

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

In re:

2U, Inc., *et al.*,

Debtors.¹

Chapter 11

Case. No 24-11279 (MEW)

(Jointly Administered)

Ref. Docket Nos. 171, 172, & 174

CERTIFICATE OF SERVICE

I, ANDREA SPEELMAN, hereby certify that:

1. I am employed as a Case Manager by Epiq Corporate Restructuring, LLC, with their principal office located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On September 6, 2024, I caused to be served the:
 - a. “Order Authorizing the Employment and Retention of Moelis & Company LLC as Investment Banker to the Debtors Effective as of the Petition Date,” dated September 6, 2024 [Docket No. 171], (the “Retention Order”),
 - b. “Notice of Filing of Revised Order (A) Approving (I) the Disclosure Statement and (II) Confirming the Second Amended Joint Prepackaged Plan of Reorganization of 2U, Inc. and its Debtor Affiliates Under Chapter 11 of the Bankruptcy Code, and (B) Granting Related Relief,” dated September 6, 2024 [Docket No. 172], (the “Revised Proposed Order”),
 - c. “Final Order (A) Authorizing the Debtors to (I) Obtain Junior Lien Postpetition Financing and (II) Use Cash Collateral; (B) Granting Liens and Superpriority Claims; (C) Granting Adequate Protection to Certain Parties; and (D) Granting Related Relief,” dated September 6, 2024 [Docket No. 174], (the “Final DIP Order”), and
 - d. “Notice of Final Order (A) Establishing Notification and Hearing Procedures on Certain Transfers of Interests in and Claims Against, the Debtors, and Claims of Certain Worthless Stock Deductions; (B) Granting Related Relief,” dated September 6, 2024,

¹ The Debtors in these cases, along with the last four digits of each Debtor’s federal tax identification number, are: 2U, Inc. (5939); edX LLC (8554); 2U GetSmarter, LLC (9643); 2U Harkins Road LLC (N/A); 2U NYC, LLC (N/A); 2U KEIH Holdco, LLC (3837); CritiqueIt, Inc. (5532); edX Boot Camps LLC (8904); and 2U GetSmarter (US), LLC (9802). The Debtors’ mailing address is 2345 Crystal Drive, Suite 1100, Arlington, Virginia 22202.

related to Docket No. 157, a copy of which is annexed hereto as Exhibit A, (the “Final NOL Notice”),

by causing true and correct copies of the:

- i. Retention Order, Revised Proposed Order, Final DIP Order, and Final NOL Notice to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B,
 - ii. Final NOL Notice to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit C and to 30 parties whose names and addresses are confidential and therefore not included,
 - iii. Final DIP Order to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit D,
 - iv. Retention Order, Revised Proposed Order, Final DIP Order, and Final NOL Notice to be delivered via electronic mail to those parties listed on the annexed Exhibit E, and
 - v. Final DIP Order to be delivered via electronic mail to those parties listed on the annexed Exhibit F.
3. Additionally, on September 6, 2024, I caused to be delivered by next-day delivery to the brokerage firms, banks and agents (the “Nominees”), identified on the annexed Exhibit G, copies of the Final NOL Notice, with instructions for the Nominees to distribute the Final NOL Notice to the beneficial owners of the Debtors’ public securities.
4. All envelopes utilized in the service of the foregoing contained the following legend: “LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Andrea Speelman
Andrea Speelman

EXHIBIT A

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

In re:

2U, Inc., *et al.*,

Debtors.¹

Chapter 11

Case No. 24-11279 (MEW)

(Jointly Administered)

**NOTICE OF FINAL ORDER (A) ESTABLISHING NOTIFICATION AND
HEARING PROCEDURES ON CERTAIN TRANSFERS OF INTERESTS IN
AND CLAIMS AGAINST, THE DEBTORS, AND CLAIMS OF CERTAIN
WORTHLESS STOCK DEDUCTIONS; (B) GRANTING RELATED RELIEF**

PLEASE TAKE NOTICE that, on July 25, 2024 (the “*Petition Date*”), the above-captioned debtors and debtors in possession (the “*Debtors*”), commenced voluntary cases under chapter 11 of title 11 of the United States Code (the “*Bankruptcy Code*”). Subject to certain exceptions, section 362 of the Bankruptcy Code operates as a stay of any act to obtain possession of property of the Debtors’ estates or of property from the Debtors’ estates or to exercise control over property of the Debtors’ estates.

PLEASE TAKE FURTHER NOTICE that on the Petition Date, the Debtors filed a motion seeking entry of an Interim Order and Final Order establishing notice and hearing procedures for trading in certain claims against 2U, Inc. (“*2U*”) and in common stock issued by 2U (“*2U Stock*”) and claims of worthlessness with respect to 2U Stock (the “*Motion*”).

PLEASE TAKE FURTHER NOTICE that on September 5, 2024, the United States Bankruptcy Court for the Southern District of New York (the “*Court*”) entered the *Final Order (A) Establishing Notification and Hearing Procedures on Certain Transfers of Interests In and Claims Against, the Debtors, and Claims of Certain Worthless Stock Deductions; (B) Granting Related Relief, entered by the United States Bankruptcy Court for the Southern District of New York* [Docket No. 157] (the “*Final Order*”) approving the procedures set forth below in order to preserve the Debtors’ Tax Attributes (as defined in the Motion). Capitalized terms used but not defined herein shall have the same meanings ascribed to them in the Final Order.

PLEASE TAKE FURTHER NOTICE that, pursuant to the Final Order, the following restrictions, notification requirements, and other procedures (the “*Stock Procedures*”) are approved by the Court and shall apply to all trading and transfers of, and claiming a Worthless Stock Deduction with respect to, beneficial ownership of 2U Stock or Options (as defined below) to acquire 2U Stock; *provided*, that the Debtors may, with the reasonable consent of the Required

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Consenting Noteholders, waive, in writing, any and all restrictions, stays, and notification procedures set forth in the Stock Procedures:

Definitions: For purposes of these Stock Procedures, the following terms have the following meanings:

- a. **“Beneficial ownership”** of 2U Stock shall be determined in accordance with applicable rules under section 382 of the Tax Code, Treasury Regulations, and rulings issued by the Internal Revenue Service (the **“IRS”**), and thus, to the extent provided in those sources, from time to time shall include, without limitation, (i) direct and indirect ownership, determined without regard to any rule that treats stock of an entity as to which the constructive ownership rules apply as no longer owned by that entity (e.g., a holding company would be considered to beneficially own all stock owned or acquired by its subsidiaries); (ii) ownership by a holder’s family members; (iii) ownership by any Entity; and (iv) to the extent set forth in Treasury Regulations section 1.382-4, the ownership of an Option to acquire beneficial ownership of 2U Stock.
- b. **“Disclosure Parties”** means (i) 2U, Inc., 2345 Crystal Drive, Suite 1100, Arlington, Virginia 22202 (Attn: Paul S. Lalljie (plalljie@2u.com), Matthew Norden (mnorden@2u.com), and Lillian Brownstein (lbrownstein@2u.com)); (ii) Latham & Watkins LLP, 1271 Avenue of the Americas, New York, New York 10020 (Attn: George A. Davis (george.davis@lw.com), George Klidonas (george.klidonas@lw.com), Anupama Yerramalli (anu.yerramalli@lw.com), Randall C. Weber-Levine (randall.weber-levine@lw.com), and Scott Yousey (scott.yousey@lw.com)), proposed counsel for the Debtors; (iii) Milbank LLP, 55 Hudson Yards, New York, New York 10001 (Attn: Albert A. Pisa (apisa@milbank.com), Tyson Lomazow (tlomazow@milbank.com), and Abigail Debold (adebold@milbank.com)), counsel for the First Lien Ad Hoc Lender Group; (iv) Weil, Gotshal & Manges LLP, 767 5th Ave, New York, New York 10153 (Attn: Matt Barr (matt.barr@weil.com), David Griffiths (david.griffiths@weil.com), and F. Gavin Andrews (f.gavin.andrews@weil.com)), counsel for the Ad Hoc Noteholder Group; (v) Schulte, Roth & Zabel LLP, 919 Third Avenue, New York, New York 10022 (Attn: Kristine Manoukian (kristine.manoukian@srz.com), Kelly Knight (kelly.knight@srz.com), and Reuben E. Dizengoff (reuben.dizengoff@srz.com)), counsel to Greenvale; and (vi) Office of the U.S. Trustee, 1 Bowling Green, Room 534, New York, NY 10004 (Attn: Rachael E. Siegel (rachael.e.siegel@usdoj.gov), Daniel Rudewicz (daniel.rudewicz@usdoj.gov), and Brian Masumoto (brian.masumoto@usdoj.gov)).
- c. **“Entity”** has the meaning as such term is defined in section 1.382-3(a) of title 26 of the Code of Federal Regulations (the **“Treasury Regulations”**), including any group of persons acting pursuant to a formal or informal understanding among themselves to make a coordinated acquisition of 2U Stock.

- d. “**Majority Stockholder**” means (i) any person or Entity that beneficially owns at least 1,429,186 shares of 2U Stock (representing approximately 50% of all issued and outstanding shares of 2U Stock), or (ii) any person that would be a “**50-percent shareholder**” (within the meaning of section 382(g)(4)(D) of the Tax Code) with respect to its beneficial ownership of 2U Stock if such person claimed a Worthless Stock Deduction at any time on or after the Petition Date.
- e. “**Option**” means any option or similar right (within the meaning of applicable Treasury Regulations) to acquire stock including, without limitation, any contingent purchase, warrant, convertible debt, put, stock subject to risk of forfeiture, contract to acquire stock, or similar interest, regardless of whether it is contingent or otherwise not currently exercisable.
- f. “**Substantial Stockholder**” means any person or Entity that beneficially owns at least 135,772 shares of 2U Stock (representing approximately 4.75% of all issued and outstanding shares of 2U Stock).
- g. “**Worthless Stock Deduction**” shall mean any claim (for U.S. federal income tax reporting purposes) of a worthlessness deduction under section 165(g) of the Tax Code with respect to beneficial ownership of 2U Stock.

Procedures for Trading 2U Stock and Options

- a. Any person or Entity that now or hereafter beneficially owns 2U Stock (including indirectly or through the issuance, transfer or exercise of Options to acquire 2U Stock) in an amount sufficient to qualify such person or Entity as a Substantial Stockholder shall file with this Court and serve on the Disclosure Parties a notice of such status, in the form of **Exhibit B-1** attached to the Final Order, on or before the later of (i) 20 calendar days after the date of this Notice of Final Order and (ii) 10 calendar days after becoming a Substantial Stockholder. At the election of the Substantial Stockholder, the notice to be filed with the Court (but not the notice that is served upon the Disclosure Parties) may be redacted to exclude the Substantial Stockholder’s taxpayer identification number and the amount of 2U Stock that the Substantial Stockholder beneficially owns.
- b. At least 10 calendar days prior to effectuating any transfer of beneficial ownership of 2U Stock (including indirectly or through the issuance, transfer or exercise of Options to acquire 2U Stock) that would result in an increase in the amount of 2U Stock beneficially owned by a Substantial Stockholder or would result in a person or Entity becoming a Substantial Stockholder, such Substantial Stockholder shall file with this Court and serve on the Disclosure Parties advance written notice, in the form of **Exhibit B-2** attached to the Final Order, of the intended transfer of beneficial ownership of 2U Stock. At the election of the Substantial Stockholder, the notice to be filed with the Court (but not the notice that is served upon the Disclosure Parties) may be redacted to exclude the Substantial Stockholder’s taxpayer identification number and the amount of 2U Stock that the Substantial Stockholder beneficially owns.

- c. At least 10 calendar days prior to effectuating any transfer of beneficial ownership of 2U Stock (including indirectly or through the issuance, transfer or exercise of Options to acquire such 2U Stock) that would result in a decrease in the amount of 2U Stock beneficially owned by a Substantial Stockholder or would result in a person or Entity ceasing to be a Substantial Stockholder, such Substantial Stockholder shall file with this Court and serve on the Disclosure Parties advance written notice, in the form of **Exhibit B-3** attached to the Final Order, of the intended transfer of beneficial ownership of 2U Stock (the notices required to be filed and served under paragraph (b) and this paragraph (c) are each a “*Notice of Proposed Equity Transfer*”). At the election of the Substantial Stockholder, the notice to be filed with the Court (but not the notice that is served upon the Disclosure Parties) may be redacted to exclude the Substantial Stockholder’s taxpayer identification number and the amount of 2U Stock that the Substantial Stockholder beneficially owns.
- d. The Debtors and the Required Consenting Noteholders shall have 7 calendar days after receipt of a Notice of Proposed Equity Transfer to file with this Court and serve on the applicable Substantial Stockholder an objection to the proposed transfer of beneficial ownership described in the Notice of Proposed Equity Transfer on the grounds that such transfer may adversely affect the Debtors’ ability to utilize their Tax Attributes. If the Debtors or the Required Consenting Noteholders file an objection, such transaction will not be effective unless approved by a final and non-appealable order of this Court. If neither the Debtors or the Required Consenting Noteholders object within such 7-day period, such transaction may proceed solely as set forth in the Notice of Proposed Equity Transfer. Further transactions within the scope of this paragraph must be the subject of additional notices as set forth herein, with an additional 7-day waiting period.

Procedures for Claiming a Worthless Stock Deduction

- a. Any person or Entity that currently is or becomes a Majority Stockholder shall file with this Court and serve on the Disclosure Parties a notice of such status, in the form of **Exhibit B-4** attached to the Final Order, on or before the later of (i) 20 calendar days after the date of the Notice of Final Order (as defined below) and (ii) 10 calendar days after becoming a Majority Stockholder. At the election of the Majority Stockholder, the notice to be filed with the Court (but not the notice that is served upon the Disclosure Parties) may be redacted to exclude the Majority Stockholder’s taxpayer identification number and the amount of 2U Stock that the Majority Stockholder beneficially owns.
- b. At least 10 calendar days prior to filing any federal income tax return, or any amendment to such a return, claiming a Worthless Stock Deduction for a tax year of the Majority Stockholder ending on or before the effective date of a chapter 11 plan of reorganization for the Debtors, such Majority Stockholder shall file with this Court and serve on the Disclosure Parties advance written notice, in the form of **Exhibit B-5** attached to the Final Order (a “*Notice of Intent to Claim a Worthless Stock Deduction*”), of the intended Worthless Stock Deduction. At the

election of the Substantial Stockholder, the notice to be filed with the Court (but not the notice that is served upon the Disclosure Parties) may be redacted to exclude the Substantial Stockholder's taxpayer identification number and the amount of 2U Stock that the Substantial Stockholder beneficially owns.

- c. The Debtors and the Required Consenting Noteholders will have 7 calendar days after receipt of a Notice of Intent to Claim a Worthless Stock Deduction to file with this Court and serve on such Majority Stockholder an objection to any proposed Worthless Stock Deduction described in the Notice of Intent to Claim a Worthless Stock Deduction on the grounds that such claim might adversely affect the Debtors' ability to utilize their Tax Attributes. If the Debtors or the Required Consenting Noteholders file an objection, such Worthless Stock Deduction will not be effective unless approved by a final and non-appealable order of this Court. If neither the Debtors or the Required Consenting Noteholders object within such 7-day period, such Worthless Stock Deduction may proceed solely as set forth in the Notice of Intent to Claim a Worthless Stock Deduction. Additional tax returns and amendments within the scope of this paragraph must be the subject of additional notices as set forth herein, with an additional 7-day waiting period.

PLEASE TAKE FURTHER NOTICE that, pursuant to the Final Order, the following restrictions, notification requirements, and other procedures (the "**Claims Procedures**" and together with the Stock Procedures, the "**Procedures**") are approved by the Court and shall apply to all transfers of Unsecured Notes Claims (as defined below) against the Debtors from and after the Petition Date; *provided*, that the Debtors may, with the reasonable consent of the Required Consenting Noteholders, waive, in writing, any and all restrictions, stays, and notification procedures set forth in the Claims Procedures:

Definitions: For purposes of these Claims Procedures, the following terms have the following meanings:

- a. "**Beneficial ownership**" of a Claim shall be determined in accordance with applicable rules under section 382 of the Tax Code, the Treasury Regulations, and rulings issued by the IRS and as described herein (for such purpose, a Claim is treated as if it were stock) and, thus, to the extent provided in those sources, from time to time, shall include, without limitation, (i) direct and indirect ownership (but determined without regard to any rule that treats stock of an entity as to which the constructive ownership rules apply as no longer owned by that entity), e.g., a holding company would be considered to beneficially own all Claims owned or acquired by its subsidiaries; (ii) ownership by a holder's family members; (iii) ownership by any Entity; and (iv) to the extent set forth in Treasury Regulations section 1.382-4, the ownership of an Option (irrespective of the purpose for which such Option was issued, created, or acquired). For the avoidance of doubt, beneficial ownership of a Claim also includes the beneficial ownership of any right to receive any equity consideration to be distributed in respect of a Claim pursuant to a plan of reorganization or any applicable bankruptcy court order.

- b. “*Unsecured Notes Claims*” means Claims arising under that certain (i) Indenture, dated as of April 23, 2020, by and between 2U, Inc., as issuer, and Wilmington Trust, National Association, as trustee, governing 2U, Inc.’s 2.25% Convertible Senior Notes due 2025; and (ii) Indenture, dated as of January 11, 2023, by and between 2U, Inc., as issuer, and Wilmington Trust, National Association, as trustee, governing 2U, Inc.’s 4.50% Senior Unsecured Convertible Notes due 2030.
- c. “*Substantial Claimholder*” means any person or Entity that beneficially owns a principal amount equal to or greater than \$25,000,000 of Unsecured Notes Claims (representing an amount of Unsecured Notes Claims that would result in such person or Entity to beneficially own 4.75% of 2U Stock immediately after emergence). For the avoidance of doubt, section 382 of the Tax Code, the Treasury Regulations promulgated thereunder, and all relevant IRS and judicial authority shall apply in determining whether the Claims of several persons or Entities must be aggregated when testing for Substantial Claimholder status (for such purpose, a Claim is treated as if it were stock).

Proposed Claims Procedures

- a. Any person or entity that currently is or becomes a Substantial Claimholder shall file with this Court and serve on the Disclosure Parties a notice of such status, in the form of **Exhibit B-6** attached to the Final Order, on or before the later of (i) 20 calendar days after the date of this Notice of Final Order (as defined below) and (ii) 10 calendar days after becoming a Substantial Claimholder. At the election of the Substantial Claimholder, the notice to be filed with the Court (but not the notice that is served upon the Disclosure Parties) may be redacted to exclude the Substantial Claimholder’s taxpayer identification number and the amount of Unsecured Notes Claims that the Substantial Claimholder beneficially owns.
- b. At least 10 calendar days prior to effectuating any transfer of beneficial ownership of Unsecured Notes Claims that would result in an increase in the amount of Unsecured Notes Claims beneficially owned by a Substantial Claimholder or would result in a person or entity becoming a Substantial Claimholder, such Substantial Claimholder shall file with this Court and serve on proposed counsel to the Debtors advance written notice, in the form of **Exhibit B-7** attached to the Final Order, of the intended transfer of beneficial ownership of Claims (a “*Notice of Proposed Claims Transfer*”). At the election of the Majority Stockholder, the notice to be filed with the Court (but not the notice that is served upon the Disclosure Parties) may be redacted to exclude the Majority Stockholder’s taxpayer identification number and the amount of 2U Stock that the Majority Stockholder beneficially owns.
- c. The Debtors and the Required Consenting Noteholders shall have 7 calendar days after receipt of a Notice of Proposed Claims Transfer to file with this Court and serve on the applicable Substantial Claimholder an objection to the proposed transfer of beneficial ownership of Unsecured Notes Claims described in the Notice of Proposed Claims Transfer on the grounds that such transfer may adversely affect

the Debtors' ability to qualify for the special relief afforded by section 382(l)(5) of the Tax Code. If the Debtors or the Required Consenting Noteholders file an objection, such transaction will not be effective unless approved by a final and non-appealable order of this Court. If neither the Debtors or the Required Consenting Noteholders object within such 7-day period, such transaction may proceed solely as set forth in the Notice of Proposed Claims Transfer. Further transactions within the scope of this paragraph must be the subject of additional notices as set forth herein, with an additional 7-day waiting period.

PLEASE TAKE FURTHER NOTICE that, upon written request, the Debtors' proposed claims and noticing agent, Epiq Corporate Restructuring, LLC ("*Epiq*"), will provide a form of each of the required notices described above.

PLEASE TAKE FURTHER NOTICE that Epiq can be contacted by: (a) calling 877-525-5725 (Toll Free U.S.) or +1 360-803-4441 (International) or (b) emailing tabulation@epiqglobal.com with reference to "2U" in the subject line. You may also visit the Debtors' restructuring website at <https://dm.epiq11.com/2U>. You may also obtain copies of any pleadings filed in these chapter 11 cases for a fee at www.nysb.uscourts.gov or free of charge at <https://dm.epiq11.com/2U>.

FAILURE TO FOLLOW THE PROCEDURES SET FORTH IN THIS NOTICE OR THE FINAL ORDER SHALL CONSTITUTE A VIOLATION OF THE AUTOMATIC STAY PROVISIONS OF SECTION 362 OF THE BANKRUPTCY CODE.

ANY PROHIBITED PURCHASE, SALE, TRADE OR OTHER TRANSFER OF 2U STOCK OR UNSECURED NOTES CLAIMS, OR THE TAKING OF ANY WORTHLESSNESS DEDUCTION WITH RESPECT TO 2U STOCK IN VIOLATION OF THE FINAL ORDER SHALL BE NULL AND VOID *AB INITIO* AND MAY BE PUNISHED BY CONTEMPT OR OTHER SANCTIONS IMPOSED BY THE COURT.

PLEASE TAKE FURTHER NOTICE that the requirements set forth in this Notice are in addition to the requirements of Bankruptcy Rules 3001 and 3002 and all applicable securities, corporate and other laws, and do not waive compliance or excuse non-compliance therewith.

Dated: September 6, 2024
New York, New York

EXHIBIT B

Claim Name	Address Information
BOARD OF REGENTS, UNIVERSITY OF CALIFORNIA	ANGELA CHANG 2195 HEARST AVE., RM 159 BERKELEY CA 94720-1101
OFFICE OF THE UNITED STATES TRUSTEE	REGION 2; ATTN: XXX ALEXANDER HAMILTON CUSTOM HOUSE ONE BOWLING GREEN, ROOM 534 NEW YORK NY 10004-1408
U.S. TRUSTEE PROGRAM - REGION 2	11A CLINTON AVENUE, ROOM 620 ALBANY NY 12207
UNITED STATES ATTORNEYS OFFICE	SOUTHERN DISTRICT OF NEW YORK ATTN: TAX & BANKRUPTCY UNIT 86 CHAMBERS STREET, 3RD FLOOR NEW YORK NY 10007

Total Creditor count: 4

EXHIBIT C

Claim Name	Address Information
ALTAHEIDE LLC	16 FAYERWEATHER STREET CAMBRIDGE MA 02138-3330
CEDE & CO (FAST ACOCUNT)	570 WASHINGTON BLVD JERSEY CITY NJ 07310-1617
ED-MENTOR LLC	139 24TH AVE SAN FRANCISCO CA 94121-1220
EQUINITI AS EXCHANGE AGENT	FOR 18815 2U INC (OLD) 55 CHALLENGER RD RIDGEFIELD PARK NJ 07660
HALEY MCGOURTY FAMILY TRUST	U/D/T 9/27/96 3000 SAND HILL ROAD BUILDING 2 SUITE 290 MENLO PARK CA 94025-7113
HALEY MCGOURTY PARTNERS	3000 SAND HILL ROAD BULIDING 2 SUITE 290 MENLO PARK CA 94025
HALEY-MCGOURTY PARTNERS	3000 SAND HILL ROAD BULIDING 2 SUITE 290 MENLO PARK CA 94025-7113
HALEY-MCGOURTY PARTNERS LP	A PARTNERSHIP TIMOTHY M HALEY ETHNA MCGOURTY 3000 SAND HILL RD STE 2-290 MENLO PARK CA 94025-7156
HIGHLAND CAPITAL PARTNERS	VII-C LIMITED PARTNERSHIP C/O HIGHLAND CAPITAL PARTNERS 1 BROADWAY, 16TH FLR CAMBRIDGE MA 02142-1187
POTENCIA VENTURES	89 NEXUS WAY STE 8203 PO BOX 31363 GRAND CAYMAN KY1-1206 CAYMAN ISLANDS
STAVCO VENTURE HOLDINGS LLC	C/O BESSEMER VENTURE PARTNERS 1865 PALMER AVE STE 104 LARCHMONT NY 10538-3037
STAVIS VENTURES II LLC	C/O BESSEMER VENTURE PARTNERS 1865 PALMER AVE STE 104 LARCHMONT NY 10538-3037

Total Creditor count 12

EXHIBIT D

Claim Name	Address Information
AMEX NATIONAL BANK	ATTN: GENERAL COUNSEL 200 VESEY STREET NEW YORK NY 10285
AMEX NATIONAL BANK	PABLO RIBAS 20022 NORTH 31ST AVE MAIL CODE AZ-08-03-11 PHOENIX AZ 85027
BANK OF AMERICA, NA	MIQUAN LAMMY ONE BRYANT PARK NEW YORK NY 10036
BAYSIDE CAPITAL, LLC	ATTN: RICHARD SIEGEL BAYSIDE CAPITAL, LLC 1271 AVENUE OF AMERICAS, 22ND FL NEW YORK NY 10020
BLANTYRE CAPITAL LIMITED	ATTN: ROB PATTERSON BLANTYRE CAPITAL LIMITED 52 JERMYN STREET LONDON SW1Y 6LX UNITED KINGDOM
COMERICA BANK	LORI WALKER 36455 CORPORATE DRIVE FARMINGTON HILLS MI 48331
GREENVALE CAPITAL LLP	ATTN: ANDREW WALL 1 VERE STREET LONDON W1G 0DF UNITED KINGDOM
JP MORGAN CHASE BANK, NATIONAL ASSOC	PRISCILLA ROBLES & DENNY F CUEVA 383 MADISON AVE, 23 FL NEW YORK NY 10179
LMR PARTNERS LLC	ATTN: ALLYSON HANLON DEVONSHIRE HOUSE 1 MAYFAIR PLACE LONDON W1J 8AJ UNITED KINGDOM
MUDRICK CAPITAL MANAGEMENT, LP	ATTN: JOHN O'CALLAGHAN 527 MADISON AVENUE, 6 FL NEW YORK NY 10022
STRIPE INC	354 OYSTER POINT BLVD. SOUTH SAN FRANCISCO CA 94080
WALLEYE CAPITAL, LLC	ATN: WILLIAM ENGLAND 315 PARK AVE S, 18TH FLOOR NEW YORK NY 10010
WILMINGTON SAVINGS FUND SOCIETY, FSB	ATTN: LIZBET HINOJOSA WSFS BANK CENTER 16 WILMINGTON DE 19801

Total Creditor count 13

EXHIBIT E

Creditor Name	Email Address
Allen Overy Shearman Sterling US LLP	robin.spigel@aoshearman.com; joseph.badtke-berkow@aoshearman.com; chris.newcomb@aoshearman.com
ALTER DOMUS (US) LLC	Legal@alterdomus.com; adagency2@alterdomus.com
AMAZON WEB SERVICES	AWS-RECEIVABLES-SUPPORT@EMAIL.AMAZON.COM; DEVINEG@AMAZON.COM
ARENTFOX SCHIFF LLP	Andrew.Silfen@afslaw.com; Beth.Brownstein@afslaw.com; James.Britton@afslaw.com
BANNER EDGE MEDIA	JENAY@BANNEREDGEMEDIA.COM
BIALSON, BERGEN & SCHWAB	Tgaa@bbslaw.com; Gheck@bbslaw.com
BRIGHT LABS SERVICES LLC	ROBERT.BIRD@ANKURA.COM
BUCHALTER, A ROFESSIONAL CORP	schristianson@buchalter.com
CIGNA HEALTH AND LIFE	ASHLEY.ELLIS@CIGNAHEALTHCARE.COM
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EPWERY	GUSTAVOSANTUCHO@GMAIL.COM
FOLEY & LARDNER LLP	anann@foley.com
GEORGE WASHINGTON UNIVERSITY CPS	KBOCI@GWU.EDU
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GUTNICKI LLP	mschlan@gutnicki.com; rwang@gutnicki.com
HARVARDX	banand@hbs.edu; julie.faber@harvard.edu
INTERNAL REVENUE SERVICES	VICTORIA.G.MOSBY@IRS.GOV; MILLIE.H.AGENT@IRS.GOV
KATTEN MUCHIN ROSENMAN LLP	sreisman@katten.com; cgiglio@katten.com; marc.roitman@katten.com
KLESTADT WINTERS JURELLER SOUTHARD & STEVENS, LLP	tklestadt@klestadt.com; rtrack@msn.com
KFORCE INC	REMIT@KFORCE.COM
MARKETPLACE OPERATIONS INC	FINREPORTS@FORBESADVISOR.COM; SONNY.ANAND@FUNARO.COM
MARYLAND DEPARTMENT OF COMMERCE	mary.diferdinando@maryland.gov
MCCOMBS SCHOOL OF BUSINESS FOUNDATION	ERIN.STEPPE@AUSTIN.UTEXAS.EDU; PATRICIA.MARTINEZ@MCCOMBS.UTEXAS.EDU
MEYERS, RODBELL & ROSENBAUM, P.A.	NKenworthy@mrrlaw.net
MICHIGAN STATE UNIVERSITY	NYESL@MSU.EDU; BEERK@MSU.EDU

Creditor Name	Email Address
MILBANK LLP	apisa@milbank.com; ddunne@milbank.com; tlomazow@milbank.com; adebold@milbank.com
MIT	WIRE-TRANSFERS@MIT.EDU; BADKISON@MIT.EDU
NORTHWESTERN UNIVERSITY	ERICA.BOVA@NORTHWESTERN.EDU
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SPI GLOBAL CONTENT HOLDING	SWAPNIL.RAUT@LEARNINGMATE.COM
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WEIL, GOTSHAL & MANGES LLP	matt.barr@weil.com; david.griffiths@weil.com; f.gavin.andrews@weil.com
WILMINGTON TRUST, NATIONAL ASSOCIATION	EGAZZUOLO@WILMINGTONTRUST.COM; IMUNOZ@wilmingtontrust.com; jprovenzano@wilmingtontrust.com
YALE UNIVERSITY	CONTROLLERS.OFFICE@YALE.EDU; ELIZABETH.ROESSLER@YALE.EDU

EXHIBIT F

Electronic Mail - Banks Service List

Creditor Name	Email Address
AMEX NATIONAL BANK	juliette.r.bennett@aexp.com
BANK OF AMERICA, NA	miquan.lammy@bofa.com
COMERICA BANK	lkwalker@comerica.com
JP MORGAN CHASE BANK, NATIONAL ASSOC	priscilla.e.robles@jpmorgan.com; denny.f.cueva@jpmorgan.com
STRIPE INC	support@stripe.com

Electronic Mail - DIP Service List

Creditor Name	Email Address
Bayside Capital, LLC	sbritain@bayside.com
Blantyre Capital Limited	rpatterson@blantyrecapital.com
Greenvale Capital LLP	andrew@greenvalecapital.com
LMR Partners LLC	legal@lmrpartners.com
Mudrick Capital Management, LP	smurugavell@mudrickcapital.com
Walleye Capital, LLC	legal@walleyecapital.com
Wilmington Savings Fund Society, FSB	LHinojosa@wsfsbank.com

EXHIBIT G

Claim Name	Address Information
ABN AMROCC (0695)	ATT PROXY DEPT 175 W. JACKSON BLVD STE 2050 CHICAGO IL 60605
AEIS (0756)	ATT GREG WRAALSTAD/PROXY MGR 901 3RD AVE SOUTH MINNEAPOLIS MN 55474
ALPACA SEC (3021)	ATTN PROXY MGR 42881 LAKE BABCOCK DR SUITE 200 BABCOCK RANCH FL 33982
ALTRUIST (3164)	ATT PROXY MGR 3030 S LA CIENEGA CULVER CITY CA 90232
AMALGAMATED BANK (2352)	ATT BOB WINTERS OR PROXY MGR 275 7TH AV NEW YORK NY 10001
APEX/ETRADE (0158/0385)	C/O BROADRIDGE SECS PROCESSING YASMINE CASSEUS, 2 GATEWAY CTR 283-299 MARKET ST - 16TH FL NEWARK NJ 07102
AXOS CLEARING (0052)	ATT CORPORATE ACTIONS DEPT 1200 LANDMARK CTR, STE. 800 OMAHA NE 68102-1916
BARCLAYS CAPITAL (229,8455)	ATT CORPORATE ACTIONS/REORG 745 7TH AV, 3RD FL NEW YORK NY 10019
BMO NESBITT BURNS /CDS (5043)	ATT PHUTHORN PENIKETT 250 YONGE ST., 14TH FL TORONTO ON M5B 2M8 CANADA
BNP PARIBAS (2147,2787)	ATT PROXY DEPT 525 WASHINGTON BLVD 9TH FL JERSEY CITY NJ 07310
BNY MELLON (901,2169,2209,2974)	ATT EVENT CREATION 500 GRANT ST ROOM 151-1700 PITTSBURGH PA 15258
BOA/GWIM TST OPER (0955)	ATT CORPORATE ACTIONS MANAGER 901 MAIN ST 12TH FL DALLAS TX 75202
BROADRIDGE	JOB N07702 51 MERCEDES WAY EDGEWOOD NY 11717
BROWN BROS HARRIMAN (0010)	ATT CORPORATE ACTIONS / VAULT 140 BROADWAY NEW YORK NY 10005
CANTOR FITZGERALD & CO. (0696)	ATT ANTHONY ROYE OR PROXY DEPT CORPORATE ACTIONS 55 WATER ST, 28TH FL NEW YORK NY 10041
CDS CLEAR & DEPOSITORY (5099)	ATT LORETTA VERELLI/PROXY MGR 600 BOUL. DE MAISONNEUVE OUEST BUREAU 210 MONTREAL QC H3A 3J2 CANADA
CETERA (0701)	ATT ANGELA HANDELAND/PROXY MGR 400 1ST ST SOUTH STE 300 ST. CLOUD MN 56301
CHARLES SCHWAB & CO. (0164)	ATT CHRISTINA YOUNG/PROXY MGR 2423 EAST LINCOLN DR PHOENIX AZ 85016-1215
CI INVESTMENT SVCS/CDS (5085)	ATT DEBORAH CARLYLE/PROXY MGR 4100 YONGE ST STE 504A TORONTO ON M2P 2G2 CANADA
CIBC WORLD MKTS. /CDS (5030)	ATT REED JON OR PROXY DEPT 22 FRONT ST. W. 7TH FL TORONTO ON M5J 2W5 CANADA
CITADEL SECS LLC (8430)	ATT KEVIN NEWSTEAD/PROXY MGR 131 SOUTH DEARBORN ST 35TH FL CHICAGO IL 60603
CITIBANK (0505)	ATTN PROXY MGR 580 CROSSPOINT PKWY GETZVILLE NY 14068
CITIBANK, N.A. (0908)	ATTN PROXY MGR 3800 CITIBANK CTR B3 TAMPA FL 33610
CREDENTIAL SECS INC (5083)	DANIELLE MONTANARI/PROXY MGR 700 - 1111 W. GEORGIA ST VANCOUVER BC V6E 4T6 CANADA
CREST INTL NOMINEES LTD (2012)	ATT NATHAN ASHWORTH/PROXY MGR 33 CANNON ST LONDON EC4M 5SB UNITED KINGDOM
D. A. DAVIDSON & CO. (0361)	ATT RITA LINSKEY OR PROXY MGR 8 THIRD ST NORTH GREAT FALLS MT 59401
DAIWA CAP MKTS AMERICA (0647)	DAVID BIALER OR PROXY MGR FIN SQ 32 OLD SLIP, 14TH FL NEW YORK NY 10005
DEPOSITORY TST CO.	ROBERT GIORDANO 570 WASHINGTON BLVD JERSEY CITY NJ 07310
DESERET TST CO - I (2497)	ATT PROXY MGR 60 EAST SOUTH TEMPLE STE 400 SALT LAKE CITY UT 84111-1036
DESJARDINS SECS INC.(5028)	ATT KARLA DIAZ/VALUERS MOB. 2 COMPLEXE DESJARDINS TOUR EST NIVEAU 62 MONTREAL QC H5B 1B4 CANADA
DEUTSCHE BANK (2690)	ATT REORG MGR 5022 GATE PKWY STE 200 JACKSONVILLE FL 32256
DRIVEWEALTH, LLC (2402)	ATT PROXY MGR 15 EXCHANGE PL JERSEY CITY NJ 07302
EDWARD JONES (0057,5012)	ATT DEREK ADAMS OR PROXY DEPT CORPORATE ACTS & DISTRIBUTION 12555 MANCHESTER RD ST. LOUIS MO 63131
FIDELITY CLEAR CAN/CDS (5040)	ATT LINDA SARGEANT/PROXY MGR BELL TRINITY SQ, SOUTH TOWER 483 BAY ST., STE 200 TORONTO ON M5G 1P5 CANADA
FOLIO INVESTMENTS, INC. (0728)	ATT ASHLEY THEOBALD/PROXY MGR 8180 GREENSBORO DR 8TH FL MCLEAN VA 22102
FUTU CLEARING INC. (4272)	ATT COLLETE REX 12750 MERIT DR, STE 475 DALLAS TX 75251
GOLDMAN SACHS (0005,5208)	ATT ALISON YOUNG 200W/016/412B08 200 WEST ST NEW YORK NY 10282
HAYWOOD SECS INC./CDS** (5058)	ATT PROXY MGR 400 BURRARD ST STE 700 VANCOUVER BC V6C 3L6 CANADA
HILLTOP SECS (0279)	ATT PROXY DEPT 1201 ELM ST STE 3500 DALLAS TX 75270
HRT FIN LLC (0369)	ATT PROXY MGR 32 OLD SLIP 30TH FL NEW YORK NY 10005
HSBC BANK USA/CLEAR (8396)	ATT BARBARA SKELLY/PROXY MGR 545 WASHINGTON BLVD 10TH FL JERSEY CITY NJ 07310
HSBC SECS () INC. (0816)	CHRIS ARMATO OR PROXY MGR 1 WEST 39TH ST NEW YORK NY 10018
INTERACTIVE BROKERS (0534)	ATT KARIN MCCARTHY/PROXY DEPT 2 PICKWICK PLAZA, 2ND FL GREENWICH CT 06830

Claim Name	Address Information
INTL FCSTONE FIN, INC. (0750)	ATT KEN SIMPSON OR PROXY MGR 2 PERIMETER PARK, STE 100W BIRMINGHAM AL 35209
J.P. MORGAN/CLEAR (0352)	ATT CORPORATE ACTIONS TEAM 500 STANTON CHRISTIANA RD. NCC5 FL3 NEWARK DE 19713
JANNEY MONT. SCOTT INC. (0374)	ATT KURT DODDS OR PROXY MGR 1717 ARCH ST, 17TH FL PHILADELPHIA PA 19103
JEFFERIES & CO, INC. (0019)	ATT ROBERT MARANZANO/PROXY MGR 34 EXCHANGE PL JERSEY CITY NJ 07311
JPM (902,1970,2357,3622)	ATTN C MANOS/M GREEN 575 WASHINGTON BLVD. 6TH FL JERSEY CITY NJ 07310
KEYBANK NATL ASSOC (2205)	ATT WILLIAM WEBBER/PROXY MGR 4900 TIEDEMAN RD BROOKLYN OH 44144
LPL FIN CORP (0075)	ATT CORPORATE ACTIONS 1055 LPL WAY FORT MILL SC 29715
M1 FINANCE (1497)	PROXY MGR 200 N LASALLE ST, SUITE 800 CHICAGO IL 60601
MACKIE RESEARCH CAP/CDS (5029)	ATT TONY RODRIGUES/PROXY MGR 199 BAY ST COMMERCE CT WEST, STE 4600 TORONTO ON M5L 1G2 CANADA
MARSCO INVESTMENT CORP (0287)	ATT KAREN JACOBSEN/PROXY MGR 101 EISENHOWER PKWY ROSELAND NJ 07068
MEDIANT COMMUNICATIONS	JOB 2624890 STEPHANY HERNANDEZ 100 DEMAREST DR WAYNE NJ 07470
MITSUBISHI UFJ TST (2932)	ATT PROXY MGR 420 FIFTH AV 6TH FL NEW YORK NY 10018
MIZUHO TST & BANKING CO (2888)	ATT ROBERT KOWALEWSKI/PROX MGR 666 FIFTH AV NEW YORK NY 10103
ML/BOFA SECS (161,5198,8862)	ATT PROXY DEPT C/O MERRILL LYNCH CORP ACTIONS 4804 DEER LAKE DR. E. JACKSONVILLE FL 32246
MORGAN STANLEY (15,50,7309)	ATT MS PROXY DEPT 1300 THAMES ST WHARF BALTIMORE MD 21231
NATL FIN SVCS. (0226)	ATT SEAN COLE OR PROXY DEPT NEWPORT OFFICE CTR III 499 WASHINGTON BLVD JERSEY CITY NJ 07310
NBCN INC. /CDS (5008)	ATT DANIEL NTAP OR PROXY MGR 1010 DE LA GAUCHETIERE OUEST STE 1925 MONTREAL QC H3B 5J2 CANADA
NOMURA SECS (0180)	ATT ADRIAN ROCCO OR PROXY MGR WORLDWIDE PLAZA 309 W. 49TH ST NEW YORK NY 10019-7316
NORTHERN TST CO, THE (2669)	ATT ANDREW LUSSEN OR PROXY MGR 801 S. CANAL ST ATT: CAPITAL STRUCTURES-C1N CHICAGO IL 60607
ODLUM BROWN LTD/CDS (5074)	ATT CARLA BONNELL OR PROXY MGR 250 HOWE ST STE 1100 VANCOUVER BC V6C 3T4 CANADA
OPPENHEIMER & CO. INC. (0571)	ATT COLIN SANDY OR PROXY MGR 85 BROAD ST, 4TH FL NEW YORK NY 10004
PERSHING (0443)	ATT JOSEPH LAVARA/PROXY DEPT 1 PERSHING PLAZA JERSEY CITY NJ 07399
PHILL CAP (8460)	ATT PROXY MGR 141 W JACKSON BLVD CBOT BLDG, STE 3050 CHICAGO IL 60604
PI FIN CORP/CDS** (5075)	ATT ROB MCNEIL OR PROXY MGR 666 BURRARD ST STE 1900 VANCOUVER BC V6C 2G3 CANADA
PNC BANK, NATL ASSOC (2616)	ATT EILEEN BLAKE OR PROXY MGR 8800 TINICUM BLVD F6-F266-02-2 PHILADELPHIA PA 19153
QUESTRADE INC./CDS (5084)	ATT AL NANJI OR PROXY MGR 5650 YONGE ST TORONTO ON M2M 4G3 CANADA
RAYMOND JAMES ASSOC (0725)	ATT ROBERTA GREEN OR PROXY MGR 880 CARILION PKWY TOWER 2, 4TH FL ST. PETERSBURG FL 33716
RBC CAPITAL MKTS CORP (0235)	ATT STEVE SCHAFER OR PROXY MGR 60 S 6TH ST - P09 MINNEAPOLIS MN 55402-1106
RBC DOMINION /CDS (5002)	ATT PROXY MGR 180 WELLINGTON STREET WEST TORONTO ON M5J 0C2 CANADA
RELIANCE (5409,7381)	ATT TONIE MONTGOMERY 1100 ABERNATHY RD STE 400 ATLANTA GA 30328
ROBERT W BAIRD & CO INC (0547)	ATTN CORPORATE ACTIONS 777 E WISCONSIN AVE - 9TH FL MILWAUKEE WI 53202
ROBINHOOD SECS, LLC (6769)	ATT MEHDI TAIFI 500 COLONIAL CTR PKWY #100 LAKE MARY FL 32746
SAFRA SECS (8457)	ATT PROXY MGR 546 FIFTH AV NEW YORK NY 10036
SCOTIA CAPITAL /CDS (5011)	ATT EVELYN PANDE OR PROXY DEPT SCOTIA PLAZA 40 KING ST W, 23RD FL TORONTO ON M5H 1H1 CANADA
SEI PRIVATE TST CO (2663)	ATT ERIC GREENE OR PROXY MGR ONE FREEDOM VALLEY DR OAKS PA 19456
SG AMERICAS SECS, LLC (0286)	ATT PAUL MITSAKOS OR PROXY MGR 1221 AV OF THE AMERICAS NEW YORK NY 10020
STATE ST (997,2319,2399,	2678,2767,2950) ATT PROXY DEPT 1776 HERITAGE DR NORTH QUINCY MA 02171
STIFEL NICOLAUS & CO. (0793)	ATT CHRIS WIEGAND/PROXY DEPT C/O MEDIANT COMMUNICATIONS 501 N. BROADWAY ST. LOUIS MO 63102
STOCKCROSS FIN (0445)	ATT DIANE TOBEY OR PROXY MGR 77 SUMMER ST BOSTON MA 02210

Claim Name	Address Information
SUMITOMO TST & BANKING (2779)	ATT BETH MUELLER OR PROXY MGR 527 MADISON AV NEW YORK NY 10022
TDWATERHOUSE CANADA/CDS (5036)	ATT YOUSUF AHMED OR PROXY MGR 77 BLOOR ST WEST 3RD FL TORONTO ON M4Y 2T1 CANADA
TRADESTATION SECS (0271)	ATT CORPORATE ACTIONS 8050 SW 10TH ST, STE 2000 PLANTATION FL 33324
U.S. BANCORP INVEST (0280)	ATT KEVIN BROWN OR PROXY MGR 60 LIVINGSTON AVE ST. PAUL MN 55107-1419
U.S. BANK N.A. (2803)	ATT PAUL KUXHAUS OR PROXY MGR 1555 N. RIVER CTR DR STE 302 MILWAUKEE WI 53212
UBS (0642,2507)	ATT MICHAEL HALLET/PROXY MGR PROXY DEPT 315 DEADRICK ST NASHVILLE TN 37238
UBS FIN SVCS LLC (0221)	ATT PROXY DEPT - JANE FLOOD 1000 HARBOR BLVD WEEHAWKEN NJ 07086
UMB BANK, NATL ASSOC (2450)	ATT KAREN BOUCHARD/PROXY MGR 928 GRAND BLVD MAILSTOP 1010404 KANSAS CITY MO 64106
VANGUARD MARKETING (0062)	ATT BEN BEGUIN OR PROXY MGR 14321 N. NORTHSIGHT BLVD SCOTTSDALE AZ 85260
VELOCITY CLEARING (0294)	ALFRED PENNISI OR PROXY MGR 100 WALL ST 26TH FL NEW YORK NY 10005
VELOX CLEARING LLC (3856)	ATT PROXY MGR 2400 E. KATELLA AVE STE 725 ANAHEIM CA 92806
VIRTU AM (0295)	ATT JANICA BRINK OR PROXY MGR 300 VESEY ST NEW YORK NY 10282
VISION FIN MKTS LLC (0595)	ATT OPS DEPT 120 LONG RIDGE RD, 3 NORTH STAMFORD CT 06902
WEALTHSIMPLE INVEST/CDS (5004)	ATT CORPORATE ACTIONS DEPT 80 SPADINA AVE, 4TH FL TORONTO ON M5V 2J4 CANADA
WEDBUSH (0103,8199)	ATT ALAN FERREIRA OR PROXY MGR 1000 WILSHIRE BLVD., STE #850 LOS ANGELES CA 90030
WELLS FARGO (250,2072,2480)	ATT SCOTT NELLIS OR PROXY MGR CORP ACTIONS - MAC D109-010 1525 WEST WI HARRIS BLVD, 1B1 CHARLOTTE NC 28262
WELLS FARGO CLEARING (141)	ATTN PROXY DEPARTMENT ONE NORTH JEFFERSON AVE ST. LOUIS MO 63103

Total Creditor count 99
