

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

|   |   |                                |
|---|---|--------------------------------|
| -----   | X |                                |
|   | : | <b>Chapter 11</b>              |
| <b>In re</b>                                  | : |                                |
|   | : | <b>Case No. 08-13555 (SCC)</b> |
| <b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b> | : |                                |
|   | : | <b>(Jointly Administered)</b>  |
| <b>Debtors.</b>                               | : |                                |
|   | : |                                |
|   | : | <b>Ref. Docket Nos. 61489,</b> |
|   | : | <b>61520, &amp; 61526</b>      |
| -----   | X |                                |

**AFFIDAVIT OF SERVICE**

STATE OF OHIO                                 )  
  ) ss.:  
COUNTY OF FRANKLIN                     )

ANDREA SPEELMAN, being duly sworn, deposes and says:

1. I am employed as Case Manager by Epiq Corporate Restructuring, LLC, located at 5151 Blazer Parkway, Suite A, Dublin, Ohio 43017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On December 12, 2022, I caused to be served *a customized* "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated December 12, 2022, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Andrea Speelman  
Andrea Speelman

Sworn to before me this  
15<sup>th</sup> day of December, 2022

/s/ Rosalyn DeMattia

Rosalyn DeMattia  
Notary Public, State of Ohio  
Commission Expires: 7/18/2022

## **EXHIBIT A**

In re  
  
LEHMAN BROTHERS HOLDINGS INC., et al.,  
  
Debtors.

Chapter 11 Case No.  
  
08-13555 (SCC)  
  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE  
TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH  
ATTN: RAYMOND NG  
LEVEL 13 & 14  
1 QUEEN'S ROAD CENTRAL  
HONG KONG  
HONG KONG

Please note that your claim # 56669-99 in the above referenced case and in the amount of  
\$100,000.00 allowed at \$51,690.42 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000213340067 \*\*\*

LBH TRFNTC (MERGE2, TXNUM2) 4000195700



CHAN YOKE MOI  
TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE  
FLAT D, 9/F, BLOCK B, MARIGOLD MANSION 2  
SHUN YUNG STREET  
HUNG HOM  
HONG KONG

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 61489 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 12/12/2022

Vito Genna, Clerk of Court

/s/Andrea Speelman

Epiq Corporate Restructuring, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on December 12, 2022.

## **EXHIBIT B**

| Claim Name   | Address Information  |
|--|--|
| CHAN YOKE MOI  | TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE, FLAT D, 9/F, BLOCK B, MARIGOLD MANSION 2, SHUN YUNG STREET, HUNG HOM HONG KONG |
| GUTTE, J.A.M.  | BEGYNENSTRAAT 27, 5341 BC OSS NETHERLANDS  |
| HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE | TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH, ATTN: RAYMOND NG, LEVEL 13 & 14, 1 QUEEN'S ROAD CENTRAL, HONG KONG HONG KONG          |
| HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE | TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH, ATTN: RAYMOND NG, LEVEL 13 & 14, 1 QUEEN'S ROAD CENTRAL, HONG KONG HONG KONG          |
| KUO CHENG HSIUNG                                       | TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE, NO. 7 ALLEY 15, LANE 148, KAIYUAN ROAD, NORTH DIST, TAINAN CITY 704016 TAIWAN  |
| PLOEGMAKERS M.A.M.                                     | TRANSFEROR: GUTTE, J.A.M., MEKELENKAMPLAAN 32, 5345 GL OSS NETHERLANDS   |

|                               |
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| <b>Total Creditor Count 6</b> |
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