

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE**

In re:

CASA SYSTEMS, INC., *et al.*,<sup>1</sup>

Debtors.

Chapter 11

Case No. 24-10695 (KBO)

(Joint Administration Requested)

**Ref. Docket Nos. 222, 228, 329, and 332-333**

**CERTIFICATE OF SUPPLEMENTAL SERVICE OF SOLICITATION MATERIALS**

I, Emily Young, hereby certify:

1. I am a Director of Epiq Corporate Restructuring, LLC (“Epiq”), located at 777 Third Avenue, 12<sup>th</sup> Floor, New York, New York 10017. I am authorized to submit this certificate on Epiq’s behalf. I am over the age of eighteen years and am not a party to the above-captioned action. Unless otherwise stated, I have personal knowledge of the facts set forth herein.

2. Epiq conducted service of the following materials:

- a. PDF copies of the below listed documents were served on a Flash Drive, along with a cover memo listing the documents (the “Disclosure Statement Flash Drive”);
  - i. *Second Amended Disclosure Statement for Joint Plan of Liquidation of Casa Systems, Inc, and its Debtor Affiliates* [Docket No. 333];
  - ii. *Second Amended Joint Plan of Liquidation of Casa Systems, Inc. and its Debtor Affiliates* [Docket No. 332];
  - iii. *Order (I) Scheduling a Combined Disclosure Statement Approval and Plan Confirmation Hearing; (II) Conditionally Approving the Disclosure Statement, (III) Establishing a Plan and Disclosure Statement Objection Deadline and Related Procedures; (IV) Approving the Solicitation Procedures; (V)*

---

<sup>1</sup> The Debtors in these chapter 11 cases, together with the last four digits of the Debtors’ federal tax identification number, are Casa Systems, Inc. (8867), Casa Systems Securities Corporation (1151), and Casa Properties LLC (6767). The Debtors’ service address is 100 Old River Road, Andover, MA 01810.

*Approving the Combined Notice; and (VI) Granting Related Relief* (without Exhibits) [Docket No. 222];

iv. *Supplemental Order Authorizing the Solicitation of Class 4 General Unsecured Claims and Approving Revised Solicitation Procedures* (without Exhibits) [Docket No. 329]; and

v. *Supplemental Solicitation Procedures*, attached hereto as **Exhibit 1**.

b. *Notice of (I) Hearing on the Disclosure Statement and Confirmation of the Plan of Liquidation, (III) Deadline to Cast Votes to Accept or Reject the Plan, and (IV) Notice of Objection Deadline* (the “Confirmation Hearing Notice”) [Docket No. 228];

c. *Ballot for Voting to Accept or Reject the Joint Plan of Liquidation for Casa Systems, Inc. and its Debtor Affiliates* (the “Class 4 Ballot”), attached hereto as **Exhibit 2**;

d. a pre-addressed return envelope, a copy of which is not included hereto, (the “Return Envelope”).

3. On May 17, 2024, unless otherwise indicated, true and correct copies of the above documents were served by first class mail as follows:

a. The Confirmation Hearing Notice, Disclosure Statement Flash Drive, Class 4 Ballot, customized with creditor name, voting amount and e-ballot ID, and Return Envelope were served on parties listed on **Exhibit 3** hereto.

b. Electronic links to the Confirmation Hearing Notice and the documents on the Disclosure Statement Flash Drive, and a PDF of the Class 4 Ballot, customized with creditor name, voting amount and e-ballot ID, were served via electronic mail on parties listed on **Exhibit 4** hereto.

4. All envelopes utilized in the service of the foregoing contained the following legend: “LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, LEGAL DEPARTMENT, OR PRESIDENT.”

/s/ Emily Young

Emily Young

## EXHIBIT 1

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE**

In re:

CASA SYSTEMS, INC., *et al.*,<sup>1</sup>

Debtors.

Chapter 11

Case No. 24-10695 (KBO)

(Jointly Administered)

---

**SUPPLEMENTAL SOLICITATION PROCEDURES**

---

On April 26, 2024, the United States Bankruptcy Court for the District of Delaware (the “Bankruptcy Court”) entered an order [D.I. 222] (“Disclosure Statement Order”): (a) authorizing the above-captioned debtors and debtors in possession (collectively, the “Debtors”), to solicit acceptances for the *Amended Joint Plan of Liquidation of Casa Systems, Inc. and its Debtor Affiliates* [D.I. 207] (as such may be modified, amended, or supplemented from time to time hereafter, including all exhibits and supplements thereto, the “Plan”); (b) conditionally approving the *Amended Disclosure Statement for the Joint Chapter 11 Plan of Liquidation of Casa Systems, Inc. and its Debtor Affiliates* [D.I. 208] (as such may be modified, amended, or supplemented from time to time hereafter, including all exhibits and supplements thereto, the “Disclosure Statement”)<sup>2</sup> as containing “adequate information” pursuant to section 1125 of the Bankruptcy Code; (c) approving the solicitation materials and documents to be included in the solicitation packages (the “Solicitation Packages”); and (d) approving procedures for soliciting, receiving, and tabulating votes on the Plan and for filing objections to the Plan.

On May 14, 2024 the Bankruptcy Court also entered an order [D.I. 329] (the “Supplemental Solicitation Procedures Order”) authorizing solicitation of Holders of Class 4 General Unsecured Claims and approving these supplemental solicitation procedures (the “Supplemental Solicitation Procedures”).

**I. The Voting Record Date.**

The Bankruptcy Court has approved **April 22, 2024**, as the record date for purposes of determining which Holders of Claims in Class 3 and Class 4 (the “Voting Classes”) are entitled to vote on the Plan (the “Voting Record Date”).

---

<sup>1</sup> The Debtors in these chapter 11 cases, together with the last four digits of the Debtors’ federal tax identification number, are Casa Systems, Inc. (8867), Casa Systems Securities Corporation (1151), and Casa Properties LLC (6767). The Debtors’ service address is 100 Old River Road, Andover, MA 01810.

<sup>2</sup> Capitalized terms used but not otherwise defined herein shall have the same meaning as set forth in the Plan or the Disclosure Statement, as applicable.

## II. The Voting Deadline.

The Bankruptcy Court has approved **May 28, 2024, at 5:00 p.m.** (prevailing Eastern Time) as the voting deadline (the “Voting Deadline”) for the Plan. The Debtors may extend the Voting Deadline, in their discretion (following consultation with the Committee), without further order of the Court. To be counted as votes to accept or reject the Plan, all ballots (“Ballots”) must be properly executed, completed, and delivered to the Claims, Noticing, and Solicitation Agent (as defined below) as directed on the applicable Ballot.

## III. Form, Content, and Manner of Notices.

### 1. The Solicitation Package.

The following materials shall constitute the solicitation package (the “Solicitation Package”):

- (a) Disclosure Statement (including the Plan and all other exhibits thereto);
- (b) a copy of these Solicitation Procedures;
- (c) the Combined Notice;
- (d) an appropriate form of Ballot attached to the Disclosure Statement Order as **Exhibit 4** for Class 3 Term Loan Facility Claims and attached to the GUC Solicitation Order as **Exhibit 2** for class 4 General Unsecured Claims, as applicable, together with detailed voting instructions and a pre-addressed, postage pre-paid return envelope; and
- (e) any additional documents that the Bankruptcy Court has ordered to be made available.

### 2. Distribution of the Solicitation Package.

The Solicitation Package shall provide the Plan, the Disclosure Statement, and the Disclosure Statement Order (without exhibits) in electronic format (*i.e.*, as PDFs on a USB flash drive or through the restructuring information website at <https://dm.epiq11.com/casasystems>), and all other contents of the Solicitation Package, including Ballots, shall be provided in paper format. Any party that receives the materials in electronic format but would prefer paper format may contact Epiq. (the “Claims, Noticing, and Solicitation Agent”) by: (a) writing to Casa Systems, Inc. c/o Epiq Ballot Processing, 10300 SW Allen Blvd, Beaverton, OR 97005; (b) calling the Debtors’ restructuring hotline at 877-477-4039 (toll free) or #1 971-606-5260 (international) and requesting to speak with a member of the solicitation group; or (c) emailing [casasystems@epiqglobal.com](mailto:casasystems@epiqglobal.com) and referencing “Casa Systems” in the subject line. Additionally, the Plan and Disclosure Statement and the Disclosure Statement Order (including exhibits) are also available for a fee via PACER at <https://ecf.deb.uscourts.gov/> (a PACER account is required).

Commencing on April 26, 2024 (the “Solicitation Commencement Date”), the Debtors shall mail, or cause to be mailed, the Solicitation Package to (a) all Holders of Claims in the Voting

Class who are entitled to vote, as described in Section D below, and (b) any Holder who would otherwise be entitled to vote in accordance with Section D below. In addition, the Debtors shall serve, or cause to be served, by hardcopy mail or by electronic mail the Combined Hearing Notice containing all of the materials in the Solicitation Package (excluding the Ballot and Cover Letter) in electronic format on the U.S. Trustee and all parties entitled to receive notice under Bankruptcy Rule 2002.

For purposes of serving the Solicitation Packages and Notice of Non-Voting Status Packages (as defined below) the Debtors may rely on the address information for the Voting Classes and non-Voting Classes as compiled, updated, and maintained by the Claims, Noticing, and Solicitation Agent as of the Voting Record Date. The Debtors and the Claims, Noticing, and Solicitation Agent are not required to conduct any additional research for updated addresses based on undeliverable Solicitation Packages (including Ballots) or Notice of Non-Voting Status Packages.

To avoid duplication and reduce expenses, the Debtors will make every reasonable effort to ensure that any Holder of a Claim who has filed duplicative Claims against a Debtor (whether against the same or multiple Debtors) that are classified under the Plan in the same Voting Class receives no more than one Solicitation Package (and, therefore, one Ballot) on account of such Claim and with respect to that Class as against that Debtor.

### **3. Resolution of Disputed Claims for Voting Purposes; Resolution Event.**

- (f) If a Claim in the Voting Class is subject to an objection that is filed with the Bankruptcy Court less than seven (7) days prior to the Voting Deadline, the applicable Claim shall be deemed temporarily allowed for voting purposes only, without further action by the Holder of such Claim and without further order of the Court, unless the Bankruptcy Court orders otherwise.
- (g) A “Resolution Event” means the occurrence of one or more of the following events no later than two (2) Business Days prior to the Voting Deadline:
  - i. an order of the Bankruptcy Court is entered allowing such Claim pursuant to section 502(b) of the Bankruptcy Code, after notice and a hearing;
  - ii. an order of the Bankruptcy Court is entered temporarily allowing such Claim for voting purposes only pursuant to Bankruptcy Rule 3018(a), after notice and a hearing;
  - iii. a stipulation or other agreement is executed between the Holder of such Claim and the Debtors resolving the objection and allowing such Claim, which allowance may be for voting purposes only, in an agreed-upon amount and such agreement (or notice of such agreement) is conveyed by the Debtors to the Claims, Noticing, and Solicitation Agent by electronic mail or otherwise; or
  - iv. the pending objection is voluntarily withdrawn by the objecting party.

- (h) No later than one (1) Business Day following the occurrence of a Resolution Event, the Debtors shall cause the Claims, Noticing, and Solicitation Agent to distribute via email or overnight mail a Solicitation Package and a pre-addressed, postage pre-paid envelope to the relevant Holder to the extent such Holder has not already received a Solicitation Package.

#### **4. Non-Voting Status Notices for Unimpaired Classes and Classes Deemed to Reject the Plan.**

Certain Holders of Claims and Interests that are not classified in accordance with section 1123(a)(1) of the Bankruptcy Code, or who are not entitled to vote because they are Unimpaired or otherwise presumed to accept the Plan under section 1126(f) of the Bankruptcy Code will receive only a Notice of Non-Voting Status and, if deemed to accept the Plan, an Opt-In Election Form (the “Notice of Non-Voting Status Package”), substantially in the form attached as **Exhibit 5A** to the Disclosure Statement Order. Certain Holders of Claims or Interests who are not entitled to vote because they are deemed to reject the Plan under section 1126(g) of the Bankruptcy Code will also receive the Notice of Non-Voting Status Package, substantially in the form attached as **Exhibit 5B** to the Disclosure Statement Order. Such notice will instruct these Holders as to how they may obtain copies of the documents contained in the Solicitation Package (excluding Ballots).

### **IV. Voting and Tabulation Procedures.**

#### **1. Holders of Claims Entitled to Vote.**

Only the following Holders of Claims in the Voting Classes shall be entitled to vote with regard to such Claims:

- (a) Holders of Claims in Class 3 as evidenced by the books and records of the Agent to the Term Loan Facility Claims as of the Voting Record Date.
- (b) Holders of Claims in Class 4 who, on or before the Voting Record Date, have timely filed a Proof of Claim that (i) has not been expunged, disallowed, disqualified, withdrawn, or superseded prior to the Voting Record Date and/or (ii) are listed in the Debtors’ schedules and statements filed with the Bankruptcy Court (the “Schedules”).

#### **2. Establishing Claim Amounts for Voting Purposes.**

Each Holder of a Claim in Class 3 shall be entitled to vote the amount as evidenced by the books and records of the Agent to the Term Loan Facility Claims as of the Voting Record Date. Claim amounts are pre-populated in the Ballots.

Each Holder of a Claim in Class 4 shall be entitled to vote the amount of its Claim as of the Voting Record Date, as provided below:

- (a) the Claim amount in a Proof of Claim that has been timely filed on or before the Voting Record Date (or deemed timely filed by the Court under applicable law),

except for any amounts asserted on account of any interest accrued after the Petition Date; *provided, however*, that (i) Ballots cast by Holders of Claims who timely file a Proof of Claim in respect of a contingent Claim (for example, a claim based on litigation) or in a wholly-unliquidated or unknown amount that is not the subject of a pending objection, based on a reasonable review of the Proof of Claim and supporting documentation by the Debtors or their advisors and in consultation with the Committee, will count for satisfying the numerosity requirement of section 1126(c) of the Bankruptcy Code and will count in the amount of \$1.00 solely for the purposes of satisfying the dollar amount provisions of section 1126(c) of the Bankruptcy Code; and, (ii) if a Proof of Claim is filed as partially liquidated and partially unliquidated, such Claim will be Allowed for voting purposes only in the liquidated amount;

- (b) the Claim amount listed in the Schedules, *provided* that if such Claim is scheduled as contingent, unliquidated, or disputed in the Schedules and a Proof of Claim was neither (i) filed prior to the Voting Record Date, nor (ii) deemed timely filed by an order of the Court, such Claim shall be disallowed for voting purposes; *provided, however*, if the applicable bar date has not yet passed, such Claim shall be entitled to vote in the amount of \$1.00;
- (c) in the absence of any of the foregoing, such Claim shall be disallowed for voting purposes; and
- (d) Claims that have been paid, scheduled to be paid in the ordinary course, or otherwise satisfied are disallowed for voting purposes.

### **3. Voting and Tabulation Procedures.**

The following voting procedures and standard assumptions shall be used in tabulating Ballots, subject to the Debtors' right to waive any of the below specified requirements for completion and submission of Ballots so long as such requirement is not otherwise required by the Bankruptcy Code, Bankruptcy Rules, or Local Rules:

- (a) except as otherwise provided in the Solicitation Procedures, unless the Ballot being furnished is timely submitted on or prior to the Voting Deadline (as the same may be extended by the Debtors), the votes or elections transmitted in such Ballot may be counted only at the discretion of the Debtors (following consultation with the Committee) in connection with confirmation of the Plan;
- (b) the Debtors will file with the Bankruptcy Court no later than two (2) Business Days prior to the Combined Hearing, a voting report (the "Voting Report"). The Voting Report shall, among other things, delineate every Ballot that does not conform to the voting instructions or that contains any form of irregularity including, but not limited to, those Ballots that are late or (in whole or in material part) illegible, unidentifiable, lacking signatures or lacking necessary information, received via facsimile or damaged ("Irregular Ballots"). The



Voting Report shall indicate the Debtors' intentions with regard to each Irregular Ballot;

- (c) the method of delivery of Ballots to be sent to the Claims, Noticing, and Solicitation Agent is at the election and risk of each Holder, and except as otherwise provided, a Ballot will be deemed delivered only when the Claims, Noticing, and Solicitation Agent actually receives the properly executed Ballot;
- (d) an executed Ballot is required to be submitted by the Entity submitting such Ballot. Delivery of a Ballot to the Claims, Noticing, and Solicitation Agent by facsimile, electronic email, or any electronic means other than the Claims, Noticing, and Solicitation Agent's online portal will not be valid;
- (e) no Ballot should be sent to the Debtors, the Debtors' agents (other than the Claims, Noticing, and Solicitation Agent), or to the Debtors' financial or legal advisors, and if so sent will not be counted;
- (f) if multiple Ballots are received from the same Holder with respect to the same Claim prior to the Voting Deadline, the last properly executed Ballot timely received will be deemed to reflect that voter's intent and will supersede and revoke any prior received Ballot;
- (g) Holders must vote all of their Claims within a particular Class either to accept or reject the Plan and may not split any votes. A Ballot that partially rejects and partially accepts the Plan will not be counted. Further, to the extent there are multiple Claims within the same Class, the applicable Debtor may, in its discretion, aggregate the Claims of any particular Holder within a Class for the purpose of counting votes;
- (h) Holders of Claims and Interests that may be asserted against multiple Debtors must vote such Claims and Interests either to accept or reject the Plan at each such Debtor and may not vote any such Claim and Interests to accept and one Debtor and reject at another Debtor. A Ballot that rejects the Plan for a Claim or Interest at one Debtor and accepts the Plan for the same Claim or Interest at another Debtor will not be counted;
- (i) a person signing a Ballot in its capacity as a trustee, executor, administrator, guardian, attorney in fact, officer of a corporation, or otherwise acting in a fiduciary or representative capacity of a Holder of Claims must indicate such capacity when signing and if requested by the Claims, Noticing, and Solicitation Agent, the Debtors or the Court, must submit proper evidence of its authority to act;
- (j) the Debtors, subject to a contrary order of the Court, may waive any defects or irregularities as to any particular Irregular Ballot at any time, either before or after the close of voting, and any such waivers will be documented in the Voting Report or a supplemental voting report, as applicable;

- (k) neither the Debtors, nor any other Entity, will be under any duty to provide notification of defects or irregularities with respect to delivered Ballots other than as provided in the Voting Report, nor will any of them incur any liability for failure to provide such notification;
- (l) unless waived or as ordered by the Court, any defects or irregularities in connection with deliveries of Ballots must be cured prior to the Voting Deadline or such Ballots will not be counted;
- (m) in the event a designation of lack of good faith is requested by a party in interest under section 1126(e) of the Bankruptcy Code, the Bankruptcy Court will determine whether any vote to accept and/or reject the Plan cast with respect to that Claim will be counted for purposes of determining whether the Plan has been accepted and/or rejected;
- (n) subject to any order of the Court, the Debtors reserve the right to reject any and all Ballots not in proper form, the acceptance of which, in the opinion of the Debtors would not be in accordance with the provisions of the Bankruptcy Code or the Bankruptcy Rules; *provided* that any such rejections will be documented in the Voting Report and subject to final determination by the Bankruptcy Court;
- (o) if a Claim has been estimated or a Claim has otherwise been Allowed only for voting purposes by order of the Court, such Claim shall be temporarily Allowed in the amount so estimated or Allowed by the Bankruptcy Court for voting purposes only, and not for purposes of allowance or distribution;
- (p) if an objection to a Claim is filed, such Claim shall be treated in accordance with the procedures set forth herein;
- (q) the following Ballots shall not be counted in determining the acceptance or rejection of the Plan: (i) any Ballot that is illegible or contains insufficient information to permit the identification of the Holder of such Claim; (ii) any Ballot cast by any Entity that does not hold a Claim in a Voting Class; (iii) any Ballot cast for a Claim scheduled as unliquidated, contingent, or disputed for which no Proof of Claim was timely filed by the Voting Record Date (unless the applicable bar date has not yet passed, in which case such Claim shall be entitled to vote in the amount of \$1.00); (iv) any unsigned Ballot or Ballot lacking an original signature (for the avoidance of doubt, a Ballot cast via the online balloting portal will be deemed to contain an original signature); (v) any Ballot not marked to accept or reject the Plan or marked both to accept and reject the Plan; and (vi) any Ballot submitted by any Entity not entitled to vote pursuant to the procedures described herein;
- (r) after the Voting Deadline, any claimant who had delivered a valid Ballot voting on the Plan may withdraw or change such vote solely in accordance with Bankruptcy Rule 3018(a);

- (s) the Debtors are authorized to enter into stipulations with the Holder of any Claim agreeing to the amount of a Claim for voting purposes;
- (t) where any portion of a single Claim has been transferred to a transferee, all Holders of any portion of such single Claim will be (i) treated as a single creditor for purposes of the numerosity requirements in section 1126(c) of the Bankruptcy Code (and for the other Solicitation and Voting Procedures set forth herein), and (ii) required to vote every portion of such Claim collectively to accept or reject the Plan. In the event that (x) a Ballot, (y) a group of Ballots within a Voting Class received from a single creditor, or (z) a group of Ballots received from the various Holders of multiple portions of a single Claim partially reject and partially accept the Plan, such Ballots shall not be counted; and
- (u) for purposes of the numerosity requirement of section 1126(c) of the Bankruptcy Code, separate Claims held by a single creditor in a particular Class will be aggregated and treated as if such creditor held one Claim in such Class, and all votes related to such Claim will be treated as a single vote to accept or reject the Plan; *provided* that if separate affiliated entities hold Claims in a particular Class, these Claims will not be aggregated and will not be treated as if such creditor held one Claim in such Class, and the vote of each affiliated entity will be counted separately as a vote to accept or reject the Plan.

**V. Amendments to the Plan and Solicitation and Voting Procedures**

The Debtors reserve the right to make non-substantive or immaterial changes to the Disclosure Statement, Plan, Ballots, Combined Hearing Notice, and related documents without further order of the Court, including, without limitation, changes to correct typographical and grammatical errors, if any, and to make conforming changes among the Disclosure Statement, the Plan, and any other materials in the Solicitation Packages before their distribution.

## EXHIBIT 2

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE**

In re:

CASA SYSTEMS, INC., *et al.*,<sup>1</sup>

Debtors.

Chapter 11

Case No. 24-10695 (KBO)

(Jointly Administered)

**Voting / Release Opt-In Deadline: May 28, 2024  
at 5:00 p.m. (ET)**

**BALLOT FOR VOTING TO ACCEPT OR  
REJECT THE AMENDED JOINT PLAN OF LIQUIDATION FOR  
CASA SYSTEMS, INC. AND ITS DEBTOR AFFILIATES**

**HOLDERS OF CLASS 4 SHOULD READ THIS ENTIRE BALLOT BEFORE  
COMPLETING.**

**FOR YOUR VOTE TO BE COUNTED, THIS BALLOT MUST BE COMPLETED,  
EXECUTED, AND RETURNED SO AS TO BE ACTUALLY RECEIVED BY THE  
CLAIMS, NOTICING, AND SOLICITATION AGENT BY 5:00 P.M. (PREVAILING  
EASTERN TIME) ON MAY 28, 2024 (THE “VOTING DEADLINE”). IF THIS BALLOT  
IS NOT PROPERLY COMPLETED, EXECUTED, AND RECEIVED BY THE CLAIMS,  
NOTICING, AND SOLICITATION AGENT ON OR BEFORE THE VOTING  
DEADLINE, THEN THE VOTES TRANSMITTED BY THIS BALLOT WILL NOT BE  
COUNTED.**

**YOU CAN OBTAIN COPIES IN PAPER FORMAT OF ANY SOLICITATION  
MATERIALS (A) FREE OF CHARGE BY (I) ACCESSING THE DEBTOR’S  
RESTRUCTURING WEBSITE AT [HTTPS://DM.EPIQ11.COM/CASASYSTEMS](https://dm.epiq11.com/casasystems);  
(II) WRITING TO CASA SYSTEMS, INC. C/O EPIQ BALLOT PROCESSING,  
10300 SW ALLEN BLVD., BEAVERTON, OR 97005; (III) CALLING 877-477-4039  
(TOLL FREE) OR 971-606-5260 (INTERNATIONAL) AND REQUESTING TO SPEAK  
WITH A MEMBER OF THE SOLICITATION GROUP; OR (IV) EMAILING  
[CASASYSTEMS@EPIQGLOBAL.COM](mailto:CASASYSTEMS@EPIQGLOBAL.COM) AND REFERENCING “CASA SYSTEMS” IN  
THE SUBJECT LINE; OR (B) FOR A FEE VIA PACER AT  
[HTTPS://ECF.DEB.USCOURTS.GOV/](https://ecf.deb.uscourts.gov/).**

**THE BOXES TO ACCEPT OR REJECT THE PLAN AND OPT IN TO THE RELEASES  
ARE ON PAGE 4 OF THIS BALLOT.**

<sup>1</sup> The Debtors in these chapter 11 cases, together with the last four digits of the Debtors’ federal tax identification number, are Casa Systems, Inc. (8867), Casa Systems Securities Corporation (1151), and Casa Properties LLC (6767). The Debtors’ service address is 100 Old River Road, Andover, MA 01810.

Casa Systems, Inc., and its debtor affiliates, as debtors and debtors in possession (collectively, the “Debtors”) in the above-captioned chapter 11 case, are soliciting votes with respect to the *Amended Joint Chapter 11 Plan of Liquidation of Casa Systems, Inc. and its Debtor Affiliates* [D.I. 207] (as such may be modified, amended, or supplemented from time to time hereafter, including all exhibits and supplements thereto, the “Plan”). The United States Bankruptcy Court for the District of Delaware (the “Bankruptcy Court”) has conditionally approved that certain *Amended Disclosure Statement for the Joint Plan of Liquidation of Casa Systems, Inc. and its Debtor Affiliates* [D.I. 208] (as such may be modified, amended, or supplemented from time to time hereafter, including all exhibits and supplements thereto, the “Disclosure Statement”) as containing adequate information pursuant to section 1125 of the Bankruptcy Code, by entry of an order on April 26, 2024 [D.I. 222] (the “Disclosure Statement Order”).<sup>2</sup> Bankruptcy Court approval of the Disclosure Statement does not indicate approval of the Plan by the Bankruptcy Court.

On May 14, 2024 the Bankruptcy Court also entered an order [D.I. 329] (the “GUC Solicitation Order”) authorizing solicitation of Holders of Class 4 General Unsecured Claims and approving supplemental solicitation procedures.

You are receiving this Class 4 Ballot because you are a Holder of a Class 4 Claim as of April 22, 2024 (the “Voting Record Date”). Under the terms of the Plan, as amended, Holders of Class 4 Claims are entitled to vote to accept or reject the Plan.

**If the Plan is confirmed by the Bankruptcy Court, it will be binding on you whether or not you vote or if you vote to reject the Plan.** To have your vote counted, you must complete, sign, and return this Ballot by **5:00 p.m. (prevailing Eastern Time) on May 28, 2024 (the “Voting Deadline”)**.

You should review the Disclosure Statement and the Plan before you vote. **IMPORTANT INFORMATION REGARDING THE RELEASES PROVIDED FOR IN THE PLAN IS INCLUDED IN ITEM 3 BELOW.** You may wish to seek legal advice concerning the Plan and the Plan’s classification and treatment of your Claim. Your rights are further described in the Plan and Disclosure Statement and related materials, which were included in the package (the “Solicitation Package”) you are receiving with this Class 4 Ballot. If you would like paper copies of the Plan and Disclosure Statement and other Solicitation Materials, or if you need to obtain additional Solicitation Packages, you may obtain them (a) at no charge from Epiq (the “Claims, Noticing, and Solicitation Agent”) by: (i) accessing the Debtors’ restructuring website at <https://dm.epiq11.com/casasystems/>; (ii) writing to Casa Systems, Inc. c/o Epiq Ballot Processing, 10300 SW Allen Blvd., Beaverton, OR 97005 (iii) calling 877-477-4039 (toll free) or +1 971-606-5260 (international) and requesting to speak with a member of the Solicitation Group; or (iv) emailing [casasystems@epiqglobal.com](mailto:casasystems@epiqglobal.com) and referencing “Casa Systems” in the subject line; or (b) for a fee via PACER at <https://ecf.deb.uscourts.gov/>.

---

<sup>2</sup> Capitalized terms used but not otherwise defined herein shall have the same meanings set forth in the Plan, Disclosure Statement, or Disclosure Statement Order, as applicable.

This Ballot may not be used for any purpose other than for casting votes to accept or reject the Plan, opting into the Releases by Holders of Claims, and making certain certifications with respect to the Plan. If you believe you have received this Class 4 Ballot in error, or if you believe that you have received the wrong Ballot, please contact the Claims, Noticing, and Solicitation Agent immediately at the address, or telephone number set forth above.

You should review the Disclosure Statement and the Plan and the instructions contained herein before you vote. You may wish to seek legal advice concerning the Plan and the Plan's classification and treatment of your Claim. Your Claim has been placed in Class 4 under the Plan. If you hold Claims in more than one Class, you will receive a ballot for each Class in which you are entitled to vote. **THE DEBTORS AND THE CLAIMS, NOTICING, AND SOLICITATION AGENT ARE NOT AUTHORIZED TO PROVIDE, AND WILL NOT PROVIDE, LEGAL ADVICE.**

**PLEASE SUBMIT YOUR BALLOT BY ONLY ONE OF THE FOLLOWING METHODS:**

**Via Paper Ballot.** Complete, sign, and date this Ballot and return it (with an original signature) promptly in the envelope provided or:

**If by First Class mail:**

Casa Systems, Inc.  
c/o Epiq Ballot Processing  
P.O. Box 4422  
Beaverton, OR 97076-4422

**If by overnight courier or hand delivery:**

Casa Systems, Inc.  
c/o Epiq Ballot Processing  
10300 SW Allen Blvd  
Beaverton, OR 97005

**OR**

**Via E-Ballot Portal.** Submit your Ballot via the Claims, Noticing, and Solicitation Agent's online portal, by visiting <https://dm.epiq11.com/casasystems>. Click on "E-Ballot" under the Case Actions section of the Debtors' website and follow the instructions to submit your E-Ballot.

**IMPORTANT NOTE: You will need the following information to retrieve and submit your customized E-Ballot:**

**Unique E-Ballot Password:** \_\_\_\_\_

**"E-Balloting" is the sole manner in which Ballots will be accepted via electronic or online transmission. Ballots submitted by facsimile or email will not be counted. Each E-Ballot Password is to be used solely for voting only those Claims described in Item 1 of your**

electronic Ballot. Please complete and submit an electronic Ballot for each E-Ballot Password you receive, as applicable.

Creditors who cast a Ballot using the E-Ballot Portal should NOT also submit a paper Ballot.

**Item 1. Amount of Claim.**

The undersigned hereby certifies that as of the Voting Record Date, the undersigned was the Holder of a Class 4 Claim(s) in the following aggregate unpaid principal amount:

Voting Class	Description	Amount
Class 4	General Unsecured Claims	\$ _____

**Item 2. Vote on Plan.**

The Holder of the Class 4 Claim set forth in Item 1 votes to (please check only one box):

<input type="checkbox"/> <b><u>ACCEPT</u></b> (vote FOR) the Plan	<input type="checkbox"/> <b><u>REJECT</u></b> (vote AGAINST) the Plan
-------------------------------------------------------------------	-----------------------------------------------------------------------

**Item 3. Important Information Regarding the Releases by Certain Holders of Claims.**

<p>The Holder of the Class 4 Claim against the Debtors set forth in Item 1 elects to:</p> <p><input type="checkbox"/> <b>OPT IN</b> to the third party releases set forth in Section IX.C of the Plan</p>
-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

If you submit a Ballot, and check the Opt-In box above, you will be affirmatively consenting to giving the third party releases provided in Article IX.C of the Plan.

Whether you vote to accept the Plan or reject the Plan, if you do not wish to give the third party releases provided in Article IX.C of the Plan, DO NOT check the Opt-In box above.

If you do not vote on the plan, and you do not wish to give the third party release provided in Article IX.C of the Plan, no further action is necessary.

Whether you opt in to give the third party releases provided in Article IX.C of the Plan shall have no effect on the amount of distribution you will receive under the Plan.

Article IX.C of the Plan contains the following third party releases:



As of the Effective Date, all Holders of Claims, Interests, or Causes of Action that elect to opt into the releases contained in this Section C, and all other Releasing Parties, for good and valuable consideration, including the obligations of the Debtors under the Plan and the contributions of the Released Parties to facilitate and implement the Plan, to the fullest extent permissible under applicable law, as such law may be extended or integrated after the Effective Date, each of the Releasing Parties shall be deemed to have conclusively, absolutely, unconditionally, irrevocably, and forever, released and discharged each Debtor and Released Party from any and all Claims, Interests, obligations, rights, suits, damages, Causes of Action, remedies, and liabilities whatsoever, whether liquidated or unliquidated, fixed or contingent, known or unknown, foreseen or unforeseen, existing or hereinafter arising, in law, equity, contract, tort, or otherwise, whether arising under federal or state statutory or common law, or any other applicable international, foreign, or domestic law, rule, statute, regulation, treaty, right, duty, requirement, or otherwise, including any derivative claims, asserted or assertable on behalf of any of the Debtors or their Estates, that such Entity would have been legally entitled to assert (whether individually or collectively), based on or relating to (including the formulation, preparation, dissemination, negotiation, entry into, or filing of, as applicable), or in any manner arising from, in whole or in part, the Debtors (including the management, ownership, or operation thereof) or their Estates, the purchase, sale, or rescission of the purchase or sale of any security or asset of the Debtors or the Debtor Affiliates, including, for the avoidance of doubt, any sale of any of the CAD Assets, the subject matter of, or the transactions or events giving rise to, any claim or interest that is treated in the Plan, the business or contractual arrangements between any Debtor and any Released Party, the Debtors' in- or out-of-court restructuring efforts, intercompany transactions, the Restructuring Transactions, the Sale Transactions, the Chapter 11 Cases, any Non-Debtor Insolvency Proceedings, the formulation, preparation, dissemination, negotiation, Filing, or consummation of the Disclosure Statement, the Sale Transactions, the Plan (including, for the avoidance of doubt, the Plan Supplement), or any Restructuring Transaction, contract, instrument, release, or other agreement or document created or entered into in connection with the Disclosure Statement, or the Plan, the Filing of the Chapter 11 Cases, the Cash Collateral Order, the pursuit of Confirmation, the pursuit of Consummation, the administration and implementation of the Plan, including the issuance or distribution of securities pursuant to the Plan, or the distribution of property under the Plan or any other related agreement, or upon any other related act or omission, transaction, agreement, event, or other occurrence taking place on or before the Effective Date, except for actual fraud, gross negligence, or willful misconduct as determined by a Final Order; *provided* that any right to enforce the Plan and Confirmation Order is not so released. Notwithstanding anything to the contrary in the foregoing, the releases set forth above do not release any obligations arising on or after the Effective Date of any party or Entity under the Plan, and Restructuring Transactions, or any document, instrument, or agreement (including those set forth in the Plan Supplement) executed to implement the Plan as set forth in the Plan.

Entry of the Confirmation Order shall constitute the Bankruptcy Court's approval, pursuant to Bankruptcy Rule 9019, of the releases described in this Article IX.C, which includes by reference each of the related provisions and definitions contained in this Plan, and further, shall constitute the Bankruptcy Court's finding that each release described in this Article IX.C is: (1) in exchange for good and valuable consideration provided by the

Released Parties; (2) a good-faith settlement and compromise of such Claims; (3) in the best interests of the Debtors and all Holders of Claims and Interests; (4) fair, equitable, and reasonable; (5) given and made after due notice and opportunity for hearing; and (6) an absolute and complete bar to any of the Debtors or their Estates conveying direct or derivative standing to any person or entity to pursue any claim, Cause of Action, or liability against any Released Party, or to assert any claim, Cause of Action, or liability related thereto, of any kind whatsoever, against any of the Released Parties or their property.

Article I of the Plan contains the following definitions:

***“Exculpated Party”*** means collectively, and in each case, in its capacity as such: (a) the Debtors; (b) the Debtors’ directors and officers who served at any time between the Petition Date and the Effective Date; (c) the managing members of those Debtors who are limited liability companies; (d) such Released Parties that are fiduciaries to the Debtors’ Estates; (e) the Committee; (f) the members of the Committee in their capacity as such; (g) the individual persons who served on the Committee on behalf of any member of the Committee; and (h) all Professionals retained by the Debtors and the Committee in these Chapter 11 Cases.

***“Related Party”*** means each of, and in each case in its capacity as such, current and former directors, managers, officers, committee members, members of any governing body, equity holders (regardless of whether such interests are held directly or indirectly), affiliated investment funds or investment vehicles, managed accounts or funds, predecessors, participants, successors, assigns, subsidiaries, Affiliates, partners, limited partners, general partners, principals, members, management companies, fund advisors or managers, employees, agents, trustees, advisory board members, financial advisors, attorneys (including any other attorneys or professionals retained by any current or former director or manager in his or her capacity as director or manager of an entity), accountants, investment bankers, consultants, representatives, and other professionals and advisors and any such person’s or entity’s respective heirs, executors, estates, and nominees.

***“Released Party”*** means each of the following and in each case in its capacity as such: (a) the Debtors; (b) the Consenting Term Loan Lenders; (c) the Plan Administrator; (d) the Prepetition Agents; (e) the Committee and its members, each in their capacities as such; (f) all Holders of Claims or Interests; (g) each current and former Affiliate of each Entity in clause (a) through the following clause (h); and (h) each Related Party of each Entity in clause (a) through this clause (h); *provided* that, a Released Party shall only be a Released Party if it is also a Releasing Party.

***“Releasing Party”*** means each of the following and in each case in its capacity as such: (a) the Debtor; (b) all Holders of all Claims, Interests, or Causes of Action that elect to opt into the releases contained in Section IX.C of this Plan; (c) the Committee and its members, each in their capacities as such; (d) each current and former Affiliate of each Entity in clause (a) through the following clause (e); and (e) each Related Party of each Entity in clause (a) through this clause (e); *provided* that in each case, an Entity shall not be a Releasing Party if it timely Files with the Bankruptcy Court on the docket of the Chapter 11 Cases an objection to the releases contained in the Plan that is not resolved before Confirmation.

#### **Item 4. Certifications**

By Signing this Ballot, the undersigned certifies to the Bankruptcy Court and the Debtors:

1. that as of the Voting Record Date, the undersigned is either: (a) the Holder of the Class 4 Claim(s) (General Unsecured Claims) being voted or (b) an authorized signatory such Holder;
2. that it has received the Solicitation Package and acknowledges that the solicitation is being made pursuant to the terms and conditions set forth therein;
3. that it has cast the same vote with respect to all Class 4 Claims (General Unsecured Claims) held by it or the Holder on whose behalf it is submitting this Ballot, as applicable;
4. that no other Ballots with respect to the amount of the Class 4 Claim(s) (General Unsecured Claims) identified in Item 1 have been cast or, if any other Ballots have been cast with respect to such Claim(s), then any such Ballots dated earlier are hereby revoked;
5. it acknowledges that a vote to accept the Plan constitutes an acceptance of the treatment of the Class 4 Claim(s) (General Unsecured Claims) held by it or the Holder on whose behalf it is submitting this Ballot, as applicable;
6. that it understands and, if accepting the Plan, agrees with the treatment provided under the Plan for the Class 4 Claim(s) (General Unsecured Claims) held by it or the Holder on whose behalf it is submitting this Ballot, as applicable;
7. that it understands that, if it casts a vote to accept the Plan and does not complete the Opt-In Election Form in Item 3, it or the Holder on whose behalf it is submitting this Ballot, as applicable, shall not be a “Released Party or a “Releasing Party” under the Plan;
8. that it acknowledges and understands that (a) if no Holders of Claims eligible to vote in a particular Class vote to accept or reject the Plan, the Plan shall be deemed accepted by the Holders of such Claims in such Class and (b) any Class of Claims that does not have a Holder of an Allowed Claim or a Claim temporarily allowed by the Bankruptcy Court as of the date of the Confirmation Hearing may be deemed eliminated from the Plan for purposes of voting to accept or reject the Plan and for purposes of determining acceptance or rejection of the Plan by such Class pursuant to section 1129(a)(8) of the Bankruptcy Code; and
9. that it acknowledges and agrees that the Debtors may make conforming changes to the Plan to the extent provided by Bankruptcy Rule 3019 as may be reasonably necessary and that the Debtors will not re-solicit acceptances or rejections of the Plan in the event of such conforming changes.

Name of Holder: \_\_\_\_\_  
(Print or Type)

Signature: \_\_\_\_\_

Name of Signatory:<sup>3</sup> \_\_\_\_\_  
(If other than Holder)

Title: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Telephone Number: \_\_\_\_\_

Email: \_\_\_\_\_

Date Completed: \_\_\_\_\_

**PLEASE COMPLETE, SIGN,  
AND DATE THE BALLOT AND RETURN IT  
PROMPTLY IN ACCORDANCE WITH ONE OF THE  
APPROVED SUBMISSION METHODS DESCRIBED ABOVE.  
YOUR BALLOT MUST BE ACTUALLY RECEIVED BY THE VOTING  
DEADLINE, WHICH IS 5:00 P.M. (PREVAILING EASTERN TIME) ON MAY 28, 2024**

**IF THE CLAIMS, NOTICING, AND SOLICITATION  
AGENT DOES NOT *ACTUALLY RECEIVE* THIS BALLOT BY  
MAY 28, 2024 AT 5:00 P.M. (PREVAILING EASTERN TIME), AND IF THE  
VOTING DEADLINE IS NOT EXTENDED, YOUR VOTE TRANSMITTED  
BY THIS BALLOT AND/OR OPT-IN ELECTION MAY BE COUNTED TOWARD  
CONFIRMATION OF THE PLAN ONLY IN THE DISCRETION OF THE DEBTOR,  
FOLLOWING CONSULTATION WITH THE COMMITTEE.**

<sup>3</sup> If you are completing this Ballot on behalf of another person or entity, indicate your relationship with such person or entity and the capacity in which you are signing. You may be required to provide additional information or documentation with respect to such relationship.

**Instructions for Completing Ballots**

1. The Debtors are soliciting the votes of Holders of Claims with respect to the Plan. **PLEASE READ THE PLAN AND DISCLOSURE STATEMENT CAREFULLY BEFORE COMPLETING THE BALLOT. IMPORTANT INFORMATION REGARDING THE THIRD PARTY RELEASES PROVIDED FOR IN THE PLAN, AND ELECTION TO OPT IN TO THE THIRD PARTY RELEASES, IS INCLUDED IN ITEM 3 OF THE BALLOT.**

2. The Plan can be confirmed by the Bankruptcy Court and thereby made binding upon you if it is accepted by the Holders of at least two-thirds in total dollar amount and more than one-half in number of Claims that actually vote on the Plan and if the Plan otherwise satisfies the requirements for confirmation provided by section 1129(a) of the Bankruptcy Code. The Bankruptcy Court may confirm the Plan and thereby bind you by the terms of the Plan. Please review the Disclosure Statement for more information.

3. To ensure your Ballot is counted, you **must** complete and submit this Ballot as instructed herein. **Ballots will not be accepted by electronic mail or facsimile.**

4. If you believe you have received the wrong Ballot, you should contact the Claims, Noticing, and Solicitation Agent immediately at the address, telephone number, or email address set forth below.

5. **Use of Ballot.** To ensure that your Ballot is counted, you must: (a) complete your Ballot in accordance with these instructions; (b) clearly indicate your decision either to accept or reject the Plan in the boxes provided in Item 2 of the Ballot; and (c) clearly sign and submit your Ballot as instructed herein.

6. Your Ballot **must** be returned to the Claims, Noticing, and Solicitation Agent so as to be actually received by the Claims, Noticing, and Solicitation Agent on or before the Voting Deadline. The Voting Deadline is **5:00 p.m. (prevailing Eastern Time) on May 28, 2024.**

7. If a Ballot is received after the Voting Deadline and if the Voting Deadline is not extended, it may be counted only in the discretion of the Debtors, following consultation with the Committee. Additionally, the following Ballots will not be counted:

- a. any Ballot that partially rejects and partially accepts the Plan;
- b. Ballots sent to the Debtors, the Debtors' agents (other than the Claims, Noticing, and Solicitation Agent), or to the Debtors' financial or legal advisors, and if so sent will not be counted;
- c. any Ballot that is illegible or contains insufficient information to permit the identification of the Holder of the Claim;
- d. Ballots sent by electronic mail or facsimile;

- e. any Ballot cast by a person who does not hold, or represent a person that holds, a Claim in Class 4;
- f. any Ballot submitted by a Holder not entitled to vote pursuant to the Plan;
- g. any unsigned Ballot (for the avoidance of doubt, Ballots validly submitted through the E-Ballot Portal will be deemed signed);
- h. any non-original Ballot (for the avoidance of doubt, Ballots validly submitted through the E-Ballot Portal will be deemed original); and/or
- i. any Ballot not marked to accept or reject the Plan or any Ballot marked both to accept the Plan.

8. The method of delivery of Ballots to the Claims, Noticing, and Solicitation Agent is at the election and risk of each Holder of a Class 4 Claim (General Unsecured Claims). Except as otherwise provided herein, such delivery will be deemed made only when the Claims, Noticing, and Solicitation Agent actually receives the originally executed Ballot. In all cases, Holders should allow sufficient time to assure timely delivery.

9. If multiple Ballots are received from the same Holder of a Class 4 Claim (General Unsecured Claims) with respect to the same Class 4 Claim prior to the Voting Deadline, the latest, timely received, and properly completed Ballot will supersede and revoke any earlier received Ballots.

10. You must vote all of your Class 4 Claim (General Unsecured Claims) either to accept or reject the Plan and may not split your vote.

11. This Ballot does **not** constitute, and shall not be deemed to be, (a) a Proof of Claim or (b) an assertion or admission of a Claim.

12. **Please be sure to sign and date your Ballot.** If you are signing a Ballot in your capacity as a trustee, executor, administrator, guardian, attorney in fact, officer of a corporation, or otherwise acting in a fiduciary or representative capacity, you must indicate such capacity when signing and, if required or requested by the Claims, Noticing, and Solicitation Agent, the Debtors, or the Bankruptcy Court, must submit proper evidence to the requesting party to so act on behalf of such Holder. In addition, please provide your name and mailing address if it is different from that set forth on the attached mailing label or if no such mailing label is attached to the ballot.

**IF YOU HAVE ANY QUESTIONS REGARDING THIS BALLOT, THESE VOTING INSTRUCTIONS, OR THE PROCEDURES FOR VOTING, PLEASE CALL THE CLAIMS, NOTICING, AND SOLICITATION AGENT AT:**

**U.S. TOLL FREE: 877-477-4039**

**INTERNATIONAL: #1 971-606-5260**

**EMAIL: CASASYSTEMS@EPIQGLOBAL.COM AND REFERENCE “CASA  
SYSTEMS” IN THE SUBJECT LINE**

**PLEASE SUBMIT YOUR BALLOT PROMPTLY**

**IF THE CLAIMS, NOTICING, AND SOLICITATION AGENT DOES NOT ACTUALLY  
RECEIVE THIS BALLOT ON OR BEFORE THE VOTING DEADLINE, WHICH IS ON  
MAY 28, 2024 AT 5:00 P.M. (PREVAILING EASTERN TIME), AND IF THE VOTING  
DEADLINE IS NOT EXTENDED, YOUR VOTE TRANSMITTED HEREBY MAY BE  
COUNTED ONLY IN THE DISCRETION OF THE DEBTOR, FOLLOWING  
CONSULTATION WITH THE COMMITTEE.**

### EXHIBIT 3



Claim Name	Address Information
94-104 GLENN ST OWNER LLC	2 PARK AVE FL 14 NEW YORK NY 10016
ADP LLC	ONE ADP DRIVE MS-100 AUGUSTA GA 30909
AIRSPAN NETWORKS INC.	C/O POLSINELLI PC RUSSELL JONES JR. 900 W. 48TH PLACE, SUITE 900 KANSAS CITY MO 64112
ALTO STRATUS LLC	PO BOX 324 COLLEYVILLE TX 76034
AMAZON.COM	PO BOX 035184 SEATTLE WA 98124-5184
AMERICAN ALARM AND COMMUNICATIONS	297 BROADWAY ARLINGTON MA 02474
ANGLE, RICHARD	ADDRESS ON FILE
AQUA LABORATORIES INC	8 INDUSTRIAL WAY AMESBURY MA 01913
ARIBA INC	3420 HILLVIEW AVE BUILDING 3 PALO ALTO CA 94304
ASH STREET PARTNERS	285 GEORGE ST LEVEL 5, NSW SYDNEY 2000 AUSTRALIA
AVNET	BOX 70390 CHICAGO IL 60673-0390
AVNET INC	2211 S 47TH ST PHOENIX AZ 85034
BAKER AND MCKENZIE	14TH FLOOR, ONE TAIKOO PLACE, 979 KINGS ROAD QUAARY BAY HONG KONG
BARCLAYS CAPITAL, INC.	C/O: SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP, ATTN: JAMES CARROLL 500 BOYLSTON STREET BOSTON MA 02116
BEAVER VALLEY	36150 ROUTE 187 ROME PA 18837-8215
BGR GOVERNMENT AFFAIRS LLC	601 THIRTEENTH STREET NW ELEVENTH FLOOR SOUTH WASHINGTON DC 20005
BLACKLINE SYSTEMS, INC.	21300 VICTORY BLVD. 12TH FL WOODLAND HILLS CA 91367
BLUE JEANS NETWORK, LLC	1095 AVENUE OF THE AMERICAS FLOOR 8 NEW YORK NY 10036
BODDU, NAGARJUNA	ADDRESS ON FILE
BRIGHTEDGE TECHNOLOGIES INC	989 E HILLSDALE BLVD SUITE 300 FOSTER CITY CA 94404
BUCKLAND SECURITY SERVICES	2 SURVEY CIRCLE UNIT 2 A NORTH BULLERICA MA 01862
BUREAU VERITAS CONSUMER PRODUCTS	SERVICES INC 100 NORTHPOINTE PARKWAY BUFFALO NY 14228-1884
CABLE ONE, INC.	210 E EARLL DR PHOENIX AZ 85012-2626
CABLELABS	ATTN: ACCOUNTS PAYBLE 858 COAL CREEK CIR FACILITIES@CABLELABS.COM LOUISVILLE CO 80027-9750
CAROLINE REICHERT	ADDRESS ON FILE
CDW	ATTN RONELLE ERICKSON 200 N MILWAUKEE AVE VERNON HILLS IL 60061
CDW	200 N. MILWAUKEE AVE VERNON HILLS IL 60061
CE TECH NEW ENGLAND LLC	220 NORWOOD PARK SOUTH SUITE 206 NORWOOD MA 02062-4688
CHANDRA, MADHU	ADDRESS ON FILE
CHARTER COMMUNICATIONS, INC	C/O CHARTER COMMUNICATIONS DANIEL BOGLIOLI 400 WASHINGTON BLVD STAMFORD CT 06902
CHEN, WEIDONG	ADDRESS ON FILE
COLLIER III, JAMES	ADDRESS ON FILE
COMMONWEALTH OF MA DEPT OF FIRE SERVICES	BOILER INSPECTION PROGRAM BOSTON MA 02241-7599
CONCUR TECHNOLOGIES	62157 COLLECTIONS CENTER DRIVE CHICAGO IL 60693
D AND G ONE LLC	92 BILLERICA AVE N BILLERICA MA 01862
DATASITE LLC	733 S MARQUETTE AVE SUITE 600 MINNEAPOLIS MN 55402
DE CARDENAS, ALFREDO	ADDRESS ON FILE
DELAWARE SECRETARY OF STATE	PO BOX 5509 BINGHAMTON NY 13902-5509
DELL MARKETING L.P.	C/O DELL USA L.P PO BOX 643561 PITTSBURGH PA 15264-3561
DHL	16592 COLLECTIONS CENTER DR CHICAGO IL 60693
DIGITAL MEDIA INNOVATIONS LLC	770 N HALSTED ST, STE 500 CHICAGO IL 60642
DIGITAL MEDIA INNOVATIONS LLC	11808 MIRACLE HILLS DR OMAHA NE 68154
DONNELLEY FINANCIAL SOLUTIONS	PO BOX 842282 BOSTON MA 02284 2282
DOUBLETREE BY HILTON BOSTON/ANDOVER	123 OLD RIVER RD ANDOVER MA 01810
E2OPEN	9600 GREAT HILLS TRAIL SUITE 300E AUSTIN TX 78759

Claim Name	Address Information
EAGLE LEASING COMPANY	1 IRVING EAGLE PLACE ORANGE CT 06477
EDGECORE NETWORKS CORPORATION	NO.1 CREATION RD III HSINCHU SCIENCE PARK HSINCHU 30077 TAIWAN
ELEVATION 3D LLC	905 HARTFORD TPKE SHREWSBURY MA 01545
ELEVATION 3D LLC	905 HARTFORD TURNPIKE SHREWSBURY MA 01545
EMPIRE CLEANING INC	669 MAIN ST SUITE #4 WAKEFIELD MA 01880
ENTROPIC COMMUNICATIONS, LLC	C/O CHARTER COMMUNICATIONS DANIEL BOGLIOLI 400 WASHINGTON BLVD STAMFORD CT 06902
EPICOR SOFTWARE CORPORATION	31875 SOLON RD SOLON OH 44139
EQUINITI TRUST COMPANY, LLC	6201 15TH AVENUE BROOKLYN NY 11219
ERICSSON	C/O ERICSSON YONN ELIAS TORSHAMNSGATAN 23, 164 83 STOCKHOLM SWEDEN
ETRADE	PO BOX 3512 ARLINGTON VA 22203
FAN, YANHE	ADDRESS ON FILE
FEDEX ACCOUNT 2778-6426-6	PO BOX 371461 PITTSBURGH PA 15250-7461
FEDEX ACCOUNT 6463-9884-3	P.O BOX 371461 PITTSBURGH PA 15250-7461
FIDELITY	CABLE AMERICA 11422 SCHENK DR. MARYLAND HEIGHTS MO 63043
FILION WAKELY THORUP ANGELETTI LLP	333 BAY ST SUITE 2500 TORONTO ON M5H 2R2 CANADA
FLASH GLOBAL	211 WEAVER ST #1 FALL RIVER MA 02720
FS.COM	6450 VIA DEL ORO SAN JOSE CA 95119
GAUDET, ERIC	ADDRESS ON FILE
GIGAMONSTER	PO BOX 7619 MARIETTA GA 30065-1619
GM LAW PC	1201 WALNUT ST SUITE 2000 KANSAS CITY MO 64106
GOVDOCS INC	PO BOX 9202 MINNEAPOLIS MN 55480-9202
GRAINGER SUPPLY	121 MARSTON ST LAWRENCE MA 01841-2252
HO LEUNG, CHUN	ADDRESS ON FILE
HOYNCK BV	ECI11 MA ROERMOND 6041 NETHERLANDS
HURLEY, PAMELA	ADDRESS ON FILE
HYDRA TECH INC	180 PRATTS JUNCTION RD STERLING MA 01564
ICIMS INC	101 CRAWFORDS CORNER RD. SUITE 3-100 HOLMDEL NJ 07733
ILLINOIS VALLEY CELLULAR	C/O HOLLAND & KNIGHT LLP COURTNEY WORCESTER 10 ST. JAMES AVENUE BOSTON MA 02116
ILLINOIS VALLEY CELLULAR	200 RIVERFRONT DR MARSEILLES IL 61341-9541
ILLINOIS VALLEY CELLULAR LLC	ATTN DANIEL HOPKINS 295 E SWEDESFORD RD, STE 356 WAYNE PA 19087
INDEED INC	MAIL CODE 5160 PO BOX 660367 DALLAS TX 75266-0367
INDEPENDENT STOCK PLAN ADVISORS	598 WASHINGTON ST DUXBURY MA 02332
INSIGHT	PO BOX 731069 DALLAS TX 75373-1069
INTEGRIS LLC	PO BOX 674539 DALLAS TX 75267
IP INFUSION	1188 EAST ARQUES AVE SUNNYVALE CA 94085
IPCOM, GMBH & CO. KG	C/O T-MOBILE US, INC. SARAH KALEMERIS 13920 SE EASTGATE WAY, WA-SUNSET 2 BELLEVUE WA 98005
JACKSON LEWIS P.C	1133 WESTCHESTER AVE SUITE S125 WEST HARRISON NY 10604
JANITECH	60 PINE ST METHUEN MA 01844
JOHN SHEN	ADDRESS ON FILE
K.MIZRA LLC	C/O T-MOBILE US, INC. SARAH KALEMERIS 13920 SE EASTGATE WAY, WA-SUNSET 2 BELLEVUE WA 98005
KONG, HONGWEI	ADDRESS ON FILE
KONICA MINOLTA PREMIER FINANCE	PO BOX 41602 PHILADELPHIA PA 19101 1602
LEWIS, DAVID	ADDRESS ON FILE
LI, LING	ADDRESS ON FILE
LINKEDIN	2029 STIERLIN CT MOUNTAIN VIEW CA 94043
LOT NETWORK	2055 E WARNER RD TEMPE AZ 85284

Claim Name	Address Information
LU, GANG	ADDRESS ON FILE
LUXON COMMUNICATIONS	744 GOLDEN OAK COURT SUITE 5 SUNNYVALE CA 94086
LY, DAVID	ADDRESS ON FILE
MACUARIE CAPITAL (USA), INC.	C/O: SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP, ATTN: JAMES CARROLL 500 BOYLSTON STREET BOSTON MA 02116
MAXIM INTERGRATED	160 RIO ROBLES SAN JOSE CA 95134
MERRIMACK VALLEY CORPORATION	15 AEGEAN DRIVE #3 METHUEN MA 01844
MICROSOFT CORPORATION	ONE MICROSOFT WAY REDMOND WA 98052
MIRZA R. BAIG	ADDRESS ON FILE
MO, BIN	ADDRESS ON FILE
MOBILTECH GLOBAL SERVICES LLC	4710 MERCANTILE DRIVE FORT WORTH TX 76137
MORGAN STANLEY & CO., LLC	C/O: SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP, ATTN: JAMES CARROLL 500 BOYLSTON STREET BOSTON MA 02116
NADEZHDA ZHUKOVA AND ALEX NOVOSELSKY	ADDRESS ON FILE
NASDAQ INC	ATTN JOANNE PEDONE 805 KING FARM BLVD ROCKVILLE MD 20850
NASDAQ INC	PO BOX 780200 PHILADELPHIA PA 19178 0200
NATIONAL QUALITY ASSURANCE	289 GREAT RD SUITE 105 ACTION MA 01720
NBN CO.	TOWER 5, LEVEL 14 727 COLLINS STREET DOCKLANDS, VIC 3008 AUSTRALIA
NEPTUNE WEB INC.	955 MASSACHUSETTS AVE # 130 CAMBRIDGE MA 02139
NEXTEL SYSTEMS, LLC	C/O POLSINELLI PC RUSSELL JONES JR. 900 W. 48TH PLACE, SUITE 900 KANSAS CITY MO 64112
NODAL TECHNOLOGIES LLC	C/O T-MOBILE Nanci LONDON 6360 SPRINT PARKWAY, KSOPHE03-3111A OVERLAND PARK KS 66251
NOREL SERVICE COMPANY, INC	230 2ND AVE WALTHAM MA 02451
NORTHEAST ELECTRICAL DISTRIBUTORS	560 OAK STREET BROCKTON MA 02301
NORTHLAND SECURITIES, INC.	C/O: SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP, ATTN: JAMES CARROLL 500 BOYLSTON STREET BOSTON MA 02116
OPAL BUSINESS SOLUTIONS LLC	608 E MURRAY RD SUITE 102 MCMURRAY PA 15317
OPEN RAN POLICY COALITION	PO BOX 33664 WASHINGTON DC 20033
ORACLE AMERICA INC	500 ORACLE PARKWAY REDWOOD SHORES CA 94065
ORBIS COMPLIANCE LLC	15105 CONCORD CIRCLE SUITE 230 MORGAN HILL CA 95037
PEDRUELO, FACUNDO	ADDRESS ON FILE
PIQUETTE AND HOWARD ELECTRIC SERVICE LLC	222 PLAISTOW RD PLAISTOW NH 03865
PODDATURI, MOUNIKA	ADDRESS ON FILE
PROSKAUER ROSE LLP	ONE INTERNATIONAL PLACE BOSTON MA 02110-2600
QUALCOMM TECHNOLOGIES INC	5775 MOREHOUSE DRIVE SAN DIEGO CA 92121-1714
RAYMOND JAMES & ASSOCIATES	C/O: SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP, ATTN: JAMES CARROLL 500 BOYLSTON STREET BOSTON MA 02116
RED HAT INC	100 EAST DAVIE STREET RALEIGH NC 27601
REDWOOD TECHNOLOGIES, LLC	C/O DOMINION HARBOR GROUP DAVID PRIDHAM 812 WEST MCDERMOTT DR. #1038 ALLEN TX 75013
ROHDE AND SCHWARZ GERMANY	AUGUSTUSPLATZ 9 LEIPZIG 04109 GERMANY
ROHDE AND SCHWARZ, INC.	6821 BENJAMIN FRANKLIN DR COLUMBIA MD 21046
S AND C PROMOTIONAL SALES	105 MAPLEWOOD AVE PO BOX 1136 GLOUCESTER MA 01930
SALESFORCE	P.O BOX 203141 DALLAS TX 75320-3141
SANMINA	2700 N. FIRST ST SAN JOSE CA 95134
SCRATCH MARKETING + MEDIA LLC	84 SHERMAN ST CAMBRIDGE MA 02140
SCTE CABLE TEC	140 PHILIPS RD EXTON PA 19341-1318
SERVICE EXPRESS, LLC	3855 SPARKS DR. SE GRAND RAPIDS MI 49546

Claim Name	Address Information
SGS VIETNAM LTD	198 NGUYEN THI MINH KHAI ST VO THI SAU WARD HO CHI MINH CITY VIETNAM
SHRED-IT	2C GILL ST WOBURN MA 01801
SILKROAD TECHNOLOGY	102 WEST THIRD ST SUITE 250 WINSTON-SALEM NC 27101
SINGH, PANKAJ	ADDRESS ON FILE
SISVEL INTERNATIONAL S.A.	C/O SISVEL INTERNATIONAL S.A. ANDREA ROMBOLA, 4TH FLOOR OF IMMEUBLE CUBUS C2, 2 RUE PETERNELCHEN L-2370 HOWALD LUXEMBOURG
SNMP RESEARCH INTERNATIONAL INC	3001 KIMBERLIN HEIGHTS ROAD KNOXVILLE TN 37920-9716
SOON TECK ANG, GIBSON	ADDRESS ON FILE
SOUND AND VISION MEDIA	372 SQUIRE RD REVERE MA 02151
SPECTRALICENSING GROUP LLC	C/O SPECTRALICENSING GROUP LLC ERIK JOHNSON 2907 SHELTER ISLAND DRIVE, SUITE 105-279 SAN DIEGO CA 92106
SPIRENT COMMUNICATIONS	27349 AGOURA RD CALABASAS CA 91302
SPRINT COMMUNICATIONS COMPANY L.P	C/O POLSINELLI PC RUSSELL JONES JR. 900 W. 48TH PLACE, SUITE 900 KANSAS CITY MO 64112
SPRINT CORP.	C/O T-MOBILE US, INC. SARAH KALEMERIS 13920 SE EASTGATE WAY, WA-SUNSET 2 BELLEVUE WA 98005
SPRINT SOLUTIONS, INC	C/O POLSINELLI PC RUSSELL JONES JR. 900 W. 48TH PLACE, SUITE 900 KANSAS CITY MO 64112
SPRINT SPECTRUM L.P	C/O T-MOBILE US, INC. SARAH KALEMERIS 13920 SE EASTGATE WAY, WA-SUNSET 2 BELLEVUE WA 98005
SPRINT SPECTRUM LLC	C/O POLSINELLI PC RUSSELL JONES JR. 900 W. 48TH PLACE, SUITE 900 KANSAS CITY MO 64112
STERLING CORPORATION	20 INDUSTRIAL AVE CHELMSFORD MA 01824
STIFEL NICHOLAUS & COMPANY	C/O: SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP, ATTN: JAMES CARROLL 500 BOYLSTON STREET BOSTON MA 02116
SUGA, EMIKO	ADDRESS ON FILE
SUMMA NETWORKS SPAIN SL	CALLE DUQUE DE RIVAS 5 MADRID 28012 SPAIN
T-MOBILE US, INC.	C/O T-MOBILE US, INC. SARAH KALEMERIS 13920 SE EASTGATE WAY, WA-SUNSET 2 BELLEVUE WA 98005
T-MOBILE USA, INC.	C/O T-MOBILE Nanci LONDON 6360 SPRINT PARKWAY, KSOPHE03-3111A OVERLAND PARK KS 66251
THE BROADBAND FORUM	5177 BRANDIN COURT FREMONT CA 94538
THE HILLER COMPANIES LLC	3751 JOY SPRINGS DRIVE MOBILE AL 36691
THOMAS, ALICIA	ADDRESS ON FILE
TOWN OF ANDOVER	COLLECTOR TREASUER P.O BOX 99 ANDOVER MA 01810
TRANSPERFECT TRANSLATIONS INT'L INC	100 HIGH ST SUITE 502 BOSTON MA 02110
TWI GROUP INC	6425 MONTESSOURI STREET LAS VEGAS NV 89113
USCC US CELLULAR	PO BOX 628430 MIDDLETON WI 53562-8430
VALTRUS INNOVATIONS INTERNATIONAL	C/O CHARTER COMMUNICATIONS DANIEL BOGLIOLI 400 WASHINGTON BLVD STAMFORD CT 06902
VECTONE MOBILE HOLDING LIMITED	C/O ADAMS LAW LLP SENAN BURKE 3 HERBERT STREET DUBLIN 2 D02 CT85 IRELAND
VERIZON NET HEADQUARTERS	ACCOUNTS PAYABLE PO BOX 21074 TULSA OK 74121-1074
VERTEX SMB	1041 OLD CASSATT ROAD BERWYN PA 19312
W.B. MASON	PO BOX 111 / 59 CENTRE ST. BROCKTON MA 02303
WALTHAM SERVICES LLC	2170 PIEDMONT RD NE ATLANTA GA 30324
WANG, YONGQIAN	ADDRESS ON FILE
WEST PUBLISHING	PO BOX 6292 CAROL STREAM IL 60197-6292
WHEELABRATOR HOLDCO 1 INC	90 ARBORETUM DR SUITE 310 PORTSMOUTH NH 03801-7833
WILLIAM BLAIRE & CO. LLC	C/O: SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP, ATTN: JAMES CARROLL 500 BOYLSTON STREET BOSTON MA 02116
WORLDCOM EXCHANGE INC	43 NORTHWESTEN DR SALEM NH 03079

Claim Name	Address Information
ZHANG, YUYONG	ADDRESS ON FILE
ZOOM VIDEO COMMUNICATIONS INC	55 ALMADEN BLVD 6TH FLOOR SAN JOSE CA 95113

**Total Creditor count 174**

EXHIBIT 4

Name	Email Address
EDGECORE NETWORKS CORPORATION	KEVIN_HSIEH@EDGE-CORE.COM
PEDRUELO, FACUNDO	EMAIL ADDRESS ON FILE
ANGLE, RICHARD	EMAIL ADDRESS ON FILE
AVNET INC	MARY.PLETCHER@AVNET.COM
CABLE ONE, INC.	AP.INVOICES@CABLEONE.BIZ;
CDW	RONEERI@CDW.COM
CHANDRA, MADHU	EMAIL ADDRESS ON FILE
CHEN, WEIDONG	EMAIL ADDRESS ON FILE
COLLIER III, JAMES	EMAIL ADDRESS ON FILE
DE CARDENAS, ALFREDO	EMAIL ADDRESS ON FILE
DIGITAL MEDIA INNOVATIONS LLC	STEPHANIE.BANKS@NOTIFIED.COM
ELEVATION 3D LLC	EDEVARENNE@ELEVATION3D.COM
FAN, YANHE	EMAIL ADDRESS ON FILE
GAUDET, ERIC	EMAIL ADDRESS ON FILE
HURLEY, PAMELA	EMAIL ADDRESS ON FILE
ILLINOIS VALLEY CELLULAR LLC	DHOPKINS@CELLONENATION.COM
KONG, HONGWEI	EMAIL ADDRESS ON FILE
LEWIS, DAVID	EMAIL ADDRESS ON FILE
LI, LING	EMAIL ADDRESS ON FILE
LU, GANG	EMAIL ADDRESS ON FILE
LY, DAVID	EMAIL ADDRESS ON FILE
MO, BIN	EMAIL ADDRESS ON FILE
NADEZHDA ZHUKOVA AND ALEX NOVOSELSKY	EMAIL ADDRESS ON FILE
NASDAQ INC	JOANNE.PEDONE@NASDAQ.COM
SINGH, PANKAJ	EMAIL ADDRESS ON FILE
SOON TECK ANG, GIBSON	EMAIL ADDRESS ON FILE
SUGA, EMIKO	EMAIL ADDRESS ON FILE
THOMAS, ALICIA	EMAIL ADDRESS ON FILE
WANG, YONGQIAN	EMAIL ADDRESS ON FILE
ZHANG, YUYONG	EMAIL ADDRESS ON FILE