

**IN THE UNITED STATES BANKRUPTCY COURT
FOR THE SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION**

In re:

ATHENEX, INC., et al.,¹

Debtors.

Chapter 11

Case No. 23-90295 (DRJ)

(Jointly Administered)

CERTIFICATION OF SERVICE

I, Diane C. Claussen, pursuant to 28 U.S.C. § 1746, certify as follows:

1. I am a paralegal employed by the law firm of Lowenstein Sandler LLP, John McKenzie (“Lead Plaintiff”), the court appointed lead plaintiff in the securities class action captioned as *In re Athenex, Inc. Securities Litigation, Case No. 21-cv-00337* (the “Securities Litigation”) pending in the United States District Court for the Western District of New York (the “District Court”), for himself and on behalf of the proposed class in the Securities Litigation (the “Class”), in the above-referenced chapter 11 cases.
2. On June 7, 2023, I caused the following document to be electronically filed with the Court, using the Court’s electronic filing system and served via the court’s CM/ECF system on the parties that have consented to electronic service of all filings:
 - *Securities Lead Plaintiff’s Limited Objection and Reservation of Rights With Respect to Sale of All, or Substantially All, of the Debtors’ Assets* [Docket No. 196] (the “Limited Objection”).

¹ A complete list of each of the Debtors in these chapter 11 cases may be obtained on the website of the Debtors’ proposed claims and noticing agent at <https://dm.epiq11.com/athenex>. The location of Athenex, Inc.’s principal place of business and the Debtors’ service address in these chapter 11 cases is 1001 Main Street, Suite 600, Buffalo, NY 14203.

3. Also on June 7, 2023, I caused the foregoing document to be served on all parties listed on the core service list attached hereto as **Exhibit A** via e-mail on those parties for whom an e-mail address is provided, and via first class mail on those parties for whom no e-mail address is provided.

I hereby certify under penalty of perjury that the foregoing statements made by me are true and correct.

Dated: June 7, 2023

/s/ Diane C. Claussen
Diane C. Claussen

EXHIBIT A

Master Service List (as of 06/07/2023 06:00:33)

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