENIX, AZ 85003	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
MERITAGE V. AMERICA ROOFING <b>Case number</b> CV2020-001527	INDEMNITY, CONSTRUCTION DEFECTS	ARIZONA SUPERIOR COURT OF MARICOPA COUNTY 201 W JEFFERSON STREET PHOENIX, AZ 85003	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
MERITAGE V. AMERICA ROOFING <b>Case number</b> CV2020-001856	INDEMNITY, CONSTRUCTION DEFECTS	ARIZONA SUPERIOR COURT OF MARICOPA COUNTY 201 W JEFFERSON STREET PHOENIX, AZ 85003	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
MILLER V. BEAZER <b>Case number</b> 34-2017-00217549	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SACRAMENTO 720 9TH ST SACRAMENTO, CA 95814	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
MIMS V. CENTEX <b>Case number</b> 17CV01170	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF MERCED 1159 G ST LOS BANOS, CA 93635	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
MORA V. BEAZER HOMES HOLDING CORPORATION <b>Case number</b> MC027336	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF LOS ANGELES 1945 S HILL ST LOS ANGELES, CA 90007	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
MORRISON HOMES V. ADAMS BROS.  <b>Case number</b> CV2016-015672	CONSTRUCTION DEFECT	ARIZONA SUPERIOR COURT OF MARICOPA COUNTY 201 W JEFFERSON STREET PHOENIX, AZ 85003	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
MORRISON HOMES V. AGGIE  <b>Case number</b> CV2017-07946	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	ARIZONA SUPERIOR COURT OF MARICOPA 201 W JEFFERSON STREET PHOENIX, AZ 85003	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
MUTTER V. MERITAGE  <b>Case number</b> MSC16-01102	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF CONTRA-COSTA 725 COURT ST MARTINEZ, CA 94553	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
NATIONAL UNION FIRE INSURANCE COMPANY OF PITTSBURGH, PA V. PETERSEN-DEAN, INC.  <b>Case number</b> 19 CIV11299	BREACH OF CONTRACT, INJUST ENRICHMENT; COMPANY CROSS-COMPLAINED FOR BREACH OF CONTRACT AND COVENANT OF GF/FG	SDNY 500 PEARL ST NEW YORK, NY 10007	<input type="checkbox"/> Pending <input checked="" type="checkbox"/> On appeal <input type="checkbox"/> Concluded
NATIONAL UNION FIRE INSURANCE COMPANY OF PITTSBURGH, PA V. PETERSEN-DEAN, INC.  <b>Case number</b> 20-893	APPEAL	FED 2ND CIRCUIT COURT OF APPEAL 500 PEARL STREET NEW YORK, NY 10007 - 1312	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
NATIONAL UNION FIRE INSURANCE COMPANY OF PITTSBURGH, PA V. PETERSEN-DEAN, INC.  <b>Case number</b> ARBITRATION	BREACH OF CONTRACT, INJUST ENRICHMENT; COMPANY CROSS-COMPLAINED FOR BREACH OF CONTRACT AND COVENANT OF GF/FG	UNDETERMINED	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
NELSON V. PDI  <b>Case number</b> RG18892946	BREACH OF CONTRACT, CONSTRUCTION DEFECTS	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
NORTHSPUR PROPERTIES V. PDI  <b>Case number</b> BCL-20-012510	BREACH OF CONTRACT - LEASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
NOTICE OF VIOLATION  <b>Case number</b> MA-0011-658	NON-INTENTIONAL VIOLATIONS	DEPARTMENT OF INDUSTRIAL RELATIONS - NEVADA 3360 W SAHARA AVE, STE 100 LAS VEGAS, NV 89102	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
ORTIZ V. PDI  <b>Case number</b> WC-CM-668386	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - FRESNO 770 E. SHAW AVENUE, STE 222 FRESNO, CA 93710	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
PADILLA V. PDI <b>Case number</b> WC-CM-740468	BUSINESS EXPENSE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - SALINAS 950 E. BLANCO RD., STE 204 SALINAS, CA 93901	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
PAINTER V. JOHN MOURIER CONSTRUCTION, INC., JMC HOMES <b>Case number</b> SCV0040903	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF PLACER 10820 JUSTICE CENTER DR ROSEVILLE, CA 95678	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PDI V. BROWN <b>Case number</b> STK-CV-UBC-2019-4380	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PDI V. CHEIFETZ <b>Case number</b> MSC18-02466	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF CONTRA COSTA 725 COURT ST MARTINEZ, CA 94553	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PDI V. CLARK <b>Case number</b> RG18898248	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PDI V. CLASEN <b>Case number</b> CL18-083472	BREACH OF CONTRACT	NEVADA COUNTY COUNTY SUPERIOR COURT 201 CHURCH ST # 5 NEVADA CITY, CA 95959	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
PDI V. DABDOUB <b>Case number</b> 37-2018-00049247-CL-BC-CTL	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF SAN DIEGO 1100 UNION ST SAN DIEGO, CA 92101	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
PDI V. FUREIGH <b>Case number</b> RG18928291	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PDI V. HARRIS <b>Case number</b> MSC18-00441	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF CONTRA-COSTA 725 COURT ST MARTINEZ, CA 94553	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
PDI V. HUMPHREY <b>Case number</b> 19CIV02664	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF SAN MATEO 400 COUNTY CENTER REDWOOD CITY, CA 94063	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
PDI V. I LIKE PIE BAKESHOP, LLC <b>Case number</b> 18STLC07492	BREACH OF CONTRACT, CONSTRUCTION DEFECTS	CALIFORNIA SUPERIOR COURT OF LOS ANGELES 1945 S HILL ST LOS ANGELES, CA 90007	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded



Case title	Nature of case	Court or agency's name and address	Status of case
PDI V. JLM ENERGY <b>Case number</b> HG11896943	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PDI V. JOHNSON <b>Case number</b> RG18906515	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PDI V. KNIGHT <b>Case number</b> SCCVG119-418	BREACH OF CONTRACT	SISKIYOU COUNTY SUPERIOR COURT 311 4TH ST YREKA, CA 96097	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
PDI V. LECHLEITNER <b>Case number</b> 19-CLJ-02971	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF SAN MATEO 400 COUNTY CENTER REDWOOD CITY, CA 94063	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PDI V. MALDONADO <b>Case number</b> BCL-17-015321	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PDI V. ORTIZ <b>Case number</b> 18CV001658	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF NAPA 825 BROWN STREET NAPA, CA 94559	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PDI V. PACIFIC COAST ROOFERS PENSION PLAN <b>Case number</b> ARBITRATION- 01-18-0001-8670	PENSION WITHDRAWAL LIABILITY	ARBITRATION- AAA	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PDI V. PETERSON <b>Case number</b> 2028700	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF STANISLAUS 800 11TH STREET MODESTO, CA 95354	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
PDI V. PETERSON <b>Case number</b> 2028700	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF STANISLAUS 800 11TH STREET MODESTO, CA 95354	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
PDI V. POOLE <b>Case number</b> GN LC19-00075	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF PLUMAS 520 MAIN ST #104 QUINCY, CA 95971	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PDI V. SAMPSON <b>Case number</b> CV 417999	BREACH OF CONTRACT	LAKE COUNTY SUPERIOR COURT 255 N. FORBES STREET, 4TH FL LAKEPORT, CA 95453	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
PDI V. SERRATO, VALERIE <b>Case number</b> RG18896255	EMPLOYMENT DISPUTE	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PDI V. SHAREI <b>Case number</b> MCV-249192	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF SONOMA 3055 CLEVELAND AVE SANTA ROSA, CA 95403	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
PDI V. VASQUEZ <b>Case number</b> 18CECL04349	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF FRESNO 1100 VAN NESS AVE FRESNO, CA 93724	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
PEARSON V. MERITAGE HOMES OF CALIFORNIA, INC. <b>Case number</b> BCV-18-102617	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PEREZ V. PDI <b>Case number</b> RG20062179	BREACH OF CONTRACT - LEASE	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PHAM V. CENTEX <b>Case number</b> CVM018221	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF MERCED 1159 G ST LOS BANOS, CA 93635	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
PHELPS V. PULTE HOMES COMPANY LLC; DEL WEBB COMMUNITIES, INC. <b>Case number</b> STK-CV-UCD-2017-0006546	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PINKOWSKI V. PDI <b>Case number</b> 30-2019-01088895	EMPLOYMENT DISPUTE	CALIFORNIA SUPERIOR COURT OF ORANGE 700 W CIVIC CENTER DR SANTA ANA, CA 92701	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PITTMAN V. PULTE HOME CORPORATION <b>Case number</b> RG14753180	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
POLIS V. TAYLOR MORISON OF CALIFORNIA, LLC <b>Case number</b> 9000434	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF STANISLAUS 800 11TH STREET MODESTO, CA 95354	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
PRICE-DAVIS V. CENTEX HOMES <b>Case number</b> VCU269321	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF TULARE 221 S MOONEY BLVD VISALIA, CA 93291	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PULTE V. BELL CONCRETE <b>Case number</b> CV2019-015023	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	ARIZONA SUPERIOR COURT OF MARICOPA 201 W JEFFERSON STREET PHOENIX, AZ 85003	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
PULTE V. CANYON STATE DRYWALL <b>Case number</b> CV2019-014039	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	ARIZONA SUPERIOR COURT OF MARICOPA 201 W JEFFERSON STREET PHOENIX, AZ 85003	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
QUINTERO V. PDI <b>Case number</b> WC-CM-609378	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - FRESNO 770 E. SHAW AVENUE, STE 222 FRESNO, CA 93710	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
RAMIREZ V. PDI <b>Case number</b> WC-CM-664901	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - FRESNO 770 E. SHAW AVENUE, STE 222 FRESNO, CA 93710	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
RAMIREZ, ELIAS MORALES V. PDI <b>Case number</b> WC-CM-660361	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - FRESNO 770 E. SHAW AVENUE, STE 222 FRESNO, CA 93710	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
RAMIREZ, JORGE V. PDI <b>Case number</b> WC-CM-664786	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - FRESNO 770 E. SHAW AVENUE, STE 222 FRESNO, CA 93710	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
RAUBOLT V GREYSTONE <b>Case number</b> A-15-727599-D	CHAPTER 40 CONSTRUCTION DEFECT	NEVADA SUPERIOR COURT OF CLARK COUNTY 200 LEWIS AVE LAS VEGAS, NV 89155	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
REYNOLDS V. CENTEX <b>Case number</b> 9000550	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF STANISLAUS 800 11TH STREET MODESTO, CA 95354	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
RHODES V. WEST COAST HOME BUILDERS, INC. <b>Case number</b> FC049728	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SOLANO 600 UNION AVE FAIRFIELD, CA 94533	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
RICHARD & RICHARD CONSTRUCTION V. PDI <b>Case number</b> 37-2019-00001583-CU-BC-CTL	BREACH OF CONTRACT, CONSTRUCTION DEFECTS	CALIFORNIA SUPERIOR COURT OF SAN DIEGO 1100 UNION ST SAN DIEGO, CA 92101	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
RIOS V. WOODSIDE <b>Case number</b> STK-CV-UBC-2017-9559	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
RIVERA V. OLD RIVER ROAD/S&S HOMES <b>Case number</b> BCV-19-100104	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
ROSS V. WOODSIDE <b>Case number</b> 17CECG04293	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF FRESNO 1100 VAN NESS AVE FRESNO, CA 93724	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
SANCHEZ V. S&S HOMES <b>Case number</b> BCV-19-101040	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
SAUCEDO V. STONEFIELD HOME, INC. <b>Case number</b> 19CV-04303	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF MERCED 1159 G ST LOS BANOS, CA 93635	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
SEARES V. TAYLOR MORRISON <b>Case number</b> 34-2018-00243268-CU	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SACRAMENTO 720 9TH ST SACRAMENTO, CA 95814	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
SERRATO, PETE V. PDI, PDS & JIM PETERSEN <b>Case number</b> RG18932489	EMPLOYMENT DISPUTE	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
SHANA REDDING V PDI <b>Case number</b> 50-2019-CA-011909	EMPLOYMENT DISPUTE WITH FORMER EMPLOYEE	EEOC	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
SHAVER V PULTE <b>Case number</b> 2012-00134407	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SACRAMENTO 720 9TH ST SACRAMENTO, CA 95814	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
SHEA-CONNELLY V. PDI <b>Case number</b> CV2018-053730	BREACH OF CONTRACT	ARIZONA SUPERIOR COURT OF MARICOPA 201 W JEFFERSON STREET PHOENIX, AZ 85003	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
SHEONARINE V. KB HOME GREATER LOS ANGELES <b>Case number</b> CIVDS1603914	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	SUPERIOR COURT OF THE STATE OF CALIFORNIA FOR THE COUNTY OF SAN BERNARDINO 247 W 3RD ST SAN BERNARDINO, CA 92415	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
SIGNATURE PROPERTIES V. PDI <b>Case number</b> RG20050916	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
SINGH V. ROSE PETALS, LLC <b>Case number</b> STK-CV-UCD-2019-0008852	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
SLAGLE/GOODMAN V. PULTE <b>Case number</b> AAA CASE# 01-19-0001-7412	ARBITRATION DEMAND	ARIZONA SUPERIOR COURT OF MARICOPA 201 W JEFFERSON STREET PHOENIX, AZ 85003	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
SMITH V. PDI <b>Case number</b> RG19016296	CONSTRUCTION DEFECTS	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
SOLARWORLD AMERICA, INC. V. PDI/PDS <b>Case number</b> F17-A-VW-DF-0828	BREACH OF CONTRACT; COMPANY CROSS-COMPLAINED FOR BREACH OF CONTRACT, BREACH OF WARRANTY, FRAUD (AWARD, NOT PAID)	ARBITRATION-FEDARB	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
SOUZA V. PDI & PDS <b>Case number</b> 34-2019-00268627	EMPLOYMENT DISPUTE	CALIFORNIA SUPERIOR COURT OF SACRAMENTO 720 9TH ST SACRAMENTO, CA 95814	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
ST. PAUL MERCURY INSURANCE COMPANY V. DEL WEBB CALIFORNIA CORPORATION <b>Case number</b> 30-2017-00957458-CU-IC-CXC	INSURED EQUITABLE SUBROGATION/CONTRIBUTIO N ACTION, CONSTRUCTION DEFECTS	CALIFORNIA SUPERIOR COURT OF ORANGE 700 W CIVIC CENTER DR SANTA ANA, CA 92701	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
STARK V. CENTEX HOMES <b>Case number</b> VCU255719	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF TULARE 221 S MOONEY BLVD VISALIA, CA 93291	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
STOUT V JMC <b>Case number</b> S-CV-0035975	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF PLACER 10820 JUSTICE CENTER DR ROSEVILLE, CA 95678	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
SUMMIT V MERITAGE <b>Case number</b> MSC14-00293	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF CONTRA COSTA 725 COURT ST MARTINEZ, CA 94553	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
SUREEN V. CENTEX <b>Case number</b> BCV-17-101834	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
SWANK V. JOHN MOURIER CONSTRUCTION, INC.  <b>Case number</b> SCV0038168	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF PLACER 10820 JUSTICE CENTER DR ROSEVILLE, CA 95678	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
TAFOLLA V GROVER GARDENS  <b>Case number</b> BCV-17-101406	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
TAFOYA V. MERITAGE  <b>Case number</b> CV201501223	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	ARIZONA SUPERIOR COURT OF PINAL COUNTY 971 NORTH JASON LOPEZ CIR BLDG FLORENCE, AZ 85132	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
TALEGA VILLAGE CENTER COMMUNITY ASSOCIATION V. STANDARD PACIFIC CORPORATION  <b>Case number</b> 30-2013-00671155-CU-CD-CXC	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF ORANGE 700 W CIVIC CENTER DR SANTA ANA, CA 92701	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
TARTONI V. SHAPELL INDUSTRIES, INC.  <b>Case number</b> MSC18-00428	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF CONTRA COSTA 725 COURT ST MARTINEZ, CA 94553	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
TEAGUE V. CENTEX  <b>Case number</b> 900083	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF STANISLAUS 800 11TH STREET MODESTO, CA 95354	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
TOVAR V. S&S HOMES  <b>Case number</b> BCV-19-102358	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
VASQUEZ, DAVID V. PDI  <b>Case number</b> WC-CM-624634	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - FRESNO 770 E. SHAW AVENUE, STE 222 FRESNO, CA 93710	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
VASQUEZ, JAIME V. PDI  <b>Case number</b> WC-CM-629631	WAGE CLAIM	DEPARTMENT OF INDUSTRIAL RELATIONS - FRESNO 770 E. SHAW AVENUE, STE 222 FRESNO, CA 93710	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
VELASCO V. HELLER DEVELOPMENT COMPANY  <b>Case number</b> BCV-16-102438	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF KERN 1415 TRUXTUN AVE BAKERSFIELD, CA 93301	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
<b>VENEGAS V KB</b> <b>Case number</b> STK-CV-UCD-2014-0005071	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
<b>VILLOSA - BETH'S CONSTRUCTION</b> <b>Case number</b> 40-67833-218	REQUEST FOR PAYROLL RECORDS	DEPARTMENT OF INDUSTRIAL RELATIONS - BAKERSFIELD 7718 MEANY AVE BAKERSFIELD, CA 93308	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
<b>WAKEMAN V. PULTE</b> <b>Case number</b> RIC1723706	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF RIVERSIDE 4050 MAIN ST RIVERSIDE, CA 92501	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
<b>WALOVICH ARCHITECTS GROUP V. PDI</b> <b>Case number</b> HG20063280	BREACH OF CONTRACT	CALIFORNIA SUPERIOR COURT OF ALAMEDA 2233 SHORE LINE DR ALAMEDA, CA 94501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
<b>WATERMAN V. PULTE</b> <b>Case number</b> RIC1701091	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF RIVERSIDE 4050 MAIN ST RIVERSIDE, CA 92501	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
<b>WATERMAN V. PULTE HOME CORPORATION</b> <b>Case number</b> RIC 1701091	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF RIVERSIDE 4050 MAIN ST RIVERSIDE, CA 92501	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
<b>WEATHERBIE V. WOODSIDE HOMES OF CENTAL CALIFORNIA, INC.</b> <b>Case number</b> STK-CV-UCD-2017-0004248	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded
<b>WELLS V. MERITAGE</b> <b>Case number</b> MSC18-00453	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF CONTRA COSTA 725 COURT ST MARTINEZ, CA 94553	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
<b>WHITE CONSTRUCTION V. PDI</b> <b>Case number</b>	ARBITRATION DEMAND	UNDETERMINED	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
<b>WOLFSON V CENTEX HOMES</b> <b>Case number</b> 30-2014-00710941	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF ORANGE 700 W CIVIC CENTER DR SANTA ANA, CA 92701	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded

Case title	Nature of case	Court or agency's name and address	Status of case
WOO V. JOHN MOURIER CONSTRUCTION, INC.  <b>Case number</b> SCV0036021	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF PLACER 10820 JUSTICE CENTER DR ROSEVILLE, CA 95678	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
WOODWARD V. PULTE HOME CORPORATION  <b>Case number</b> STK-CV-UCD-2018-11540	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SAN JOAQUIN 180 E WEBER AVE STOCKTON, CA 95202	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
YEAMAN V. MORRISON  <b>Case number</b> 34-2017-00212846	CIVIL-COMPLEX CONSTRUCTION DEFECT CASE	CALIFORNIA SUPERIOR COURT OF SACRAMENTO 720 9TH ST SACRAMENTO, CA 95814	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input checked="" type="checkbox"/> Concluded

**8. Assignments and receivership**

List any property in the hands of an assignee for the benefit of creditors during the 120 days before filing this case and any property in the hands of a receiver, custodian, or other court-appointed officer within 1 year before filing this case.

☒ None

**Part 4: Certain Gifts and Charitable Contributions****9. List all gifts or charitable contributions the debtor gave to a recipient within 2 years before filing this case unless the aggregate value of the gifts to that recipient is less than \$1,000**

☐ None

Recipient's name and address	Description of the gifts or contributions	Dates given	Value
HOMEAID NORTHERN CALIFORNIA 1350 TREAT BLVD #140 WALNUT CREEK, CA 94597  <b>Recipient's relationship to debtor</b> NONE	MONETARY CONTRIBUTION	8/27/2018	\$3,000.00
SCOUTS FOR HAYWARD VETERANS 323 RIVERA DR. UNION CITY, CA 94587  <b>Recipient's relationship to debtor</b> NONE	MONETARY CONTRIBUTION	10/25/2018	\$3,000.00
HERS BREAST CANCER FOUNDATION 2500 MOWRY AVE #130 FREMONT, CA 94538  <b>Recipient's relationship to debtor</b> NONE	MONETARY CONTRIBUTION	12/17/2018	\$16,500.00
HOMEAID NORTHERN CALIFORNIA 1350 TREAT BLVD #140 WALNUT CREEK, CA 94597  <b>Recipient's relationship to debtor</b> NONE	MONETARY CONTRIBUTION	1/23/2019	\$5,000.00
HERS BREAST CANCER FOUNDATION 2500 MOWRY AVE #130 FREMONT, CA 94538  <b>Recipient's relationship to debtor</b> NONE	MONETARY CONTRIBUTION	6/10/2019	\$1,950.00
HOMEAID NORTHERN CALIFORNIA 1350 TREAT BLVD #140 WALNUT CREEK, CA 94597  <b>Recipient's relationship to debtor</b> NONE	MONETARY CONTRIBUTION	7/10/2019	\$3,000.00

**Part 5: Certain Losses**



## 10. All losses from fire, theft, or other casualty within 1 year before filing this case.

☒ None**Part 6: Certain Payments or Transfers**

## 11. Payments related to bankruptcy

List any payments of money or other transfers of property made by the debtor or person acting on behalf of the debtor within 1 year before the filing of this case to another person or entity, including attorneys, that the debtor consulted about debt consolidation or restructuring, seeking bankruptcy relief, or filing a bankruptcy case.

☐ None

Who was paid or who received the transfer?	If not money, describe any property transferred	Dates	Total amount or value
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		12/2/2019	\$30,000.00
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		12/4/2019	\$20,583.63
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		12/4/2019	\$20,000.00
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		12/13/2019	\$21,649.22
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		12/18/2019	\$22,282.60
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		12/24/2019	\$21,041.70
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		1/10/2020	\$40,000.00

(Name)

Who was paid or who received the transfer?	If not money, describe any property transferred	Dates	Total amount or value
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		1/14/2020	\$22,956.62
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		1/23/2020	\$22,478.31
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		1/28/2020	\$21,988.54
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		1/31/2020	\$22,493.37
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		2/12/2020	\$21,858.62
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		2/19/2020	\$22,740.48
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		2/25/2020	\$21,732.19
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		3/16/2020	\$20,130.05
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		3/24/2020	\$20,000.00

Who was paid or who received the transfer?	If not money, describe any property transferred	Dates	Total amount or value
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		3/30/2020	\$40,000.00
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		3/4/2020	\$22,792.71
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		3/9/2020	\$20,439.00
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		4/17/2020	\$30,000.00
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		4/20/2020	\$30,000.00
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		4/30/2020	\$30,000.00
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		4/30/2020	\$30,000.00
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		5/6/2020	\$30,000.00
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		5/28/2020	\$50,000.00

Who was paid or who received the transfer?	If not money, describe any property transferred	Dates	Total amount or value
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		5/28/2020	\$30,000.00
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		5/28/2020	\$0.00
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		5/29/2020	(\$10,000.00)
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		6/3/2020	\$40,000.00
CONWAY MACKENZIE 401 SOUTH OLD WOODWARD AVENUE SUITE 340 BIRMINGHAM, MI 48009  <b>Email or website address</b> HTTPS://CONWAYMACKENZIE.COM/		6/9/2020	\$225,000.00
EPIQ CORPORATE RESTRUCTURING LLC 777 THIRD AVENUE 12TH FLOOR ATTN: ROBERT A. HOPEN NEW YORK, NY 10017  <b>Email or website address</b> HTTPS://WWW.EPIQGLOBAL.COM/EN-US		4/30/2020	\$3,213.42
EPIQ CORPORATE RESTRUCTURING LLC 777 THIRD AVENUE 12TH FLOOR ATTN: ROBERT A. HOPEN NEW YORK, NY 10017  <b>Email or website address</b> HTTPS://WWW.EPIQGLOBAL.COM/EN-US		5/31/2020	\$20,000.00
EPIQ CORPORATE RESTRUCTURING LLC 777 THIRD AVENUE 12TH FLOOR ATTN: ROBERT A. HOPEN NEW YORK, NY 10017  <b>Email or website address</b> HTTPS://WWW.EPIQGLOBAL.COM/EN-US		5/31/2020	\$17,000.00

Who was paid or who received the transfer?	If not money, describe any property transferred	Dates	Total amount or value
FOX ROTHSCHILD LLP 1980 FESTIVAL PLAZA DRIVE SUITE 700 LAS VEGAS, NV 89135  <b>Email or website address</b> HTTPS://WWW.FOXROTHSCCHILD.COM/		1/28/2020	\$10,000.00
FOX ROTHSCHILD LLP 1980 FESTIVAL PLAZA DRIVE SUITE 700 LAS VEGAS, NV 89135  <b>Email or website address</b> HTTPS://WWW.FOXROTHSCCHILD.COM/		2/19/2020	\$21,915.00
IMPERIAL CAPITAL, LLC 10100 SANTA MONICA BLVD. SUITE 2400 LOS ANGELES, CA 90067  <b>Email or website address</b> HTTPS://WWW.IMPERIALCAPITAL.COM/		2/10/2020	\$75,000.00
FOX ROTHSCHILD LLP 1980 FESTIVAL PLAZA DRIVE SUITE 700 LAS VEGAS, NV 89135  <b>Email or website address</b> HTTPS://WWW.FOXROTHSCCHILD.COM/		3/11/2020	\$50,000.00
FOX ROTHSCHILD LLP 1980 FESTIVAL PLAZA DRIVE SUITE 700 LAS VEGAS, NV 89135  <b>Email or website address</b> HTTPS://WWW.FOXROTHSCCHILD.COM/		3/11/2020	\$50,000.00
IMPERIAL CAPITAL, LLC 10100 SANTA MONICA BLVD. SUITE 2400 LOS ANGELES, CA 90067  <b>Email or website address</b> HTTPS://WWW.IMPERIALCAPITAL.COM/		3/11/2020	\$75,000.00
FOX ROTHSCHILD LLP 1980 FESTIVAL PLAZA DRIVE SUITE 700 LAS VEGAS, NV 89135  <b>Email or website address</b> HTTPS://WWW.FOXROTHSCCHILD.COM/		3/13/2020	\$688.50
FOX ROTHSCHILD LLP 1980 FESTIVAL PLAZA DRIVE SUITE 700 LAS VEGAS, NV 89135  <b>Email or website address</b> HTTPS://WWW.FOXROTHSCCHILD.COM/		4/30/2020	\$25,408.50
FOX ROTHSCHILD LLP 1980 FESTIVAL PLAZA DRIVE SUITE 700 LAS VEGAS, NV 89135  <b>Email or website address</b> HTTPS://WWW.FOXROTHSCCHILD.COM/		4/30/2020	\$15,594.76

(Name)

**Who was paid or who received the transfer?****If not money, describe any property transferred****Dates****Total amount or value**

FOX ROTHSCHILD LLP  
1980 FESTIVAL PLAZA DRIVE  
SUITE 700  
LAS VEGAS, NV 89135

4/30/2020

\$91,590.95

**Email or website address**

HTTPS://WWW.FOXROTHSCCHILD.COM/

IMPERIAL CAPITAL, LLC  
10100 SANTA MONICA BLVD.  
SUITE 2400  
LOS ANGELES, CA 90067

5/11/2020

\$75,000.00

**Email or website address**

HTTPS://WWW.IMPERIALCAPITAL.COM/

**12. Self-settled trusts of which the debtor is a beneficiary**

List any payments or transfers of property made by the debtor or a person acting on behalf of the debtor within 10 years before the filing of this case to a self-settled trust or similar device.

Do not include transfers already listed on this statement.

☒ None

**13. Transfers not already listed on this statement**

List any transfers of money or other property—by sale, trade, or any other means—made by the debtor or a person acting on behalf of the debtor within 2 years before the filing of this case to another person, other than property transferred in the ordinary course of business or financial affairs.

Include both outright transfers and transfers made as security. Do not include gifts or transfers previously listed on this statement.

☐ None

**Who received transfer?****Description of property transferred or payments received or debts paid in exchange****Dates transfer was made****Total amount or value**

TRICIA PETERSEN  
39300 CIVIC CENTER DRIVE, SUITE 300  
FREMONT, CA 94538

TRANSFER OF BENEFICIARY INTEREST TO  
TRICIA PETERSEN IN JAMES PATRICK  
PETERSEN TERM LIFE POLICY -  
\$4,999,999.00; PRUCO LIFE INSURANCE  
COMPANY, POLICY NUMBER L4306896

UNKNOWN

\$4,999,999.00

**Relationship to debtor**

WIFE OF JAMES PATRICK PETERSEN

**Part 7: Previous Locations****14. Previous addresses**

List all previous addresses used by the debtor within 3 years before filing this case and the dates the addresses were used.

☐ Does not apply

**Address****Dates of occupancy**

39300 CIVIC CENTER DRIVE, SUITE 300  
FREMONT, CA 94538

From 10/30/1984

To PRESENT

1705 ENTERPRISE DRIVE  
FAIRFIELD, CA 94533

From 1984

To PRESENT

4555 LAS POSITAS RD #A  
LIVERMORE, CA 94551

From 1984

To PRESENT

879 F STREET SUITE 120  
WEST SACRAMENTO, CA 95605

From 1984

To PRESENT

2224 W. SHANGRI LA ROAD  
PHOENIX, AZ 85029

From 1984

To PRESENT

408 S. TEILMAN AVE  
FRESNO, CA 93706

From 1984

To PRESENT

5350 CAPITAL COURT #110  
RENO, NV 89502

From 1984

To PRESENT

2210 S. DUPONT DR.  
ANAHEIM, CA 92806

From 1984

To PRESENT

**Part 8: Health Care Bankruptcies**

**15. Health Care bankruptcies**

Is the debtor primarily engaged in offering services and facilities for:

- diagnosing or treating injury, deformity, or disease, or
- providing any surgical, psychiatric, drug treatment, or obstetric care?

☒ No. Go to Part 9.**Part 9: Personal Identifiable Information****16. Does the debtor collect and retain personally identifiable information of customers?**☐ No.☒ Yes. State the nature of the information collected and retained.

Name, Address, Phone, Fax, Email

Does the debtor have a privacy policy about that information?

☐ No☒ Yes**17. Within 6 years before filing this case, have any employees of the debtor been participants in any ERISA, 401(k), 403(b), or other pension or profit-sharing plan made available by the debtor as an employee benefit?**☒ No. Go to Part 10.☐ Yes. Does the debtor serve as plan administrator?☐ No. Go to Part 10.☒ Yes. Fill in below:

Name of plan	Employer identification number of the plan
PETERSEN DEAN, INC. 401K PLAN	77-0051446

Has the plan been terminated?

☒ No☐ Yes**Part 10: Certain Financial Accounts, Safe Deposit Boxes, and Storage Units****18. Closed financial accounts**

Within 1 year before filing this case, were any financial accounts or instruments held in the debtor's name, or for the debtor's benefit, closed, sold, moved, or transferred?

Include checking, savings, money market, or other financial accounts; certificates of deposit; and shares in banks, credit unions, brokerage houses, cooperatives, associations, and other financial institutions.

☒ None**19. Safe deposit boxes**

List any safe deposit box or other depository for securities, cash, or other valuables the debtor now has or did have within 1 year before filing this case.

☒ None**20. Off-premises storage**

List any property kept in storage units or warehouses within 1 year before filing this case. Do not include facilities that are in a part of a building in which the debtor does business.

☐ None

Facility name and address	Names of anyone with access to it	Description of the contents	Does debtor still have it?
PUBLIC STORAGE 38290 CEDAR BLVD NEWARK, CA 94560	MARK VOLPE, SHERRY MCKINLEY, TONY DIMAGGIO	HR & ACCOUNTING RECORDS	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes

**Part 11: Property the Debtor Holds or Controls That the Debtor Does Not Own****21. Property held for another**

List any property that the debtor holds or controls that another entity owns. Include any property borrowed from, being stored for, or held in trust. Do not list leased or rented property.

☒ None**Part 12: Details About Environmental Information**

For the purpose of Part 12, the following definitions apply:

- *Environmental law* means any statute or governmental regulation that concerns pollution, contamination, or hazardous material, regardless of the medium affected (air, land, water, or any other medium).
- *Site* means any location, facility, or property, including disposal sites, that the debtor now owns, operates, or utilizes or that the debtor formerly owned, operated, or utilized.
- *Hazardous material* means anything that an environmental law defines as hazardous or toxic, or describes as a pollutant, contaminant, or a similarly harmful substance.

Report all notices, releases, and proceedings known, regardless of when they occurred.

22. Has the debtor been a party in any judicial or administrative proceeding under any environmental law? Include settlements and orders.

- ☒ No  
☐ Yes. Provide details below.

23. Has any governmental unit otherwise notified the debtor that the debtor may be liable or potentially liable under or in violation of an environmental law?

- ☒ No  
☐ Yes. Provide details below.

24. Has the debtor notified any governmental unit of any release of hazardous material?

- ☒ No  
☐ Yes. Provide details below.

Part 13: Details About the Debtor's Business or Connections to Any Business

25. Other businesses in which the debtor has or has had an interest

List any business for which the debtor was an owner, partner, member, or otherwise a person in control within 6 years before filing this case. Include this information even if already listed in the Schedules.

- ☐ None

Business name and address	Describe the nature of the business	Employer identification number Do not include Social Security number or ITIN.
CALIFORNIA EQUIPMENT LEASING ASSOCIATION, INC. 39300 CIVIC CENTER DR STE 300 FREMONT, CA 94538	LEASING AND TRANSPORTATION ENTITY FOR, AND A WHOLLY-OWNED SUBSIDIARY OF, PARENT COMPANY (PDI)	95-3564490  <b>Date business existed</b> From: 1/7/1981 To: PRESENT
FENCES4AMERICA, INC. 2201 WALNUT AVE STE 310 FREMONT, CA 94538	MARKETING, SALES, DESIGN AND INSTALLATION ENTITY FOR, AND WHOLLY-OWNED SUBSIDIARY OF, PARENT COMPANY (PDI)	81-4757596  <b>Date business existed</b> From: 6/26/2017 To: PRESENT
OCR SOLAR & ROOFING, INC. 39300 CIVIC CENTER DR STE 300 FREMONT, CA 94538	WHOLLY-OWNED SUBSIDIARY OF PARENT COMPANY (PDI)	26-1177725  <b>Date business existed</b> From: 8/6/2007 To: PRESENT
PACIFIC COAST ROOFING & CONSTRUCTION, INC. 39300 CIVIC CENTER DR STE 300 FREMONT, CA 94538	WHOLLY-OWNED SUBSIDIARY OF PARENT COMPANY (PDI)	33-0121985  <b>Date business existed</b> From: 7/18/1985 To: PRESENT
PD SOLAR, INC. 2201 WALNUT AVE STE 310 FREMONT, CA 94538	SERVES THE CONSUMER DIVISION IN THE SOLAR MARKETING, SALES, DESIGN AND INSTALLATION, INCLUDING WARRANTY SERVICE. WHOLLY-OWNED SUBSIDIARY OF PARENT COMPANY (PDI)	26-4083699  <b>Date business existed</b> From: 1/21/2009 To: PRESENT
PETERSENDEAN BUILDER GROUP, INC. 39300 CIVIC CENTER DR STE 300 FREMONT, CA 94538	WHOLLY-OWNED SUBSIDIARY OF PARENT COMPANY (PDI)	47-3175954  <b>Date business existed</b> From: 1/27/2015 To: PRESENT
PETERSEN-DEAN COMMERCIAL, INC. 39300 CIVIC CENTER DR STE 300 FREMONT, CA 94538	WHOLLY-OWNED SUBSIDIARY OF PARENT COMPANY (PDI)	20-0130134  <b>Date business existed</b> From: 7/18/2003 To: PRESENT
PETERSENDEAN ROOFING AND SOLAR SYSTEMS, INC. 8535 W BAYMEADOWS RD STE 58 JACKSONVILLE, FL 32256	MARKETING, SALES, DESIGN AND INSTALLATION ENTITY FOR CERTAIN BUILDER AND CONSUMER-FACING ROOFING AND RE-ROOFING PROJECTS IN FLORIDA. WHOLLY-OWNED SUBSIDIARY OF PARENT COMPANY (PDI)	65-0762571  <b>Date business existed</b> From: 6/25/1997 To: PRESENT



Business name and address	Describe the nature of the business	Employer identification number Do not include Social Security number or ITIN.
PETERSENDEAN TEXAS, INC. 14713 JERSEY SHORE DR HOUSTON, TX 77047	MARKETING, SALES, DESIGN AND INSTALLATION ENTITY FOR CERTAIN BUILDER AND CONSUMER-FACING ROOFING AND RE-ROOFING PROJECTS IN TEXAS. WHOLLY-OWNED SUBSIDIARY OF PARENT COMPANY (PDI)	76-0214538  <b>Date business existed</b> From: 2/20/1987 To: PRESENT
RED ROSE, INC. 4530 N WALNUT RD NORTH LAS VEGAS, NV 89081	ROOFING/SOLAR INSTALLER FOR RESIDENTIAL AND COMMERCIAL PROJECTS IN NEVADA. WHOLLY-OWNED SUBSIDIARY OF PARENT COMPANY (PDI)	88-0137048  <b>Date business existed</b> From: 5/29/1973 To: PRESENT
ROOFS 4 AMERICA, INC. 2201 WALNUT AVE STE 310 FREMONT, CA 94538	MARKETING, SALES, DESIGN AND INSTALLATION ENTITY FOR CERTAIN CONSUMER-FACING ROOFING AND RE-ROOFING PROJECTS IN SPECIFIED REGIONS IN THE UNITED STATES INCLUDING CALIFORNIA, NEVADA, ARIZONA, TEXAS AND FLORIDA. WHOLLY-OWNED SUBSIDIARY OF PARENT COMPANY (PDI)	81-4562507  <b>Date business existed</b> From: 11/3/2016 To: PRESENT
SOLAR 4 AMERICA, INC. 39300 CIVIC CENTER DR STE 300 FREMONT, CA 94538	MARKETING, SALES, DESIGN AND INSTALLATION ENTITY FOR CERTAIN CONSUMER-FACING SOLAR PROJECTS IN SPECIFIED REGIONS IN THE UNITED STATES INCLUDING CALIFORNIA, NEVADA, AND ARIZONA. WHOLLY-OWNED SUBSIDIARY OF PARENT COMPANY (PDI)	47-3191653  <b>Date business existed</b> From: 6/26/2014 To: PRESENT
SONOMA ROOFING SERVICES, INC. 39300 CIVIC CENTER DR STE 300 FREMONT, CA 94538	INSTALLED RESIDENTIAL ROOFING AND SOLAR PROJECTS IN NORTHERN CALIFORNIA. SRS WAS DISSOLVED IN 2018. WHOLLY-OWNED SUBSIDIARY OF PARENT COMPANY (PDI)	68-0127998  <b>Date business existed</b> From: 5/26/1987 To: 2018
TRI VALLEY SUPPLY, INC. 39300 CIVIC CENTER DR STE 300 FREMONT, CA 94538	WHOLESALE PURCHASING ENTITY FOR AND WHOLLY-OWNED SUBSIDIARY OF PARENT COMPANY (PDI)	94-3199898  <b>Date business existed</b> From: 12/14/1993 To: PRESENT

26. **Books, records, and financial statements**

26a. List all accountants and bookkeepers who maintained the debtor's books and records within 2 years before filing this case.

☐ None

Name and address	Dates of service
STEVE DOLL, CFO 39300 CIVIC CENTER DRIVE, SUITE 300 FREMONT, CA 94538	From PRE-6/2018 To 10/2019
LOUIS GUITERRIEZ, CONTROLLER 39300 CIVIC CENTER DRIVE, SUITE 300 FREMONT, CA 94538	From PRE-6/2018 To 10/2019
NICK FRKOVICH, CONTROLLER 39300 CIVIC CENTER DRIVE, SUITE 300 FREMONT, CA 94538	From 11/2019 To 5/2020
SCOTT MCEWAN, CFO 39300 CIVIC CENTER DRIVE, SUITE 300 FREMONT, CA 94538	From 3/2020 To 3/2020
STEPHEN NERHEIM, CFO 39300 CIVIC CENTER DRIVE, SUITE 300 FREMONT, CA 94538	From 6/2020 To PRESENT

26b. List all firms or individuals who have audited, compiled, or reviewed debtor's books of account and records or prepared a financial statement within 2 years before filing this case.

☐ None

Name and address	Dates of service
EVE DREYFUSS, PARTNER MOSS ADAMS LLP 635 CAMPBELL TECHNOLOGY PKWY CAMPBELL, CA 95008	From PRIOR TO '18 To PRESENT

26c. List all firms or individuals who were in possession of the debtor's books of account and records when this case is filed.

☐ None

**Name and address**

If any books of account and records are  
unavailable, explain why

EVE DREYFUSS, PARTNER  
MOSS ADAMS LLP  
635 CAMPBELL TECHNOLOGY PKWY  
CAMPBELL, CA 95008

- 26d. List all financial institutions, creditors, and other parties, including mercantile and trade agencies, to whom the debtor issued a financial statement within 2 years before filing this case.

☐ None

**Name and address**

ABC SUPPLY  
ONE ABC PARKWAY  
SUITE 919  
BELOIT, WI 53511-4466

ARES COMMERCIAL FINANCE, LP  
2000 AVENUE OF THE STARS  
12TH FLOOR  
LOS ANGELES, CA 90067

BEACON SUPPLY  
P.O. BOX 740914  
LOS ANGELES, CA 90074-0914

CED GREENTECH  
P.O. BOX 398830  
SAN FRANCISCO, CA 94139-8830

HIGHMORE  
750 LEXINGTON AVENUE  
24TH FLOOR  
NEW YORK, NY 10022

IES-ONESOURCE  
2846 AWAALOA STREET  
HONOLULU, HI 96819

IMPERIAL CAPITAL  
10100 SANTA MONICA BLVD.  
SUITE 2400  
LOS ANGELES, CA 90067

LSQ FUNDING GROUP, LLC  
2600 LUCIEN WAY  
SUITE 100  
MAITLAND, FL 32751

PAINTED SKY PARTNERS  
1754 LAFAYETTE STREET  
DENVER, CO 80218

PRIME REVENUE, INC  
1100 PEACHTREE STREET NE  
SUITE 1100  
ATLANTA, GA 30309

SRS ROOFING SUPPLY  
P.O. BOX 1464  
SANTA ANA, CA 92702

STERLING BANK  
650 FIFTH AVENUE  
NEW YORK, NY 10019

TRADE RIVER  
10631 NORTH KENDALL DRIVE  
SUITE 1204  
MIAMI, FL 33176

WHITE OAK ABL, LLC  
3 EMBARCADERO CENTER  
SUITE 550  
SAN FRANCISCO, CA 94111

**27. Inventories**

Have any inventories of the debtor's property been taken within 2 years before filing this case?

☐ None

## Name of the person who supervised the taking of the inventory

## Date of inventory

## The dollar amount and basis (cost, market, or other basis) of each inventory

STEVE RUIZ

5/17/2020

\$855,149.08 COST

## Name and address of the person who has possession of inventory records

STEVE RUIZ  
4555 LAS POSITAS RD #A  
LIVERMORE, CA 94551

STEVE RUIZ

6/15/2020

\$848,788.90 COST

## Name and address of the person who has possession of inventory records

STEVE RUIZ  
4555 LAS POSITAS RD #A  
LIVERMORE, CA 94551

28. List the debtor's officers, directors, managing members, general partners, members in control, controlling shareholders, or other people in control of the debtor at the time of the filing of this case.

☐ None

Name	Address	Position and nature of any interest	% of interest, if any
BRIAN OWEN	39300 CIVIC CENTER DRIVE, SUITE 300 FREMONT, CA 94538	SHAREHOLDER	0.3% OF ALL SHARES OF SERIES A PREFERRED STOCK AS OF JUNE 29, 2020
JIM PETERSEN	39300 CIVIC CENTER DRIVE, SUITE 300 FREMONT, CA 94538	SHAREHOLDER	83.75% OF ALL SHARES OF SERIES A PREFERRED STOCK AS OF JUNE 29, 2020
JOE DEAN	39300 CIVIC CENTER DRIVE, SUITE 300 FREMONT, CA 94538	SHAREHOLDER	15.95% OF ALL SHARES OF SERIES A PREFERRED STOCK AS OF JUNE 29, 2020

29. Within 1 year before the filing of this case, did the debtor have officers, directors, managing members, general partners, members in control of the debtor, or shareholders in control of the debtor who no longer hold these positions?

☐ None

Name	Address	Position and nature of any interest	Period during which position or interest was held
STEVE DOLL	39300 CIVIC CENTER DR STE 300 FREMONT, CA 94538	CFO	From JUL 2016 To OCT 2019

30. Payments, distributions, or withdrawals credited or given to insiders

Within 1 year before filing this case, did the debtor provide an insider with value in any form, including salary, other compensation, draws, bonuses, loans, credits on loans, stock redemptions, and options exercised?

☐ None

Name and address of recipient	Amount of money or description and value of property	Dates	Reason for providing the value
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SEE ATTACHED EXHIBIT TO PART 2, QUESTION 4

31. Within 6 years before filing this case, has the debtor been a member of any consolidated group for tax purposes?

☐ None

Name of the parent corporation	Employer identification number of the parent corporation.
PETERSEN-DEAN, INC.	77-0051446

32. Within 6 years before filing this case, has the debtor as an employer been responsible for contributing to a pension fund?

☒ None

**Part 14: Signature and Declaration**

**WARNING** -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

I have examined the information in this *Statement of Financial Affairs* and any attachments and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on 7/13/2020.

**x**

/s/ Stephen Nerheim

Signature of individual signing on behalf of the debtor

Stephen Nerheim

Printed Name

CFO

Position or relationship to debtor

**Are additional pages to *Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy* (Official Form 207) attached?**

☐ No

☒ Yes

## Statement of Financial Affairs - Exhibit 3

Petersen-Dean, Inc. 20-12821

Claimant	Check Date	Check Amount	Reasons for Payment
A1 FLEET SERVICES 1935 N. ENTERPRISE ST. ORANGE, CA 92865	03/13/2020	\$9,212.81	VENDOR PAYMENT
	05/06/2020	\$9,618.82	VENDOR PAYMENT
		<b>\$18,831.63</b>	
ABC EAGLE ONE ABC PKWY., SUITE 919 BELOIT, WI 53511-4466	03/25/2020	\$9,661.19	VENDOR PAYMENT
	04/21/2020	\$6,971.37	VENDOR PAYMENT
	05/01/2020	\$9,939.45	VENDOR PAYMENT
	05/01/2020	\$11,993.82	VENDOR PAYMENT
	05/13/2020	\$99,227.70	VENDOR PAYMENT
	05/18/2020	\$6,458.98	VENDOR PAYMENT
	05/29/2020	\$323,427.79	VENDOR PAYMENT
		<b>\$467,680.30</b>	
AIRGAS USA LLC PO BOX 102289 PASADENA, CA 91189-2289	03/18/2020	\$278.90	VENDOR PAYMENT
	04/03/2020	\$99.76	VENDOR PAYMENT
	05/06/2020	\$7,059.52	VENDOR PAYMENT
	05/06/2020	\$93.49	VENDOR PAYMENT
	05/07/2020	\$193.20	VENDOR PAYMENT
	05/14/2020	\$124.21	VENDOR PAYMENT
	05/15/2020	\$3,474.44	VENDOR PAYMENT
		<b>\$11,323.52</b>	
ARCH ROOFING 11100 W 91ST STREET, 200 OVERLAND PARK, KS 66214	04/10/2020	\$43,838.07	VENDOR PAYMENT
	05/08/2020	\$39,004.39	VENDOR PAYMENT
	06/04/2020	\$850.92	VENDOR PAYMENT
		<b>\$83,693.38</b>	
BC WIRE ROPE & RIGGING, INC. 2720 E. REGAL PARK DR. ANAHEIM, CA 92806	05/15/2020	\$4,299.23	VENDOR PAYMENT
	05/22/2020	\$4,153.77	VENDOR PAYMENT
		<b>\$8,453.00</b>	

## Statement of Financial Affairs - Exhibit 3

Petersen-Dean, Inc. 20-12821

Claimant	Check Date	Check Amount	Reasons for Payment
BEACON SALES ACQUISITION PO BOX 740914 LOS ANGELES, CA 90074	03/11/2020	\$510.53	VENDOR PAYMENT
	03/23/2020	\$5,464.87	VENDOR PAYMENT
	04/10/2020	\$147,836.02	VENDOR PAYMENT
	04/15/2020	\$38,109.34	VENDOR PAYMENT
	04/20/2020	\$11,500.00	VENDOR PAYMENT
	04/22/2020	\$1,387.46	VENDOR PAYMENT
	04/22/2020	\$298,612.54	VENDOR PAYMENT
	04/28/2020	\$3,894.87	VENDOR PAYMENT
	04/28/2020	\$24,381.06	VENDOR PAYMENT
	05/01/2020	\$9,817.17	VENDOR PAYMENT
	05/04/2020	\$56,317.81	VENDOR PAYMENT
	05/06/2020	\$500,000.00	VENDOR PAYMENT
	05/07/2020	\$154,013.43	VENDOR PAYMENT
	05/08/2020	\$6,061.38	VENDOR PAYMENT
	05/10/2020	\$21,956.85	VENDOR PAYMENT
	05/10/2020	\$7,282.74	VENDOR PAYMENT
	05/10/2020	\$332.88	VENDOR PAYMENT
	05/11/2020	\$26,026.11	VENDOR PAYMENT
	05/13/2020	\$54,536.39	VENDOR PAYMENT
	05/13/2020	\$7,215.41	VENDOR PAYMENT
	05/14/2020	\$3,325.50	VENDOR PAYMENT
	05/14/2020	\$66,667.99	VENDOR PAYMENT
	05/18/2020	\$5,436.03	VENDOR PAYMENT
	05/18/2020	\$20,033.35	VENDOR PAYMENT
	05/19/2020	\$78,195.00	VENDOR PAYMENT
	05/19/2020	\$39,307.88	VENDOR PAYMENT
	05/19/2020	\$29,883.18	VENDOR PAYMENT
	05/19/2020	\$163,826.73	VENDOR PAYMENT
	05/19/2020	\$66,982.21	VENDOR PAYMENT
	05/20/2020	\$30,887.78	VENDOR PAYMENT
	05/20/2020	\$2,827.64	VENDOR PAYMENT
	05/20/2020	\$23,678.77	VENDOR PAYMENT
	05/27/2020	\$4,717.00	VENDOR PAYMENT
	05/28/2020	\$6,413.43	VENDOR PAYMENT
	05/29/2020	\$4,111.63	VENDOR PAYMENT
	05/29/2020	\$83,807.46	VENDOR PAYMENT
		<b>\$2,005,358.44</b>	
BENETRAC LOCKBOX 732954 PO BOX 732954 DALLAS, TX 75373-2954	04/21/2020	\$5,076.50	VENDOR PAYMENT
	04/21/2020	\$4,930.25	VENDOR PAYMENT
		<b>\$10,006.75</b>	
CEJA TRUCKING 5547 CORTINA LN. STOCKTON, CA 95219	04/23/2020	\$13,331.00	VENDOR PAYMENT
	05/06/2020	\$17,752.00	VENDOR PAYMENT
	05/15/2020	\$11,272.00	VENDOR PAYMENT
	05/22/2020	\$3,524.00	VENDOR PAYMENT
		<b>\$45,879.00</b>	

## Statement of Financial Affairs - Exhibit 3

Petersen-Dean, Inc. 20-12821

Claimant	Check Date	Check Amount	Reasons for Payment
COAST COUNTIES PETERBILT PACLEASE PO BOX 757 SAN JOSE, CA 95106	03/13/2020	\$13,269.52	VENDOR PAYMENT
	05/06/2020	\$15,380.30	VENDOR PAYMENT
	05/15/2020	\$21,121.76	VENDOR PAYMENT
	06/03/2020	\$13,160.26	VENDOR PAYMENT
		<b>\$62,931.84</b>	
COLE, SCOTT & KISSANE P.A. ACCOUNTING DEPARTMENT 9150 SOUTH DADELAND BLVD, STE 1400 MIAMI, FL 33156	03/13/2020	\$5,005.00	VENDOR PAYMENT
	03/18/2020	\$4,865.00	VENDOR PAYMENT
		<b>\$9,870.00</b>	
D PEREZ ROOFING LLC 1201 W THORNTON PKWY 147 THORNTON, CO 80260	04/03/2020	\$3,200.00	VENDOR PAYMENT
	04/30/2020	\$5,994.00	VENDOR PAYMENT
	05/15/2020	\$2,223.00	VENDOR PAYMENT
		<b>\$11,417.00</b>	
D&D LIFT, INC. PO BOX 31001-2561 PASADENA, CA 91110-2561	03/23/2020	\$37,005.65	VENDOR PAYMENT
	04/23/2020	\$26,683.22	VENDOR PAYMENT
	05/06/2020	\$21,574.93	VENDOR PAYMENT
	05/15/2020	\$8,286.15	VENDOR PAYMENT
		<b>\$93,549.95</b>	
DUNN SEAMLESS GUTTERS INC 3254 STONY POINT RD. SANTA ROSA, CA 95407	05/06/2020	\$11,325.00	VENDOR PAYMENT
	05/15/2020	\$6,952.50	VENDOR PAYMENT
		<b>\$18,277.50</b>	
EDGEWOOD PARTNERS INSURANCE CENTER PO BOX 511389 LOS ANGELES, CA 90517-9944	04/20/2020	\$15,029.02	VENDOR PAYMENT
	04/30/2020	\$145,984.34	VENDOR PAYMENT
	05/01/2020	\$140,000.00	VENDOR PAYMENT
	05/01/2020	\$145,984.34	VENDOR PAYMENT
	05/07/2020	\$2,065.00	VENDOR PAYMENT
	05/07/2020	\$71,857.00	VENDOR PAYMENT
	05/08/2020	\$72,000.00	VENDOR PAYMENT
		<b>\$592,919.70</b>	
EXPO PROPANE PO BOX 920638 SYLMAR, CA 91392-0638	05/06/2020	\$4,314.18	VENDOR PAYMENT
	05/15/2020	\$2,956.72	VENDOR PAYMENT
		<b>\$7,270.90</b>	
HALL ARBITRATIONS 150 RUSSELL AVE. PO BOX 1110 ROCKPORT, ME 04856	03/25/2020	\$7,350.00	VENDOR PAYMENT
		<b>\$7,350.00</b>	
HERC RENTALS INC. PO BOX 936257 ATLANTA, GA 31193	05/06/2020	\$5,759.64	VENDOR PAYMENT
	05/15/2020	\$1,186.15	VENDOR PAYMENT
		<b>\$6,945.79</b>	
J&A GUTTERS LLC 6085 S BANNOCK ST. LITTLETON, CO 80120	04/03/2020	\$2,531.50	VENDOR PAYMENT
	04/30/2020	\$4,851.00	VENDOR PAYMENT
		<b>\$7,382.50</b>	
JJ BEST ROOFING LLC 5033 SCRANTON ST DENVER, CO 80239	06/04/2020	\$32,586.90	VENDOR PAYMENT
		<b>\$32,586.90</b>	



## Statement of Financial Affairs - Exhibit 3

Petersen-Dean, Inc. 20-12821

Claimant	Check Date	Check Amount	Reasons for Payment
JOHANNES MOEHNLE	03/25/2020	\$20,000.00	VENDOR PAYMENT
	05/11/2020	\$20,000.00	VENDOR PAYMENT
	06/04/2020	\$20,000.00	VENDOR PAYMENT
		<b>\$60,000.00</b>	
JUAN'S ROOFING 5822 A CLAREWOOD HOUSTON, TX 77081	03/25/2020	\$353,280.86	VENDOR PAYMENT
		<b>\$353,280.86</b>	
LAW OFFICE OF BRYAN R. SNYDER APC 1916 THIRD AVENUE SAN DIEGO, CA 92101	04/20/2020	\$10,000.00	VENDOR PAYMENT
	04/27/2020	\$10,000.00	VENDOR PAYMENT
		<b>\$20,000.00</b>	
LEVY AND LEVY, P.A.	03/26/2020	\$9,000.00	VENDOR PAYMENT
		<b>\$9,000.00</b>	
LEWIS BRISBOIS BISGAARD & SMITH LLP 633 WEST 5TH ST., SUITE 4000 LOS ANGELES, CA 90071	05/06/2020	\$9,057.00	VENDOR PAYMENT
		<b>\$9,057.00</b>	
LOPEZ TRUCKING 39 W. LOWELL AVE. STOCKTON, CA 95206	04/13/2020	\$25,845.00	VENDOR PAYMENT
	04/23/2020	\$30,295.00	VENDOR PAYMENT
	05/06/2020	\$15,260.00	VENDOR PAYMENT
	05/15/2020	\$6,875.00	VENDOR PAYMENT
		<b>\$78,275.00</b>	
MAC RESTRUCTING ADVISORS, LLC	06/04/2020	\$12,500.00	VENDOR PAYMENT
		<b>\$12,500.00</b>	
METAL ROOFING SOLUTIONS 6225 W 48TH AVE SUITE 102 WHEAT RIDGE, CO 80033	04/03/2020	\$7,190.75	VENDOR PAYMENT
	05/07/2020	\$7,925.27	VENDOR PAYMENT
	05/15/2020	\$1,326.91	VENDOR PAYMENT
		<b>\$16,442.93</b>	
MILLENNIA METALS 29502 NETWORK PL. CHICAGO, IL 60673-1295	05/12/2020	\$14,151.83	VENDOR PAYMENT
		<b>\$14,151.83</b>	
MOSS ADAMS LLP PO BOX 101822 PASADENA, CA 91189-1822	03/26/2020	\$25,527.79	VENDOR PAYMENT
	04/10/2020	\$25,390.00	VENDOR PAYMENT
	06/10/2020	\$40,000.00	VENDOR PAYMENT
		<b>\$90,917.79</b>	
NEW CAL METALS 3495 SWETZER RD, STE 100 LOOMIS, CA 95650	05/06/2020	\$23,078.84	VENDOR PAYMENT
	05/15/2020	\$11,571.31	VENDOR PAYMENT
	05/26/2020	\$4,331.51	VENDOR PAYMENT
		<b>\$38,981.66</b>	
OKTA INC BOX 743620 LOS ANGELES, CA 90074-3620	04/30/2020	\$45,074.11	VENDOR PAYMENT
		<b>\$45,074.11</b>	
PENINSULA PUBLISHING	05/15/2020	\$9,750.00	VENDOR PAYMENT
		<b>\$9,750.00</b>	

## Statement of Financial Affairs - Exhibit 3

## Petersen-Dean, Inc. 20-12821

Claimant	Check Date	Check Amount	Reasons for Payment
PENSKE TRUCK LEASING CO LP PO BOX 7429 PASADENA, CA 91109-7429	03/13/2020	\$20,410.75	VENDOR PAYMENT
	03/13/2020	\$11,708.05	VENDOR PAYMENT
	03/19/2020	\$7,988.03	VENDOR PAYMENT
	04/23/2020	\$13,026.53	VENDOR PAYMENT
	05/15/2020	\$10,534.53	VENDOR PAYMENT
	05/22/2020	\$4,810.09	VENDOR PAYMENT
		<b>\$68,477.98</b>	
PRIMOS ROOFING CONSTRUCTION 787 WESTERN AVE LYNN, MA 01905-2476	04/30/2020	\$13,590.00	VENDOR PAYMENT
	05/26/2020	\$9,837.50	VENDOR PAYMENT
	06/04/2020	\$14,370.00	VENDOR PAYMENT
		<b>\$37,797.50</b>	
PROFESSIONAL CONTRACTORS NOTICE COR 4530 N. FEDERAL HWY FORT LAUDERDALE, FL 33308	05/06/2020	\$13,947.26	VENDOR PAYMENT
	05/15/2020	\$2,225.14	VENDOR PAYMENT
		<b>\$16,172.40</b>	
REDWOOD COAST FUELS, NO BAY PETRO P.O. BOX 398108 SAN FRANCISCO, CA 94139-8108	03/19/2020	\$49,673.51	VENDOR PAYMENT
		<b>\$49,673.51</b>	
RL AND SONS TRANSPORTATION 1073 N. ALPINE ROAD STOCKTON, CA 95215	03/27/2020	\$11,863.00	VENDOR PAYMENT
	04/23/2020	\$6,753.00	VENDOR PAYMENT
	05/06/2020	\$2,530.00	VENDOR PAYMENT
	05/22/2020	\$4,040.00	VENDOR PAYMENT
		<b>\$25,186.00</b>	
ROOF STOCKERS OF ARIZONA LLC 23740 N. CENTRAL AVE PHOENIX, AZ 85024	03/13/2020	\$30,425.50	VENDOR PAYMENT
	04/13/2020	\$50,195.50	VENDOR PAYMENT
	05/04/2020	\$28,345.50	VENDOR PAYMENT
	05/06/2020	\$5,298.00	VENDOR PAYMENT
	05/14/2020	\$11,360.50	VENDOR PAYMENT
	05/22/2020	\$2,081.50	VENDOR PAYMENT
	05/28/2020	\$7,130.00	VENDOR PAYMENT
		<b>\$134,836.50</b>	
ROPER MAJESKI KOHN 1001 MARSHALL STREET, SUITE 500 REDWOOD, CA 94063-2052	03/13/2020	\$12,374.71	VENDOR PAYMENT
		<b>\$12,374.71</b>	
SEDGWICK CLAIMS MANAGEMENT SERVICES PO BOX 5076 MEMPHIS, TN 38101-5076	04/30/2020	\$87,546.70	VENDOR PAYMENT
		<b>\$87,546.70</b>	
SEDGWICK CLAIMS MGMT SERVICES, INC P.O. BOX 204036 DALLAS, TX 75320-4036	03/13/2020	\$25,000.00	VENDOR PAYMENT
	03/20/2020	\$25,000.00	VENDOR PAYMENT
	03/27/2020	\$25,000.00	VENDOR PAYMENT
	04/21/2020	\$25,000.00	VENDOR PAYMENT
		<b>\$100,000.00</b>	
SERGIO EXPRESS INC. 31553 FLINTRIDGE WAY MURIETA, CA 92563	05/06/2020	\$9,100.00	VENDOR PAYMENT
	05/15/2020	\$9,250.00	VENDOR PAYMENT
	05/22/2020	\$4,600.00	VENDOR PAYMENT
		<b>\$22,950.00</b>	

## Statement of Financial Affairs - Exhibit 3

Petersen-Dean, Inc. 20-12821

Claimant	Check Date	Check Amount	Reasons for Payment
SG WHOLESALE RFG SUPP-NOTES PAYABLE PO BOX 1464 SANTA ANA, CA 92702	03/17/2020	\$32,000.00	LOAN PAYMENT
	03/17/2020	\$32,000.00	LOAN PAYMENT
	03/26/2020	\$32,000.00	LOAN PAYMENT
		<b>\$96,000.00</b>	
SILVERADO HOMES NEVADA, INC. 5525 KIETZKE LANE 102 RENO, NV 89511	03/12/2020	\$8,592.33	VENDOR PAYMENT
		<b>\$8,592.33</b>	
SPRINT PO BOX 4181 CAROL STREAM, IL 60197-4181	03/16/2020	\$9,744.14	VENDOR PAYMENT
		<b>\$9,744.14</b>	
STARR LUMBER COMPANY LLC 11021 S. MACKSBURG RD. CANBY, OR 97013	05/15/2020	\$10,249.00	VENDOR PAYMENT
		<b>\$10,249.00</b>	
STAUB METALS CORPORATION P.O. BOX 1425 7747 E. ROSECRANS AVE. PARAMOUNT, CA 90723-1425	05/15/2020	\$16,199.05	VENDOR PAYMENT
		<b>\$16,199.05</b>	
TRADERIVER BALTIMORE WORLD TRADE CTR 401 E PRATT ST, STE 2245 BALTIMORE, MD 21202	04/14/2020	\$46,800.00	VENDOR PAYMENT
	05/19/2020	\$108,965.60	VENDOR PAYMENT
		<b>\$155,765.60</b>	
UNITED RENTALS (NORTH AMERICA),INC. PO BOX 51122 P.O. BOX 51122 LOS ANGELES, CA 90074-1122	04/22/2020	\$44,466.35	VENDOR PAYMENT
	05/06/2020	\$66,167.79	VENDOR PAYMENT
	05/12/2020	\$3,621.36	VENDOR PAYMENT
	05/14/2020	\$864.77	VENDOR PAYMENT
		<b>\$115,120.27</b>	
V & S MATERIAL STOCKERS 5717 SAN ARDO WAY NORTH HIGHLANDS, CA 95660	03/13/2020	\$15,075.75	VENDOR PAYMENT
	03/19/2020	\$15,373.65	VENDOR PAYMENT
	05/06/2020	\$11,769.25	VENDOR PAYMENT
	05/15/2020	\$8,507.40	VENDOR PAYMENT
		<b>\$50,726.05</b>	
VAR TECHNOLOGY FINANCE (ACH)	03/17/2020	\$16,667.07	VENDOR PAYMENT
	05/18/2020	\$16,667.07	VENDOR PAYMENT
		<b>\$33,334.14</b>	
VARNER TRUCKING PO BOX 2103 SEBASTOPOL, CA 95473	03/26/2020	\$8,966.90	VENDOR PAYMENT
		<b>\$8,966.90</b>	
WASHINGTON HOSPITAL 1850 MT. DIABLO BLVD., SUITE 200 WALNUT CREEK, CA 94596	05/12/2020	\$44,244.14	VENDOR PAYMENT
		<b>\$44,244.14</b>	
WELLMADDE PRODUCTS 1715 KIBBY RD. MERCED, CA 95341	05/06/2020	\$16,367.44	VENDOR PAYMENT
		<b>\$16,367.44</b>	
WEST COAST EQUIPMENT, LLC P.O. BOX 31001-2584 PASADENA, CA 91110-2584	03/25/2020	\$2,452.10	VENDOR PAYMENT
	05/06/2020	\$27,059.74	VENDOR PAYMENT
	05/15/2020	\$11,706.55	VENDOR PAYMENT
		<b>\$41,218.39</b>	

## Statement of Financial Affairs - Exhibit 3

Petersen-Dean, Inc. 20-12821

Claimant	Check Date	Check Amount	Reasons for Payment
WEX	05/12/2020	\$5,981.03	VENDOR PAYMENT
PO BOX 6293	05/13/2020	\$5,981.03	VENDOR PAYMENT
CAROL STREAM, IL 60197-6293		<b>\$11,962.06</b>	
WINDSTREAM	03/12/2020	\$4,171.05	VENDOR PAYMENT
PAETEC COMMUNICATIONS INC.	05/06/2020	\$4,287.41	VENDOR PAYMENT
PO BOX 9001013		<b>\$8,458.46</b>	
LOUISVILLE, KY 40290-1013			
<b>Grand Total: 57</b>		<b>\$5,441,122.55</b>	

## Statement of Financial Affairs - Exhibit 4

Petersen-Dean, Inc. 20-12821

Name	Relationship to Debtor	Payment Date	Amount	Description
GEORGE MILIONIS 39300 CIVIC CENTER DR. SUITE #300 FREMONT, CA 94538	GC, FORMER BOARD MEMBER	06/14/2019	\$5,429.23	PAYROLL
		06/21/2019	\$5,429.23	PAYROLL
		06/28/2019	\$5,429.23	PAYROLL
		07/05/2019	\$5,429.23	PAYROLL
		07/12/2019	\$5,429.23	PAYROLL
		07/19/2019	\$5,429.23	PAYROLL
		07/26/2019	\$5,429.23	PAYROLL
		08/02/2019	\$5,429.23	PAYROLL
		08/09/2019	\$5,429.23	PAYROLL
		08/16/2019	\$5,429.23	PAYROLL
		08/23/2019	\$5,429.23	PAYROLL
		08/30/2019	\$5,429.23	PAYROLL
		09/06/2019	\$5,429.23	PAYROLL
		09/13/2019	\$5,429.23	PAYROLL
		09/20/2019	\$5,429.23	PAYROLL
		09/27/2019	\$5,429.23	PAYROLL
		10/04/2019	\$5,429.23	PAYROLL
		10/11/2019	\$5,429.23	PAYROLL
		10/18/2019	\$5,429.23	PAYROLL
		10/25/2019	\$5,429.23	PAYROLL
		11/01/2019	\$5,429.23	PAYROLL
		11/08/2019	\$5,429.23	PAYROLL
		11/15/2019	\$5,429.23	PAYROLL
		11/22/2019	\$5,429.23	PAYROLL
		11/29/2019	\$5,429.23	PAYROLL
		12/06/2019	\$5,429.23	PAYROLL
		12/13/2019	\$5,429.23	PAYROLL
		12/20/2019	\$5,429.23	PAYROLL
		12/27/2019	\$5,429.23	PAYROLL
		01/03/2020	\$5,429.23	PAYROLL
		01/10/2020	\$5,429.23	PAYROLL
		01/17/2020	\$5,429.23	PAYROLL
		01/24/2020	\$5,429.23	PAYROLL
		01/29/2020	\$1,250.00	DISBURSEMENTS
		01/31/2020	\$5,429.23	PAYROLL
		02/07/2020	\$6,871.54	PAYROLL
		02/14/2020	\$6,871.54	PAYROLL
		02/21/2020	\$6,871.54	PAYROLL
		02/28/2020	\$6,871.54	PAYROLL
		03/06/2020	\$6,871.54	PAYROLL
		03/13/2020	\$6,871.54	PAYROLL
		03/20/2020	\$6,871.54	PAYROLL
		03/27/2020	\$6,871.54	PAYROLL
		04/03/2020	\$6,871.54	PAYROLL
		04/10/2020	\$6,871.54	PAYROLL
		04/17/2020	\$6,871.54	PAYROLL
		04/24/2020	\$6,871.54	PAYROLL
		05/01/2020	\$6,871.54	PAYROLL
		05/08/2020	\$6,871.54	PAYROLL
		05/15/2020	\$6,871.54	PAYROLL
		05/22/2020	\$6,871.54	PAYROLL

Name	Relationship to Debtor	Payment Date	Amount	Description
		05/29/2020	\$6,871.54	PAYROLL
		06/05/2020	\$6,871.54	PAYROLL
			<b>\$309,531.54</b>	

Name	Relationship to Debtor	Payment Date	Amount	Description
JIM PETERSEN 39300 CIVIC CENTER DR. SUITE #300 FREMONT, CA 94538	CEO, BOARD MEMBER	06/14/2019	\$38,461.54	PAYROLL
		06/21/2019	\$38,461.54	PAYROLL
		06/28/2019	\$38,461.54	PAYROLL
		07/01/2019	\$20,000.00	DISBURSEMENTS
		07/01/2019	\$12,000.00	DISBURSEMENTS
		07/05/2019	\$38,461.54	PAYROLL
		07/12/2019	\$38,461.54	PAYROLL
		07/19/2019	\$38,461.54	PAYROLL
		07/26/2019	\$38,461.54	PAYROLL
		08/01/2019	\$20,000.00	DISBURSEMENTS
		08/01/2019	\$12,000.00	DISBURSEMENTS
		08/02/2019	\$38,461.54	PAYROLL
		08/09/2019	\$38,461.54	PAYROLL
		08/16/2019	\$38,461.54	PAYROLL
		08/23/2019	\$38,461.54	PAYROLL
		08/30/2019	\$38,461.54	PAYROLL
		08/30/2019	\$20,000.00	DISBURSEMENTS
		08/30/2019	\$12,000.00	DISBURSEMENTS
		09/06/2019	\$38,461.54	PAYROLL
		09/13/2019	\$38,461.54	PAYROLL
		09/20/2019	\$38,461.54	PAYROLL
		09/27/2019	\$38,461.54	PAYROLL
		10/01/2019	\$20,000.00	DISBURSEMENTS
		10/01/2019	\$12,000.00	DISBURSEMENTS
		10/04/2019	\$38,461.54	PAYROLL
		10/11/2019	\$38,461.54	PAYROLL
		10/18/2019	\$38,461.54	PAYROLL
		10/25/2019	\$38,461.54	PAYROLL
		11/01/2019	\$38,461.54	PAYROLL
		11/01/2019	\$20,000.00	DISBURSEMENTS
		11/01/2019	\$12,000.00	DISBURSEMENTS
		11/08/2019	\$38,461.54	PAYROLL
		11/15/2019	\$38,461.54	PAYROLL
		11/22/2019	\$38,461.54	PAYROLL
		11/29/2019	\$38,461.54	PAYROLL
		12/06/2019	\$38,461.54	PAYROLL
		12/10/2019	\$20,000.00	DISBURSEMENTS
		12/10/2019	\$12,000.00	DISBURSEMENTS
		12/13/2019	\$38,461.54	PAYROLL
		12/20/2019	\$38,461.54	PAYROLL
		12/27/2019	\$38,461.54	PAYROLL
		01/02/2020	\$20,000.00	DISBURSEMENTS
		01/02/2020	\$12,000.00	DISBURSEMENTS
		01/03/2020	\$38,461.54	PAYROLL
		01/10/2020	\$38,461.54	PAYROLL
		01/17/2020	\$38,461.54	PAYROLL
		01/24/2020	\$38,461.54	PAYROLL
		01/31/2020	\$38,461.54	PAYROLL
		02/05/2020	\$20,000.00	DISBURSEMENTS
		02/05/2020	\$12,000.00	DISBURSEMENTS
		02/07/2020	\$38,461.54	PAYROLL
		02/14/2020	\$19,230.77	PAYROLL
		02/21/2020	\$19,230.77	PAYROLL
		02/28/2020	\$19,230.77	PAYROLL
		03/06/2020	\$19,230.77	PAYROLL
		03/09/2020	\$20,000.00	DISBURSEMENTS

Name	Relationship to Debtor	Payment Date	Amount	Description
		03/09/2020	\$12,000.00	DISBURSEMENTS
		03/13/2020	\$19,230.77	PAYROLL
		03/20/2020	\$19,230.77	PAYROLL
		03/27/2020	\$19,230.77	PAYROLL
		03/30/2020	\$20,000.00	DISBURSEMENTS
		03/30/2020	\$12,000.00	DISBURSEMENTS
		04/03/2020	\$19,230.77	PAYROLL
		04/10/2020	\$19,230.77	PAYROLL
		04/17/2020	\$19,230.77	PAYROLL
		04/24/2020	\$19,230.77	PAYROLL
		05/01/2020	\$19,230.77	PAYROLL
		05/08/2020	\$19,230.77	PAYROLL
		05/15/2020	\$19,230.77	PAYROLL
		05/22/2020	\$19,230.77	PAYROLL
		05/29/2020	\$19,230.77	PAYROLL
		06/05/2020	\$19,230.77	PAYROLL
			<b>\$1,993,076.99</b>	



Name	Relationship to Debtor	Payment Date	Amount	Description
JOSEPH PETERSEN 39300 CIVIC CENTER DR. SUITE #300 FREMONT, CA 94538	VICE PRESIDENT, RELATIVE TO CEO/INSIDER	06/14/2019	\$3,710.02	PAYROLL
		06/21/2019	\$3,710.02	PAYROLL
		06/28/2019	\$3,710.02	PAYROLL
		07/05/2019	\$3,710.02	PAYROLL
		07/12/2019	\$3,710.02	PAYROLL
		07/19/2019	\$3,710.02	PAYROLL
		07/26/2019	\$3,710.02	PAYROLL
		08/02/2019	\$3,710.02	PAYROLL
		08/09/2019	\$3,710.02	PAYROLL
		08/16/2019	\$3,710.02	PAYROLL
		08/23/2019	\$3,710.02	PAYROLL
		08/30/2019	\$3,710.02	PAYROLL
		09/06/2019	\$3,710.02	PAYROLL
		09/13/2019	\$3,710.02	PAYROLL
		09/20/2019	\$3,710.02	PAYROLL
		09/27/2019	\$3,710.02	PAYROLL
		10/04/2019	\$3,710.02	PAYROLL
		10/11/2019	\$3,710.02	PAYROLL
		10/18/2019	\$3,710.02	PAYROLL
		10/25/2019	\$3,710.02	PAYROLL
		11/01/2019	\$3,710.02	PAYROLL
		11/08/2019	\$3,710.02	PAYROLL
		11/15/2019	\$3,710.02	PAYROLL
		11/22/2019	\$3,710.02	PAYROLL
		11/29/2019	\$3,710.02	PAYROLL
		12/06/2019	\$3,710.02	PAYROLL
		12/13/2019	\$3,710.02	PAYROLL
		12/20/2019	\$3,710.02	PAYROLL
		12/27/2019	\$3,710.02	PAYROLL
		01/03/2020	\$3,710.02	PAYROLL
		01/10/2020	\$3,710.02	PAYROLL
		01/17/2020	\$3,710.02	PAYROLL
		01/24/2020	\$3,710.02	PAYROLL
		01/31/2020	\$3,710.02	PAYROLL
		02/07/2020	\$3,710.02	PAYROLL
		02/14/2020	\$3,710.02	PAYROLL
		02/21/2020	\$3,710.02	PAYROLL
		02/28/2020	\$3,710.02	PAYROLL
		03/06/2020	\$3,710.02	PAYROLL
		03/13/2020	\$3,710.02	PAYROLL
		03/20/2020	\$3,710.02	PAYROLL
		03/27/2020	\$3,710.02	PAYROLL
		04/03/2020	\$3,710.02	PAYROLL
		04/10/2020	\$3,710.02	PAYROLL
		04/17/2020	\$3,710.02	PAYROLL
		04/24/2020	\$3,710.02	PAYROLL
		05/01/2020	\$3,710.02	PAYROLL
		05/08/2020	\$3,710.02	PAYROLL
		05/15/2020	\$3,710.02	PAYROLL
		05/22/2020	\$3,710.02	PAYROLL
		05/29/2020	\$3,710.02	PAYROLL
		06/05/2020	\$3,710.02	PAYROLL
			<b>\$192,921.04</b>	

Name	Relationship to Debtor	Payment Date	Amount	Description
MARK VOGEL 39300 CIVIC CENTER DR. SUITE #300 FREMONT, CA 94538	COO, BOARD MEMBER	06/14/2019	\$9,802.13	PAYROLL
		06/21/2019	\$9,802.13	PAYROLL
		06/28/2019	\$9,802.13	PAYROLL
		07/05/2019	\$9,802.13	PAYROLL
		07/12/2019	\$9,802.13	PAYROLL
		07/19/2019	\$9,802.13	PAYROLL
		07/26/2019	\$9,802.13	PAYROLL
		08/02/2019	\$9,802.13	PAYROLL
		08/09/2019	\$9,802.13	PAYROLL
		08/16/2019	\$9,802.13	PAYROLL
		08/23/2019	\$9,802.13	PAYROLL
		08/30/2019	\$9,802.13	PAYROLL
		09/06/2019	\$9,802.13	PAYROLL
		09/13/2019	\$9,802.13	PAYROLL
		09/20/2019	\$9,802.13	PAYROLL
		09/27/2019	\$9,802.13	PAYROLL
		10/04/2019	\$9,802.13	PAYROLL
		10/11/2019	\$9,802.13	PAYROLL
		10/18/2019	\$9,802.13	PAYROLL
		10/25/2019	\$9,802.13	PAYROLL
		11/01/2019	\$9,802.13	PAYROLL
		11/08/2019	\$9,802.13	PAYROLL
		11/15/2019	\$9,802.13	PAYROLL
		11/22/2019	\$9,802.13	PAYROLL
		11/29/2019	\$9,802.13	PAYROLL
		12/06/2019	\$9,802.13	PAYROLL
		12/13/2019	\$9,802.13	PAYROLL
		12/20/2019	\$9,802.13	PAYROLL
		12/27/2019	\$9,802.13	PAYROLL
		01/03/2020	\$9,802.13	PAYROLL
		01/10/2020	\$9,802.13	PAYROLL
		01/17/2020	\$9,802.13	PAYROLL
		01/24/2020	\$9,802.13	PAYROLL
		01/31/2020	\$9,802.13	PAYROLL
		02/07/2020	\$29,677.45	PAYROLL
		02/07/2020	\$19,230.40	PAYROLL
		02/07/2020	\$9,802.13	PAYROLL
		02/14/2020	\$16,440.68	PAYROLL
		02/21/2020	\$16,253.75	PAYROLL
		02/28/2020	\$16,253.75	PAYROLL
		03/06/2020	\$16,253.75	PAYROLL
		03/13/2020	\$16,253.75	PAYROLL
		03/20/2020	\$16,253.75	PAYROLL
		03/27/2020	\$16,253.75	PAYROLL
		04/03/2020	\$16,253.75	PAYROLL
		04/10/2020	\$16,253.75	PAYROLL
		04/15/2020	\$1,224.94	DISBURSEMENTS
		04/17/2020	\$16,253.75	PAYROLL
		04/24/2020	\$16,253.75	PAYROLL
		05/01/2020	\$9,802.13	PAYROLL
		05/08/2020	\$16,253.75	PAYROLL
		05/08/2020	\$9,615.20	PAYROLL
		05/08/2020	\$6,451.62	PAYROLL
		05/15/2020	\$16,253.75	PAYROLL
		05/22/2020	\$16,253.75	PAYROLL
		05/29/2020	\$16,253.75	PAYROLL

Name	Relationship to Debtor	Payment Date	Amount	Description
		<u>06/05/2020</u>	<u>\$16,253.55</u>	PAYROLL
			<b>\$679,323.02</b>	
STEVE DOLL 39300 CIVIC CENTER DR. SUITE #300 FREMONT, CA 94538	FORMER CFO	<u>10/24/2019</u>	<u>\$12,500.00</u>	DISBURSEMENTS
			<b>\$12,500.00</b>	

Name	Relationship to Debtor	Payment Date	Amount	Description
TRICIA PETERSEN 39300 CIVIC CENTER DR. SUITE #300 FREMONT, CA 94538	EXECUTIVE VICE PRESIDENT	06/14/2019	\$4,807.69	PAYROLL
		06/21/2019	\$4,807.69	PAYROLL
		06/28/2019	\$4,807.69	PAYROLL
		07/05/2019	\$4,807.69	PAYROLL
		07/12/2019	\$4,807.69	PAYROLL
		07/19/2019	\$4,807.69	PAYROLL
		07/26/2019	\$4,807.69	PAYROLL
		08/02/2019	\$4,807.69	PAYROLL
		08/09/2019	\$4,807.69	PAYROLL
		08/16/2019	\$4,807.69	PAYROLL
		08/23/2019	\$4,807.69	PAYROLL
		08/30/2019	\$4,807.69	PAYROLL
		09/06/2019	\$4,807.69	PAYROLL
		09/13/2019	\$4,807.69	PAYROLL
		09/20/2019	\$4,807.69	PAYROLL
		09/27/2019	\$4,807.69	PAYROLL
		10/04/2019	\$4,807.69	PAYROLL
		10/11/2019	\$4,807.69	PAYROLL
		10/18/2019	\$4,807.69	PAYROLL
		10/25/2019	\$4,807.69	PAYROLL
		11/01/2019	\$4,807.69	PAYROLL
		11/08/2019	\$4,807.69	PAYROLL
		11/15/2019	\$4,807.69	PAYROLL
		11/22/2019	\$4,807.69	PAYROLL
		11/29/2019	\$4,807.69	PAYROLL
		12/06/2019	\$4,807.69	PAYROLL
		12/13/2019	\$4,807.69	PAYROLL
		12/20/2019	\$4,807.69	PAYROLL
		12/27/2019	\$4,807.69	PAYROLL
		01/03/2020	\$4,807.69	PAYROLL
		01/10/2020	\$4,807.69	PAYROLL
		01/17/2020	\$4,807.69	PAYROLL
		01/24/2020	\$4,807.69	PAYROLL
		01/31/2020	\$4,807.69	PAYROLL
		02/07/2020	\$9,615.38	PAYROLL
		02/14/2020	\$9,615.38	PAYROLL
		02/21/2020	\$9,615.38	PAYROLL
		02/28/2020	\$9,615.38	PAYROLL
		03/06/2020	\$9,615.38	PAYROLL
		03/13/2020	\$9,615.38	PAYROLL
		03/20/2020	\$9,615.38	PAYROLL
		03/27/2020	\$9,615.38	PAYROLL
		04/03/2020	\$9,615.38	PAYROLL
		04/10/2020	\$9,615.38	PAYROLL
		04/17/2020	\$9,615.38	PAYROLL
		04/24/2020	\$9,615.38	PAYROLL
		05/01/2020	\$9,615.38	PAYROLL
		05/08/2020	\$9,615.38	PAYROLL
		05/15/2020	\$9,615.38	PAYROLL
		05/22/2020	\$9,615.38	PAYROLL
		05/29/2020	\$9,615.38	PAYROLL
		06/05/2020	\$9,615.38	PAYROLL
			<b>\$336,538.30</b>	

Name	Relationship to Debtor	Payment Date	Amount	Description
Grand Total: 6			\$3,523,890.89	