SCHWARTZ LAW, PLLC BROWN RUDNICK LLP 1 Samuel A. Schwartz, Esq. CATHRINE M. CASTALDI, #156089 Nevada Bar No. 10985 ccastaldi@brownrudnick.com 601 East Bridger Avenue 2211 Michelson Drive, Seventh Floor Las Vegas, NV 89101 Irvine, California 92612 Telephone: 702.385.5544 Telephone: (949) 752-7100 Facsimile: 702.385.2741 Facsimile: (949) 252-1514 5 Counsel for Official Committee of **Unsecured Creditors** 6 7 UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA 8 Case No.: 20-12814-mkn In re: 9 RED ROSE, INC. Jointly Administered with 10 ☐ Affects Beachhead Roofing and Supply, Inc. Case No. BK-S-20-12815-mkn 11 Case No. BK-S-20-12816-mkn ☐ Affects California Equipment Leasing Case No. BK-S-20-12818-mkn Association, Inc. 12 Case No. BK-S-20-12819-mkn ☐ Affects Beachhead Roofing and Supply, Inc. Case No. BK-S-20-12820-mkn ☐ Affects Fences 4 America, Inc. 13 Case No. BK-S-20-12821-mkn ☐ Affects James Petersen Industries, Inc. Case No. BK-S-20-12822-mkn ☐ Affects PD Solar, Inc. 14 Case No. BK-S-20-12823-mkn ☐ Affects Petersen Roofing and Solar LLC Case No. BK-S-20-12824-mkn ☐ Affects Petersen-Dean, Inc. 15 Case No. BK-S-20-12825-mkn ☐ Affects PetersenDean Hawaii LLC Case No. BK-S-20-12826-mkn ☐ Affects PetersenDean Roofing and Solar 16 Case No. BK-S-20-12827-mkn Systems, Inc. ☐ Affects PetersenDean Texas, Inc. Case No. BK-S-20-12829-mkn 17 ☐ Affects Red Rose, Inc. Case No. BK-S-20-12831-mkn ☐ Affects Roofs 4 America, Inc. Case No. BK-S-20-12833-mkn 18 ☐ Affects Solar 4 America, Inc. ☐ Affects Sonoma Roofing Services, Inc. Chapter 11 19 ☐ Affects TD Venture Fund, LLC ☐ Affects Tri-Valley Supply, Inc. ▼ Affects All Debtors 20 Debtors. 21 NOTICE OF INTENT TO SERVE SUBPOENA PURSUANT TO FED. R. BANKR. P. 22 9016 AND FED. R. CIV. P. 45 ON JAMES P. PETERSEN AND TRICIA YEH PETERSEN 23 Please take notice that a subpoena issued pursuant to Fed. R. Bankr. P. 2004 and 9016 and 24 Fed. R. Civ. P. 45 by Official Committee of Unsecured Creditors, by and through its attorney of 25 record, Schwartz Law, PLLC, will be served on James P. Petersen and Tricia Yeh Petersen 26 demanding production of the documents listed on Exhibit A of the attached subpoena (the 27

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1	I HEREBY CERTIFY that a true and correct copy of the foregoing was sent electronically
2	via the Court's CM/ECF system on October 5, 2020, to the following:
345	RYAN A. ANDERSEN on behalf of Creditors 5050 TIMBERCREEK, LLC, RSI INVESTORS LLC, and WILLIAM T. PARTRIDGE, ryan@vegaslawfirm.legal, tatiana@vegaslawfirm.legal;charlai@vegaslawfirm.legal;ecf- df8b00a4597e@ecf.pacerpro.com;notices@nextchapterbk.com
6 7	BRETT A. AXELROD on behalf of Debtors and Jnt Admin Debtors <u>baxelrod@foxrothschild.com</u> , <u>pchlum@foxrothschild.com</u> ; <u>mwilson@foxrothschild.com</u>
8	MICHAEL R. BROOKS on behalf of Creditors BEACON ROOFING and BEACON SALES ACQUISITION, Inc., mbrooks@hutchlegal.com , jversoza@hutchlegal.com
10	OGONNA M. BROWN on behalf of Interested Party THOMPSON THRIFT OBrown@lrrc.com, KPimentel@lrrc.com,ogonna-brown-4984@ecf.pacerpro.com
11 12	PETER C BROWN on behalf of Debtor RED ROSE, INC. cholt@bremerwhyte.com ;holtcr76188@notify.bestcase.com;areynolds@bremerwhyte.com
13 14	STEVEN L BRYSON on behalf of Creditor LENORE KING <u>SLBLAW1@aol.com</u> , <u>ecf.slb@gmail.com</u>
15	AARON T. CAPPS on behalf of Creditors RAMPART CONSTRUCTION COMPANY, LLC and RAMPART MULTIFAMILY, LLC <u>acapps@griffithdavison.com</u>
16 17	CATHRINE M. CASTALDI on behalf of Cred. Comm. Chair THE OFFICIAL COMMITTEE OF UNSECURED CREDITORS ccastaldi@brownrudnick.com
18 19	JEFFREY D. CAWDREY on behalf of Creditor BROWN CONSTRUCTION, INC. jcawdrey@grsm.com, sdurazo@grsm.com;madeyemo@grsm.com
20 21	VIKRAMA S. CHANDRASHEKAR on behalf of Creditor MIG REAL ESTATE and Interested Party DRY CREEK BUSINESS PARK, LLC vika.chandrashekar@moyewhite.com , pamela.thede@moyewhite.com
22 23	ROBERT M. CHARLES, JR. on behalf of Creditor LAGUNA COUNTRY MART, LTD. rcharles@lrrc.com, BankruptcyNotices@LRRLaw.com,robert-charles-1072@ecf.pacerpro.com
24 25	SHAWN CHRISTIANSON on behalf of Creditor ORACLE AMERICA, INC. schristianson@buchalter.com, cmcintire@buchalter.com
26 27 28	DAWN M. CICA on behalf of Interested Parties JIM PETERSEN and TRICIA PETERSEN dcica@carlyoncica.com, nrodriguez@carlyoncica.com; crobertson@carlyoncica.com; dmcica@gmail.com; dcica@carlyoncica.com; tosteen@carlyoncica.com; 3342887420@filings.docketbird.com

1 2	JAMIE COMBS on behalf of Creditor FIRST REPUBLIC BANK jamie.combs@akerman.com, akermanlas@akerman.com;elizabeth.streible@akerman.com				
3 4	THOMAS E. CROWE on behalf of Creditor CURRENT POWER ELECTRIC, INC. tcrowe@thomascrowelaw.com, tcrowe@lvcoxmail.com;appstcl@yahoo.com				
5	MARGUERITE LEE DEVOLL on behalf of Creditor ARGONAUT INSURANCE COMPANY mdevoll@watttieder.com				
6 7	THERESA A DRISCOLL on behalf of Creditor STERLING NATIONAL BANK tdriscoll@moritthock.com				
8 9	LARS EVENSEN on behalf of Creditor STRATEGIC CONSTRUCTION LTD. lkevensen@hollandhart.com, cabowman@hollandhart.com;krcole@hollandhart.com				
10 11	THOMAS H. FELL on behalf of Creditors LS DE, LLC and LSQ FUNDING GROUP L.C. tfell@fclaw.com , clandis@fclaw.com				
12	STEPHEN D. FINESTONE on behalf of Creditor PELL DEVELOPMENT COMPANY, INC. sfinestone@fhlawllp.com				
13 14	SCOTT D. FLEMING on behalf of Interested Party NRP CONTRACTORS II, LLC scott@fleminglawlv.com				
15 16	MICHAEL GERARD FLETCHER on behalf of Interested Party ZIONS BANCORPORATION, N.A., DBA CALIFORNIA BANK & TRUST mfletcher@frandzel.com, sking@frandzel.com				
17 18	GREGORY E GARMAN on behalf of Creditor ACF FINCO I LP and Interested Party ACF FINCO I LP ggarman@gtg.legal, bknotices@gtg.legal				
19 20	CHARLES E. GIANELLONI on behalf of Creditor TAYLOR MORRISON COMMUNITIES, INC. cgianelloni@swlaw.com, jmath@swlaw.com;mfull@swlaw.com;jstevenson@swlaw.com;docket_las@swlaw.com				
21 22	REW R. GOODENOW on behalf of Creditor CALIFORNIA SELF INSURERS SECURITY FUND ecf@parsonsbehle.com, rgoodenow@parsonsbehle.com				
23 24	MICHAEL I GOTTFRIED on behalf of Creditor ENTERPRISE FLEET MANAGEMENT, INC MGottfried@elkinskalt.com, AAburto@elkinskalt.com;MYuen@elkinskalt.com				
25 26	JAMES D. GREENE on behalf of Creditors JOHN MOURIER CONSTRUCTION and JOHN MOURIER CONSTRUCTION, INC. jgreene@greeneinfusolaw.com,				
27	fritchie@greeneinfusolaw.com;kfarney@greeneinfusolaw.com;cwalton@greeneinfusolaw.com				
28 l					

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23 ALLYSON R. JOHNSON on behalf of Creditor ICON RENO PROPERTY OWNER POOL 3 NEVADA, LLC 24

allyson@sylvesterpolednak.com, kellye@sylvesterpolednak.com

25 DAVID R. JOHNSON on behalf of Creditor ARGONAUT INSURANCE COMPANY david@drjohnsonpllc-law.com, jkneeland@watttieder.com;mdevoll@watttieder.com 26

ROBERT R. KINAS on behalf of Creditors J.F. SHEA CO., INC., J.F. SHEA CO., INC. DBA SHEA HOMES, TAYLOR MORRISON COMMUNITIES, INC., TAYLOR MORRISON OF

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MATTHEW C. ZIRZOW on behalf of Jnt Admin Debtors PD SOLAR, INC., PETERSEN ROOFING AND SOLAR LLC, PETERSEN-DEAN, INC., PETERSENDEAN ROOFING AND

SOLAR SYSTEMS, INC. and PETERSENDEAN TEXAS, INC. mzirzow@lzlawnv.com,

DENVER, CO 80202-1486

27

1	LOUIS J. CISZ, III Creditor CALIFORNIA SELF INSURERS SECURITY FUND				
2	ONE EMBARCADERO CTR., 32ND FL SAN FRANCISCO, CA 94111				
3	COLE, SCOTT, KISSANE				
4	222 LAKEVIEW AVE., SUITE 120 W. PALM BEACH, FL 33401				
5	CONWAY MACKENZIE MANAGEMENT SERVICES, LLC				
6	401 SO OLD WOODWARD AVE, STE 340 BIRMINGHAM, MI 48009				
7	MARC D COOPERSMITH				
8	Creditor GOLDEN STATE LUMBER, INC. GOLDEN STATE LUMBER, INC.				
9	855 LAKEVILLE ST., STE. 200 PETALUMA, CA 94952				
10	COURTCALL				
11	6383 ARIZONA CIRCLE LOS ANGELES, CA 90045				
12	EDGEWOOD PARTNERS INSURANCE CENTER, INC.				
13	D/B/A EPIC INSURANCE BROKERS AND CONSULTANTS 3000 EXECUTIVE PARKWAY, SUITE 325				
14	SAN RAMON, CA 94583				
15	EPIQ CORPORATE RESTRUCTURING, LLC 777 THIRD AVENUE, 12TH FLR				
16	NEW YORK, NY 10017				
17	LORI E. EROPKIN Creditor LS DE, LLC				
18	15303 VENTURA BLVD., STE 1650 SHERMAN OAKS, CA 91403				
19	LORI E. EROPKIN				
20	Creditor LSQ FUNDING GROUP L.C. 15303 VENTURA BLVD., STE 1650				
21	SHERMAN OAKS, CA 91403				
22	FILE & SERVE XPRESS, LLC 500 E. JOHN CARPENTER FRWY, SUITE 250				
23	IRVING, TX 75062				
24	EUGENE J. GEEKIE, JR. Creditor SOLARWORLD AMERICAS, INC.				
25	161 NORTH CLARK ST., STE 4200 CHICAGO, IL 60601				
26	,				
27					
28					

1	MICHAEL L GESAS	
2	Creditor SOLARWORLD AMERICAS, INC. 161 NORTH CLARK ST, STE 4200	
3	CHICAGO, IL 60601	
4	MARTIN B GREENBAUM Creditor ELITE ROOFING SUPPLY-NC, LLC	
5	GREENBAUM LAW GROUP, LLC 160 NEWPORT CENTER DRIVE, SUITE 110 NEWPORT BEACH, CA 92660	
6		
7	BRIAN P HEDSTROM Creditor GOLDEN STATE LUMBER, INC.	
8	GOLDEN STATE LUMBER, INC. 855 LAKEVILLE ST., STE. 200 PETALUMA, CA 94952	
9		
10	LISA R. HSIAO Interested Party PULTE HOMES OF CALIFORNIA, INC. 650 TOWN CENTER DR., STE 1200	
11	COSTA MESA, CA 92626-1925	
12	JAMS P.O. BOX 845402	
13	LOS ANGELES, CA 90084	
14	JHS CPAS, LLP 135 TOWN & COUNTRY DRIVE	
15	P.O. BOX 9500 DANVILLE, CA 94526	
16	NICHOLAS KOZACHENKO	
17	Creditor WASHINGTON TOWNSHIP HEALTH CARE DISTRICT GONSALVES & KOZACHENKO	
18	2201 WALNUT AVE., STE. 220 FREMONT, CA 94538	
19	PAUL KOZACHENKO	
20	Creditor WASHINGTON TOWNSHIP HEALTH CARE DISTRICT GONSALVES & KOZACHENKO	
21	2201 WALNUT AVE., STE. 220 FREMONT, CA 94538	
22	LAW FIRM OF ERIN ECKERT	
23	P.O. BOX 631494 HOUSTON, TX 77263	
24	LAW OFFICE OF MATTHEW HODROFF	
25	113 W. G STREET, SUITE 615 SAN DIEGO, CA 92101	
26	LAW OFFICE OF SAM KARIMZADEH	
27	1592 TREVOR DR. SAN JOSE, CA 95118	
28		

1	LEGAL DOCUMENT SERVER
2	7162 BEVERLY BLVD., SUITE 508 LOS ANGELES, CA 90036
3	LEWIS BRISBOIS BISGAARD & SMITH 633 WEST 5TH ST., STE. 4000
4	LOS ANGELES, CA 90071
5	DAVID W. LIVELY Creditor INDEPENDENT ELECTRIC SUPPLY 70 S. 1ST ST.
7	SAN JOSE, CA 95113
8	DAVID W. LIVELY Creditor ONE SOURCE DISTRIBUTORS, LLC
9	70 S. 1ST ST. SAN JOSE, CA 95113
10	LUH & ASSOCIATES 8987 W. FLAMINGO RD., SUITE 100
11	LAS VEGAS, NV 89147
12	JOHANNES MOEHNLE 1082 NIELSEN LANE
13	LIVERMORE, CA 94550
14	MORGAN, LEWIS & BOCKIUS LLP 1400 PAGE HILL RD.
15	PALO ALTO, CA 94304
16	HOWARD S. NEVINS Creditor INNOVA FUND I, LLC
17	2150 RIVER PLAZA DR., #450 SACRAMENTO, CA 95833
18	OGLE TREE DEAKINS
19	50 INTERNATIONAL DRIVE PATEWOOD IV, SUITE 200
20	GREENVILLE, SC 29615
21	ONELEGAL, LLC 1400 N MCDOWELL BLVD., SUITE 300
22	PETALUMA, CA 94954
23	RICHARD PEDONE Creditor CALIFORNIA SELF INSURERS SECURITY FUND
24	53 STATE STREET BOSTON, MA 02109
25	JOEL L. PERRELL, JR
26	Creditor AFS/IBEX, A DIVISION OF METABANK MILES & STOCKBRIDGE, P.C.
27	100 LIGHT ST BALTIMORE, MD 21202
28	

EXHIBIT 1

EXHIBIT 1

B2570 (Form 2570 – Subpoena to Produce Documents, Information, or Object	ets or To Permit Inspection in a Ba	ankruptcy Case or Adversary Proce	ol 2 <i>(</i> eding) (12/15)
United State	S BANKRUPTO	CY COURT	
	District of	NEVADA	
In re Red Rose, Inc., et al.			
Debtor		2.00.40044 -41	
(Complete if issued in an adversary proceeding)	Case No. BK-	S-20-12814-mknet al.	•
	Chapter1	1	
Plaintiff			
V.	Adv. Proc. No.		
Defendant			
SUBPOENA TO PRODUCE DOCUMEN INSPECTION OF PREMISES IN A BAN			
To: James P. Petersen and Tricia Yeh Petersen	rson to whom the subpoena	is directed)	
Production: YOU ARE COMMANDED to production documents, electronically stored information, or object material: See Exhibit A		*	•
PLACE		DATE AND TIME	
By electronic transmission to undersigned counsel		10/26/20	10:00 a.m.
Inspection of Premises: YOU ARE COMMAND other property possessed or controlled by you at the time may inspect, measure, survey, photograph, test, or same PLACE	ne, date, and location set	forth below, so that the re	equesting party
The following provisions of Fed. R. Civ. P. 45,	, made applicable in bank		Bankr. P. 9016, are

attached – Rule 45(c), relating to the place of compliance; Rule 45(d), relating to your protection as a person subject to a subpoena; and Rule 45(e) and 45(g), relating to your duty to respond to this subpoena and the potential consequences of not doing so.

10/05/20

CLERK OF COURT

OR

Signature of Clerk or Deputy Clerk

Attorney's signature

The name, address, email address, and telephone number of the attorney representing (name of party) Off. Comm. of Unsec. Creditors , who issues or requests this subpoena, are:

Samuel A. Schwartz, Schwartz Law, PLLC, 601 East Bridger Avenue, Las Vegas, NV, 89101, saschwartz@nvfirm.com,

Notice to the person who issues or requests this subpoena

(702) 385-5544

If this subpoena commands the production of documents, electronically stored information, or tangible things, or the inspection of premises before trial, a notice and a copy of this subpoena must be served on each party before it is served on the person to whom it is directed. Fed. R. Civ. P. 45(a)(4).

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 45.)

I received this subpoena for (name of individual and title, if any): on (date)				
I served the subpoena by delivering a copy to the named person as follows:				
on (<i>date</i>)	; or			
I returned the subpoena unexecuted because:				
Unless the subpoena was issued on behalf of the United States, or one of its officers or agents, I have also tendered to the witness the fees for one day's attendance, and the mileage allowed by law, in the amount of \$ My fees are \$ for travel and \$ for services, for a total of \$				
I declare under penalty of perjury that this information				
Date:				
	Server's signature			
	Printed name and title			
	Server's address			

Additional information concerning attempted service, etc.:

Federal Rule of Civil Procedure 45(c), (d), (e), and (g) (Effective 12/1/13) (made applicable in bankruptcy cases by Rule 9016, Federal Rules of Bankruptcy Procedure)

(c) Place of compliance.

- (1) For a Trial, Hearing, or Deposition. A subpoena may command a person to attend a trial, hearing, or deposition only as follows:
- (A) within 100 miles of where the person resides, is employed, or regularly transacts business in person; or
- (B) within the state where the person resides, is employed, or regularly transacts business in person, if the person
 - (i) is a party or a party's officer; or
- (ii) is commanded to attend a trial and would not incur substantial expense.
- (2) For Other Discovery. A subpoena may command:
- (A) production of documents, or electronically stored information, or things at a place within 100 miles of where the person resides, is employed, or regularly transacts business in person; and
 - (B) inspection of premises, at the premises to be inspected.

(d) Protecting a Person Subject to a Subpoena; Enforcement.

- (1) Avoiding Undue Burden or Expense; Sanctions. A party or attorney responsible for issuing and serving a subpoena must take reasonable steps to avoid imposing undue burden or expense on a person subject to the subpoena. The court for the district where compliance is required must enforce this duty and impose an appropriate sanction which may include lost earnings and reasonable attorney's fees on a party or attorney who fails to comply.
- (2) Command to Produce Materials or Permit Inspection.
- (A) Appearance Not Required. A person commanded to produce documents, electronically stored information, or tangible things, or to permit the inspection of premises, need not appear in person at the place of production or inspection unless also commanded to appear for a deposition, hearing, or trial.
- (B) Objections. A person commanded to produce documents or tangible things or to permit inspection may serve on the party or attorney designated in the subpoena a written objection to inspecting, copying, testing or sampling any or all of the materials or to inspecting the premises or to producing electronically stored information in the form or forms requested. The objection must be served before the earlier of the time specified for compliance or 14 days after the subpoena is served. If an objection is made, the following rules apply:
- (i) At any time, on notice to the commanded person, the serving party may move the court for the district where compliance is required for an order compelling production or inspection.
- (ii) These acts may be required only as directed in the order, and the order must protect a person who is neither a party nor a party's officer from significant expense resulting from compliance.
- (3) Quashing or Modifying a Subpoena.
- (A) When Required. On timely motion, the court for the district where compliance is required must quash or modify a subpoena that:
 - (i) fails to allow a reasonable time to comply;
- (ii) requires a person to comply beyond the geographical limits specified in Rule 45(c);
- (iii) requires disclosure of privileged or other protected matter, if no exception or waiver applies; or
 - (iv) subjects a person to undue burden.
- (B) When Permitted. To protect a person subject to or affected by a subpoena, the court for the district where compliance is required may, on motion, quash or modify the subpoena if it requires:
- (i) disclosing a trade secret or other confidential research, development, or commercial information; or

- (ii) disclosing an unretained expert's opinion or information that does not describe specific occurrences in dispute and results from the expert's study that was not requested by a party.
- (C) Specifying Conditions as an Alternative. In the circumstances described in Rule 45(d)(3)(B), the court may, instead of quashing or modifying a subpoena, order appearance or production under specified conditions if the serving party:
- (i) shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship; and
- (ii) ensures that the subpoenaed person will be reasonably compensated.

(e) Duties in Responding to a Subpoena.

- (1) Producing Documents or Electronically Stored Information. These procedures apply to producing documents or electronically stored information:
- (A) Documents. A person responding to a subpoena to produce documents must produce them as they are kept in the ordinary course of business or must organize and label them to correspond to the categories in the demand.
- (B) Form for Producing Electronically Stored Information Not Specified. If a subpoena does not specify a form for producing electronically stored information, the person responding must produce it in a form or forms in which it is ordinarily maintained or in a reasonably usable form or forms.
- (C) Electronically Stored Information Produced in Only One Form. The person responding need not produce the same electronically stored information in more than one form.
- (D) Inaccessible Electronically Stored Information. The person responding need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or for a protective order, the person responding must show that the information is not reasonably accessible because of undue burden or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.

(2) Claiming Privilege or Protection.

- (A) Information Withheld. A person withholding subpoenaed information under a claim that it is privileged or subject to protection as trial-preparation material must:
 - (i) expressly make the claim; and
- (ii) describe the nature of the withheld documents, communications, or tangible things in a manner that, without revealing information itself privileged or protected, will enable the parties to assess the claim.
- (B) Information Produced. If information produced in response to a subpoena is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has; must not use or disclose the information until the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it before being notified; and may promptly present the information under seal to the court for the district where compliance is required for a determination of the claim. The person who produced the information must preserve the information until the claim is resolved.

(g) Contempt. The court for the district where compliance is required – and also, after a motion is transferred, the issuing court – may hold in contempt a person who, having been served, fails without adequate excuse to obey the subpoena or an order related to it.

Exhibit A

DEFINITIONS AND INSTRUCTIONS

- 1. As used herein, the term "PERSON(S)" shall mean any natural person, artificial person, entity, limited liability company, partnership, corporation, firm, association or any business, legal or governmental agency.
- 2. As used herein, "AFFILIATE" shall have the meaning set forth under the BANKRUPTCY CODE.
- 3. As used herein, the terms "YOU," "YOUR," "PETERSEN," and/or "RESPONDING PARTY" shall refer to James P. Petersen, Tricia Yeh Petersen, or any PERSON other than DEBTORS acting on behalf of James P. Petersen or Tricia Yeh Petersen, under their direction or control, or any entity that is an AFFILIATE other than the DEBTORS of James P. Petersen or Tricia Yeh Petersen.
- 4. As used herein, the term "DEBTOR(S)" shall refer to the above-captioned debtors and debtors-in-possession and any PERSON acting on their behalf or under their direction or control.
- 5. As used herein, the term "TD VENTURE" shall refer to Debtor TD Venture Fund, LLC, and any PERSON acting on its behalf or under its direction or control.
- 6. As used herein, the terms "ACF" or "LENDER" shall refer to ACF Finco I, LP, any direct or indirect AFFILIATE of ACF Finco I, LP, and any PERSON acting on its behalf or under its or its AFFILIATE'S direction or control.
- 7. As used herein, the term "FRB" shall refer to First Republic Bank and any PERSON acting on its behalf or under its direction or control.
- 8. As used herein, the term "HUDSON" shall refer to Daryl Hudson, DJ Roofing and Solar Supply, LLC, Sherpaport LLC, any other AFFILIATE of Daryl Hudson, and any PERSON acting on Daryl Hudson's behalf or under his direction or control.
- 9. As used herein, the terms "HAWAII PROPERTY," "SETTLEMENT AGREEMENT," "LOAN AGREEMENT," "BANKRUPTCY CODE," "HAWAII

MORTGAGE," "PETERSEN GUARANTY," and "TD VENTURE GUARANTY" shall have the same meaning as in the Motion.

- 10. As used herein, the term "CD" shall refer to a Certificate of Deposit in the original sum of \$1 million in FRB Account xxx-xxxxx-1850.
- 11. As used herein, the term "DEBTORS-PETERSEN SETTLEMENT" shall refer to that certain Settlement Agreement and Release of All Claims executed August 26, 2020 by and between DEBTORS, on the one hand, and James P. Petersen and Tricia Yeh Petersen, on the other hand.
- 12. As used herein, the term "DOCUMENT(S)" shall mean all forms of writings and other items as set forth in Rule 34 of the Federal Rules of Civil Procedure and shall include all writings, recordings, photographs, originals and duplicates as set forth in Rule 1001 of the Federal Rules of Evidence, whether electronically stored or otherwise. For the avoidance of doubt, DOCUMENT(S) shall include without limitation COMMUNICATION(S) recorded in any tangible or electronic medium.
- 13. As used herein, the term "COMMUNICATION(S)" shall mean the recording, transmission, sending and/or receipt of information of any kind by and/or through any means, whether by a DOCUMENT, face-to-face or otherwise, including without limitation speech, writings, language (machine, foreign or otherwise), computer electronics of any kind, electronic mail, magnetic tape, videotape, photographs, graphs, symbols, code, signs, magnetic disks, sound, radio and/or video signal, telephone, teletype, text message, telecommunication, telegram, facsimile transmission, microfilm, microfiche, photographic film of any type, any application or internet-based chat program, any social media account including without limitation Facebook, Twitter, Instagram, LinkedIn, and/or Google Plus, and/or other media of any kind.
- 14. If DOCUMENTS responsive to these requests are withheld on grounds of attorney-client or any other privilege, YOU are to identify each writing by stating its date, author, originator, the date authorized or originated, the name of each person to whom the original or copy was addressed or delivered, a brief description of the type of writing, (e.g.,

"letters," "memorandum," etc.), a brief description of the subject matter of the DOCUMENT and the request to which the DOCUMENT is responsive and the privilege asserted.

- 15. If any DOCUMENT described herein was in YOUR possession, custody or control but has been disposed of, lost discarded, or destroyed, YOU are to identify such DOCUMENT, specify its author, addressee, date, subject matter, and all persons to whom copies were furnished; describe the contents of the DOCUMENTS, state when the DOCUMENTS were within YOUR possession custody or control; state the locations of the disposed DOCUMENTS and the locations of any alternative copies of lost, discarded or destroyed DOCUMENTS, the date or approximate time of the disposition, loss or destruction or discarding, the reasons for the disposition, loss, destruction or discarding, and the person responsible therefor.
- DOCUMENTS in YOUR possession, custody or control, including any and all DOCUMENTS in YOUR possession or available, to YOU or YOUR attorneys, investigators, agents, accountants, and/or other representatives, as well as any and all other persons acting directly or indirectly on YOUR behalf and/or in YOUR interest. If YOUR response is that the DOCUMENTS requested are not in YOUR possession or custody, YOU are to describe in detail the efforts YOU made to locate the DOCUMENTS. If YOUR response is that the items are not in YOUR control, YOU are to identify the person or entity in control of the DOCUMENTS and the location of the DOCUMENTS.
- 17. In producing DOCUMENTS, YOU are requested to produce the original of each DOCUMENT requested together with all non-identical copies and drafts of that DOCUMENT.
- 18. All comments, notes or signatures appearing on any DOCUMENT, and not a part of the original, are to be considered a separate DOCUMENT, and all drafts, preliminary forms or superseded versions of any DOCUMENT are also to be considered a separate DOCUMENT.
- 19. All DOCUMENTS should be produced in the same order as they are kept or maintained.

- 20. All DOCUMENTS should be produced in the file, folder, envelope or other container in which the DOCUMENTS are kept or maintained. If for any reason the container cannot be produced, please produce copies of all labels or other identifying markings.
 - 21. DOCUMENTS attached to each other must not be separated.
- 22. As used herein, the term "RELATING TO" shall mean consisting of, reflecting, referring to, regarding, concerning, involving, evidencing, constituting, or having any legal, logical, evidentiary, or factual connection with (whether to support or to rebut) the subject matter referenced.
- 23. As used herein, the singular number and the masculine gender means also the plural, feminine or neuter gender as may be appropriate, and vice versa.
- 24. As used herein, "and" and "or" are each intended to have conjunctive and disjunctive meanings, so as to be inclusive of any DOCUMENTS which otherwise may be excluded from the production.
- 25. The use of present tense includes past tense, and the use of past tense includes the present tense, so as to be inclusive of any DOCUMENTS which otherwise might be excluded from production.
 - 26. The term "all" shall be understood to mean "any and all."

METHOD OF PRODUCTION

- 27. YOU are requested to produce all DOCUMENTS in multi-page tiff format, unitized for each document and consecutively Bates numbered.
- 28. ELECRONICALLY STORED INFORMATION ("ESI") must be processed for production in its original electronic format, and not after being printed to paper or converted to PDF. All ESI shall be produced in electronic form according to the procedures set forth in ¶¶ 29-33 below.
- 29. Each document will have its own unique identifier ("Bates number"), which must be consistently formatted across the production, comprising of an alpha prefix and a fixed length number of digits (e.g., "PREFIX0000001").

- 30. All ESI will be rendered to single-page, black and white, Group IV tagged image file (".tif" or ".tiff") images with a resolution of 300 dpi, wherein the file name for each page is named after its corresponding Bates number. Records in which a color copy is necessary to interpret the document (e.g., photographs, presentations, AUTOCAD, etc.) will be rendered to higher resolution, single-page joint photographic experts group (".jpg" or ".jpeg") format. Bates numbers must be stamped on the lower right hand corner of all images. All ESI must be produced with appropriate data load files, denoting logical document boundaries. All attachments, or child records, should sequentially follow the parent record.
- 31. The following fields and metadata will be produced: Beginning Bates; Ending Bates; Beginning Bates Attachment; Ending Bates Attachment; Custodian; File Name; From; Recipient; CC; BCC; Subject; Date Sent; Time Sent; Last Modified Date; Last Modified Time; Author; Title; Date Created; Time Created; Document Extension; Page Count; MD5Hash; Text Path; Native File Path; and Confidentiality.
- 32. All ESI will be produced in a word searchable, text file format (".txt"), at the document level for all records. Such text files may be delivered as multi-page ASCII or, where appropriate, Unicode text files and named after the corresponding Bates number. All records must include: extracted text for all ESI, at the document level, wherein the text files must have page breaks that correspond to the pagination of the image file; and/or optical character recognition ("OCR") text, at the document level, for records where: (1) embedded or extracted text does not exist in the electronic document; (2) the document originated in a hard-copy format; and/or (3) OCR must be run on documents so as not to reveal the contents of any redacted material.
- 33. ESI that cannot be interpreted in an image format, or any single electronically stored document that may be voluminous and burdensome when printed to image files, may be produced in its native format, with its file name corresponding to its designated Bates number. Such files include Microsoft Excel, PowerPoint and Access file types, multimedia files (e.g., ".avi", "mpeg", ".wmv", ".mp3", etc.), AUTOCAD files, source code, and other files that may

be agreed upon by counsel. All native files produced must contain a corresponding image placeholder with appropriate endorsements, as well as extracted text.

DATE RANGE

34. The time period covered by these requests, unless otherwise specified, is January 1, 2015 through the present.

REQUESTS

REQUEST FOR PRODUCTION NO. 1:

All DOCUMENTS and COMMUNICATIONS RELATING TO the SETTLEMENT AGREEMENT.

REQUEST FOR PRODUCTION NO. 2:

All DOCUMENTS and COMMUNICATIONS RELATING TO any transfer of assets between TD VENTURE and any remaining DEBTORS.

REQUEST FOR PRODUCTION NO. 3:

All DOCUMENTS and COMMUNICATIONS RELATING TO any transfer of assets between YOU and TD VENTURE.

REQUEST FOR PRODUCTION NO. 4:

All DOCUMENTS and COMMUNICATIONS RELATING TO any transfer of assets between YOU and DEBTORS other than TD VENTURE.

REQUEST FOR PRODUCTION NO. 5:

All DOCUMENTS and COMMUNICATIONS RELATING TO any non-DEBTOR transfer of assets to TD VENTURE.

REQUEST FOR PRODUCTION NO. 6:

All DOCUMENTS and COMMUNICATIONS RELATING TO payments made by DEBTORS on behalf of YOU.

REQUEST FOR PRODUCTION NO. 7:

All DOCUMENTS and COMMUNICATIONS RELATING TO the formation of TD VENTURE.

REQUEST FOR PRODUCTION NO. 8:

All DOCUMENTS and COMMUNICATIONS RELATING TO the CD.

REQUEST FOR PRODUCTION NO. 9:

All DOCUMENTS and COMMUNICATIONS RELATING TO the \$1.5 million revolving line of credit from FRB to TD VENTURE, including but not limited to, (1) a Promissory Note in the original principal amount of \$1,500,000; (2) a Loan Agreement; (3) a Security Agreement; and (4) a Security Agreement (Deposit Account/Certificate of Deposit), all dated as of February 13, 2018.

REQUEST FOR PRODUCTION NO. 10:

All DOCUMENTS and COMMUNICATIONS RELATING TO the HAWAII PROPERTY.

REQUEST FOR PRODUCTION NO. 11:

All DOCUMENTS and COMMUNICATIONS RELATING TO the HAWAII MORTGAGE.

REQUEST FOR PRODUCTION NO. 12:

All DOCUMENTS and COMMUNICATIONS RELATING TO the TD VENTURE GUARANTY.

REQUEST FOR PRODUCTION NO. 13:

All DOCUMENTS and COMMUNICATIONS RELATING TO the Second Amendment to the LOAN AGREEMENT, including without limitation all DOCUMENTS AND COMMUNICATIONS RELATING TO the inclusion of DEBTOR Petersen Dean Hawaii, LLC as a borrower and TD VENTURE as a guarantor.

REQUEST FOR PRODUCTION NO. 14:

All valuations and/or appraisals of any of DEBTORS' property, including all real and personal property, whether tangible or intangible, or any part of DEBTORS' business.

REQUEST FOR PRODUCTION NO. 15:

All DEBTORS' tax returns, including K-1s.

REQUEST FOR PRODUCTION NO. 16:

All DOCUMENTS and COMMUNICATIONS RELATING TO the removal of Conway McKenzie, Inc. as financial advisor to the DEBTORS.

REQUEST FOR PRODUCTION NO. 17:

All credit underwriting files and credit insurance underwriting files and policies.

REQUEST FOR PRODUCTION NO. 18:

All COMMUNICATIONS with ACF.

REQUEST FOR PRODUCTION NO. 19:

All DOCUMENTS and COMMUNICATIONS RELATING TO HUDSON, including without limitation all commission agreements.

REQUEST FOR PRODUCTION NO. 20:

All DOCUMENTS and COMMUNICATIONS RELATING TO payment of commissions to TD VENTURE.

REQUEST FOR PRODUCTION NO. 21:

All DOCUMENTS and COMMUNICATIONS RELATING TO any commissions or similar incentive compensation or payments to YOU RELATING TO DEBTORS' business.

REQUEST FOR PRODUCTION NO. 22:

All DOCUMENTS and COMMUNICATIONS RELATING TO any commissions or similar incentive compensation or payments to DEBTORS RELATING TO DEBTORS' business.

REQUEST FOR PRODUCTION NO. 23:

All DOCUMENTS and COMMUNICATIONS RELATING TO DEBTORS-PETERSEN SETTLEMENT, including without limitation the withdrawal of approval from the Bankruptcy Court.

REQUEST FOR PRODUCTION NO. 24:

All PETERSEN tax returns.

REQUEST FOR PRODUCTION NO. 25:

All PETERSEN bank statements.

REQUEST FOR PRODUCTION NO. 26:

All agreements between DEBTORS and HUDSON.

REQUEST FOR PRODUCTION NO. 27:

All agreements between YOU and HUDSON.

REQUEST FOR PRODUCTION NO. 28:

All DOCUMENTS and COMMUNICATIONS RELATING TO any consideration received by TD VENTURE as part of the SETTLEMENT AGREEMENT.

REQUEST FOR PRODUCTION NO. 29:

All DOCUMENTS and COMMUNICATIONS RELATING TO any consideration received by TD VENTURE as part of the TD VENTURE GUARANTY or HAWAII MORTGAGE.

REQUEST FOR PRODUCTION NO. 30:

A schedule of all TD VENTURE'S property at the time TD VENTURE entered into the TD VENTURE GUARANTY.

REQUEST FOR PRODUCTION NO. 31:

All DOCUMENTS and COMMUNICATIONS RELATING TO the increase of the credit facility under the Second Amendment to the LOAN AGREEMENT, including without limitations any requests for an increase to the credit facility.

REQUEST FOR PRODUCTION NO. 32:

All DOCUMENTS and COMMUNICATIONS RELATING TO any revenue or cash sources received by TD VENTURE.

REQUEST FOR PRODUCTION NO. 33:

All DOCUMENTS and COMMUNICATIONS RELATING TO the PETERSEN GUARANTY.

REQUEST FOR PRODUCTION NO. 34:

All DOCUMENTS and COMMUNICATIONS RELATING TO the business operations of TD VENTURE.

REQUEST FOR PRODUCTION NO. 35:

All DOCUMENTS and COMMUNICATIONS RELATING TO any financial statements of DEBTORS or PETERSEN provided to banks, investment banker, insurance companies, customers, and vendors designating to whom the financial statements were shared, including those submitted to FRB, ACF, and HUDSON.

REQUEST FOR PRODUCTION NO. 36:

All DOCUMENTS and COMMUNICATIONS RELATING TO the execution of the SETTLEMENT AGREEMENT, including holding signatures in escrow and any further negotiations beyond the stated execution date.

REQUEST FOR PRODUCTION NO. 37:

All DOCUMENTS and COMMUNICATIONS RELATING TO all loan and other debt servicing applications for DEBTORS or PETERSEN.

REQUEST FOR PRODUCTION NO. 38:

All DOCUMENTS in support of any denial of a Request for Admission.

REQUEST FOR PRODUCTION NO. 39:

All DOCUMENTS and COMMUNICATIONS with LS DE LLC, LSQ Funding Group, L.C., or any AFFILIATE of the foregoing, including without limitation all agreements to which LS DE LLC, LSQ Funding Group, L.C., or any AFFILIATE of the foregoing is a party.